APPENDIX A. ATSDR MINIMAL RISK LEVEL WORKSHEETS

MRLs are derived when reliable and sufficient data exist to identify the target organ(s) of effect or the most sensitive health effect(s) for a specific duration for a given route of exposure. An MRL is an estimate of the daily human exposure to a hazardous substance that is likely to be without appreciable risk of adverse noncancer health effects over a specified route and duration of exposure. MRLs are based on noncancer health effects only; cancer effects are not considered. These substance-specific estimates, which are intended to serve as screening levels, are used by ATSDR health assessors to identify contaminants and potential health effects that may be of concern at hazardous waste sites. It is important to note that MRLs are not intended to define clean-up or action levels.

MRLs are derived for hazardous substances using the NOAEL/uncertainty factor approach. They are below levels that might cause adverse health effects in the people most sensitive to such chemical-induced effects. MRLs are derived for acute (1–14 days), intermediate (15–364 days), and chronic (≥365 days) durations and for the oral and inhalation routes of exposure. Currently, MRLs for the dermal route of exposure are not derived because ATSDR has not yet identified a method suitable for this route of exposure. MRLs are generally based on the most sensitive substance-induced endpoint considered to be of relevance to humans. Serious health effects (such as irreparable damage to the liver or kidneys, or birth defects) are not used as a basis for establishing MRLs. Exposure to a level above the MRL does not mean that adverse health effects will occur.

MRLs are intended only to serve as a screening tool to help public health professionals decide where to look more closely. They may also be viewed as a mechanism to identify those hazardous waste sites that are not expected to cause adverse health effects. Most MRLs contain a degree of uncertainty because of the lack of precise toxicological information on the people who might be most sensitive (e.g., infants, elderly, nutritionally or immunologically compromised) to the effects of hazardous substances. ATSDR uses a conservative (i.e., protective) approach to address this uncertainty consistent with the public health principle of prevention. Although human data are preferred, MRLs often must be based on animal studies because relevant human studies are lacking. In the absence of evidence to the contrary, ATSDR assumes that humans are more sensitive to the effects of hazardous substance than animals and that certain persons may be particularly sensitive. Thus, the resulting MRL may be as much as 100-fold below levels that have been shown to be nontoxic in laboratory animals.

APPENDIX A

Proposed MRLs undergo a rigorous review process: Health Effects/MRL Workgroup reviews within the Division of Toxicology and Human Health Sciences, expert panel peer reviews, and agency-wide MRL Workgroup reviews, with participation from other federal agencies and comments from the public. They are subject to change as new information becomes available concomitant with updating the toxicological profiles. Thus, MRLs in the most recent toxicological profiles supersede previously published MRLs. For additional information regarding MRLs, please contact the Division of Toxicology and Human Health Sciences, Agency for Toxic Substances and Disease Registry, 1600 Clifton Road NE, Mailstop S102-1, Atlanta, Georgia 30329-4027.

APPENDIX A

MINIMAL RISK LEVEL (MRL) WORKSHEET

***Chemical Name*:** Thorium
CAS Numbers: 7440-29-1
Date: October 1990
June 2017—Updated literature search
Profile Status: Final draft
Route: Inhalation
Duration: Acute

MRL Summary: There are insufficient data for derivation of an acute-duration inhalation MRL.

Rationale for Not Deriving an MRL: No exposure-response data are available for humans. Studies in laboratory animals were limited to a series of studies conducted by Hall et al. (1951) examining the toxicity of thorium nitrate and thorium dioxide in dogs and rabbits exposed for approximately 2 weeks. Hematological effects were reported in dogs; however, the two studies found different effects. In dogs exposed to thorium nitrate (4.0 nCi/m^3 as thorium), leukocytosis, abnormal lymphocytes, and hypersegmented polymonorphonuclear granulocytes were observed; no alterations in erythrocyte counts were observed. In contrast, exposure to 4.8 nCi/m^3 as thorium dioxide resulted in decreases in erythrocyte counts, increased percentage of lymphocytes, and nonfilamented polymorphonuclear neutrophils. No hematological effects were observed in rabbits exposed to 3.5 or 3.8 nCi/m^3 as thorium nitrate. The studies also conducted histological alterations in numerous studies including the lungs and reported no alterations. The Hall et al. (1951) studies were not considered suitable as the basis of an MRL due to the lack of control groups, small number of animals tested in the dog studies (4/group), testing only one exposure level, inadequate reporting of results, and the inconsistency of the findings across studies.

Agency Contacts (Chemical Managers): Sam Keith

APPENDIX A

MINIMAL RISK LEVEL (MRL) WORKSHEET

***Chemical Name*:** Thorium
CAS Numbers: 7440-29-1
Date: October 1990
June 2017—Updated literature search
Profile Status: Final draft
Route: Inhalation
Duration: Intermediate

MRL Summary: There are insufficient data for derivation of an intermediate-duration inhalation MRL.

Rationale for Not Deriving an MRL: No exposure-response data are available for humans. Hall et al. (1951) examined the intermediate-duration toxicity of thorium tetrafluoride in dogs and thorium oxalate in dogs and rabbits. Decreased erythrocyte counts were observed in dogs exposed to 0.9 nCi/m^3 as thorium tetrafluoride and 1.4 nCi/m^3 as thorium oxalate; the dogs received 51 and 45 exposures (6 hours/day, 5 days/week), respectively. No hematological effects were observed in the rabbits exposed to 1.6 nCi/m^3 for 21 exposures. The investigators reported no alterations in histopathological examinations of numerous tissues (including the lungs). The Hall et al. (1951) studies were not considered suitable as the basis of an MRL due to a number of study limitations, including the small number of animals per group (four dogs per compound; histopathology examination conducted in two dogs/compound), no control group, only testing one concentration per compound, and inadequate reporting of results.

Agency Contacts (Chemical Managers): Sam Keith

APPENDIX A

MINIMAL RISK LEVEL (MRL) WORKSHEET

***Chemical Name*:** Thorium
CAS Numbers: 7440-29-1
Date: October 1990
June 2017—Updated literature search
Profile Status: Final draft
Route: Inhalation
Duration: Chronic

MRL Summary: There are insufficient data for derivation of a chronic-duration inhalation MRL.

Rationale for Not Deriving an MRL: No exposure-response data are available for humans. In the only available animal studies, no thorium exposure-related adverse effects were observed in rats, rabbits, guinea pigs, or dogs repeatedly exposed to thorium dioxide by inhalation at 0.55 nCi/m^3 (the only exposure level tested) for 12–14 months (Hodge et al. 1960).

Agency Contacts (Chemical Managers): Sam Keith

MINIMAL RISK LEVEL (MRL) WORKSHEET

***Chemical Name*:** Thorium
CAS Numbers: 7440-29-1
Date: October 1990
June 2017—Updated literature search
Profile Status: Final draft
Route: Oral
Duration: Acute

MRL Summary: There are insufficient data for derivation of an acute-duration oral MRL.

Rationale for Not Deriving an MRL: No human data are available. The only available acute-duration oral study reported only a NOAEL of 84 nCi/kg and the death of 4/20 mice administered thorium nitrate at 110 nCi/kg once by gavage.

Agency Contacts (Chemical Managers): Sam Keith

APPENDIX A

MINIMAL RISK LEVEL (MRL) WORKSHEET

***Chemical Name*:** Thorium
CAS Numbers: 7440-29-1
Date: October 1990
June 2017—Updated literature search
Profile Status: Final draft
Route: Oral
Duration: Intermediate

MRL Summary: There are insufficient data for derivation of an intermediate-duration oral MRL.

Rationale for Not Deriving an MRL: No human data are available. Two intermediate-duration oral studies were located. In one study, the only adverse treatment-related effect among rats administered thorium nitrate in the food for 105–131 days at 130 nCi/kg/day decreased body weight gain; however, this was attributed to decreased food intake (Downs et al. 1959). In the other study, 10/20 mice died during a 4-month exposure to thorium nitrate in the drinking water at an estimated dose of 12 nCi/kg/day (the only exposure level tested); there were no other reported effects (Patrick and Cross 1948).

Agency Contacts (Chemical Managers): Sam Keith

MINIMAL RISK LEVEL (MRL) WORKSHEET

***Chemical Name*:** Thorium
CAS Numbers: 7440-29-1
Date: October 1990
June 2017—Updated literature search
Profile Status: Final draft
Route: Oral
Duration: Chronic

MRL Summary: There are insufficient data for derivation of a chronic-duration oral MRL.

Rationale for Not Deriving an MRL: No chronic-duration oral studies are available for humans or animals.

Agency Contacts (Chemical Managers): Sam Keith

APPENDIX B. LITERATURE SEARCH FRAMEWORK FOR THORIUM

The objective of the toxicological profile is to evaluate the potential for human exposure and the potential health hazards associated with inhalation, oral, or dermal/ocular exposure to thorium.

B.1 LITERATURE SEARCH AND SCREEN

A literature search and screen was conducted to identify studies examining health effects, toxicokinetics, mechanisms of action, susceptible populations, biomarkers, and chemical interactions for thorium. ATSDR primarily focused on peer-reviewed articles without publication date or language restrictions. Non-peer-reviewed studies that were considered relevant to the assessment of the health effects of thorium have undergone peer review by at least three ATSDR-selected experts who have been screened for conflict of interest. The inclusion criteria used to identify relevant studies examining the health effects of thorium are presented in Table B-1.

Table B-1. Inclusion Criteria for the Literature Search and Screen

Health Effects
Species
Human
Laboratory mammals
Route of exposure
Inhalation
Oral
Dermal (or ocular)
Parenteral (these studies will be considered supporting data)
Health outcome
Death
Systemic effects
Body weight effects
Respiratory effects
Cardiovascular effects
Gastrointestinal effects
Hematological effects
Musculoskeletal effects
Hepatic effects
Renal effects
Dermal effects
Ocular effects
Endocrine effects
Immunological effects
Neurological effects
Reproductive effects
Developmental effects
Other noncancer effects
Cancer

Table B-1. Inclusion Criteria for the Literature Search and Screen

Toxicokinetics
Absorption
Distribution
Metabolism
Excretion
PBPK models
Biomarkers
Biomarkers of exposure
Biomarkers of effect
Interactions with other chemicals

B.1.1 Literature Search

The current literature search was intended to update the existing 1990 toxicological profile for thorium; thus, the literature search was restricted to studies published between January 1988 to June 2017. The following main databases were searched in June 2017:

- PubMed
- National Library of Medicine's TOXLINE
- Scientific and Technical Information Network's TOXCENTER

The search strategy used the chemical names, Chemical Abstracts Service (CAS) numbers, synonyms, Medical Subject Headings (MeSH) headings, and keywords for thorium. The query strings used for the literature search are presented in Table B-2.

The search was augmented by searching the Toxic Substances Control Act Test Submissions (TSCATS), NTP website, and National Institute of Health Research Portfolio Online Reporting Tools Expenditures and Results (NIH RePORTER) databases using the queries presented in Table B-3. Additional databases were searched in the creation of various tables and figures, such as the TRI Explorer, the Substance Priority List (SPL) resource page, and other items as needed. Regulations applicable to thorium were identified by searching international and U.S. agency websites and documents.

Review articles were identified and used for the purpose of providing background information and identifying additional references. ATSDR also identified reports from the grey literature, which included unpublished research reports, technical reports from government agencies, conference proceedings and abstracts, and theses and dissertations.

Table B-2. Database Query Strings

Database search date	Query string
PubMed	
6/2017	((((("Thorium/toxicity"[mh] OR "Thorium/adverse effects"[mh] OR "Thorium/poisoning"[mh] OR "Thorium/pharmacokinetics"[mh]) OR ("Thorium"[mh] AND ("environmental exposure"[mh] OR ci[sh])) OR ("Thorium"[mh] AND toxicokinetics[mh:noexp]) OR ("Thorium/blood"[mh] OR "Thorium/cerebrospinal fluid"[mh] OR "Thorium/urine"[mh]) OR

Table B-2. Database Query Strings

Database search date	Query string
	("Thorium"[mh] AND ("endocrine system"[mh] OR "hormones, hormone substitutes, and hormone antagonists"[mh] OR "endocrine disruptors"[mh])) OR ("Thorium"[mh] AND ("computational biology"[mh] OR "medical informatics"[mh] OR genomics[mh] OR genome[mh] OR proteomics[mh] OR proteome[mh] OR metabolomics[mh] OR metabolome[mh] OR genes[mh] OR "gene expression"[mh] OR phenotype[mh] OR genetics[mh] OR genotype[mh] OR transcriptome[mh] OR ("systems biology"[mh] AND ("environmental exposure"[mh] OR "epidemiological monitoring"[mh] OR analysis[sh])) OR "transcription, genetic "[mh] OR "reverse transcription"[mh] OR "transcriptional activation"[mh] OR "transcription factors"[mh] OR ("biosynthesis"[sh] AND (RNA[mh] OR DNA[mh])) OR "RNA, messenger"[mh] OR "RNA, transfer"[mh] OR "peptide biosynthesis"[mh] OR "protein biosynthesis"[mh] OR "reverse transcriptase polymerase chain reaction"[mh] OR "base sequence"[mh] OR "trans-activators"[mh] OR "gene expression profiling"[mh])) OR ("Thorium/antagonists and inhibitors"[mh]) OR ("Thorium/metabolism"[mh] AND ("humans"[mh] OR "animals"[mh])) OR ("Thorium"[mh] AND cancer[sb]) OR ("Thorium/pharmacology"[majr])) OR (("Thorium Compounds/toxicity"[mh] OR "Thorium Compounds/adverse effects"[mh] OR "Thorium Compounds/poisoning"[mh] OR "Thorium Compounds/pharmacokinetics"[mh]) OR ("Thorium Compounds"[mh] AND ("environmental exposure"[mh] OR ci[sh])) OR ("Thorium Compounds"[mh] AND toxicokinetics[mh:noexp]) OR ("Thorium Compounds/blood"[mh] OR "Thorium Compounds/cerebrospinal fluid"[mh] OR "Thorium Compounds/urine"[mh]) OR ("Thorium Compounds"[mh] AND ("endocrine system"[mh] OR "hormones, hormone substitutes, and hormone antagonists"[mh] OR "endocrine disruptors"[mh])) OR ("Thorium Compounds"[mh] AND ("computational biology"[mh] OR "medical informatics"[mh] OR genomics[mh] OR genome[mh] OR proteomics[mh] OR proteome[mh] OR metabolomics[mh] OR metabolome[mh] OR genes[mh] OR "gene expression"[mh] OR phenotype[mh] OR genetics[mh] OR genotype[mh] OR transcriptome[mh] OR ("systems biology"[mh] AND ("environmental exposure"[mh] OR "epidemiological monitoring"[mh] OR analysis[sh])) OR "transcription, genetic "[mh] OR "reverse transcription"[mh] OR "transcriptional activation"[mh] OR "transcription factors"[mh] OR ("biosynthesis"[sh] AND (RNA[mh] OR DNA[mh])) OR "RNA, messenger"[mh] OR "RNA, transfer"[mh] OR "peptide biosynthesis"[mh] OR "protein biosynthesis"[mh] OR "reverse transcriptase polymerase chain reaction"[mh] OR "base sequence"[mh] OR "trans-activators"[mh] OR "gene expression profiling"[mh])) OR ("Thorium Compounds/antagonists and inhibitors"[mh]) OR ("Thorium Compounds/metabolism"[mh] AND ("humans"[mh] OR "animals"[mh])) OR ("Thorium Compounds"[mh] AND cancer[sb]) OR ("Thorium Compounds/pharmacology"[majr])) OR ("thorium nitrate"[nm])) AND (1988/01/01 : 3000[dp] OR 1988/01/01 : 3000[mhda])) OR (("Nitric acid, thorium(4+) salt, tetrahydrate"[tw] OR "Thorium nitrate tetrahydrate"[tw] OR "Thorium fluoride (ThF4), tetrahydrate, (T-4)-"[tw] OR "Thorium fluoride"[tw] OR "Thorium tetrafluoride"[tw] OR "Thorium dicarbonate"[tw] OR "Thorium carbonate"[tw]) AND (1988/01/01 : 3000[dp] OR 1988/01/01 : 3000[crdt] OR 1988/01/01 : 3000[edat])) OR ((((("Thorium"[tw] OR "232Th"[tw] OR "228Th"[tw] OR "Thoria"[tw] OR "Thorianite"[tw] OR "Thorotrast"[tw] OR "Thortrast"[tw] OR "Umbrathor"[tw]) OR ("radiothorium"[tw]) OR ("(232)th"[tw] OR "th-232"[tw] OR "th232"[tw] OR "(226)th"[tw] OR "226th"[tw] OR "th-226"[tw] OR "th226"[tw] OR "(227)th"[tw] OR "227th"[tw] OR "th-227"[tw] OR "th227"[tw] OR "(228)th"[tw] OR "th-228"[tw] OR "th228"[tw] OR "(229)th"[tw] OR "229th"[tw] OR "th-229"[tw] OR "th229"[tw] OR "(230)th"[tw] OR "230th"[tw] OR "th-230"[tw] OR "th230"[tw] OR "(231)th"[tw] OR "231th"[tw] OR "th-231"[tw] OR "th231"[tw] OR "(234)th"[tw] OR "234th"[tw] OR "th-234"[tw] OR "th234"[tw] OR "(238)th"[tw] OR "238th"[tw] OR "th-238"[tw] OR "th238"[tw] OR

