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# **CHAPTER 2. HEALTH EFFECTS**

### **2.1 INTRODUCTION**

The primary purpose of this chapter is to provide public health officials, physicians, toxicologists, and other interested individuals and groups with an overall perspective on the toxicology of perfluoroalkyls. It contains descriptions and evaluations of toxicological studies and epidemiological investigations and provides conclusions, where possible, on the relevance of toxicity and toxicokinetic data to public health.

This document discusses information on perfluoroalkyls that have been measured in the serum collected from a representative U.S. population ≥12 years of age in the 2003–2004 NHANES (Calafat et al. 2007b), as well as two compounds (PFBA and PFHxA) that have been identified in other monitoring studies. More recent NHANES monitoring studies have not evaluated additional perfluoroalkyl compounds (CDC 2019). The perfluoroalkyl compounds discussed in the profile are listed below. They are discussed in the profile in following order, based on the abundance of epidemiological data:

> Perfluorooctanoic acid (PFOA, CAS Registry Number 335-67-1) Perfluorooctane sulfonic acid (PFOS, CAS Registry Number 1763-23-1) Perfluorohexane sulfonic acid (PFHxS, CAS Registry Number 355-46-4) Perfluorononanoic acid (PFNA, CAS Registry Number 375-95-1) Perfluorodecanoic acid (PFDA, CAS Registry Number 335-76-2) Perfluoroundecanoic acid (PFUnA, CAS Registry Number 2058-94-8) Perfluoroheptanoic acid (PFHpA, CAS Registry Number 375-85-9) Perfluorobutane sulfonic acid (PFBS, CAS Registry Number 375-73-5) Perfluorobutanoic acid (PFBA, CAS Registry Number 375-22-4) Perfluorododecanoic acid (PFDoDA, CAS Registry Number 307-55-1) Perfluorohexanoic acid (PFHxA, CAS Registry Number 307-24-4) Perfluorooctane sulfonamide (FOSA, CAS Registry Number 754-91-6)

The term perfluoroalkyls used throughout the profile is referring to at least one of these 12 compounds and may not be applicable to other perfluoroalkyl compounds.

A glossary and list of acronyms, abbreviations, and symbols can be found at the end of this profile.

To help public health professionals and others address the needs of persons living or working near hazardous waste sites, the information in this section is organized by health effect. These data are discussed in terms of route of exposure (inhalation, oral, and dermal) and three exposure periods: acute  $(\leq 14 \text{ days})$ , intermediate  $(15–364 \text{ days})$ , and chronic ( $\geq 365 \text{ days}$ ).

As discussed in Appendix B, a literature search was conducted to identify relevant studies examining health effect endpoints. Figures [2-1,](#page-6-0) [2-2,](#page-7-0) [2-3,](#page-8-0) [2-4,](#page-9-0) and [2-5](#page-10-0) provide an overview of the database of studies in humans or experimental animals included in this chapter of the profile. These studies evaluate the potential health effects associated with inhalation, oral, or dermal exposure to perfluoroalkyls, but may not be inclusive of the entire body of literature. ATSDR's approach for assessing study quality and weight-ofevidence evaluation is described in the Agency's Guidance for the Preparation of Toxicological Profile document *(*https://www.atsdr.cdc.gov/toxprofiles/guidance/profile\_development\_guidance.pdf).

Summaries of the epidemiological studies, including details on the study design and results, are presented in tables in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*; briefer summaries of the studies are presented in summary tables for each endpoint. For studies in which the population was divided into perfluoroalkyl exposure categories, such as quartiles, the risk ratio reported in the summary table is for the lowest exposure category with a statistically significant association; risk ratios for higher exposure categories are presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls* tables. In general, associations were also found for higher exposure categories.

Summaries of experimental studies are separated by exposure route and are presented in Tables [2-1,](#page-11-0) [2-2,](#page-14-0) [2-3,](#page-16-0) [2-4,](#page-44-0) [2-5,](#page-66-0) and [2-6.](#page-91-0) The inhalation data for PFOA and other perfluoroalkyls are presented in Tables [2-1](#page-11-0) and [2-2,](#page-14-0) respectively. A large number of experimental studies have evaluated the oral toxicity of PFOA and PFOS, the results of these studies are presented in Tables [2-3](#page-16-0) and [2-4,](#page-44-0) respectively. Lesser amounts of data are available for the remaining 10 perfluoroalkyl compounds; the study results for these compounds are presented in [Table 2-5.](#page-66-0) [Table 2-5](#page-66-0) is divided by exposure duration and by compound. The dermal data for PFOA is presented in [Table 2-6.](#page-91-0) In addition, the NOAEL and LOAEL values from inhalation and oral studies are graphically presented in Figures [2-6,](#page-13-0) [2-7,](#page-15-0) [2-8,](#page-40-0) [2-9,](#page-62-0) and [2-10.](#page-86-0)

Levels of significant exposure (LSEs) for each route and duration are presented in tables and illustrated in figures. The points in the figures showing NOAELs or LOAELs reflect the actual doses (levels of exposure) used in the studies. LOAELs have been classified into "less serious" or "serious" effects. "Serious" effects are those that evoke failure in a biological system and can lead to morbidity or mortality (e.g., acute respiratory distress or death). "Less serious" effects are those that are not expected to cause significant dysfunction or death, or those whose significance to the organism is not entirely clear. ATSDR acknowledges that a considerable amount of judgment may be required in establishing whether an endpoint should be classified as a NOAEL, "less serious" LOAEL, or "serious" LOAEL, and that in some cases, there will be insufficient data to decide whether the effect is indicative of significant

dysfunction. However, the Agency has established guidelines and policies that are used to classify these endpoints (ATSDR 2003). ATSDR believes that there is sufficient merit in this approach to warrant an attempt at distinguishing between "less serious" and "serious" effects. The distinction between "less serious" effects and "serious" effects is considered to be important because it helps the users of the profiles to identify levels of exposure at which major health effects start to appear. LOAELs or NOAELs should also help in determining whether or not the effects vary with dose and/or duration, and place into perspective the possible significance of these effects to human health.

A User's Guide has been provided at the end of this profile (see Appendix C). This guide should aid in the interpretation of the tables and figures for LSEs and MRLs.

The discussion of the available data for each health effect is divided into several subsections. Each health effect section begins with an overview, which contains a brief discussion of the available data and conclusions that can be drawn from the data. Compound-specific discussions follow the overview; the perfluoroalkyls are discussed in the following order: PFOA, PFOS, PFHxS, PFNA, PFDA, PFUnA, PFHpA, PFBS, PFBA, PFDoDA, PFHxA, and FOSA. It is noted that for most health effects, there are no data for a number of the perfluoroalkyls. The health effect endpoints examined in epidemiological and experimental data for each perfluoroalkyl is summarized in Figures [2-1](#page-6-0) and [2-2,](#page-7-0) respectively. The compound-specific discussions are further divided into Epidemiological Studies and Laboratory Animal Studies; for data-rich endpoints, a compound-specific summary is also included. Each perfluoroalkyl is treated separately in this chapter. Although there is some evidence of similar health outcomes for some compounds, there is evidence of qualitative and mechanistic differences (Peters and Gonzalez 2011).

The health effects of perfluoroalkyls have been evaluated in a large number of epidemiological and animal studies; the literature search framework for identifying these studies is discussed in Appendix B. As illustrated in Figures [2-3,](#page-8-0) [2-4,](#page-9-0) an[d 2-5,](#page-10-0) most of the health effects data come from epidemiological studies. For PFOA, PFOS, and other perfluoroalkyls, 74, 76, and 70%, respectively, of the health effect studies were in humans; it is noted that most epidemiological studies examined more than one perfluoroalkyl. More than half (52%) of the epidemiological studies were cross-sectional studies, 29% were prospective studies, and the remainder were retrospective, case-control, cohort, or longitudinal studies. Three population categories were examined in epidemiological studies: workers at facilities involved in the production or use of perfluoroalkyls (most of the studies involved workers at two U.S. facilities and typically involved higher than background exposure to PFOA and PFOS), communities living near a PFOA manufacturing facility with high levels of PFOA in the drinking water (almost all of PERFLUOROALKYLS 25

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the studies involved residents living near a PFOA production facility in West Virginia; elevated PFOA exposure and background exposure to other perfluoroalkyls), and populations exposed to background levels of perfluoroalkyls (referred to as general population studies). Most of the studies of communities living near perfluoroalkyl manufacturing facilities are part of the C8 Health Project and C8 Health Study (C8 is a synonym for PFOA). The C8 Health Project was a population study of Ohio and West Virginia residents living near the DuPont Washington Works facility in West Virginia and was funded by DuPont as part of a class action settlement agreement. The Washington Works facility began using PFOA in 1951 and peak use was in the late 1990s. At the time of enrollment (2005–2006), blood samples were collected from over 69,000 participants who lived, worked, or attended school in six contaminated water districts surrounding the facility for at least 12 months between 1950 and December 2004 (Frisbee et al. 2009); the six water districts were Little Hocking Water Association, Tuppers Plains Chester Water District, Village of Pomeroy, Lubeck Public Service District, Mason County Public Service District, or private water sources within these areas. The participants ranged in age from 1.5 to >100 years, with an average age of 39.1 years.

Serum perfluoroalkyl levels were used as the biomarker of exposure in almost all of the epidemiological studies since most of the studies did not provide external exposure levels. The highest levels of serum PFOA were found among workers, followed by the community members, and then the general population. One study of PFOA workers in 2004–2005 reported an average serum PFOA level of 1,000 ng/mL (Sakr et al. 2007a). A study of community members living near this same facility reported a mean serum PFOA level of 423 ng/mL in 2004–2005. In the United States, the mean geometric mean serum PFOA level in 2005–2006 was 3.92 ng/mL (CDC 2018). In a study of two PFOS facilities, mean serum PFOS levels in workers were 960–1,400 ng/mL in 2000 (Olsen et al. 2003a); the geometric mean serum PFOS levels in the U.S. general population in 1999–2000 was 30.4 ng/mL (CDC 2018). Bach et al. (2015b) investigated whether transport of blood samples under ambient temperature conditions and processing delays impact serum perfluoroalkyl concentrations. Using the conditions of the Danish National Birth Cohort study, Bach et al. (2015a) found relative differences between serum samples that were transported with processing delays and those processed immediately of 1% (winter sampling) to 3% (summer sampling) for PFOA, -29–2% for PFOS, 12–11% for PFHxS, -5–3% for PFNA, -39–0% for PFDA, -77 to -7% for PFUnA, and 38–17% for PFHpA. This discrepancy has not been verified for other Danish National Birth Cohort studies or for other studies.

Most of the epidemiological studies provided a single serum perfluoroalkyl concentration, which has been shown to be a reliable biomarker of recent exposure; however, it does not provide information on

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historical exposure. The lack of historical exposure data is a particular limitation of the occupational and community population studies where past exposures were typically higher than current exposures.

Another limitation of the epidemiological studies involves co-exposure to multiple perfluoroalkyls. A number of the epidemiological studies have found strong correlations between serum levels of different perfluoroalkyls. *In vitro* studies (Carr et al. 2013; Wolf et al. 2014) have shown that at lower concentrations, binary pairs of perfluoroalkyls demonstrate concentration and response additivity, but deviate from additivity at higher concentrations (Wolf et al. 2014). These possible interactions (or dose additivity) complicate the interpretation of the epidemiological data.

Although a large number of epidemiological studies have examined the potential of perfluoroalkyls to induce adverse health effects, most of the studies are cross-sectional in design and do not establish causality. ATSDR evaluated the available epidemiological data to assess whether the preponderance of the evidence suggested a possible association between perfluoroalkyl exposure and a particular health effect. This approach took into consideration the consistency of the findings across studies, the quality of the studies, dose-response, and plausibility. It should be noted that although the data may provide evidence for an association, it does not always imply that the observed effect is biologically relevant because the magnitude of the change may be within the normal limits or not indicative of an adverse health outcome. Plausibility depends primarily on experimental toxicology studies that establish a plausible biological mechanism for the observed effects. ATSDR's toxicological profile development guidance (https://www.atsdr.cdc.gov/toxprofiledocs/additional\_resources.html/#Profile\_Development) describes in detail the weight-of-evidence approach that includes quality assessment of every study included in the profile.

The available epidemiological studies suggest associations between perfluoroalkyl exposure and several health outcomes; however, cause-and-effect relationships have not been established for these outcomes:

- **Hepatic effects.** Increases in serum enzymes and decreases in serum bilirubin, observed in studies of PFOA, PFOS, and PFHxS, are suggestive of liver alterations. In addition, the results of epidemiological studies of PFOA, PFOS, PFNA, and PFDA suggest an association between perfluoroalkyl exposure and increases in serum lipid levels, particularly total cholesterol and LDL cholesterol; see Section 2.9 for detailed discussion and citations.
- **Cardiovascular effects.** There is suggestive epidemiological evidence for an association between serum PFOA and PFOS and pregnancy-induced hypertension and/or pre-eclampsia; see Section 2.5 for detailed discussion and citations.

- **Immune effects.** Evidence is suggestive of an association between serum PFOA, PFOS, PFHxS, and PFDA levels and decreased antibody responses to vaccines; there is also limited evidence for PFNA, PFUnA, and PFDoDA; see Section 2.14 for detailed discussion and citations.
- **Developmental effects.** Evidence is suggestive of an association between serum PFOA and PFOS and small decreases in birth weight; the decrease in birth weight is <20 g (0.7 ounces) per 1 ng/mL increase in blood PFOA or PFOS level; see Section 2.17 for detailed discussion and citations.

As presented in Figures [2-3,](#page-8-0) [2-4,](#page-9-0) and [2-5,](#page-10-0) most of the available literature on the health effects of perfluoroalkyls in laboratory animals was conducted in oral studies, with a few inhalation and dermal exposure studies identified. The most commonly examined endpoints were liver, body weight, developmental, reproductive, and immunological.

The results of the animal studies suggest the following:

- **Hepatic effects.** Evidence from acute, intermediate, and/or chronic oral studies in rats, mice, and monkeys indicates that the liver is a sensitive target of PFOA, PFOS, PFHxS, PFNA, PFDA, PFUnA, PFBA, PFBS, PFDoDA, and PFHpA toxicity. The effects include increases in liver weight, hepatocellular hypertrophy, and decreases in serum lipid levels. These effects were considered specific to rodents and were not considered relevant to humans. Some degenerative and necrotic effects that are likely relevant to humans have also been observed for PFOA, PFOS, and PFHpA. See Section 2.9 for detailed discussion and citations.
- **Immune effects.** Evidence from acute and intermediate oral studies in mice indicates that immune endpoints are sensitive targets of PFOA and PFOS toxicity. The most commonly reported effect was an impaired response to antigens. No alteration in antigen response was observed in the one study of PFNA. Immune function has not been tested for the other perfluoroalkyls examined in this profile. See Section 2.14 for detailed discussion and citations.
- **Reproductive effects.** Impaired mammary gland development has been observed in mice orally exposed to PFOA. In general, studies of PFOA and PFOS have not found alterations in fertility. See Section 2.16 for detailed discussion and citations.
- **Developmental effects.** Evidence from acute and intermediate oral studies in rats and/or mice indicates that developmental endpoints are targets of PFOA, PFOS, PFHxS, PFNA, PFDA, PFUnA, and PFBA toxicity. The developmental effects include decreases in pup body weight, decreases in pup survival, and alterations in locomotor activity. See Section 2.17 for detailed discussion and citations.