Table B-2. Database Query Strings

Database search date	Query string
	"(239)th"[tw] OR "239th"[tw] OR "th-239"[tw] OR "th239"[tw])) NOT medline[sb]) AND (1988/01/01 : 3000[dp] OR 1988/01/01 : 3000[crdt] OR 1988/01/01 : 3000[edat]))
	(("thorium"[tw] AND (1988/01/01 : 3000[dp] OR 1988/01/01 : 3000[crdt] OR 1988/01/01 : 3000[edat])) AND (to[sh] OR po[sh] OR ae[sh] OR pk[sh] OR (me[sh] AND ("humans"[mh] OR "animals"[mh])) OR ci[sh] OR bl[sh] OR cf[sh] OR ur[sh] OR "environmental exposure"[mh] OR "endocrine system"[mh] OR "hormones, hormone substitutes, and hormone antagonists"[mh] OR "endocrine disruptors"[mh] OR (("Computational biology"[mh] OR "Medical Informatics"[mh] OR Genomics[mh] OR Genome[mh] OR Proteomics[mh] OR Proteome[mh] OR Metabolomics[mh] OR Metabolome[mh] OR Genes[mh] OR "Gene expression"[mh] OR Phenotype[mh] OR genetics[mh] OR genotype[mh] OR Transcriptome[mh] OR ("Systems Biology"[mh] AND ("Environmental Exposure"[mh] OR "Epidemiological Monitoring"[mh] OR analysis[sh])) OR "Transcription, Genetic "[mh] OR "Reverse transcription"[mh] OR "Transcriptional activation"[mh] OR "Transcription factors"[mh] OR ("biosynthesis"[sh] AND (RNA[mh] OR DNA[mh])) OR "RNA, Messenger"[mh] OR "RNA, Transfer"[mh] OR "peptide biosynthesis"[mh] OR "protein biosynthesis"[mh] OR "Reverse Transcriptase Polymerase Chain Reaction"[mh] OR "Base Sequence"[mh] OR "Trans-activators"[mh] OR "Gene Expression Profiling"[mh])) OR cancer[sb] OR "pharmacology"[sh:noexp] OR thorium/ai OR thorium compounds/ai OR toxicokinetics[mh:noexp])) NOT (((((("Thorium/toxicity"[mh] OR "Thorium/adverse effects"[mh] OR "Thorium/poisoning"[mh] OR "Thorium/pharmacokinetics"[mh]) OR ("Thorium"[mh] AND ("environmental exposure"[mh] OR ci[sh])) OR ("Thorium"[mh] AND toxicokinetics[mh:noexp]) OR ("Thorium/blood"[mh] OR "Thorium/cerebrospinal fluid"[mh] OR "Thorium/urine"[mh]) OR ("Thorium"[mh] AND ("endocrine system"[mh] OR "hormones, hormone substitutes, and hormone antagonists"[mh] OR "endocrine disruptors"[mh])) OR ("Thorium"[mh] AND ("computational biology"[mh] OR "medical informatics"[mh] OR genomics[mh] OR genome[mh] OR proteomics[mh] OR proteome[mh] OR metabolomics[mh] OR metabolome[mh] OR genes[mh] OR "gene expression"[mh] OR phenotype[mh] OR genetics[mh] OR genotype[mh] OR transcriptome[mh] OR ("systems biology"[mh] AND ("environmental exposure"[mh] OR "epidemiological monitoring"[mh] OR analysis[sh])) OR "transcription, genetic "[mh] OR "reverse transcription"[mh] OR "transcriptional activation"[mh] OR "transcription factors"[mh] OR ("biosynthesis"[sh] AND (RNA[mh] OR DNA[mh])) OR "RNA, messenger"[mh] OR "RNA, transfer"[mh] OR "peptide biosynthesis"[mh] OR "protein biosynthesis"[mh] OR "reverse transcriptase polymerase chain reaction"[mh] OR "base sequence"[mh] OR "trans-activators"[mh] OR "gene expression profiling"[mh])) OR ("Thorium/antagonists and inhibitors"[mh]) OR ("Thorium/metabolism"[mh] AND ("humans"[mh] OR "animals"[mh])) OR ("Thorium"[mh] AND cancer[sb]) OR ("Thorium/pharmacology"[majr])) OR (("Thorium Compounds/toxicity"[mh] OR "Thorium Compounds/adverse effects"[mh] OR "Thorium Compounds/poisoning"[mh] OR "Thorium Compounds/pharmacokinetics"[mh]) OR ("Thorium Compounds"[mh] AND ("environmental exposure"[mh] OR ci[sh])) OR ("Thorium Compounds"[mh] AND toxicokinetics[mh:noexp]) OR ("Thorium Compounds/blood"[mh] OR "Thorium Compounds/cerebrospinal fluid"[mh] OR "Thorium Compounds/urine"[mh]) OR ("Thorium Compounds"[mh] AND ("endocrine system"[mh] OR "hormones, hormone substitutes, and hormone antagonists"[mh] OR "endocrine disruptors"[mh])) OR ("Thorium Compounds"[mh] AND ("computational biology"[mh] OR "medical informatics"[mh] OR genomics[mh] OR genome[mh] OR proteomics[mh] OR proteome[mh] OR metabolomics[mh] OR metabolome[mh] OR genes[mh] OR "gene expression"[mh] OR phenotype[mh] OR genetics[mh] OR genotype[mh] OR transcriptome[mh] OR ("systems biology"[mh] AND ("environmental exposure"[mh] OR "epidemiological monitoring"[mh] OR analysis[sh])) OR "transcription,

Table B-2. Database Query Strings

Database search date	Query string
	genetic "[mh] OR "reverse transcription"[mh] OR "transcriptional activation"[mh] OR "transcription factors"[mh] OR ("biosynthesis"[sh] AND (RNA[mh] OR DNA[mh])) OR "RNA, messenger"[mh] OR "RNA, transfer"[mh] OR "peptide biosynthesis"[mh] OR "protein biosynthesis"[mh] OR "reverse transcriptase polymerase chain reaction"[mh] OR "base sequence"[mh] OR "trans-activators"[mh] OR "gene expression profiling"[mh])) OR ("Thorium Compounds/antagonists and inhibitors"[mh]) OR ("Thorium Compounds/metabolism"[mh] AND ("humans"[mh] OR "animals"[mh])) OR ("Thorium Compounds"[mh] AND cancer[sb]) OR ("Thorium Compounds/pharmacology"[majr])) OR ("thorium nitrate"[nm])) AND (1988/01/01 : 3000[dp] OR 1988/01/01 : 3000[mhda])) OR (("Nitric acid, thorium(4+) salt, tetrahydrate"[tw] OR "Thorium nitrate tetrahydrate"[tw] OR "Thorium fluoride (ThF4), tetrahydrate, (T-4)-"[tw] OR "Thorium fluoride"[tw] OR "Thorium tetrafluoride"[tw] OR "Thorium dicarbonate"[tw] OR "Thorium carbonate"[tw]) AND (1988/01/01 : 3000[dp] OR 1988/01/01 : 3000[crdt] OR 1988/01/01 : 3000[edat])) OR ((((("Thorium"[tw] OR "232Th"[tw] OR "228Th"[tw] OR "Thoria"[tw] OR "Thorianite"[tw] OR "Thorotrast"[tw] OR "Thortrast"[tw] OR "Umbrathor"[tw]) OR ("radiothorium"[tw]) OR ("(232)th"[tw] OR "th-232"[tw] OR "th232"[tw] OR "(226)th"[tw] OR "226th"[tw] OR "th-226"[tw] OR "th226"[tw] OR "(227)th"[tw] OR "227th"[tw] OR "th-227"[tw] OR "th227"[tw] OR "(228)th"[tw] OR "th-228"[tw] OR "th228"[tw] OR "(229)th"[tw] OR "229th"[tw] OR "th-229"[tw] OR "th229"[tw] OR "(230)th"[tw] OR "230th"[tw] OR "th-230"[tw] OR "th230"[tw] OR "(231)th"[tw] OR "231th"[tw] OR "th-231"[tw] OR "th231"[tw] OR "(234)th"[tw] OR "234th"[tw] OR "th-234"[tw] OR "th234"[tw] OR "(238)th"[tw] OR "238th"[tw] OR "th-238"[tw] OR "th238"[tw] OR "(239)th"[tw] OR "239th"[tw] OR "th-239"[tw] OR "th239"[tw])) NOT medline[sb]) AND (1988/01/01 : 3000[dp] OR 1988/01/01 : 3000[crdt] OR 1988/01/01 : 3000[edat])))
Toxline	
6/2017	7440-29-1[rn] OR 1314-20-1[rn] OR 13470-07-0[rn] OR 13823-29-5[rn] OR 13453-50-4[rn] OR 13709-59-6[rn] OR 19024-62-5[rn] OR 15571-75-2[rn] OR 15623-47-9[rn] OR 14274-82-9[rn] OR 15594-54-4[rn] OR 14269-63-7[rn] OR 14932-40-2[rn] OR 15065-10-8[rn] OR 34293-72-6[rn] OR 51696-50-5[rn] "thorium" OR "232Th" OR "228Th" OR "Thoria" OR "Thorianite" OR "Thorotrast" OR "Thortrast" OR "Umbrathor" OR "radiothorium" OR "(232)th" OR "th-232" OR "th232" OR "(226)th" OR "226th" OR "th-226" OR "th226" OR "(227)th" OR "227th" OR "th-227" OR "th227" OR "(228)th" OR "th-228" OR "th228" "(229)th" OR "229th" OR "th-229" OR "th229" OR "(230)th" OR "230th" OR "th-230" OR "th230" OR "(231)th" OR "231th" OR "th-231" OR "th231" OR "(234)th" OR "234th" OR "th-234" OR "th234" OR "(238)th" OR "238th" OR "th-238" OR "th238" OR "(239)th" OR "239th" OR "th-239" OR "th239"
Toxcenter	
6/2017	FILE 'TOXCENTER' ENTERED AT 11:28:36 ON 02 JUN 2017 CHARGED TO COST=EH011.13.01.01 L3 10638 SEA FILE=TOXCENTER 7440-29-1 L4 4202 SEA FILE=TOXCENTER 1314-20-1 OR 13470-07-0 OR 13453-50-4 OR 19024-62-5 OR 15571-75-2 OR 15623-47-9 OR 14274-82-9 OR 15594-54-4 OR 14269-63-7 OR 14932-40-2 OR 15065-10-8 OR 34293-72-6 OR 51696-50-5 L5 233 SEA FILE=TOXCENTER 13823-29-5 OR 13709-59-6 L9 14008 SEA FILE=TOXCENTER L3 OR L4 OR L5 L12 14141 SEA FILE=TOXCENTER L9 NOT TSCATS/FS L14 13439 SEA FILE=TOXCENTER L12 NOT PATENT/DT

Table B-2. Database Query Strings

Database search date	Query string
	L15 9269 SEA FILE=TOXCENTER L14 AND PY>=1988 ACT TOXQUERY/Q ---
	L16 QUE (CHRONIC OR IMMUNOTOX? OR NEUROTOX? OR TOXICOKIN? OR BIOMARKER? OR NEUROLOG?)
	L17 QUE (PHARMACOKIN? OR SUBCHRONIC OR PBPK OR EPIDEMIOLOGY/ST,CT, IT)
	L18 QUE (ACUTE OR SUBACUTE OR LD50# OR LD(W)50 OR LC50# OR LC(W)50)
	L19 QUE (TOXICITY OR ADVERSE OR POISONING)/ST,CT,IT
	L20 QUE (INHAL? OR PULMON? OR NASAL? OR LUNG? OR RESPIR?)
	L21 QUE ((OCCUPATION? OR WORKPLACE? OR WORKER?) AND EXPOS?)
	L22 QUE (ORAL OR ORALLY OR INGEST? OR GAVAGE? OR DIET OR DIETS OR DIETARY OR DRINKING(W)WATER?)
	L23 QUE (MAXIMUM AND CONCENTRATION? AND (ALLOWABLE OR PERMISSIBLE))
	L24 QUE (ABORT? OR ABNORMALIT? OR EMBRYO? OR CLEFT? OR FETUS?)
	L25 QUE (FOETUS? OR FETAL? OR FOETAL? OR FERTIL? OR MALFORM? OR OVUM?)
	L26 QUE (OVA OR OVARY OR PLACENTA? OR PREGNAN? OR PRENATAL?)
	L27 QUE (PERINATAL? OR POSTNATAL? OR REPRODUC? OR STERIL? OR TERATOGEN?)
	L28 QUE (SPERM OR SPERMAC? OR SPERMAG? OR SPERMATI? OR SPERMAS? OR SPERMATOB? OR SPERMATOC? OR SPERMATOG?)
	L29 QUE (SPERMATOI? OR SPERMATOL? OR SPERMATOR? OR SPERMATOX? OR SPERMATOZ? OR SPERMATU? OR SPERMI? OR SPERMO?)
	L30 QUE (NEONAT? OR NEWBORN? OR DEVELOPMENT OR DEVELOPMENTAL?)
	L31 QUE (ENDOCRIN? AND DISRUPT?)
	L32 QUE (ZYGOTE? OR CHILD OR CHILDREN OR ADOLESCEN? OR INFANT?)
	L33 QUE (WEAN? OR OFFSPRING OR AGE(W)FACTOR?)
	L34 QUE (DERMAL? OR DERMIS OR SKIN OR EPIDERM? OR CUTANEOUS?)
	L35 QUE (CARCINOG? OR COCARCINOG? OR CANCER? OR PRECANCER? OR NEOPLAS?)
	L36 QUE (TUMOR? OR TUMOUR? OR ONCOGEN? OR LYMPHOMA? OR CARCINOM?)
	L37 QUE (GENETOX? OR GENOTOX? OR MUTAGEN? OR GENETIC(W)TOXIC?)
	L38 QUE (NEPHROTOX? OR HEPATOTOX?)
	L39 QUE (ENDOCRIN? OR ESTROGEN? OR ANDROGEN? OR HORMON?)
	L40 QUE (OCCUPATION? OR WORKER? OR WORKPLACE? OR EPIDEM?)
	L41 QUE L16 OR L17 OR L18 OR L19 OR L20 OR L21 OR L22 OR L23 OR