<span id="page-6-0"></span>

		Perfluoroalkyl												
<b>Health Effect</b> <b>Endpoint</b>		PEOP	REDS	PEXIS	PENA	PEOP	PEUMA	PEHIPA	PFFBS	PERA	PFDODA	PEXILA	FOSA	
<b>Body weight</b>	۰	$\bullet$	۰	$\bullet$	٠	$\bullet$				$\bullet$		$\bullet$		
<b>Respiratory</b>	۰													
Cardiovascular	۰	۰	۰	$\bullet$	۰	۰	۰	۰	۰	$\bullet$	۰	$\bullet$		
Gastrointestinal		۰												
Hematological	۰	۰												
Musculoskeletal	۰	۰	۰	$\bullet$										
<b>Hepatic</b>	۰	$\bullet$	۰	$\bullet$	۰	$\bullet$	۰	$\bullet$	$\bullet$	$\bullet$				
Renal	۰	$\bullet$	۰	$\bullet$	۰			۰		$\bullet$	۰			
<b>Dermal</b>														
<b>Ocular</b>														
Endocrine	۰	$\bullet$	۰	$\bullet$	۰	۰				۰				
Immunological	$\bullet$	$\bullet$	$\bullet$	$\bullet$	۰	$\bullet$	$\bullet$	$\bullet$		۰	۰	$\bullet$		
Neurological	۰	۰	۰	$\bullet$										
Reproductive	۰	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$		$\bullet$		$\bullet$	۰	$\bullet$		
Developmental	$\bullet$	$\bullet$	$\bullet$	$\bullet$	۰	۰	$\bullet$		۰	$\bullet$		$\bullet$		
Other noncancer	۰	$\bullet$	۰	۰	۰	۰	۰					۰		
<b>Cancer</b>	۰	۰	۰	۰	۰	۰	۰			۰		$\bullet$		

**Figure 2-1. Health Effect Endpoints Examined in Epidemiological Studies**

FOSA = perfluorooctane sulfonamide; PFBA = perfluorobutanoic acid; PFBS = perfluorobutane sulfonic acid;

PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHpA = perfluoroheptanoic acid;

PFHxA = perfluorohexanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid;

PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid

<span id="page-7-0"></span>

							Perfluoroalkyl						
<b>Health Effect</b> <b>Endpoint</b>		PECA	RECS	PELLES	PENA	PEOP	PFUM	PEHPA	PERS	PERA	PEDODA	PENTA FOSP	
<b>Body weight</b>	٠	$\bullet$	۰	۰	۰	۰		۰	$\bullet$	۰	٠	۰	
Respiratory	۰	۰	۰	۰	۰			۰	۰		۰		
Cardiovascular	۰	$\bullet$	۰		۰			۰	۰	۰	۰		
Gastrointestinal	۰	$\bullet$	۰		۰			۰	۰	۰	٠		
Hematological	۰	$\bullet$	۰		۰	$\bullet$		۰	$\bullet$	۰	۰		
Musculoskeletal	$\bullet$	$\bullet$	$\bullet$					$\bullet$	$\bullet$		$\bullet$		
<b>Hepatic</b>	۰	$\bullet$	۰	۰	۰	۰		۰	۰	۰	۰	۰	
Renal	۰	$\bullet$	۰		۰	$\bullet$		۰	$\bullet$	۰	$\bullet$		
<b>Dermal</b>	۰	۰							$\bullet$				
Ocular	۰	۰						۰	۰		$\bullet$		
Endocrine	۰	$\bullet$	۰		۰			۰	۰	۰	$\bullet$		
Immunological	۰	$\bullet$	۰	۰	۰			۰	$\bullet$		$\bullet$		
Neurological	۰	۰	۰		۰			۰	۰	۰	۰		
Reproductive	۰	$\bullet$	۰	$\bullet$				۰	$\bullet$	۰	۰		
Developmental	۰	$\bullet$	$\bullet$	۰	۰	۰		۰	۰	۰	$\bullet$		
<b>Other noncancer</b>	۰			۰									
Cancer	۰	۰											

**Figure 2-2. Health Effect Endpoints Examined in Laboratory Animal Studies**

FOSA = perfluorooctane sulfonamide; PFBA = perfluorobutanoic acid; PFBS = perfluorobutane sulfonic acid; PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHpA = perfluoroheptanoic acid; PFHxA = perfluorohexanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid

# **Figure 2-3. Overview of the Number of Studies Examining PFOA Health Effects\***

**Developmental, hepatic, and body weight effects of PFOA were the most widely examined potential toxicity outcomes** More studies evaluated health effects in **humans** than **animals** (counts represent studies examining endpoint)

<span id="page-8-0"></span>

\*Includes studies discussed in Chapter 2. A total of 363 studies (including those finding no effect) have examined toxicity; most animal studies examined multiple endpoints. In this figure, the number of human studies is referring to the number of publications; most human studies examined multiple endpoints.

# **Figure 2-4. Overview of the Number of Studies Examining PFOS Health Effects\***

**Developmental, hepatic, and reproductive effects of PFOS were the most widely examined potential toxicity outcomes** More studies evaluated health effects in **humans** than **animals** (counts represent studies examining endpoint)

<span id="page-9-0"></span>

\*Includes studies discussed in Chapter 2. A total of 301 studies (including those finding no effect) have examined toxicity; most animal studies examined multiple endpoints. In this figure, the number of human studies is referring to the number of publications; most human studies examined multiple perfluoroalkyls.

# **Figure 2-5. Overview of the Number of Studies Examining Other Perfluoroalkyls Health Effects\***

**Developmental, hepatic, and body weight effects of other perfluoroalkyls were the most widely examined potential toxicity outcomes** More studies evaluated health effects in **humans** than **animals** (counts represent studies examining endpoint)

<span id="page-10-0"></span>

\*Includes studies discussed in Chapter 2. A total of 213 studies (including those finding no effect) have examined toxicity; most animal studies examined multiple endpoints. Most human studies examined multiple perfluoroalkyls; within each publication, the results for each perfluoroalkyl is counted as a study.

<span id="page-11-0"></span>

# **Table 2-1. Levels of Significant Exposure to PFOA – Inhalation**



# **Table 2-1. Levels of Significant Exposure to PFOA – Inhalation**

aThe number corresponds to entries in [Figure 2-6.](#page-13-1)

APFO = ammonium perfluorooctanoate (ammonia salt of PFOA); BI = biochemical changes; BW or Bd wt = body weight; F = female(s); Cardio = cardiovascular;  $CS =$  clinical signs; Develop = developmental; DX = developmental toxicity; Endocr = endocrine; Gastro = gastrointestinal; GD = gestation day; GN = gross necropsy; HE or Hemato = hematological; HP = histopathology; LC<sub>50</sub> = lethal concentration, 50% kill; LE = lethality; LOAEL = lowest-observed-adverse-effect level; M = male(s); Musc/skel = musculoskeletal; MX = maternal toxicity; Neuro = neurological; NOAEL = no-observed-adverse-effect level; NS = not specified; OW = organ weight; PFOA = perfluorooctanoic acid; PND = postnatal day; Repro = reproductive; Resp = respiratory

#### <span id="page-13-1"></span><span id="page-13-0"></span>Musc/ Bd Wt Skel Death Resp Cardio Gastro Hemato Repro Develop Hepatic Renal Dermal Ocular Endocr Neuro  $\mathbf 0$  $\mathbf 0$  $\mathbf 0$  $1R$  $1\mathrm{R}$  $1R$ 10000  $2\mathsf{R}$  $2\mathsf{R}$ 1000  $\blacksquare$  $\bigcirc$  $\bullet$  $2\mathsf{R}$  $2\mathsf{R}$  $2\mathsf{R}$  $\mathbf 0$  $\bigcirc$  $mg/m^3$  $2R$  $2R$  $\mathbf 0$  $\bigcirc$  $\bigcirc$  $\bigcirc$  $\bigcirc$  $\bigcirc$  $\bigcirc$  $\bigcirc$  $\bigcirc$ O  $\bigcirc$  $\bigcirc$ O 3R  $3R$  $3R$  $3R$  $3\mathsf{R}$  $3\mathsf{R}$ 3R  $3R$  $3\mathsf{R}$  $3R$ 3R  $3R$  $3\mathsf{R}$  $4\mathsf{R}$  $\bigcirc$ ◑ .  $\int_{0}^{4R}$  $4{\sf R}$  $4\mathsf{R}$ O  $10$  $4R$  $3R$  $\bigcirc$  $\mathbf{1}$  $4R$  $0.1 +$ o Animal - NOAEL R-Rat o Animal - Less Serious LOAEL · Animal - Serious LOAEL Animal - LD50/LC50

# **Figure 2-6. Levels of Significant Exposure to PFOA – Inhalation** Acute (≤14 days)

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<span id="page-14-0"></span>aThe number corresponds to entries in [Figure 2-7.](#page-15-1)

BW or Bd wt = body weight; LC<sub>50</sub> = lethal concentration, 50% kill; LE = lethality; LOAEL = lowest-observed-adverse-effect level; M = male(s); NOAEL = no-observed-adverse-effect level; OW = organ weight; PFNA = perfluorononanoic acid; Resp = respiratory

# **Figure 2-7. Levels of Significant Exposure to Other Perfluoroalkyls – Inhalation** Acute (≤14 days)

<span id="page-15-1"></span><span id="page-15-0"></span>

<span id="page-16-0"></span>

**APFO**

#### **Table 2-3. Levels of Significant Exposure to PFOA – Oral** Figure Species (strain) keya No./group Exposure parameters (mg/kg/day) monitored Endpoint Doses **Parameters** NOAEL (mg/kg/day) (mg/kg/day) (mg/kg/day) Effect Less serious LOAEL Serious LOAEL 6 Rat (albino) 40 M,F 28 days  $(F)$ M: 0, 3, 10, 30, 10, 300, 1,000, 3,000; F: 0, 3.4, 11.3, 34, 113, 340, 1,130, 3,400 Death 1,000 M 1,130 F 5/5 males and 5/5 females died before end of 1st week of study **Griffith and Long 1980 APFO** 7 Rat (Wistar) 8 M 7 days ad lib (F) 0, 16 BW, OW, BI, Bd wt 16 EA Hepatic 16 66% increase in absolute liver weight **Haughom and Spydevold 1992 APFO** 8 Rat (Sprague-Dawley) 3 M 14 days (F) 0, 20 OW, EA Hepatic 20 45% increase in relative liver weight **Ikeda et al. 1985 PFOA** 9 Rat (Sprague-Dawley) 16 M  $\overline{14}$  days (GW) 0, 0.5, 5, 50 BW, OW, CS, Bd wt 50 HE, BI Hepatic 50 2-fold increased mean relative liver weight at 50 mg/kg/day Immuno 50 No alterations in spleen weight or splenocyte phenotype **Iwai and Yamashita 2006**

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aThe number corresponds to entries in [Figure 2-8.](#page-40-0)

bUsed to derive an intermediate-duration oral MRL of 3x10<sup>-6</sup> mg/kg/day based on the predicted TWA serum PFOA level of 8.29 µg/mL at the LOAEL dose and an empirical clearance model to estimate a HED. The LOAEL<sub>HED</sub> of 0.000821 mg/kg/day was divided by an uncertainty factor of 300 (10 for the use of a LOAEL, 3 for extrapolation from animals to humans with dosimetric adjustment, and 10 for human variability).

ad lib = *ad libitum*; ALT = alanine aminotransferase; APFO = ammonium perfluorooctanoate (ammonium salt of PFOA); AST = aspartate aminotransferase; BC = biochemistry; BI = biochemical changes; BW or Bd wt = body weight; C = capsule; Cardio = cardiovascular; CS = clinical signs; Develop = developmental; DW = drinking water; DX = developmental toxicity; EA = enzyme activity; Endocr = endocrine; (F) = feed; F = female(s); FI = food intake; FT4 = free thyroxine;  $FX =$  fetal toxicity;  $G =$  gavage; Gastro = gastrointestinal;  $GD =$  gestation day;  $GN =$  gross necropsy;  $GO =$  gavage in oil vehicle;  $GW =$  gavage in water vehicle; HDL = high-density lipoprotein; HE or Hemato = hematological; HED = human equivalent dose; HP = histopathology; Immuno = immunotoxicological;  $LD_{50}$  = lethal dose, 50% kill; LE = lethality; LDL = low-density lipoprotein; LH = luteinizing hormone; LOAEL = lowest-observed-adverse-effect level; M = male(s); MRL = Minimal Risk Level; Musc/skel = musculoskeletal; MX = maternal toxicity; Neuro = neurological; NK = natural killer; NOAEL = no observed-adverse-effect level; NX = neurotoxicity; OF = organ function; OP = ophthalmology; OW = organ weight; PFOA = perfluorooctanoic acid; PND = postnatal day; PPARα = peroxisome proliferator-activated receptor-α; Repro = reproductive; Resp = respiratory; RX = reproductive toxicity; sRBC = sheep red blood cell; TSH = thyroid-stimulating hormone; TT4 = total thyroxine; TWA = time-weighted average; UR = urinalysis; W = water; WI = water intake

<span id="page-40-0"></span>

# **Figure 2-8. Levels of Significant Exposure to PFOA – Oral** Acute (≤14 days)







R-Rat

· Animal - Serious LOAEL



**Figure 2-8. Levels of Significant Exposure to PFOA – Oral** Intermediate (15–364 days)



**Figure 2-8. Levels of Significant Exposure to PFOA – Oral** Chronic (≥365 days)



● Animal - LOAEL, More Serious ◆ Animal - Cancer Effect Level























#### Figure (strain) keya **Species** No./group Exposure parameters (mg/kg/day) Doses **Parameters** monitored NOAEL (mg/kg/day) (mg/kg/day) (mg/kg/day) Effect Less serious LOAEL **Serious** LOAEL 47 Rat (Sprague-Dawley) 25–50 F GDs 2–20 (GW) 0, 1, 2, 3, 5, 10 MX, DX, BW, Bd wt FI, WI, OW, BI 1 2 2 Decreased mean body weight gain, 10% at 2 mg/kg/day and 33% at 5 mg/kg/day Hepatic 10 Endocr 1 1 and T3 Reduced total and free T4 and T3 Develop 10 10 Increased incidences of cleft palate **Thibodeaux et al. 2003 PFOS potassium salt** 48 Rat (Wistar) 10 or 15 dams; PNDs 1–7 6–10 M,F pups or 35, GD 1 to PND 1, or GD 1 to PND 7 or 35 0, 0.8, 2.4 DX Develop 0.8 0.8 Decreased spatial learning ability in prenatally or postnatally exposed offspring at ≥0.8 mg/kg/day and in offspring exposure pre- and postnatally at 2.4 mg/kg/day; decreased memory ability in offspring exposure pre- and postnatally at 2.4 mg/kg/day **Wang et al. 2015c PFOS** 49 Rat (Sprague-Dawley) 10 F GDs 2–21 1 time/day (GW) 0, 0.1, 0.6, 2 Develop 0.6 2 5-fold increased neonatal mortality on PNDs 1–3 **Xia et al. 2011 PFOS** 50 Rat (Sprague-Dawley) 8–10 M 91 days (W) 0, 0.27, 0.79, 2.37 Endocr **6.27 M** 6.27 M 42% decrease in total T4 levels Develop 3.2 F 19–36% reduced serum T4 levels in pups on PNDs 21–35 after gestationand/or postnatal-only exposure **Yu et al. 2009a**