Table B-2. Database Query Strings

Database search date	Query string
	L24 OR L25 OR L26 OR L27 OR L28 OR L29 OR L30 OR L31 OR L32 OR L33 OR L34 OR L35 OR L36 OR L37 OR L38 OR L39 OR L40
	L42 QUE (RAT OR RATS OR MOUSE OR MICE OR GUINEA(W)PIG? OR MURIDAE OR DOG OR DOGS OR RABBIT? OR HAMSTER? OR PIG OR PIGS OR SWINE OR PORCINE OR MONKEY? OR MACAQUE?)
	L43 QUE (MARMOSET? OR FERRET? OR GERBIL? OR RODENT? OR LAGOMORPHA OR BABOON? OR CANINE OR CAT OR CATS OR FELINE OR MURINE)
	L44 QUE L41 OR L42 OR L43
	L45 QUE (HUMAN OR HUMANS OR HOMINIDAE OR MAMMALS OR MAMMAL? OR PRIMATES OR PRIMATE?)
	L46 QUE L44 OR L45

	L48 3483 SEA FILE=TOXCENTER L15 AND L46 AND L9
	L51 722 SEA FILE=TOXCENTER L48 AND MEDLINE/FS
	L52 439 SEA FILE=TOXCENTER L48 AND BIOSIS/FS
	L53 2310 SEA FILE=TOXCENTER L48 AND CAPLUS/FS
	L54 12 SEA FILE=TOXCENTER L48 NOT (MEDLINE/FS OR BIOSIS/FS OR CAPLUS/FS)
	L55 3010 DUP REM L51 L52 L54 L53 (473 DUPLICATES REMOVED)
	ANSWERS '1-3010' FROM FILE TOXCENTER
	L*** DEL 722 S L48 AND MEDLINE/FS
	L*** DEL 722 S L48 AND MEDLINE/FS
	L56 722 SEA FILE=TOXCENTER L55
	L*** DEL 439 S L48 AND BIOSIS/FS
	L*** DEL 439 S L48 AND BIOSIS/FS
	L57 301 SEA FILE=TOXCENTER L55
	L*** DEL 2310 S L48 AND CAPLUS/FS
	L*** DEL 2310 S L48 AND CAPLUS/FS
	L58 1981 SEA FILE=TOXCENTER L55
	L*** DEL 12 S L48 NOT (MEDLINE/FS OR BIOSIS/FS OR CAPLUS/FS)
	L*** DEL 12 S L48 NOT (MEDLINE/FS OR BIOSIS/FS OR CAPLUS/FS)
	L59 6 SEA FILE=TOXCENTER L55
	L60 2288 SEA FILE=TOXCENTER (L56 OR L57 OR L58 OR L59) NOT MEDLINE/FS
	D SCAN L60

Table B-3. Strategies to Augment the Literature Search

Source	Query and number screened when available
TSCATS[a]	
6/2017	Compounds searched: 7440-29-1; 1314-20-1; 13470-07-0; 13823-29-5; 13453-50-4; 13709-59-6; 19024-62-5; 15571-75-2; 15623-47-9; 14274-82-9; 15594-54-4; 14269-63-7; 14932-40-2; 15065-10-8; 34293-72-6; 51696-50-5
NTP	
6/2017	Terms searched separately: 7440-29-1; 1314-20-1; 13470-07-0; 13823-29-5; 13453-50-4; 13709-59-6; 19024-62-5; 15571-75-2; 15623-47-9; 14274-82-9; 15594-54-4; 14269-63-7; 14932-40-2; 15065-10-8; 34293-72-6; 51696-50-5; thorium; 232Th; 228Th; Thoria; Thorianite; Thorotrast; Thortrast; Umbrathor; radiothorium; (232)th; th-232; th232; (226)th; 226th; th-226; th226; (227)th; 227th; th-227; th227; (228)th; th-228; th228; (229)th; 229th; th-229; th229; (230)th; 230th; th-230; th230; (231)th; 231th; th-231; th231; (234)th; 234th; th-234; th234; (238)th; 238th; th-238; th238; (239)th; 239th; th-239; th239
NIH RePORTER	
10/2017	Search Criteria: Text Search: "thorium" OR "thoria" OR "thorianite" OR "thorotrast" OR "thortrast" OR "umbrathor" OR "radiothorium" OR "232th" OR "(232)th" OR "th-232" OR "th232" OR "(226)th" OR "226th" OR "th-226" OR "th226" OR "(227)th" OR "227th" OR "th-227" OR "th227" OR "228th" OR "(228)th" OR "th-228" OR "th228" OR "(229)th" OR "229th" OR "th-229" OR "th229" OR "(230)th" OR "230th" OR "th-230" OR "th230" OR "(231)th" OR "231th" OR "th-231" OR "th231" OR "(234)th" OR "234th" OR "th-234" OR "th234" OR "(238)th" OR "238th" OR "th-238" OR "th238" OR "(239)th" OR "239th" OR "th-239" OR "th239" Search in: Projects AdminIC: All, Fiscal Year: Active Projects
Other	Identified throughout the assessment process

[a]Several versions of the TSCATS database were searched, as needed, by CASRN including TSCATS1 via Toxline (no date limit), TSCATS2 via https://yosemite.epa.gov/oppts/epatscat8.nsf/ReportSearch?OpenForm (date restricted by EPA receipt date), and TSCATS via CDAT (date restricted by 'Mail Received Date Range'), as well as google for recent TSCA submissions.

The 2017 results were:

- Number of records identified from PubMed, TOXLINE, and TOXCENTER (after duplicate removal): 5,166
- Number of records identified from other strategies: 53
- Total number of records to undergo literature screening: 5,219

B.1.2 Literature Screening

A two-step process was used to screen the literature search to identify relevant studies on thorium:

- Title and abstract screen
- Full text screen

Title and Abstract Screen. Within the reference library, titles and abstracts were screened manually for relevance. Studies that were considered relevant (see Table B-1 for inclusion criteria) were moved to the second step of the literature screening process. Studies were excluded when the title and abstract clearly indicated that the study was not relevant to the toxicological profile.

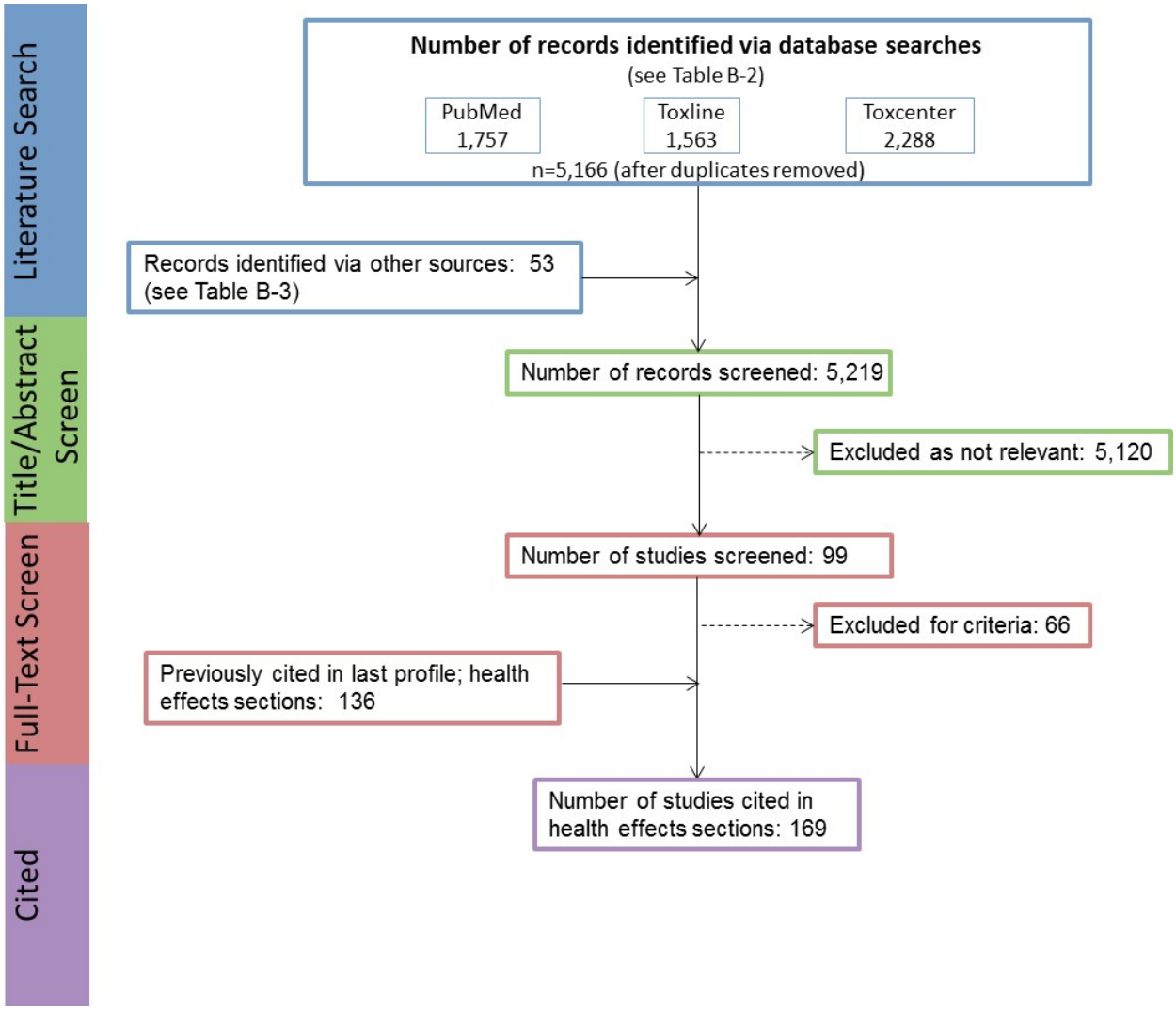
- Number of titles and abstracts screened: 5,219
- Number of health effects studies considered relevant and moved to the next step: 99

Full Text Screen. The second step in the literature screening process was a full text review of individual studies considered relevant in the title and abstract screen step. Each study was reviewed to determine whether it was relevant for inclusion in the toxicological profile.

- Number of studies undergoing full text review: 99
- Number of health effect studies cited in the health effects section of the 1990 toxicological profile: 136
- Total number of health effect studies cited in the health effects sections of the updated profile: 169
- Number of new studies cited in the updated profile: 33

A summary of the results of the literature search and screening is presented in Figure B-1.

Figure B-1. June 2017 Literature Search Results and Screen for Thorium



APPENDIX C. USER'S GUIDE

Chapter 1. Relevance to Public Health

This chapter provides an overview of U.S. exposures, a summary of health effects based on evaluations of existing toxicologic, epidemiologic, and toxicokinetic information, and an overview of the minimal risk levels. This is designed to present interpretive, weight-of-evidence discussions for human health endpoints by addressing the following questions:

1. What effects are known to occur in humans?

2. What effects observed in animals are likely to be of concern to humans?

3. What exposure conditions are likely to be of concern to humans, especially around hazardous waste sites?

Minimal Risk Levels (MRLs)

Where sufficient toxicologic information is available, ATSDR derives MRLs for inhalation and oral routes of entry at each duration of exposure (acute, intermediate, and chronic). These MRLs are not meant to support regulatory action, but to acquaint health professionals with exposure levels at which adverse health effects are not expected to occur in humans.

MRLs should help physicians and public health officials determine the safety of a community living near a hazardous substance emission, given the concentration of a contaminant in air or the estimated daily dose in water. MRLs are based largely on toxicological studies in animals and on reports of human occupational exposure.

MRL users should be familiar with the toxicologic information on which the number is based. Section 1.2, Summary of Health Effects, contains basic information known about the substance. Other sections, such as Section 3.2 Children and Other Populations that are Unusually Susceptible and Section 3.4 Interactions with Other Substances, provide important supplemental information.

MRL users should also understand the MRL derivation methodology. MRLs are derived using a modified version of the risk assessment methodology that the Environmental Protection Agency (EPA) provides (Barnes and Dourson 1988) to determine reference doses (RfDs) for lifetime exposure.

To derive an MRL, ATSDR generally selects the most sensitive endpoint which, in its best judgement, represents the most sensitive human health effect for a given exposure route and duration. ATSDR cannot make this judgement or derive an MRL unless information (quantitative or qualitative) is available for all potential systemic, neurological, and developmental effects. If this information and reliable quantitative data on the chosen endpoint are available, ATSDR derives an MRL using the most sensitive species (when information from multiple species is available) with the highest no-observed-adverse-effect level (NOAEL) that does not exceed any adverse effect levels. When a NOAEL is not available, a lowest-observed-adverse-effect level (LOAEL) can be used to derive an MRL, and an uncertainty factor of 10 must be employed. Additional uncertainty factors of 10 must be used both for human variability to protect sensitive subpopulations (people who are most susceptible to the health effects caused by the substance) and for interspecies variability (extrapolation from animals to humans). In deriving an MRL, these individual uncertainty factors are multiplied together. The product is then divided into the inhalation concentration or oral dosage selected from the study. Uncertainty factors used in developing a

substance-specific MRL are provided in the footnotes of the levels of significant exposure (LSE) tables that are provided in Chapter 2. Detailed discussions of the MRLs are presented in Appendix A.

Chapter 2. Health Effects

Tables and Figures for Levels of Significant Exposure (LSE)

Tables and figures are used to summarize health effects and illustrate graphically levels of exposure associated with those effects. These levels cover health effects observed at increasing dose concentrations and durations, differences in response by species and MRLs to humans for noncancer endpoints. The LSE tables and figures can be used for a quick review of the health effects and to locate data for a specific exposure scenario. The LSE tables and figures should always be used in conjunction with the text. All entries in these tables and figures represent studies that provide reliable, quantitative estimates of NOAELs, LOAELs, or Cancer Effect Levels (CELs).

The legends presented below demonstrate the application of these tables and figures. Representative examples of LSE tables and figures follow. The numbers in the left column of the legends correspond to the numbers in the example table and figure.

TABLE LEGEND

See Sample LSE Table (page C-5)

(1) Route of exposure. One of the first considerations when reviewing the toxicity of a substance using these tables and figures should be the relevant and appropriate route of exposure. Typically, when sufficient data exist, three LSE tables and two LSE figures are presented in the document. The three LSE tables present data on the three principal routes of exposure (i.e., inhalation, oral, and dermal). LSE figures are limited to the inhalation and oral routes. Not all substances will have data on each route of exposure and will not, therefore, have all five of the tables and figures. Profiles with more than one chemical may have more LSE tables and figures.