### **Table 2-4. Levels of Significant Exposure to PFOS – Oral**

2. HEALTH EFFECTS

**PFOS potassium salt**









#### **Table 2-4. Levels of Significant Exposure to PFOS – Oral** Figure (strain) keya **Species** No./group **Exposure** parameters (mg/kg/day) Doses **Parameters** monitored NOAEL Endpoint (mg/kg/day) Less serious LOAEL (mg/kg/day) (mg/kg/day) Effect **Serious** LOAEL 70 Mouse (C57BL/6) 10 M 30 days (GO) 0, 2.5, 5, 10 BW, HP, OF, OW 10 31% reduction in body weight (correlated with 68% reduction in feed consumption) Hepatic 2.5 2.5 Increased liver weight (35%) and serum AST(~12%) and GGT levels (~98%) at ≥2.5 mg/kg/day; increases in  $ALT$  (~45%) and  $ALP$  (~36%) at ≥5 mg/kg/day; cytoplasmic vacuolation, focal or flake-like necrosis, and hepatocellular hypertrophy observed, but no incidence data provided Renal 10 **Xing et al. 2016 PFOS** 71 Mouse (ICR)  $5 F$ GDs 0–17 GDs 0–18 (GW) 0, 1, 10, 20 Hepatic 20 Hepatic 20 60% increased absolute liver weight at ≥10 mg/kg/day Develop 1 20 GDs 0–17: 15.8% increased sternal defects in fetuses at ≥1 mg/kg/day; 8.8% decrease in number of live fetuses at 20 mg/kg/day Develop 10 GDs 0–18: decreased survival (55.2%) at 10 mg/kg/day on PND 4, decreased neonatal BW, intracranial blood vessel dilatation, lung atelectasis

# 2. HEALTH EFFECTS

#### **Yahia et al. 2008**

#### **PFOS potassium salt**



#### **Table 2-4. Levels of Significant Exposure to PFOS – Oral** Figure (strain) keya **Species** No./group **Exposure** parameters (mg/kg/day) Doses **Parameters** monitored Endpoint (mg/kg/day) NOAEL Less serious LOAEL (mg/kg/day) (mg/kg/day) Effect Serious LOAEL Hepatic 0.25 M 1.04 M Hepatocellular hypertrophy at ≥0.1 mg/kg/day; single cell necrosis and cystic degeneration at 1.04 mg/kg/day Renal 1.04 Dermal 1.04 Ocular 1.04 Endocr 1.04 Immuno 1.04 No histological alterations Neuro 1.04 1.04 No histological alterations Repro 1.04 1.04 No histological alterations **Butenhoff et al. 2012b; Thomford 2002b PFOS potassium salt**

# 2. HEALTH EFFECTS

aThe number corresponds to entries in [Figure 2-9.](#page-62-0)

bUsed to derive an intermediate-duration oral MRL of 2x10<sup>-6</sup> mg/kg/day based on the predicted TWA serum PFOA level of 29.7 µg/mL at the NOAEL dose and an empirical clearance model to estimate a HED. The NOAELHED of 0.000515 mg/kg/day was divided by an uncertainty factor of 30 (3 for extrapolation from animals to humans with dosimetric adjustment and 10 for human variability) and a modifying factor of 10 for concern that immunotoxicity may be a more sensitive endpoint than developmental toxicity.

ad lib = *ad libitum*; ALP = alkaline phosphatase; ALT = alanine aminotransferase; AST = aspartate aminotransferase; BC = biochemistry; BH = behavioral;  $BI =$  biochemical changes; BW or Bd wt = body weight;  $C =$  capsule; Cardio = cardiovascular;  $CS =$  clinical signs; Develop = developmental;  $DX =$  developmental toxicity; EA = enzyme activity; Endocr = endocrine;  $(F)$  = feed; F = female(s); FX = fetal toxicity; FI = food intake; FX = fetal toxicity; G = gavage; Gastro = gastrointestinal; GD = gestation day; GGT = gamma-glutamyl transferase; GN = gross necropsy; GO = gavage in oil vehicle; GW = gavage in water vehicle; HDL = high-density lipoprotein; HE or Hemato = hematological; HED = human equivalent dose; HOMA IR = Homeostatic Model Assessment of Insulin Resistance; HP = histopathology; Immuno = immunotoxicological; LD = lactation day; LD50 = lethal dose, 50% kill; LE = lethality; LOAEL = lowest-observedadverse-effect level; LPS = lipopolysaccharide; M = male(s); MRL = Minimal Risk Level; Musc/skel = musculoskeletal; MX = maternal toxicity; Neuro = neurological; NK = natural killer; NOAEL = no observed-adverse-effect level; NS = not specified; OF = organ function; OP = ophthalmology; OW = organ weight; PFOS = perfluorooctane sulfonic acid; PND = postnatal day; Repro = reproductive; Resp = respiratory; RX = reproductive toxicity; sRBC = sheep red blood cell; T3 = triiodothyronine; T4 = thyroxine; TSH = thyroid-stimulating hormone; TT4 = total thyroxine; TWA = time-weighted average; W = water



<span id="page-62-0"></span>

·Animal - Serious LOAEL H-Rabbit Animal - LD50/LC50

# **Figure 2-9. Levels of Significant Exposure to PFOS – Oral** Intermediate (15–364 days)





# **Figure 2-9. Levels of Significant Exposure to PFOS – Oral** Intermediate (15–364 days)

#### **Figure 2-9. Levels of Significant Exposure to PFOS – Oral** Chronic (≥365 days)










































#### aThe number corresponds to entries in Figure 2-10.

 $^{\rm b}$ Used to derive an intermediate-duration oral MRL of 2x10<sup>-5</sup> mg/kg/day for PFHxS based on a measured serum PFHxS level of 89.12 µg/mL at the NOAEL dose and an empirical clearance model to estimate a HED. The NOAEL<sub>HED</sub> of 0.0047 mg/kg/day was divided by an uncertainty factor of 30 (3 for extrapolation from animals to humans with dosimetric adjustment and 10 for human variability) and a modifying factor of 10 for database deficiencies.  $^{\rm c}$ Used to derive an intermediate-duration oral MRL of 3x10<sup>-6</sup> mg/kg/day for PFNA based on a measured serum PFNA level of 8.91 µg/mL at the NOAEL dose and an empirical clearance model to estimate a HED. The NOAELHED of 0.001 mg/kg/day was divided by an uncertainty factor of 30 (3 for extrapolation from animals to humans with dosimetric adjustment and 10 for human variability) and a modifying factor of 10 for database deficiencies.