(2) Exposure period. Three exposure periods—acute (<15 days), intermediate (15–364 days), and chronic (≥365 days)—are presented within each relevant route of exposure. In this example, two oral studies of chronic-duration exposure are reported. For quick reference to health effects occurring from a known length of exposure, locate the applicable exposure period within the LSE table and figure.

(3) Figure key. Each key number in the LSE table links study information to one or more data points using the same key number in the corresponding LSE figure. In this example, the study represented by key number 51 identified NOAELs and less serious LOAELs (also see the three "51R" data points in sample LSE Figure 2-X).

(4) Species (strain) No./group. The test species (and strain), whether animal or human, are identified in this column. The column also contains information on the number of subjects and sex per group. Chapter 1, Relevance to Public Health, covers the relevance of animal data to human toxicity and Section 3.1, Toxicokinetics, contains any available information on comparative toxicokinetics. Although NOAELs and LOAELs are species specific, the levels are extrapolated to equivalent human doses to derive an MRL.

(5) Exposure parameters/doses. The duration of the study and exposure regimens are provided in these columns. This permits comparison of NOAELs and LOAELs from different studies. In this case (key number 51), rats were orally exposed to “Chemical X” via feed for 2 years. For a

more complete review of the dosing regimen, refer to the appropriate sections of the text or the original reference paper (i.e., Aida et al. 1992).

(6) Parameters monitored. This column lists the parameters used to assess health effects. Parameters monitored could include serum (blood) chemistry (BC), behavioral (BH), biochemical changes (BI), body weight (BW), clinical signs (CS), developmental toxicity (DX), enzyme activity (EA), food intake (FI), fetal toxicity (FX), gross necropsy (GN), hematology (HE), histopathology (HP), lethality (LE), maternal toxicity (MX), organ function (OF), ophthalmology (OP), organ weight (OW), teratogenicity (TG), urinalysis (UR), and water intake (WI).

(7) Endpoint. This column lists the endpoint examined. The major categories of health endpoints included in LSE tables and figures are death, body weight, respiratory, cardiovascular, gastrointestinal, hematological, musculoskeletal, hepatic, renal, dermal, ocular, endocrine, immunological, neurological, reproductive, developmental, other noncancer, and cancer. "Other noncancer" refers to any effect (e.g., alterations in blood glucose levels) not covered in these systems. In the example of key number 51, three endpoints (body weight, hematological, and hepatic) were investigated.

(8) NOAEL. A NOAEL is the highest exposure level at which no adverse effects were seen in the organ system studied. The body weight effect reported in key number 51 is a NOAEL at 25.5 mg/kg/day. NOAELs are not reported for cancer and death; with the exception of these two endpoints, this field is left blank if no NOAEL was identified in the study.

(9) LOAEL. A LOAEL is the lowest dose used in the study that caused an adverse health effect. LOAELs have been classified into "Less Serious" and "Serious" effects. These distinctions help readers identify the levels of exposure at which adverse health effects first appear and the gradation of effects with increasing dose. A brief description of the specific endpoint used to quantify the adverse effect accompanies the LOAEL. Key number 51 reports a less serious LOAEL of 6.1 mg/kg/day for the hepatic system, which was used to derive a chronic exposure, oral MRL of 0.008 mg/kg/day (see footnote "c"). MRLs are not derived from serious LOAELs. A cancer effect level (CEL) is the lowest exposure level associated with the onset of carcinogenesis in experimental or epidemiologic studies. CELs are always considered serious effects. The LSE tables and figures do not contain NOAELs for cancer, but the text may report doses not causing measurable cancer increases. If no LOAEL/CEL values were identified in the study, this field is left blank.

(10) Reference. The complete reference citation is provided in Chapter 8 of the profile.

(11) Footnotes. Explanations of abbreviations or reference notes for data in the LSE tables are found in the footnotes. For example, footnote "c" indicates that the LOAEL of 6.1 mg/kg/day in key number 51 was used to derive an oral MRL of 0.008 mg/kg/day.

FIGURE LEGEND

See Sample LSE Figure (page C-6)

LSE figures graphically illustrate the data presented in the corresponding LSE tables. Figures help the reader quickly compare health effects according to exposure concentrations for particular exposure periods.

(13) Exposure period. The same exposure periods appear as in the LSE table. In this example, health effects observed within the chronic exposure period are illustrated.

(14) Endpoint. These are the categories of health effects for which reliable quantitative data exist. The same health effect endpoints appear in the LSE table.

(15) Levels of exposure. Concentrations or doses for each health effect in the LSE tables are graphically displayed in the LSE figures. Exposure concentration or dose is measured on the log scale "y" axis. Inhalation exposure is reported in mg/m^3 or ppm and oral exposure is reported in mg/kg/day.

(16) LOAEL. In this example, the half-shaded circle that is designated 51R identifies a LOAEL critical endpoint in the rat upon which a chronic oral exposure MRL is based. The key number 51 corresponds to the entry in the LSE table. The dashed descending arrow indicates the extrapolation from the exposure level of 6.1 mg/kg/day (see entry 51 in the sample LSE table) to the MRL of 0.008 mg/kg/day (see footnote "c" in the sample LSE table).

(17) CEL. Key number 59R is one of studies for which CELs were derived. The diamond symbol refers to a CEL for the test species (rat). The number 59 corresponds to the entry in the LSE table.

(18) Key to LSE figure. The key provides the abbreviations and symbols used in the figure.

Table 2-X. Levels of Significant Exposure to [Chemical X] – Oral (1)

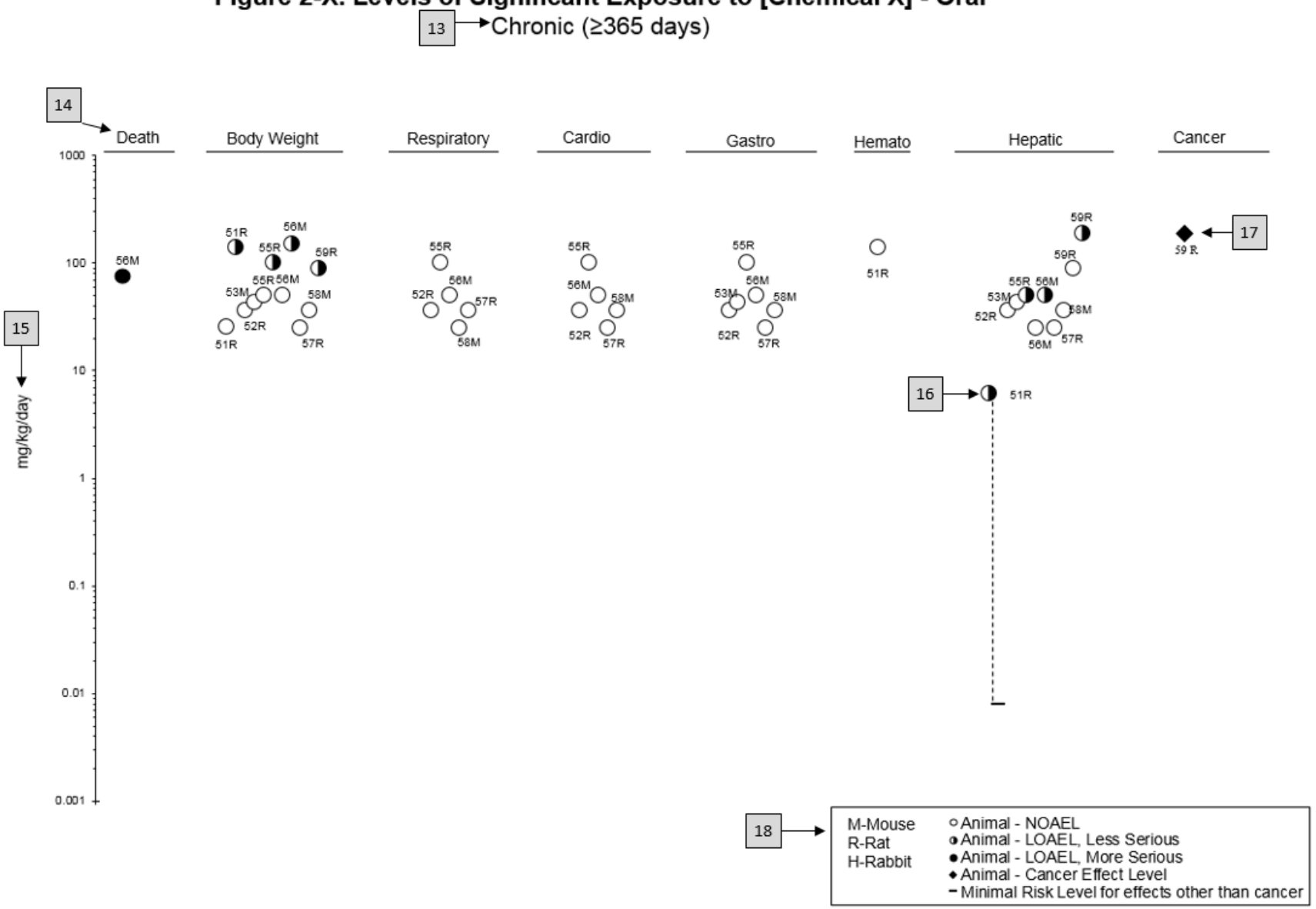
Figure key[a] (3)	Species (strain) No./group (4)	Exposure parameters (5)	Doses (mg/kg/day) (5)	Parameters monitored (6)	Endpoint (7)	NOAEL (mg/kg/day) (8)	Less serious LOAEL (mg/kg/day) (9)	Serious LOAEL (mg/kg/day) (9)	Effect
CHRONIC EXPOSURE (2)									
51	Rat (Wistar) 40 M, 40 F	2 years (F)	M: 0, 6.1, 25.5, 138.0 F: 0, 8.0, 31.7, 168.4	CS, WI, BW, OW, HE, BC, HP	Bd wt	25.5	138.0		Decreased body weight gain in males (23–25%) and females (31–39%)
					Hemato	138.0			
					Hepatic		6.1[c]		Increases in absolute and relative weights at ≥6.1/8.0 mg/kg/day after 12 months of exposure; fatty generation at ≥6.1 mg/kg/day in males and at ≥31.7 mg/kg/day in females, and granulomas in females at 31.7 and 168.4 mg/kg/day after 12, 18, or 24 months of exposure and in males at ≥6.1 mg/kg/day only after 24 months of exposure
Aida et al. 1992 (10)									
52	Rat (F344) 78 M	104 weeks (W)	0, 3.9, 20.6, 36.3	CS, BW, FI, BC, OW, HP	Hepatic	36.3			
					Renal	20.6	36.3		Increased incidence of renal tubular cell hyperplasia
					Endocr	36.3			
George et al. 2002									
59	Rat (Wistar) 58M, 58F	Lifetime (W)	M: 0, 90 F: 0, 190	BW, HP	Cancer		190 F		Increased incidence of hepatic neoplastic nodules in females only; no additional description of the tumors was provided
Tumasonis et al. 1985									

[a]The number corresponds to entries in Figure 2-x.

(11) [b]Used to derive an acute-duration oral minimal risk level (MRL) of 0.1 mg/kg/day based on the $BMDL_{05}$ of 10 mg/kg/day and an uncertainty factor of 100 (10 for extrapolation from animals to humans and 10 for human variability).

[c]Used to derive a chronic-duration oral MRL of 0.008 mg/kg/day based on the $BMDL_{10}$ of 0.78 mg/kg/day and an uncertainty factor of 100 (10 for extrapolation from animals to humans and 10 for human variability).

Figure 2-X. Levels of Significant Exposure to [Chemical X] - Oral



APPENDIX D. OVERVIEW OF BASIC RADIATION PHYSICS, CHEMISTRY, AND BIOLOGY

Understanding the basic concepts in radiation physics, chemistry, and biology is important to the evaluation and interpretation of radiation-induced adverse health effects and to the derivation of radiation protection principles. This appendix presents a brief overview of the areas of radiation physics, chemistry, and biology and is based to a large extent on the reviews of Mettler and Moseley (1985), Hobbs and McClellan (1986), Eichholz (1982), Hendee (1973), Cember (1996, 2009), and Early et al. (1979).

D.1 RADIONUCLIDES AND RADIOACTIVITY

The substances we call elements are composed of atoms. Atoms in turn are made up of neutrons, protons and electrons: neutrons and protons in the nucleus and electrons in a cloud of orbits around the nucleus. Nuclide is the general term referring to any nucleus along with its orbital electrons. The nuclide is characterized by the composition of its nucleus and hence by the number of protons and neutrons in the nucleus. All atoms of an element have the same number of protons (this is given by the atomic number) but may have different numbers of neutrons (this is reflected by the atomic mass numbers or atomic weight of the element). Atoms with different atomic mass but the same atomic numbers are referred to as isotopes of an element.

The numerical combination of protons and neutrons in most nuclides is such that the nucleus is quantum mechanically stable and the atom is said to be stable, i.e., not radioactive; however, if there are too few or too many neutrons, the nucleus is unstable and the atom is said to be radioactive. Unstable nuclides undergo radioactive transformation, a process in which a neutron or proton converts into the other and a beta particle is emitted, or else an alpha particle is emitted. Each type of decay is typically accompanied by the emission of gamma rays. These unstable atoms are called radionuclides; their emissions are called ionizing radiation; and the whole property is called radioactivity. Transformation or decay results in the formation of new nuclides some of which may themselves be radionuclides, while others are stable nuclides. This series of transformations is called the decay chain of the radionuclide. The first radionuclide in the chain is called the parent; the subsequent products of the transformation are called progeny, daughters, or decay products.

In general there are two classifications of radioactivity and radionuclides: natural and artificial (man-made). Naturally-occurring radioactive material (NORM) exists in nature and no additional energy is necessary to place this material in an unstable state. Natural radioactivity is the property of some naturally-occurring, usually heavy elements, that are heavier than lead. Radionuclides, such as radium and uranium, primarily emit alpha particles. Some lighter elements such as carbon-14 (^{14}C) and tritium (^{3}H) primarily emit beta particles as they transform to a more stable atom. Natural radioactive atoms heavier than lead cannot attain a stable nucleus heavier than lead. Everyone is exposed to background radiation from naturally-occurring radionuclides throughout life. This background radiation is the major source of radiation exposure to humans and arises from several sources. The natural background exposures are frequently used as a standard of comparison for exposures to various artificial sources of ionizing radiation.

Artificial radioactive atoms are produced either as a by-product of fission of uranium or plutonium atoms in a nuclear reactor or by bombarding atoms with particles (such as neutrons, protons, or heavy nuclei) at high velocity via a particle accelerator. Goals of these efforts can include producing medical isotopes or new elements. These artificially produced radioactive elements usually decay by emission of particles, such as alpha particles, positive or negative beta particles, and one or more high energy photons (gamma rays). Unstable (radioactive) atoms of any element can be produced.

Both naturally-occurring and artificial radioisotopes find application in medicine, industrial products, and consumer products. Some specific radioisotopes, called fall-out, are still found in the environment as a result of nuclear weapons use or testing, or nuclear power plant accidents (e.g., Three Mile Island Unit 2, Chernobyl, and Fukushima Dai-ichi).

D.2 RADIOACTIVE DECAY

D.2.1 Principles of Radioactive Decay

The stability of an atom depends on the balance of forces within the nucleus. An atom that is unstable (a radionuclide) will release energy (decay) in various ways and transform to stable atoms or to intermediate radioactive species called progeny or daughters, often with the release of ionizing radiation. If there are either too many or too few neutrons for a given number of protons, the resulting nucleus may undergo transformation. For some elements, a chain of progeny decay products may be produced until stable atoms are formed. Radionuclides can be characterized by the type and energy of the radiation emitted, the rate of decay, and the mode of decay. The mode of decay indicates how a parent compound undergoes transformation. Radiations considered here are primarily of nuclear origin, i.e., they arise from nuclear excitation, usually caused by the capture of charged or uncharged nucleons by a nucleus, or by the radioactive decay or transformation of an unstable nuclide. The type of radiation may be categorized as charged or uncharged particles, protons, and fission products) or electromagnetic radiation (gamma rays and x rays). Table D-1 summarizes the basic characteristics of the more common types of radiation encountered.

D.2.2 Half-Life and Activity

For any given radionuclide, the rate of decay is a first-order process that is constant, regardless of the radioactive atoms present, and is characteristic for each radionuclide. The process of decay is a series of random events; temperature, pressure, or chemical combinations do not affect the rate of decay. While it may not be possible to predict exactly which atom is going to undergo transformation at any given time, it is possible to predict, on average, the fraction of the radioactive atoms that will transform during any interval of time.

The *activity* is a measure of the quantity of radioactive material. For these radioactive materials it is customary to describe the activity as the number of disintegrations (transformations) per unit time. The unit of activity is the curie (Ci), which was originally related to the activity of one gram of radium, but is now defined as the disintegration or transformation rate occurring in a quantity of radioactive material. The definition is:

1 curie (Ci) = 3.7×10^{10} disintegrations (transformations)/second (dps) or
= 2.22×10^{12} disintegrations (transformations)/minute (dpm).

The SI unit of activity is the becquerel (Bq); 1 Bq = that quantity of radioactive material in which there is 1 transformation/second. Since activity is proportional to the number of atoms of the radioactive material, the quantity of any radioactive material is usually expressed in curies, regardless of its purity or concentration. The transformation of radioactive nuclei is a random process, and the number of transformations is directly proportional to the number of radioactive atoms present. For any pure radioactive substance, the rate of decay is usually described by its radiological half-life ($t_{½}$, i.e., the time it takes for a specified source material to decay to half its initial activity). The specific activity is an indirect measure of the rate of decay, and is defined as the activity per unit mass or per unit volume. The higher the specific activity of a radioisotope, the faster it is decaying.

The activity of a radionuclide at time t may be calculated by:

$$A = A_o e^{-0.693t/t_{½}},$$

where A = the activity in dps or curies or becquerels,
A_o = the activity at time zero,
t = the time at which measured, and
$t_{½}$ = the radiological half-life of the radionuclide ($t_{½}$ and t must be in the same units of time).

The time when the activity of a sample of radioactivity becomes one-half its original value is the radioactive half-life and is expressed in any suitable unit of time.

Table D-1. Characteristics of Nuclear Radiations

Radiation	Rest mass[a]	Charge	Typical energy range	Path length[b]		Comments
				Air	Solid	
Alpha (α)	4.00 amu	+2	4–10 MeV	5–10 cm	25–80 μm	Identical to ionized He nucleus
Negatron (β^-)	5.48×10^{-4} amu; 0.51 MeV	–1	0–4 MeV	0–10 m	0–1 cm	Identical to electron
Positron (β^+)	5.48×10^{-4} amu; 0.51 MeV	+1	0-4 MeV	0–10 m	0–1 cm	Identical to electron except for sign of charge
Neutron	1.00866 amu; 939.565 MeV	0	0–15 MeV	b	b	Half-life: 10.183 min
X ray (e.m. photon)	–	0	5 keV–100 keV	b	b	Photon from transition of an electron between atomic orbits
Gamma (π) (e.m. photon)	–	0	10 keV–3 MeV	b	b	Photon from nuclear transformation

[a]The rest mass (in amu) has an energy equivalent in MeV that is obtained using the equation $E=mc^2$, where 1 amu = 932 MeV.
[b]Path lengths are not applicable to x- and gamma rays since their intensities decrease exponentially; path lengths in solid tissue are variable, depending on particle energy, electron density of material, and other factors.

amu = atomic mass unit; e.m. = electromagnetic; MeV = MegaElectron Volts

The specific activity is a measure of activity, and is defined as the activity per unit mass or per unit volume. This activity is usually expressed in curies per gram and may be calculated by

$$\text{curies/gram} = 1.3 \times 10^8 / (t_{½}) \text{ (atomic weight)} \quad \text{or}$$

$$[3.577 \times 10^5 \times \text{mass(g)}] / [t_{½} \times \text{atomic weight}]$$

where $t_{½}$ = the radiological half-life in days.

In the case of radioactive materials contained in living organisms, an additional consideration is made for the reduction in observed activity due to regular processes of elimination of the respective chemical or biochemical substance from the organism. This introduces a rate constant called the biological half-life (t_b) which is the time required for biological processes to eliminate one-half of the activity. This time is virtually the same for both stable and radioactive isotopes of any given element.

Under such conditions, the time required for a radioactive element to be halved as a result of the combined action of radioactive decay and biological elimination is the effective clearance half-time:

$$t_{eff} = (t_b \times t_{½}) / (t_b + t_{½}).$$

Table D-2 presents representative effective half-lives of particular interest.

Table D-2. Half-Lives of Some Radionuclides in Adult Body Organs

		Half-life[a]		
Radionuclide	Critical organ	Physical	Biological	Effective
Uranium 238	Kidney	4,460,000,000 y	4 d	4 d
Thorium 232	Liver, bone	14,000,000,000 y	2.6 y	2.6 y
Hydrogen 3 (Tritium)[b]	Whole body	12.3 y	10 d	10 d
Iodine 131	Thyroid	8 d	80 d	7.3 d
Strontium 90	Bone	28 y	50 y	18 y
Plutonium	Bone surface	24,400 y	50 y	50 y
	Lung	24,400 y	500 d	500 d
Cobalt 60	Whole body	5.3 y	99.5 d	95 d
Iron 55	Spleen	2.7 y	600 d	388 d
Iron 59	Spleen	45.1 d	600 d	42 d
Manganese 54	Liver	303 d	25 d	23 d
Cesium 137	Whole body	30 y	70 d	70 d

[a]d = days, y = years
[b]Mixed in body water as tritiated water

D.2.3 Interaction of Radiation with Matter

Both ionizing and nonionizing radiation will interact with materials; that is, radiation will lose kinetic energy to any solid, liquid, or gas through which it passes by a variety of mechanisms. The transfer of energy to a medium by either electromagnetic or particulate radiation may be sufficient to cause formation of ions. This process is called ionization. Compared to other types of radiation that may be absorbed, such as radio waves or microwave radiation, ionizing radiation deposits a relatively large amount of energy into a small volume.

The method by which incident radiation interacts with the medium to cause ionization may be direct or indirect. Electromagnetic radiations (x-rays and gamma photons) and neutral particles (neutrons) are indirectly ionizing; that is, they give up their energy in various interactions with cellular molecules, and the energy is then utilized to produce a fast-moving charged particle such as an electron, which may then react with and transfer energy to a target molecule. This particle is called a primary ionizing particle. Charged particles, in contrast, strike tissue or media and directly react with target molecules, such as oxygen or water. These particulate radiations are directly ionizing radiations. Examples of directly ionizing particles include alpha and beta particles. Indirectly ionizing radiations are always more penetrating than directly ionizing particulate radiations.

Mass, charge, and velocity of a particle, as well as the electron density of the material with which it interacts, all affect the rate at which ionization occurs. The higher the charge of the particle and the lower the velocity, the greater the propensity to cause ionization. Heavy, highly charged particles, such as alpha particles, lose energy rapidly with distance and, therefore, do not penetrate deeply. The result of these interaction processes is a gradual slowing down of any incident particle until it is brought to rest or "stopped" at the end of its range.

D.2.4 Characteristics of Emitted Radiation

D.2.4.1 Alpha Emission. In alpha emission, an alpha particle consisting of two protons and two neutrons is emitted with a resulting decrease in the atomic mass number by four and reduction of the atomic number by two, thereby changing the parent to a different element. The alpha particle is identical to a helium nucleus consisting of two neutrons and two protons. It results from the radioactive decay of some heavy elements such as uranium, plutonium, radium, thorium, and radon. All alpha particles emitted by a given radioisotope have the same energy. Most of the alpha particles that are likely to be found have energies in the range of about 4 to 8 MeV, depending on the isotope from which they came.

The alpha particle has an electrical charge of +2. Because of this double positive charge and size, alpha particles have great ionizing power and, thus, lose their kinetic energy quickly. This results in very little penetrating power.

In fact, an alpha particle cannot penetrate a sheet of paper. The range of an alpha particle (the distance the charged particle travels from the point of origin to its resting point) is about 4 cm in air, which decreases considerably to a few micrometers in tissue. These properties cause alpha emitters to be hazardous only if there is internal contamination (i.e., if the radionuclide is inside the body).

D.2.4.2 Beta Emission. A beta particle (β) is a high-velocity electron ejected from a disintegrating nucleus. The particle may be either a negatively charged electron, termed a negatron (β^-) or a positively charged electron, termed a positron (β^+). Although the precise definition of "beta emission" refers to both β^- and β^+, common usage of the term generally applies only to the negative particle, as distinguished from the positron emission, which refers to the β^+ particle.

D.2.4.2.1 Beta Negative Emission. Beta particle (β^-) emission is another process by which a radionuclide, with a neutron excess achieves stability. Beta particle emission decreases the number of neutrons by one and increases the number of protons by one, while the atomic mass number remains unchanged.[1] This transformation results in the formation of a different element. The energy spectrum of beta particle emission ranges from a certain maximum down to zero with the mean energy of the spectrum being about one-third of the maximum. The range in tissue is much less. Beta negative emitting radionuclides can cause injury to the skin and superficial body tissues, but mostly present an internal contamination hazard.

D.2.4.2.2 Positron Emission. In cases in which there are too many protons in the nucleus, positron emission may occur. In this case a proton may be thought of as being converted into a neutron, and a positron (β^+) is emitted.[1] This increases the number of neutrons by one, decreases the number of protons by one, and again leaves the atomic mass number unchanged. The gamma radiation resulting from the annihilation (see glossary) of the positron makes all positron-emitting isotopes more of an external radiation hazard than pure β emitters of equal energy.

D.2.4.2.3 Gamma Emission. Radioactive decay by alpha, beta, or positron emission, or electron capture often leaves some of the energy resulting from these changes in the nucleus. As a result, the nucleus is raised to an excited level. None of these excited nuclei can remain in this high-energy state. Nuclei release this energy returning to ground state or to the lowest possible stable energy level. The energy released is in the form of gamma radiation (high energy photons) and has an energy equal to the change in the energy state of the nucleus. Gamma and x rays behave similarly but differ in their origin; gamma emissions originate in the nucleus while x rays originate in the orbital electron structure or from rapidly changing the velocity of an electron (e.g., as occurs when shielding high energy beta particles or stopping the electron beam in an x-ray tube).

D.3 ESTIMATION OF ENERGY DEPOSITION IN HUMAN TISSUES

Two forms of potential radiation exposures can result: internal and external. The term exposure denotes physical interaction of the radiation emitted from the radioactive material with cells and tissues of the human body. An exposure can be "acute" or "chronic" depending on how long an individual or organ is exposed to the radiation. Internal exposures occur when radionuclides, which have entered the body (e.g., through inhalation, ingestion, or dermal pathways), undergo radioactive decay resulting in the deposition of energy to internal organs. External exposures occur when radiation enters the body directly from sources located outside the body, such as radiation emitters from radionuclides on ground surfaces, dissolved in water, or dispersed in air. In general, external exposures are from material emitting gamma radiation, which readily penetrate the skin and internal organs. Beta and alpha radiation from external sources are far less penetrating and deposit their energy primarily on the skin's outer layer. Consequently, their contribution to the absorbed dose of the total body dose, compared to that deposited by gamma rays, may be negligible.

Characterizing the radiation dose to persons as a result of exposure to radiation is a complex issue. It is difficult to: (1) measure internally the amount of energy actually transferred to an organic material and to correlate any observed effects with this energy deposition; and (2) account for and predict secondary processes, such as collision effects or biologically-triggered effects, that are an indirect consequence of the primary interaction event. Radiation exposure

[1] Neutrinos accompany negative beta particle emissions; anti-neutrinos accompany positron emissions

(a measure of ionization density in air) is sometimes used as a surrogate for radiation dose in tissue from external radiation. Both exposure and dose are described below.

D.3.1 Exposure (Roentgen). The roentgen (R) is a unit of x- or gamma-ray exposure and is measured by the amount of ionization caused in air by gamma or x radiation. One roentgen produces 2.58×10^{-4} coulomb per kilogram of air. In the case of gamma rays, over the commonly encountered range of photon energy, the energy deposition in tissue for an exposure of 1 R is about 0.0096 joules (J)/kg of tissue. Exposure is only defined for x- and gamma-ray ionization in air, and is often incorrectly interchanged with the term dose.

D.3.2 Absorbed Dose (Gy, rad) and Absorbed Dose Rate (Gy/hr, rad/hr). The absorbed dose is defined as the energy absorbed from the incident radiation by a unit mass of the tissue or organ (dm). The differential equation for absorbed dose is:

$$D = de/dm$$

where: D = absorbed dose
e = mean energy deposited
m = mass in which the energy was deposited.

The SI unit of absorbed dose in any medium is the J/kg with the special name of Gray (Gy), where 1 J/kg = 10,000 ergs/gram = 1 Gy. In the historical system, 0.01 J/kg = 100 ergs/g = 1 rad, so 1 Gy = 100 rad. For neutrons, the absorbed dose may be estimated using the similar metric, kinetic energy released in matter (kerma). Kerma is the sum of initial kinetic energies of all charged ionizing particles liberated in a unit mass.

Absorbed dose is a measurable quantity, so there are primary national and international standards for its determination. In practice, absorbed dose is averaged over organ or tissue volumes. This allows the absorbed dose from both external and internal sources of radiation to be added. For low doses, the acceptance of the linear no threshold (LNT) theory allows the correlation of dose with degree of adverse deterministic health effects. Radiation that does not penetrate tissue well (low energy x-rays, beta particles, and alpha particles) can produce a nonuniform distribution of absorbed dose resulting in differential health effects across an organ or tissue. An example is using shielding in radiation therapy so that a kidney tumor receives a lethal dose while sparing as much healthy tissue as practical, thus maximizing the remaining kidney function.

Internal and external absorbed doses delivered by radiation sources are not usually instantaneous, but are distributed over extended periods of time. The resulting rate of change of the absorbed dose to a small volume of mass is referred to as the absorbed dose rate, which has units of Gy/unit time or rad/unit time.

As a rough conversion, an exposure of 1 R in air results in an absorbed dose to soft tissue of approximately 0.01 J/kg.

See text below on other units of measure.