ad lib = *ad libitum*; ALT = alanine aminotransferase; APTT = activated partial thromboplastin time; AST = aspartate aminotransferase; BC = biochemistry; BH = behavioral; BI = biochemical changes; BUN = blood urea nitrogen; BW or Bd wt = body weight; Cardio = cardiovascular; CI = confidence interval; CS = clinical signs; Develop = developmental; DX = developmental toxicity; EA = enzyme activity; Endocr <sup>=</sup> endocrine; (F) = feed; F = female(s); FI = food intake; FOSA = perfluorooctane sulfonamide; FX = fetal toxicity; G = gavage; Gastro = gastrointestinal; GD = gestation day; GN = gross necropsy; GO = gavage in oil vehicle; GW = gavage in water vehicle; HDL = high-density lipoprotein; HE or Hemato = hematological; HP = histopathology; Immuno = immunotoxicological; IX = immunotoxicity; LD50 = lethal dose, 50% kill; LE = lethality; LOAEL = lowest-observed-adverse-effect level; M = male(s); MCH = mean corpuscular hemoglobin; MCHC = mean corpuscular hemoglobin concentration; MCV = mean corpuscular volume; Musc/skel = musculoskeletal; MX = maternal toxicity; NaPFHx = sodium perfluorohexanoate; Neuro = neurological; NOAEL = no observed-adverse-effect level; NS = not specified; NS = neurotoxicity; OF = organ function; OP = ophthalmology; OW = organ weight; PFBA = perfluorobutanoic acid; PFBS = perfluorobutane sulfonic acid; PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHxA = perfluorohexanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFUnA = perfluoroundecanoic acid; PPARα = peroxisome proliferator-activated receptor-α; RBC = red blood cell; Repro = reproductive; Resp = respiratory; RX = reproductive toxicity; T3 = triiodothyronine; T4 = thyroxine; TG = teratogenicity; TSH =thyroid stimulating hormone; TWA = time-weighted average; UR = urinalysis

#### <span id="page-86-0"></span>Musc/ Body Weight Resp Hemato Death Cardio Gastro Skel Hepatic Renal 32R PFHxA 1000 21R<br>PFBA 21R<br>PFBA 21R<br>PFBA  $\bigcirc_{\text{PFBA}}^{\text{21R}}$ 16M  $\bigcirc$  $\overline{O}$  $\bigcirc$ O O O  $\bigcirc$ O 21R 21R<br>PFBA 21R 21R 23M  $\overline{\mathbf{3}}$ 18M 23M PFBA **PFBA** PFBA 100 PFBA PFDA PFBA O<br>18M<br>PFDA  $\bigoplus_{18M \atop \text{PFDA}} \bigodot_{20M}$  $\bigcirc$ PFDA  $\bullet$  $\sum_{\text{PPDA}}^{\text{20M}} \mathbf{O}_{\text{24R}}$ 18M **PFDA** . mg/kg/day PFDA  $12M$ О 14R PFDoDA PFNA PFDA 22R<br>PFBA 18M PFDA  $\bigcirc$ 17M  $1M$   $10M$   $15R$ <br>PFHxS  $PFNA$   $PFDA$  $\begin{array}{r} 1M & 10M & 15R \\ \text{PFHxS PFMA } \text{PFDA} \\ \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc \end{array}$ PFDA 28R PFDoA О  $\circ$  $\bigoplus_{\mathsf{27R}}\bigoplus$ 10  $\circlearrowright$  $12M$ 13M PFNA  $\bigcirc$  17M **PFNA**  $\mathbf{O}^{\text{max}}_{\text{max}}$ 9R<br>PFNA SR OOD  $\begin{matrix} 1 \ \text{CylR} \ \text{CylR} \ \text{PFDODA} \ \text{FOSA} \end{matrix}$  $\bigcirc$ <br> $\bigcirc$ <br>FOSA PFDA PFNA<sup>1</sup>  $\overline{PFDA}\bigcup_{17M}$  $\mathbf 0$ 3R<br>PFNA PFDA 13M<br>PFNA  $\bigcup_{\substack{13M\\text{PFMA}}}$  $\bigcirc$ <br> $\frac{3R}{PRNA}$ O О  $\mathbf{1}$ PFNA 27R PFDoDA  $0.1 +$  $\cdot$ 0 Animal - NOAEL M-Mouse **OAnimal - Less Serious LOAEL** R-Rat · Animal - Serious LOAEL

## **Figure 2-10. Levels of Significant Exposure to Other Perfluoroalkyls – Oral** Acute (≤14 days)



## **Figure 2-10. Levels of Significant Exposure to Other Perfluoroalkyls – Oral** Acute (≤14 days)

· Animal - LOAEL, More Serious



## **Figure 2-10. Levels of Significant Exposure to Other Perfluoroalkyls – Oral** Intermediate (15–364 days)



## **Figure 2-10. Levels of Significant Exposure to Other Perfluoroalkyls – Oral** Intermediate (15–364 days)

## **Figure 2-10. Levels of Significant Exposure to Other Perfluoroalkyls – Oral** Chronic (≥365 days)



AEL ss Serious LOAEL rious LOAEL

<span id="page-91-0"></span>

# **Table 2-6. Levels of Significant Exposure to PFOA – Dermal**





APFO = ammonium perfluorooctanoate; BI = biochemical changes; BW or Bd wt = body weight; Cardio = cardiovascular; CS = clinical signs; Endocr = endocrine;  $F =$  female(s); Gastro = gastrointestinal; GN = gross necropsy; HE or Hemato = hematological; HP = histopathology; Immuno = immunotoxicological; LD<sub>50</sub> = lethal dose, 50% kill; LE = lethality; LOAEL = lowest-observed-adverse-effect level; M = male(s); Neuro = neurological; NOAEL = no-observed-adverse-effect level; NS = not specified; OF = organ function; OW = organ weight; PFOA = perfluorooctanoic acid; Repro = reproductive; Resp = respiratory

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## **2.2 DEATH**

*Overview.* There are limited data regarding the lethality of perfluoroalkyls in humans; the available data primarily come from cohort mortality studies in workers; data were only available for PFOA and PFOS. These studies did not find increases in deaths from all causes associated with PFOA and PFOS, although some increases in disease-specific mortalities were observed. Laboratory animal studies have measured  $LC_{50}$  and  $LD_{50}$  values and reported deaths following inhalation, oral, or dermal exposure to perfluoroalkyls. Increases in mortality have also been observed in repeated-exposure studies. These data are presented in Tables [2-1,](#page-11-0) [2-2,](#page-14-0) [2-3,](#page-16-0) [2-4,](#page-44-0) [2-5,](#page-66-0) and [2-6](#page-91-0) and Figure[s 2-6,](#page-13-0) [2-7,](#page-15-0) [2-8,](#page-40-0) [2-9,](#page-62-0) and [2-10.](#page-86-0) No laboratory animal data were available for PFHxS, PFUnA, PFHpA, PFBS, PFBA, or FOSA.

## **PFOA**

*Epidemiological Studies.* Five occupational exposure studies at two PFOA manufacturing facilities have examined the possible associations between PFOA exposure and increases in mortality from all causes and have not found associations (Gilliland and Mandel 1993; Leonard 2006; Leonard et al. 2008; Lundin et al. 2009; Raleigh et al. 2014; Steenland and Woskie 2012). Some increases in disease-specific mortality have been observed; these data are discussed in subsequent sections of this chapter (Sections 2.5, 2.8, 2.10, 2.18, and 2.19).