D.4 UNITS IN RADIATION PROTECTION AND REGULATION

D.4.1 Equivalent Dose (or Dose Equivalent)

Equivalent dose (international term) and dose equivalent (US term) are a radiation protection quantity used for setting limits that help ensure that deterministic effects (e.g., damage to a particular tissue) are kept within acceptable levels. The SI unit of equivalent dose is the J/kg, has the special name of Sievert (Sv) or rem, and is abbreviated H_T. It is a special radiation protection quantity that is used, for administrative and radiation safety purposes only, to express the absorbed dose in a manner which considers the difference in biological effectiveness of various kinds of ionizing radiation. The equivalent dose concept is applicable only to doses that are not great enough to produce biomedical effects.

The equivalent dose in an organ or tissue (H_T) is determined by multiplying the absorbed dose by a radiation weighting factor and any modifying factors at the location of interest. The absorbed dose in an organ or tissue from radiation of type R ($D_{T,R}$) is a measurable or estimable quantity, while the radiation weighting factor (ω_R) for each primary radiation type has been studied and recommendations made for their values. The formula for calculating equivalent dose is:

$$H_T = \sum_R \omega_R D_{T,R}. \text{ or } \sum_R Q_R D_{T,R}.$$

Where ω_R = radiation weighting factor,
$D_{T,R}$ = absorbed dose to tissue T from radiation type R, and
Q_R = radiation quality factor.

The radiation weighting factor (ω) or quality factor (Q) is a dimensionless quantity that depends in part on the stopping power for charged particles, and it accounts for the differences in biological effectiveness found among the types of radiation. Originally, relative biological effectiveness (RBE) was used rather than ω or Q to define the quantity, rem, which is of use in risk assessment. The NRC and DOE in the US, and the ICRU and ICRP in most of the remaining international community have published values for quality factors and radiation weighting factors provided in Tables D-3 and D-4.

The equivalent dose rate (or dose equivalent rate in the US) is the time rate of change of the equivalent dose (or dose equivalent) to organs and tissues and is expressed as Sv/unit time (or rem/unit time).

Table D-3. Recommended Values of Quality Factors and Radiation Weighting Factors

Type of Radiation	Quality Factor (NRC 2011)	Radiation Weighting Factor (ω_R) (ICRP 2007)
Photons (x- and γ-rays)	1	1
Electrons	1	
Electrons and muons		1
High energy protons	10	
Protons and charged pions		2
Alpha particles, multiple-charged particles, fission fragments and heavy particles of unknown charge	20	
Alpha particles, fission fragments, heavy ions		20
Neutrons of unknown energy	10	
Neutrons of known energy	See Table D-4	A continuous function of neutron energy (range 2.4-21; see equation)

Source:
USNRC. 2011. Standards for the protection against radiation, tables 1004(b).1 and 1004(b).2. 10 CFR 20.1004. U.S. Nuclear Regulatory Commission, Washington, D.C.

Radiation weighting factors for neutrons are based on particle energy according to the following formulas (ICRP 2007):

$$\omega_R = \begin{cases} 2.5 + 18.2e^{\frac{-\ln(E^2 n)}{6}} & , En < 1\ MeV \\ 5.0 + 17.0e^{\frac{-\ln(2E^2 n)}{6}} & , 1\ MeV \leq En \leq 50\ MeV \\ 2.5 + 3.25e^{\frac{-\ln(0.04E^2 n)}{6}} & , En > 50\ MeV \end{cases}$$

Table D-4 Mean Quality Factors, Q, and Fluence per Unit Dose Equivalent for Monoenergetic Neutrons

	Neutron energy (MeV)	Quality factor[a](Q)	Fluence per unit dose equivalent[b](neutrons $cm^{-2}rem^{-1}$)
(thermal)	2.5×10^{-8}	2	980×10^{6}
	1×10^{-7}	2	980×10^{6}
	1×10^{-6}	2	810×10^{6}
	1×10^{-5}	2	810×10^{6}
	1×10^{-4}	2	840×10^{6}
	1×10^{-3}	2	980×10^{6}
	1×10^{-2}	2.5	1010×10^{6}
	1×10^{-1}	7.5	170×10^{6}
	5×10^{-1}	11	39×10^{6}
	1	11	27×10^{6}
	2.5	9	29×10^{6}
	5	8	23×10^{6}
	7	7	24×10^{6}
	10	6.5	24×10^{6}
	14	7.5	17×10^{6}
	20	8	16×10^{6}
	40	7	14×10^{6}
	60	5.5	16×10^{6}
	1×10^{2}	4	20×10^{6}
	2×10^{2}	3.5	19×10^{6}
	3×10^{2}	3.5	16×10^{6}
	4×10^{2}	3.5	14×10^{6}

D.4.2 Relative Biological Effectiveness

RBE is used to denote the experimentally determined ratio of the absorbed dose from one radiation type to the absorbed dose of a reference radiation required to produce an identical biological effect under the same conditions. Gamma rays from cobalt-60, cesium-137, and 200–250 keV x-rays have been used as reference standards. The term RBE has been widely used in experimental radiobiology, and the term radiation weighting factor used in calculations of dose equivalent for radiation safety purposes (ICRP 2007; NCRP 1971; UNSCEAR 1982). RBE applies only to a specific biological end point, in a specific exposure, under specific conditions to a specific species. There are no generally accepted values of RBE.

D.4.3 Effective Dose or Effective Dose Equivalent

In an attempt to compare stochastic (e.g., cancer) detriment from absorbed dose of radiation in a limited portion of the body with the detriment from total body dose, the ICRP (1977) derived a concept of effective dose equivalent. ICRP changed this term to effective dose in 1990 (ICRP 1990) and reintroduced the term “effective dose equivalent” in 2007 (ICRP 2007). The term “effective dose equivalent” allows for the addition or direct comparison of cancer and genetic risk from various partial or whole body doses. In the U.S., the term “effective dose equivalent” is presently used by the NRC (NRC 2011) and DOE.

The effective dose (or effective dose equivalent) approach was developed to overcome limitations in using absorbed dose as a metric of the stochastic impact of ionizing radiation. The absorbed dose is usually defined as the mean absorbed dose within an organ or tissue. This represents a simplification of the actual problem. Normally when an individual ingests or inhales a radionuclide or is exposed to external radiation that enters the body (gamma), the dose is not uniform throughout the whole body.

The simplifying assumption is that the detriment will be the same whether the body is uniformly or non-uniformly irradiated. This required the development of a tissue weighting factor, which represents the estimated proportion of the stochastic risk resulting from tissue, T, to the stochastic risk when the whole body is uniformly irradiated for occupational exposures under certain conditions (ICRP 1977).

The effective dose (or effective dose equivalent) (H_E) is weighted for both the type of radiation (R) and the type of tissue (T), and has the formula:

$$H_E = \sum_T \omega_T H_T = \sum_T \omega_T \sum_R \omega_R D_{T,R},$$

where H_E = the effective dose (or effective dose equivalent) in tissue T,
ω_T = the tissue weighting factor in tissue T,
H_T = the equivalent dose (or dose equivalent) to tissue T,
ω_R = the radiation weighting factor, and
$D_{T,R}$ = the absorbed dose from radiation R to tissue T.

Tissue weighting factors for selected tissues are listed in Table D-5.

Table D-5. Tissue Weighting Factors for Calculating Effective Dose (or Effective Dose Equivalent) for Selected Tissues

Tissue	Tissue Weighting factor		
	NRC (2011) /ICRP26	NCRP115 and ICRP60	ICRP103
Bladder		0.05	0.04
Bone marrow (red)	0.12	0.12	0.12
Bone surface	0.03	0.01	0.01
Brain			0.01
Breast	0.15	0.05	0.12
Colon	–	0.12	0.12
Esophagus	–	0.05	0.04
Gonads	0.25	0.20	0.08
Liver	–	0.05	0.04
Lung	0.12	0.12	0.12
Salivary glands			0.01
Skin	–	0.01	0.01
Stomach	–	0.12	0.12
Thyroid	0.03	0.05	0.04
Subtotal	0.70	0.95	0.88
Remainder	0.30	0.05	0.12[a]
Total	1.00	1.00	1.00

ICRP60 = International Commission on Radiological Protection, 1990 Recommendations of the ICRP
NCRP115 = National Council on Radiation Protection and Measurements. 1993. Risk Estimates for Radiation Protection, Report 115. Bethesda, Maryland
NRC = Nuclear Regulatory Commission, Title 10, Code of Federal Regulations, Part 20
[a]ICRP Publication 103 remainder tissues include adrenals, extrathoracic (ET) region, gall bladder, heart, kidneys, lymphatic nodes, muscle, oral mucosa, pancreas, prostate, small intestine, spleen, thymus, uterus/cervix

The ICRU (1980), ICRP (1984), and NCRP (1985) recommended that the terms rad, roentgen, curie, and rem be replaced by the SI units: gray (Gy), Coulomb per kilogram (C/kg), Becquerel (Bq), and sievert (Sv), respectively. The relationship between the historical units and the international system of units (SI) for radiological quantities is shown in Table D-6.

Table D-6. Comparison of Common and SI Units for Radiation Quantities

Quantity (Abbreviation)	Historical Unit	Historical Definition	SI unit	SI Definition
Activity (A)	curie (Ci)	3.7×10^{10} transformations s^{-1}	becquerel (Bq)	s^{-1}
Absorbed dose (D)	rad (rad)	10^{-2} Jkg^{-1}	gray (Gy)	Jkg^{-1}
Absorbed dose rate ($\dot{D}$)	rad per second (rad s^{-1})	10^{-2} $Jkg^{-1}s^{-1}$	gray per second (Gy s^{-1})	Jkg^{-1} s^{-1}
Equivalent Dose (or Dose equivalent) (H_T)	rem	10^{-2} Jkg^{-1}	sievert (Sv)	Jkg^{-1}
Equivalent Dose Rate (or Dose equivalent rate)	rem per second (rem s^{-1})	10^{-2} $Jkg^{-1}s^{-1}$	sievert per second (Sv s^{-1})	Jkg^{-1} s^{-1}
Effective dose (or Effective Dose Equivalent) (H_E)	rem	10^{-2} Jkg^{-1}	sievert (Sv)	Jkg^{-1}
Linear energy transfer (LET)	kiloelectron volts per micrometer (keV μm^{-1})	1.602×10^{-10} Jm^{-1}	kiloelectron volts per micrometer (keV μm^{-1})	1.602×10^{-10} Jm^{-1}

Jkg^{-1} = Joules per kilogram; $Jkg^{-1}s^{-1}$ = Joules per kilogram per second; Jm^{-1} = Joules per meter; s^{-1} = per second

D.4.4 Working Levels and Working Level Months (for Radon Dosimetry). Working level (WL) is a measure of the atmospheric concentration of radon and its short-lived progeny. One WL is defined as any combination of short-lived radon progeny (through polonium-214 [^{214}Po]), per liter of air, that will result in the emission of 1.3×10^5 MeV of alpha energy. An activity concentration of 100 pCi ^{222}Rn/L of air, in equilibrium with its progeny, corresponds approximately to a potential alpha-energy concentration of 1 WL. The WL unit can also be used for thoron (^{220}Rn). In this case, 1.3×10^5 MeV of alpha energy (1 WL) is released by 7.5 pCi ^{220}Rn/L in equilibrium with its progeny. The potential alpha energy exposure of miners is commonly expressed in the unit Working Level Month (WLM). One WLM corresponds to inhaling a concentration of 1 WL for the reference period of 170 hours, or more generally

WLM = concentration (WL) x exposure time (months) / (one “month” = 170 working hours).

D.5 Dosimetry Models

Dosimetry models are used to estimate the dose from internally deposited radioactive substances. The models for internal dosimetry consider the amount of radionuclides entering the body, the factors affecting their movement or transport through the body, distribution and retention of radionuclides in the body, and the energy deposited in organs and tissues from the radiation that is emitted during spontaneous decay processes. The dose pattern for radioactive materials in the body may be strongly influenced by the route of entry of the material. For industrial workers, inhalation of radioactive particles with pulmonary deposition and puncture wounds with subcutaneous deposition have been the most frequent. The general population has been exposed via ingestion, inhalation, and external exposure to low levels of naturally occurring radionuclides as well as artificial radionuclides used in nuclear medicine procedures and released from isotope generation facilities, nuclear weapons testing, and nuclear reactor operations and accidents.

The models for external dosimetry consider only the photon doses (and neutron doses, where applicable) to organs of individuals who are immersed in air or are exposed to a contaminated object.

D.5.1 Ingestion. Ingestion of radioactive materials is most likely to occur from eating food or drinking water containing naturally occurring radioactive material and possibly also contaminated with artificial radionuclides. Also, a portion of inhaled radionuclides initially deposited in the lung will relocate to the throat and be swallowed. Ingestion of a sufficient amount of radioactive material may result in toxic effects as a result of either absorption of

the radionuclide or irradiation of the gastrointestinal tract during passage through the tract, or a combination of both. The fraction of a radioactive material absorbed from the gastrointestinal tract is variable, depending on the specific element, the physical and chemical form of the material ingested, and the diet, as well as some other metabolic and physiological factors. The absorption of some elements is influenced by age, usually with higher absorption in the very young.

D.5.2 Inhalation. The nose and mouth have long been recognized as being a major portal of entry for both nonradioactive and radioactive materials. The deposition of particles within the lung is largely dependent upon the size and shape of the particles being inhaled (sometimes termed the atmospheric mean aerodynamic diameter or AMAD). After a particle is deposited, its retention will depend upon the physical and chemical properties of the dust and the physiological status of the lung. The retention of particles in the lung depends on the location of deposition, in addition to the physical and chemical properties of the particles. The converse of pulmonary retention is pulmonary clearance. There are three distinct mechanisms of clearance which operate simultaneously. Ciliary clearance acts only in the upper respiratory tract. The second and third mechanisms act mainly in the deep respiratory tract. These are phagocytosis and absorption. Phagocytosis is the engulfing of foreign bodies by alveolar macrophages and their subsequent removal either up the ciliary "escalator" or by entrance into the lymphatic system. Some inhaled soluble particles are absorbed into the blood and translocated to other organs and tissues.

D.5.3 Internal Emitters

An internal emitter is a radionuclide that is inside the body. The absorbed dose from internally deposited radioisotopes depends on the energy absorbed per unit tissue by the irradiated tissue. For a radioisotope distributed uniformly throughout an infinitely large medium, the concentration of absorbed energy must be equal to the concentration of energy emitted by the isotope. An infinitely large medium may be approximated by a tissue mass whose dimensions exceed the range of the particle. All alpha and most beta radiation will be absorbed in the organ (or tissue) of reference. Gamma-emitting isotope emissions are penetrating radiation, and a substantial fraction of gamma energy may not be absorbed in tissue. The dose to an organ or tissue is a function of the effective retention half-time, the energy released in the tissue, the amount of radioactivity initially introduced, and the mass of the organ or tissue.

D.6 BIOLOGICAL EFFECTS OF RADIATION

When biological material is exposed to ionizing radiation, a chain of cellular events occurs as the ionizing particle passes through the biological material. A number of theories have been proposed to describe the interaction of radiation with biologically important molecules in cells and to explain the resulting damage to biological systems from those interactions. Many factors may modify the response of a living organism to a given dose of radiation. Factors related to the exposure include the dose rate, the energy of the radiation, and the temporal pattern of the exposure (e.g., protracted or fractionated exposures). Biological considerations include factors such as species, age, sex, and the portion of the body exposed. Several excellent reviews of the biological effects of radiation have been published, and the reader is referred to these for a more in-depth discussion (Brodsky 1996; Klaassen 2001; Hobbs and McClellan 1986; ICRP 1984; Mettler and Moseley 1985; Rubin and Casarett 1968).