*Laboratory Animal Studies.* Limited data are available regarding death in animals following inhalation exposure to perfluoroalkyls. Exposure of male and female rats to  $18,600 \text{ mg/m}^3$  ammonium perfluorooctanoate (APFO) dusts for 1 hour did not result in deaths during exposure or during a 14-day observation period (Griffith and Long 1980); APFO is the ammonium salt of PFOA. An  $LC_{50}$  of 980 mg/m<sup>3</sup> was reported in male CD rats exposed head-only to APFO dusts for 4 hours (Kennedy et al. 1986). Deaths occurred at all exposure levels  $(380-5,700 \text{ mg/m}^3)$  and all deaths occurred within 48 hours of exposure. Rats dying during exposure had hyperinflated lungs. A similar  $LC_{50}$  value of 820 mg/m<sup>3</sup> was calculated for male CD rats exposed nose-only to APFO dusts for 4 hours (Kinney et al. 1989). Unlike the Kennedy et al. (1986) study, one death was observed at 590 mg/m<sup>3</sup> and no deaths occurred at 620 mg/m<sup>3</sup>. In a developmental study with APFO, whole-body exposure of 12 pregnant rats to 25 mg/m<sup>3</sup>, 6 hours/day during GDs 6–15 resulted in three deaths on GDs 12, 13, and 17 compared with no deaths in groups exposed to  $\leq 10 \text{ mg/m}^3$  (Staples et al. 1984). The cause of death was not reported.

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#### 2. HEALTH EFFECTS

Oral  $LD_{50}$  values of 680 and 430 mg/kg were reported for male and female albino rats, respectively, administered single gavage doses of APFO and observed for 14 days (Griffith and Long 1980); all animals at the highest dose of 2,150 mg/kg died on day 1. Nonlethal signs observed included ptosis, piloerection, hypoactivity, decreased limb tone, ataxia, and corneal opacity. All signs were intermittent and there was no apparent dose-response relationship. In a 28-day dietary study with APFO in rats, all rats (males and females) in groups receiving approximately 1,000–1,130 mg/kg/day APFO died before the end of the first week (Griffith and Long 1980). In a similar study in mice, all mice receiving doses of approximately 180–195 mg/kg/day died before the second week of the study (Griffith and Long 1980). In this study, doses of approximately 54–58 mg/kg/day APFO were lethal to 4/5 male and 5/5 female mice before the  $4<sup>th</sup>$  week of the study.

In a 90-day gavage study, treatment of Rhesus monkeys with 100 mg/kg/day APFO by gavage resulted in the death of an unspecified number of animals (group size was 10/sex) on week 2 (Griffith and Long 1980). Doses of approximately 30 mg/kg/day were lethal to one male and two females during weeks 7– 12. All animals that died in the 30 and 100 mg/kg/day groups had anorexia, emesis, black stool, pale face and gums, swollen face and eyes, hypoactivity, and prostration. Microscopic examination of tissues showed marked diffuse lipid depletion in the adrenals, slight to moderate hypocellularity of the bone marrow, moderate atrophy of the lymphoid follicles of the spleen, and moderate atrophy of the lymphoid follicles of the lymph nodes. No deaths occurred at 10 mg/kg/day. Deaths were also reported in intermediate-duration studies in Cynomolgus monkeys (Butenhoff et al. 2002). One monkey exposed to 30/20 mg/kg/day PFOA (12 days of exposure to 30 mg/kg/day, 10 days with no exposure, 23 weeks of exposure to 20 mg/kg/day) was sacrificed in moribund condition; the animal had a body weight loss of 12.5%, was notably hypoactive, and was cold to the touch (Butenhoff et al. 2002). The investigators noted that the death was likely due to the high toxicity of the 30 mg/kg/day dose. It is unclear if these deaths were compound-related; one monkey had pulmonary necrosis with a severe acute recurrence of pulmonary inflammation and the cause of morbidity for the second monkey was likely hyperkalemia. Neither effect was observed in the surviving animals.

The dermal  $LD_{50}$  values for APFO were 7,000 mg/kg in male CD rats and  $>7,500$  mg/kg in female rats (Kennedy 1985). The protocol consisted of application of PFOA (as an aqueous paste) to a clipped area of the skin, which immediately was covered with gauze pads and wrapped with rubber sheeting around the trunk. The contact period was 24 hours, at which time the application site was washed with water and the rats were observed for clinical signs for 14 days. Using the same protocol, the dermal  $LD_{50}$  in male rabbits was 4,300 mg/kg (Kennedy 1985). Rabbits treated with 1,500 mg/kg showed skin irritation with

formation of a large crusty area at the application site. No deaths occurred at 1,500 mg/kg. Rabbits treated with 3,000 mg/kg were lethargic and a single death occurred 7 days after treatment. At 5,000 mg/kg, deaths occurred in 3–4 days. These rabbits also showed nasal discharge, pallor, diarrhea, weakness, severe weight loss, and severe skin irritation along with areas of necrosis.

#### **PFOS**

*Epidemiological Studies.* One occupational exposure study evaluated the potential of PFOS to increase lethality; the study did not find increases in deaths from all causes in workers at a PFOS manufacturing facility (Alexander et al. 2003). Alterations in disease-specific mortality are discussed in subsequent sections of this chapter.

*Laboratory Animal Studies.* Unpublished information summarized by the Organization for Economic Co-operation and Development (OECD) (2002) indicates that an  $LC_{50}$  of 5,200 mg/m<sup>3</sup> was calculated for PFOS in male and female Sprague-Dawley rats exposed to airborne concentrations of PFOS dusts from 1,890 to 45,970 mg/m<sup>3</sup> for 1 hour. All rats exposed to 24,090 mg/m<sup>3</sup> died by day 6.

Unpublished information summarized by OECD (2002) indicate that  $LD_{50}$  values of 233 and 271 mg/kg were calculated for male and female CD rats, respectively, following administration by gavage of single doses of up to 1,000 mg/kg of powdered PFOS suspended in an acetone/oil mixture and observed for 14 days. All rats (5/sex/dose group) dosed with ≥464 mg/kg PFOS died before the end of the study. The signs most frequently observed were hypoactivity, decreased limb tone, and ataxia. Gross necropsy showed stomach distension and signs of irritation of the glandular mucosa, and lung congestion. OECD (2002) also reported that a different study estimated that the acute oral  $LD_{50}$  for PFOS by gavage in water in Sherman-Wistar albino rats was  $> 50$  and  $< 1,500$  mg/kg. An oral LD<sub>50</sub> value of 579 mg/kg/day was reported for male C57/BL/6 mice administered single gavage doses of PFOS and observed for 14 days (Xing et al. 2016). Mortality occurred within 3 hours of dosing, and moribund mice displayed signs of neurotoxicity (abdominal breathing, hind limb spasticity, tics, and urinary incontinence).

In a 26-week study, 2/6 male Cynomolgus monkeys administered 0.75 mg/kg/day PFOS via a capsule died or were sacrificed due to morbidity (Seacat et al. 2002). The cause of death in one monkey was pulmonary inflammation; the cause of morbidity in the second monkey was not determined, but the animal did have hyperkalemia.

## **PFNA**

*Laboratory Animal Studies.* A LC<sub>50</sub> of 820 mg/m<sup>3</sup> was identified in rats exposed to airborne PFNA for 4 hours (Kinney et al. 1989). In a 14-day dietary exposure study, all mice administered approximately 54 mg/kg/day PFNA died before the study period ended; no deaths occurred at 5.3 mg/kg/day (Kennedy 1987).