D.6.1 Radiation Effects at the Cellular Level

According to Mettler and Moseley (1985), at acute doses up to 10 rad (100 mGy), single strand breaks in DNA may be produced. These single strand breaks may be repaired rapidly. With doses in the range of 0.5-5 Gy (50–500 rad), irreparable double-stranded DNA breaks are likely, resulting in cellular reproductive death after one or more divisions of the irradiated parent cell. At large doses of radiation, usually greater than 5 Gy (500 rad), direct cell death before division (interphase death) may occur from the direct interaction of free-radicals with essentially cellular macromolecules. Morphological changes at the cellular level, the severity of which are dose-dependent, may also be observed.

The sensitivity of various cell types varies. According to the Bergonie-Tribondeau law, the sensitivity of cell lines is directly proportional to their mitotic rate and inversely proportional to the degree of differentiation (Mettler and

Moseley 1985). Rubin and Casarett (1968) devised a classification system that categorized cells according to type, function, and mitotic activity. The categories range from the most sensitive type, "vegetative intermitotic cells," found in the stem cells of the bone marrow and the gastrointestinal tract, to the least sensitive cell type, "fixed postmitotic cells," found in striated muscles or long-lived neural tissues.

Cellular changes may result in cell death, which if extensive, may produce irreversible damage to an organ or tissue or may result in the death of the individual. If the cell recovers, altered metabolism and function may still occur, which may be repaired or may result in the manifestation of clinical symptoms. These changes may also be expressed at a later time as tumors, cellular mutations, or transformed tissue (scar tissue) which may result in abnormal tissue or compromised function.

D.6.2 Radiation Effects at the Organ Level

In most organs and tissues, injury and the underlying mechanism for that injury are complex and may involve a combination of events. The extent and severity of this tissue injury are dependent upon the radiosensitivity of the various cell types in that organ system. Rubin and Casarett (1968) describe and schematically display the events following radiation in several organ system types. These include: a rapid renewal system, such as the gastrointestinal mucosa; a slow renewal system, such as the pulmonary epithelium; and a nonrenewal system, such as neural or muscle tissue. In the rapid renewal system, organ injury results from the direct destruction of highly radiosensitive cells, such as the stem cells in the bone marrow. Injury may also result from constriction of the microcirculation and from edema and inflammation of the basement membrane, designated as the histohematic barrier (HHB), which may progress to fibrosis. In slow renewal and nonrenewal systems, the radiation may have little effect on the parenchymal cells, but ultimate parenchymal atrophy and death over several months result from HHB fibrosis and occlusion of the microcirculation.

D.6.3 Low Level Radiation Effects

Cancer is the major latent harmful effect produced by ionizing radiation and the one that most people exposed to radiation are concerned about. The ability of alpha, beta, and gamma radiation to produce cancer in virtually every tissue and organ in laboratory animals has been well-demonstrated, while radiogenic cancer has not been observed in some human tissues and organs. The development of cancer is not an immediate effect. In humans, radiation-induced leukemia has the shortest latent period at 2 years, thyroid cancer after Chernobyl showed up in children about four years after the accident, while other radiation induced cancers have latent periods >20 years. For the non-radiogenic cancers, it has been hypothesized either that repair mechanisms effectively protect the individual or that the latency period exceeds the current human life span (Raabe 2010). The mechanism by which cancer is induced in living cells is complex and is a topic of intense study. Exposure to ionizing radiation can produce cancer; however, some sites, such as the breast, lung, stomach, and thyroid, appear to be more common than others.

DNA is a major target molecule during exposure to ionizing radiation. Other macromolecules, such as lipids and proteins, are also at risk of damage when exposed to ionizing radiation. The genotoxicity of ionizing radiation is an area of intense study, as damage to DNA is ultimately responsible for many of the adverse toxicological effects ascribed to ionizing radiation, including cancer. Damage to genetic material is basic to developmental or teratogenic effects, as well.

There is limited evidence of non-cancer human effects at low radiation doses. Non-cancer effects that have been reported are associated with the Japanese atomic bomb survivor population and include neurological and cardiovascular effects. Neurological effects were observed in fetuses exposed to prompt radiation during the detonations while they were in gestation weeks 8–15, less so for weeks 16–25, and were not observed for other developmental time frames. Cardiovascular effects have been reported for atomic bomb survivors following 60 years of follow-up. These include a statistically significant increase in heart disease (% elevated relative risk per Gy with 95% confidence interval = 14 [6–23] %/Gy, $p<0.001$) and a non-statistically significant increase in stroke (9 [1–17]%/Gy, $p=0.02$) above a dose of 0.5 Gy. These radiation-induced circulatory effects may be increased by other factors such as smoking, microvascular damage in the kidney and associated hypertension, high serum cholesterol, diabetes, and infection.

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APPENDIX E. QUICK REFERENCE FOR HEALTH CARE PROVIDERS

Toxicological Profiles are a unique compilation of toxicological information on a given hazardous substance. Each profile reflects a comprehensive and extensive evaluation, summary, and interpretation of available toxicologic and epidemiologic information on a substance. Health care providers treating patients potentially exposed to hazardous substances may find the following information helpful for fast answers to often-asked questions.

Primary Chapters/Sections of Interest

Chapter 1: Relevance to Public Health: The Relevance to Public Health Section provides an overview of exposure and health effects and evaluates, interprets, and assesses the significance of toxicity data to human health. A table listing minimal risk levels (MRLs) is also included in this chapter.

Chapter 2: Health Effects: Specific health effects identified in both human and animal studies are reported by type of health effect (e.g., death, hepatic, renal, immune, reproductive), route of exposure (e.g., inhalation, oral, dermal), and length of exposure (e.g., acute, intermediate, and chronic).
NOTE: Not all health effects reported in this section are necessarily observed in the clinical setting.

Pediatrics:

Section 3.2 Children and Other Populations that are Unusually Susceptible
Section 3.3 Biomarkers of Exposure and Effect

ATSDR Information Center

Phone: 1-800-CDC-INFO (800-232-4636) or 1-888-232-6348 (TTY)
Internet: http://www.atsdr.cdc.gov

The following additional materials are available online:

Case Studies in Environmental Medicine are self-instructional publications designed to increase primary health care providers' knowledge of a hazardous substance in the environment and to aid in the evaluation of potentially exposed patients (see https://www.atsdr.cdc.gov/csem/csem.html).

Managing Hazardous Materials Incidents is a three-volume set of recommendations for on-scene (prehospital) and hospital medical management of patients exposed during a hazardous materials incident (see https://www.atsdr.cdc.gov/MHMI/index.asp). Volumes I and II are planning guides to assist first responders and hospital emergency department personnel in planning for incidents that involve hazardous materials. Volume III—*Medical Management Guidelines for Acute Chemical Exposures*—is a guide for health care professionals treating patients exposed to hazardous materials.

Fact Sheets (ToxFAQs™) provide answers to frequently asked questions about toxic substances (see https://www.atsdr.cdc.gov/toxfaqs/Index.asp).

Other Agencies and Organizations

The National Center for Environmental Health (NCEH) focuses on preventing or controlling disease, injury, and disability related to the interactions between people and their environment outside the workplace. Contact: NCEH, Mailstop F-29, 4770 Buford Highway, NE, Atlanta, GA 30341-3724 • Phone: 770-488-7000 • FAX: 770-488-7015 • Web Page: https://www.cdc.gov/nceh/.

The National Institute for Occupational Safety and Health (NIOSH) conducts research on occupational diseases and injuries, responds to requests for assistance by investigating problems of health and safety in the workplace, recommends standards to the Occupational Safety and Health Administration (OSHA) and the Mine Safety and Health Administration (MSHA), and trains professionals in occupational safety and health. Contact: NIOSH, 395 E Street, S.W., Suite 9200, Patriots Plaza Building, Washington, DC 20201 • Phone: 202-245-0625 or 1-800-CDC-INFO (800-232-4636) • Web Page: https://www.cdc.gov/niosh/.

The National Institute of Environmental Health Sciences (NIEHS) is the principal federal agency for biomedical research on the effects of chemical, physical, and biologic environmental agents on human health and well-being. Contact: NIEHS, PO Box 12233, 104 T.W. Alexander Drive, Research Triangle Park, NC 27709 • Phone: 919-541-3212 • Web Page: https://www.niehs.nih.gov/.

Clinical Resources (Publicly Available Information)

The Association of Occupational and Environmental Clinics (AOEC) has developed a network of clinics in the United States to provide expertise in occupational and environmental issues. Contact: AOEC, 1010 Vermont Avenue, NW, #513, Washington, DC 20005 • Phone: 202-347-4976 • FAX: 202-347-4950 • e-mail: AOEC@AOEC.ORG • Web Page: http://www.aoec.org/.

The American College of Occupational and Environmental Medicine (ACOEM) is an association of physicians and other health care providers specializing in the field of occupational and environmental medicine. Contact: ACOEM, 25 Northwest Point Boulevard, Suite 700, Elk Grove Village, IL 60007-1030 • Phone: 847-818-1800 • FAX: 847-818-9266 • Web Page: http://www.acoem.org/.

The American College of Medical Toxicology (ACMT) is a nonprofit association of physicians with recognized expertise in medical toxicology. Contact: ACMT, 10645 North Tatum Boulevard, Suite 200-111, Phoenix AZ 85028 • Phone: 844-226-8333 • FAX: 844-226-8333 • Web Page: http://www.acmt.net.

The Pediatric Environmental Health Specialty Units (PEHSUs) is an interconnected system of specialists who respond to questions from public health professionals, clinicians, policy makers, and the public about the impact of environmental factors on the health of children and reproductive-aged adults. Contact information for regional centers can be found at http://pehsu.net/findhelp.html.

The American Association of Poison Control Centers (AAPCC) provide support on the prevention and treatment of poison exposures. Contact: AAPCC, 515 King Street, Suite 510, Alexandria VA 22314 • Phone: 701-894-1858 • Poison Help Line: 1-800-222-1222 • Web Page: http://www.aapcc.org/.

APPENDIX F. GLOSSARY

Absorption—The process by which a substance crosses biological membranes and enters systemic circulation. Absorption can also refer to the taking up of liquids by solids, or of gases by solids or liquids.

Acute Exposure—Exposure to a chemical for a duration of ≤14 days, as specified in the Toxicological Profiles.

Adsorption—The adhesion in an extremely thin layer of molecules (as of gases, solutes, or liquids) to the surfaces of solid bodies or liquids with which they are in contact.

Adsorption Coefficient (K_{oc})—The ratio of the amount of a chemical adsorbed per unit weight of organic carbon in the soil or sediment to the concentration of the chemical in solution at equilibrium.

Adsorption Ratio (Kd)—The amount of a chemical adsorbed by sediment or soil (i.e., the solid phase) divided by the amount of chemical in the solution phase, which is in equilibrium with the solid phase, at a fixed solid/solution ratio. It is generally expressed in micrograms of chemical sorbed per gram of soil or sediment.

Benchmark Dose (BMD) or Benchmark Concentration (BMC)—is the dose/concentration corresponding to a specific response level estimate using a statistical dose-response model applied to either experimental toxicology or epidemiology data. For example, a BMD_{10} would be the dose corresponding to a 10% benchmark response (BMR). The BMD is determined by modeling the dose-response curve in the region of the dose-response relationship where biologically observable data are feasible. The BMDL or BMCL is the 95% lower confidence limit on the BMD or BMC.

Bioconcentration Factor (BCF)—The quotient of the concentration of a chemical in aquatic organisms at a specific time or during a discrete time period of exposure divided by the concentration in the surrounding water at the same time or during the same period.

Biomarkers—Indicators signaling events in biologic systems or samples, typically classified as markers of exposure, effect, and susceptibility.

Cancer Effect Level (CEL)—The lowest dose of a chemical in a study, or group of studies, that produces significant increases in the incidence of cancer (or tumors) between the exposed population and its appropriate control.

Carcinogen—A chemical capable of inducing cancer.

Case-Control Study—A type of epidemiological study that examines the relationship between a particular outcome (disease or condition) and a variety of potential causative agents (such as toxic chemicals). In a case-control study, a group of people with a specified and well-defined outcome is identified and compared to a similar group of people without the outcome.

Case Report—A report that describes a single individual with a particular disease or exposure. These reports may suggest some potential topics for scientific research, but are not actual research studies.

Case Series—Reports that describe the experience of a small number of individuals with the same disease or exposure. These reports may suggest potential topics for scientific research, but are not actual research studies.

Ceiling Value—A concentration that must not be exceeded.

Chronic Exposure—Exposure to a chemical for ≥365 days, as specified in the Toxicological Profiles.

Clastogen—A substance that causes breaks in chromosomes resulting in addition, deletion, or rearrangement of parts of the chromosome.

Cohort Study—A type of epidemiological study of a specific group or groups of people who have had a common insult (e.g., exposure to an agent suspected of causing disease or a common disease) and are followed forward from exposure to outcome, and who are disease-free at start of follow-up. Often, at least one exposed group is compared to one unexposed group, while in other cohorts, exposure is a continuous variable and analyses are directed towards analyzing an exposure-response coefficient.

Cross-sectional Study—A type of epidemiological study of a group or groups of people that examines the relationship between exposure and outcome to a chemical or to chemicals at a specific point in time.

Data Needs—Substance-specific informational needs that, if met, would reduce the uncertainties of human health risk assessment.

Developmental Toxicity—The occurrence of adverse effects on the developing organism that may result from exposure to a chemical prior to conception (either parent), during prenatal development, or postnatally to the time of sexual maturation. Adverse developmental effects may be detected at any point in the life span of the organism.

Dose-Response Relationship—The quantitative relationship between the amount of exposure to a toxicant and the incidence of the response or amount of the response.

Embryotoxicity and Fetotoxicity—Any toxic effect on the conceptus as a result of prenatal exposure to a chemical; the distinguishing feature between the two terms is the stage of development during which the effect occurs. Effects include malformations and variations, altered growth, and *in utero* death.

Epidemiology—The investigation of factors that determine the frequency and distribution of disease or other health-related conditions within a defined human population during a specified period.

Excretion—The process by which metabolic waste products are removed from the body.

Genotoxicity—A specific adverse effect on the genome of living cells that, upon the duplication of affected cells, can be expressed as a mutagenic, clastogenic, or carcinogenic event because of specific alteration of the molecular structure of the genome.

Half-life—A measure of rate for the time required to eliminate one-half of a quantity of a chemical from the body or environmental media.

Health Advisory—An estimate of acceptable drinking water levels for a chemical substance derived by EPA and based on health effects information. A health advisory is not a legally enforceable federal standard, but serves as technical guidance to assist federal, state, and local officials.

Immediately Dangerous to Life or Health (IDLH)—A condition that poses a threat of life or health, or conditions that pose an immediate threat of severe exposure to contaminants that are likely to have adverse cumulative or delayed effects on health.

Immunotoxicity—Adverse effect on the functioning of the immune system that may result from exposure to chemical substances.

Incidence—The ratio of new cases of individuals in a population who develop a specified condition to the total number of individuals in that population who could have developed that condition in a specified time period.

Intermediate Exposure—Exposure to a chemical for a duration of 15–364 days, as specified in the Toxicological Profiles.

***In Vitro*—**Isolated from the living organism and artificially maintained, as in a test tube.

***In Vivo*—**Occurring within the living organism.

Lethal Concentration$_{(LO)}$ (LC_{LO})—The lowest concentration of a chemical in air that has been reported to have caused death in humans or animals.

Lethal Concentration$_{(50)}$ (LC_{50})—A calculated concentration of a chemical in air to which exposure for a specific length of time is expected to cause death in 50% of a defined experimental animal population.

Lethal Dose$_{(LO)}$ (LD_{Lo})—The lowest dose of a chemical introduced by a route other than inhalation that has been reported to have caused death in humans or animals.

Lethal Dose$_{(50)}$ (LD_{50})—The dose of a chemical that has been calculated to cause death in 50% of a defined experimental animal population.

Lethal Time$_{(50)}$ (LT_{50})—A calculated period of time within which a specific concentration of a chemical is expected to cause death in 50% of a defined experimental animal population.

Lowest-Observed-Adverse-Effect Level (LOAEL)—The lowest exposure level of chemical in a study, or group of studies, that produces statistically or biologically significant increases in frequency or severity of adverse effects between the exposed population and its appropriate control.

Lymphoreticular Effects—Represent morphological effects involving lymphatic tissues such as the lymph nodes, spleen, and thymus.

Malformations—Permanent structural changes that may adversely affect survival, development, or function.

Metabolism—Process in which chemical substances are biotransformed in the body that could result in less toxic and/or readily excreted compounds or produce a biologically active intermediate.

Minimal Risk Level (MRL)—An estimate of daily human exposure to a hazardous substance that is likely to be without an appreciable risk of adverse noncancer health effects over a specified route and duration of exposure.

Modifying Factor (MF)—A value (greater than zero) that is applied to the derivation of a Minimal Risk Level (MRL) to reflect additional concerns about the database that are not covered by the uncertainty factors. The default value for a MF is 1.

Morbidity—The state of being diseased; the morbidity rate is the incidence or prevalence of a disease in a specific population.

Mortality—Death; the mortality rate is a measure of the number of deaths in a population during a specified interval of time.

Mutagen—A substance that causes mutations, which are changes in the DNA sequence of a cell's DNA. Mutations can lead to birth defects, miscarriages, or cancer.

Necropsy—The gross examination of the organs and tissues of a dead body to determine the cause of death or pathological conditions.

Neurotoxicity—The occurrence of adverse effects on the nervous system following exposure to a hazardous substance.

No-Observed-Adverse-Effect Level (NOAEL)—The dose of a chemical at which there were no statistically or biologically significant increases in frequency or severity of adverse effects seen between the exposed population and its appropriate control. Although effects may be produced at this dose, they are not considered to be adverse.

Octanol-Water Partition Coefficient (K_{ow})—The equilibrium ratio of the concentrations of a chemical in *n*-octanol and water, in dilute solution.

Odds Ratio (OR)—A means of measuring the association between an exposure (such as toxic substances and a disease or condition) that represents the best estimate of relative risk (risk as a ratio of the incidence among subjects exposed to a particular risk factor divided by the incidence among subjects who were not exposed to the risk factor). An odds ratio that is greater than 1 is considered to indicate greater risk of disease in the exposed group compared to the unexposed group.

Permissible Exposure Limit (PEL)—An Occupational Safety and Health Administration (OSHA) regulatory limit on the amount or concentration of a substance not to be exceeded in workplace air averaged over any 8-hour work shift of a 40-hour workweek.

Pesticide—General classification of chemicals specifically developed and produced for use in the control of agricultural and public health pests (insects or other organisms harmful to cultivated plants or animals).

Pharmacokinetics—The dynamic behavior of a material in the body, used to predict the fate (disposition) of an exogenous substance in an organism. Utilizing computational techniques, it provides the means of studying the absorption, distribution, metabolism, and excretion of chemicals by the body.

Pharmacokinetic Model—A set of equations that can be used to describe the time course of a parent chemical or metabolite in an animal system. There are two types of pharmacokinetic models: data-based and physiologically-based. A data-based model divides the animal system into a series of compartments, which, in general, do not represent real, identifiable anatomic regions of the body, whereas the physiologically-based model compartments represent real anatomic regions of the body.

Physiologically Based Pharmacodynamic (PBPD) Model—A type of physiologically based dose-response model that quantitatively describes the relationship between target tissue dose and toxic endpoints. These models advance the importance of physiologically based models in that they clearly describe the biological effect (response) produced by the system following exposure to an exogenous substance.

Physiologically Based Pharmacokinetic (PBPK) Model—A type of physiologically based dose-response model that is comprised of a series of compartments representing organs or tissue groups with realistic weights and blood flows. These models require a variety of physiological information, including tissue volumes, blood flow rates to tissues, cardiac output, alveolar ventilation rates, and possibly membrane permeabilities. The models also utilize biochemical information, such as blood:air partition coefficients, and metabolic parameters. PBPK models are also called biologically based tissue dosimetry models.

Prevalence—The number of cases of a disease or condition in a population at one point in time.

Prospective Study—A type of cohort study in which a group is followed over time and the pertinent observations are made on events occurring after the start of the study.

Recommended Exposure Limit (REL)—A National Institute for Occupational Safety and Health (NIOSH) time-weighted average (TWA) concentration for up to a 10-hour workday during a 40-hour workweek.

Reference Concentration (RfC)—An estimate (with uncertainty spanning perhaps an order of magnitude) of a continuous inhalation exposure to the human population (including sensitive subgroups) that is likely to be without an appreciable risk of deleterious noncancer health effects during a lifetime. The inhalation RfC is expressed in units of mg/m^3 or ppm.

Reference Dose (RfD)—An estimate (with uncertainty spanning perhaps an order of magnitude) of the daily oral exposure of the human population to a potential hazard that is likely to be without risk of deleterious noncancer health effects during a lifetime. The oral RfD is expressed in units of mg/kg/day.

Reportable Quantity (RQ)—The quantity of a hazardous substance that is considered reportable under the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA). RQs are (1) ≥1 pound or (2) for selected substances, an amount established by regulation either under CERCLA or under Section 311 of the Clean Water Act. Quantities are measured over a 24-hour period.

Reproductive Toxicity—The occurrence of adverse effects on the reproductive system that may result from exposure to a hazardous substance. The toxicity may be directed to the reproductive organs and/or the related endocrine system. The manifestation of such toxicity may be noted as alterations in sexual behavior, fertility, pregnancy outcomes, or modifications in other functions that are dependent on the integrity of this system.

Retrospective Study—A type of cohort study based on a group of persons known to have been exposed at some time in the past. Data are collected from routinely recorded events, up to the time the study is undertaken. Retrospective studies are limited to causal factors that can be ascertained from existing records and/or examining survivors of the cohort.

Risk—The possibility or chance that some adverse effect will result from a given exposure to a hazardous substance.

Risk Factor—An aspect of personal behavior or lifestyle, an environmental exposure, existing health condition, or an inborn or inherited characteristic that is associated with an increased occurrence of disease or other health-related event or condition.

Risk Ratio/Relative Risk—The ratio of the risk among persons with specific risk factors compared to the risk among persons without risk factors. A risk ratio that is greater than 1 indicates greater risk of disease in the exposed group compared to the unexposed group.

Short-Term Exposure Limit (STEL)—A STEL is a 15-minute TWA exposure that should not be exceeded at any time during a workday.

Standardized Mortality Ratio (SMR)—A ratio of the observed number of deaths and the expected number of deaths in a specific standard population.

Target Organ Toxicity—This term covers a broad range of adverse effects on target organs or physiological systems (e.g., renal, cardiovascular) extending from those arising through a single limited exposure to those assumed over a lifetime of exposure to a chemical.

Teratogen—A chemical that causes structural defects that affect the development of an organism.

Threshold Limit Value (TLV)—An American Conference of Governmental Industrial Hygienists (ACGIH) concentration of a substance to which it is believed that nearly all workers may be repeatedly exposed, day after day, for a working lifetime without adverse effect. The TLV may be expressed as a Time-Weighted Average (TLV-TWA), as a Short-Term Exposure Limit (TLV-STEL), or as a ceiling limit (TLV-C).

Time-Weighted Average (TWA)—An average exposure within a given time period.

Toxicokinetic—The absorption, distribution, metabolism, and elimination of toxic compounds in the living organism.

Toxics Release Inventory (TRI)—The TRI is an EPA program that tracks toxic chemical releases and pollution prevention activities reported by industrial and federal facilities.

Uncertainty Factor (UF)—A factor used in operationally deriving the Minimal Risk Level (MRL), Reference Dose (RfD), or Reference Concentration (RfC) from experimental data. UFs are intended to account for (1) the variation in sensitivity among the members of the human population, (2) the uncertainty in extrapolating animal data to the case of human, (3) the uncertainty in extrapolating from data obtained in a study that is of less than lifetime exposure, and (4) the uncertainty in using lowest-observed-adverse-effect level (LOAEL) data rather than no-observed-adverse-effect level (NOAEL) data. A default for each individual UF is 10; if complete certainty in data exists, a value of 1 can be used; however, a reduced UF of 3 may be used on a case-by-case basis (3 being the approximate logarithmic average of 10 and 1).

Xenobiotic—Any substance that is foreign to the biological system.

APPENDIX G. ACRONYMS, ABBREVIATIONS, AND SYMBOLS

AAPCC	American Association of Poison Control Centers
ACGIH	American Conference of Governmental Industrial Hygienists
ACOEM	American College of Occupational and Environmental Medicine
ACMT	American College of Medical Toxicology
ADI	acceptable daily intake
ADME	absorption, distribution, metabolism, and excretion
AEGL	Acute Exposure Guideline Level
AIC	Akaike's information criterion
AIHA	American Industrial Hygiene Association
ALT	alanine aminotransferase
AOEC	Association of Occupational and Environmental Clinics
AP	alkaline phosphatase
AST	aspartate aminotransferase
atm	atmosphere
ATSDR	Agency for Toxic Substances and Disease Registry
AWQC	Ambient Water Quality Criteria
BCF	bioconcentration factor
BMD/C	benchmark dose or benchmark concentration
BMD_X	dose that produces a X% change in response rate of an adverse effect
$BMDL_X$	95% lower confidence limit on the BMD_X
BMDS	Benchmark Dose Software
BMR	benchmark response
BUN	blood urea nitrogen
C	centigrade
CAA	Clean Air Act
CAS	Chemical Abstract Services
CDC	Centers for Disease Control and Prevention
CEL	cancer effect level
CERCLA	Comprehensive Environmental Response, Compensation, and Liability Act
CFR	Code of Federal Regulations
Ci	curie
CI	confidence interval
cm	centimeter
CPSC	Consumer Products Safety Commission
CWA	Clean Water Act
DNA	deoxyribonucleic acid
DOD	Department of Defense
DOE	Department of Energy
DWEL	drinking water exposure level
EAFUS	Everything Added to Food in the United States
ECG/EKG	electrocardiogram
EEG	electroencephalogram
EPA	Environmental Protection Agency
ERPG	emergency response planning guidelines
F	Fahrenheit
F1	first-filial generation
FDA	Food and Drug Administration
FIFRA	Federal Insecticide, Fungicide, and Rodenticide Act
FR	Federal Register

FSH	follicle stimulating hormone
g	gram
GC	gas chromatography
gd	gestational day
GGT	γ-glutamyl transferase
GRAS	generally recognized as safe
HEC	human equivalent concentration
HED	human equivalent dose
HHS	Department of Health and Human Services
HPLC	high-performance liquid chromatography
HSDB	Hazardous Substance Data Bank
IARC	International Agency for Research on Cancer
IDLH	immediately dangerous to life and health
IRIS	Integrated Risk Information System
Kd	adsorption ratio
kg	kilogram
kkg	kilokilogram; 1 kilokilogram is equivalent to 1,000 kilograms and 1 metric ton
K_{oc}	organic carbon partition coefficient
K_{ow}	octanol-water partition coefficient
L	liter
LC	liquid chromatography
LC_{50}	lethal concentration, 50% kill
LC_{Lo}	lethal concentration, low
LD_{50}	lethal dose, 50% kill
LD_{Lo}	lethal dose, low
LDH	lactic dehydrogenase
LH	luteinizing hormone
LOAEL	lowest-observed-adverse-effect level
LSE	Level of Significant Exposure
LT_{50}	lethal time, 50% kill
m	meter
mCi	millicurie
MCL	maximum contaminant level
MCLG	maximum contaminant level goal
MF	modifying factor
mg	milligram
mL	milliliter
mm	millimeter
mmHg	millimeters of mercury
mmol	millimole
MRL	Minimal Risk Level
MS	mass spectrometry
MSHA	Mine Safety and Health Administration
Mt	metric ton
NAAQS	National Ambient Air Quality Standard
NAS	National Academy of Science
NCEH	National Center for Environmental Health
ND	not detected
ng	nanogram
NHANES	National Health and Nutrition Examination Survey
NIEHS	National Institute of Environmental Health Sciences

NIOSH	National Institute for Occupational Safety and Health
NLM	National Library of Medicine
nm	nanometer
nmol	nanomole
NOAEL	no-observed-adverse-effect level
NPL	National Priorities List
NR	not reported
NRC	National Research Council
NS	not specified
NTP	National Toxicology Program
OR	odds ratio
OSHA	Occupational Safety and Health Administration
PAC	Protective Action Criteria
PAH	polycyclic aromatic hydrocarbon
PBPD	physiologically based pharmacodynamic
PBPK	physiologically based pharmacokinetic
PEHSU	Pediatric Environmental Health Specialty Unit
PEL	permissible exposure limit
PEL-C	permissible exposure limit-ceiling value
pg	picogram
PND	postnatal day
POD	point of departure
ppb	parts per billion
ppbv	parts per billion by volume
ppm	parts per million
ppt	parts per trillion
REL	recommended exposure level/limit
REL-C	recommended exposure level-ceiling value
RfC	reference concentration
RfD	reference dose
RNA	ribonucleic acid
SARA	Superfund Amendments and Reauthorization Act
SCE	sister chromatid exchange
SD	standard deviation
SE	standard error
SGOT	serum glutamic oxaloacetic transaminase (same as aspartate aminotransferase or AST)
SGPT	serum glutamic pyruvic transaminase (same as alanine aminotransferase or ALT)
SIC	standard industrial classification
SMR	standardized mortality ratio
sRBC	sheep red blood cell
STEL	short term exposure limit
TLV	threshold limit value
TLV-C	threshold limit value-ceiling value
TRI	Toxics Release Inventory
TSCA	Toxic Substances Control Act
TWA	time-weighted average
UF	uncertainty factor
U.S.	United States
USDA	United States Department of Agriculture
USGS	United States Geological Survey
USNRC	U.S. Nuclear Regulatory Commission

VOC	volatile organic compound
WBC	white blood cell
WHO	World Health Organization
>	greater than
≥	greater than or equal to
=	equal to
<	less than
≤	less than or equal to
%	percent
α	alpha
β	beta
γ	gamma
δ	delta
μm	micrometer
μg	microgram
q_1^*	cancer slope factor
–	negative
+	positive
(+)	weakly positive result
(–)	weakly negative result