#### **PFDA**

Laboratory Animal Studies. An LD<sub>50</sub> of 120 mg/kg was estimated for PFDA in female C57BL/6N mice administered single doses between 20 and 320 mg/kg/day PFDA by gavage in corn oil and observed for 30 days (Harris et al. 1989). All mice receiving 160 or 320 mg/kg were dead by 14 days; no mice died at ≤80 mg/kg PFDA. Early death was associated with mural thrombosis in the left ventricle of the heart. Without providing any details, George and Andersen (1986) reported that the 30-day oral  $LD_{50}$  for PFDA in male Fischer-344 rats was 57 mg/kg.

### **PFDoDA**

*Laboratory Animal Studies.* Increases in mortality were observed in pregnant rats administered 2.5 mg/kg/day for 14 days prior to mating and throughout gestation; 4/12 dams between GD 18 and 22 and another 3 dams were sacrificed during the period due to morbidity (Kato et al. 2015). No deaths were observed in males or nonpregnant females exposed to 2.5 mg/kg/day (Kato et al. 2015).

#### **PFHxA**

*Laboratory Animal Studies.* In a single exposure gavage study, deaths occurred in rats administered 1,750 or 5,000 mg/kg sodium perfluorohexanoate (NaPFHx) (Loveless et al. 2009). Decreased survival was observed in female Sprague-Dawley rats administered 200 mg/kg/day PFHxA via gavage in a 104-week study (Klaunig et al. 2015). There was no significant effect on survival rates of males. Mortality and morbidity were observed in male and female rats administered 450 mg/kg/day PFHxA via gavage for 4 days (Kirkpatrick 2005). The cause of death was determined to be renal papillary necrosis and/or stomach erosion/ulceration.

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### **2.3 BODY WEIGHT**

*Overview.* Epidemiological studies have examined the possible associations between *in utero* and/or early life exposure to perfluoroalkyls and body weight, body mass index (BMI; measure of body fat based on body weight and height), etc. Other studies have examined possible associations between serum perfluoroalkyl levels in older children or adults and body weight, adiposity markers, and the risk of being overweight or obese. The results of the epidemiological studies are summarized in [Table 2-7,](#page-98-0) with more detailed descriptions presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 1. No epidemiological data were available for PFHpA, PFBS, PFBA, PFDoDA, or PFHxA. Animal studies have evaluated changes in body weight, including maternal body weight, in response to inhalation, oral, or dermal exposure to perfluoroalkyls; these data are summarized in Tables [2-1,](#page-11-0) [2-2,](#page-14-0) [2-3,](#page-16-0) [2-4,](#page-44-0) [2-5,](#page-66-0) and [2-6](#page-91-0) and Figures [2-6,](#page-13-0) [2-7,](#page-15-0) [2-8,](#page-40-0) [2-9,](#page-62-0) and [2-10.](#page-86-0) No laboratory animal studies examining body weight were identified for PFHpA.

Overall, the evidence from epidemiological studies does not suggest an association between *in utero* and/or early life exposure to perfluoroalkyls and alterations in growth (body weight or length), body composition (e.g., BMI), or the risk of being overweight or obese in children for PFOA, PFOS, PFHxS, or PFNA. Conclusions cannot be drawn for PFDA, PFUnA, PFDoDA, or FOSA because of the small number of studies (less than 5 studies for each compound) examining potential body weight endpoints. A small number of studies examined potential associations between PFOA and body weight effects in adults and only one study examined PFOS, PFHxS, PFNA, and PFDA associations; these data were considered inadequate for assessing potential associations in adults.

Studies in laboratory animals exposed to PFOA, PFOS, PFNA, PFDA, PFUnA, PFDoDA, or PFHxA have consistently shown decreases in body weight or decreases in body weight gain. Studies with PFOA suggest that the decrease in body weight gain does not appear to be associated with alterations in food consumption and the mechanism may involve  $PPAR\alpha$  as studies in  $PPAR\alpha$  null have not found decreases in body weight gain. The small number of studies examining PFHxS, PFBS, PFBA, and FOSA have not reported decreases in body weight; although decreases in maternal body weight gain were observed for PFBS.

<span id="page-98-0"></span>

# **Table 2-7. Body Weight Outcomes in Humansa**







# **Table 2-7. Body Weight Outcomes in Humansa**




















aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 1 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

BMI = body mass index; FOSA = perfluorooctane sulfonamide; HR = hazard ratio; NR = not reported; NS = not significant; OR = odds ratio; PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid; RR = relative risk; WTCHR = World Trade Center Health Registry

1

## **PFOA**

*Epidemiological Studies.* Mixed results were found in studies of monitoring infant growth from 1 to 12 months of age. Andersen et al. (2010) found an inverse association between maternal serum PFOA and body weight and BMI in male infants at 5 and 12 months of age; no associations were found in girls. Other studies of infants less than 19 months of age did not find associations between maternal serum PFOA (Alkhalawi et al. 2016; Manzano-Salgado et al. 2017b) or cord blood PFOA (Cao et al. 2018; de Cock et al. 2014) levels and weight, length, head circumference, or BMI. One study of children (Braun et al. 2016a) found an association between changes in BMI scores between ages 2 and 8 years and maternal PFOA levels; however, there was no increase in the risk of being overweight or obese. Another study of young children (median age 3.2 years) found an association between maternal PFOA and waist circumference (Mora et al. 2017); when the children were segregated by sex, the association was only found in boys. This study did not find associations between maternal PFOA and waist circumference when the children were older (median age 7.7 years). Other studies in children (2–11 years of age) found no associations between maternal PFOA or cord blood PFOA and growth during childhood (Wang et al. 2016), risk of being overweight or obese (Andersen et al. 2013; Braun et al. 2016a; Høyer et al. 2015b; Mora et al. 2017), waist circumference (Manzano-Salgado et al. 2017b), BMI (Hartman et al. 2017; Karlsen et al. 2017; Manzano-Salgado et al. 2017b; Mora et al. 2017), body fatness (Hartman et al. 2017), or risk of having a waist-to-height ratio of >0.5 (Høyer et al. 2015b). In a study of children aged 8– 10 years, no associations were found between plasma PFOA levels and markers of adiposity (BMI, skinfold thickness, waist circumference, adiponectin levels, and leptin levels) (Timmermann et al. 2014). Similarly, in a study of children in the World Trade Center Health Registry, no association was found between serum PFOA and risk of being overweight (Koshy et al. 2017). In contrast, a study of 5-year-old children found an inverse association between the child's serum PFOA levels and BMI score, but no association with the risk of being overweight (Karlsen et al. 2017). Overall, the available epidemiological data do not suggest a connection between serum PFOA levels and body weight or risk of being overweight/obese in children.

Two studies in adults have not found associations between PFOA and body weight gain. A general population study of 20-year-old females found associations between maternal PFOA levels and BMI and waist circumferences, and increases in the risk of being overweight and having a high waist circumference (Halldorsson et al. 2012); these associations were not observed in males. No increases in the risk of being overweight or obese were observed in male or female C8 participants (20–40 years of age) when estimated early life PFOA exposure was used as the exposure metric (Barry et al. 2014). In a

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study of participants in a weight loss study, no association between weight loss and PFOA levels was found; however, PFOA was associated with weight gain in females, but not males (Liu et al. 2018a). PFOA was also associated with a lower increase in resting metabolic rate in all participants during the weight regain period of the study.

*Laboratory Animal Studies.* Male rats that survived a 4-hour inhalation exposure to 380 mg/m3 APFO dusts lost weight for 1–2 days after exposure, but resumed normal weight gain thereafter (Kennedy et al. 1986). Male rats exposed via inhalation intermittently to 84 mg/m<sup>3</sup> APFO dusts for 2 weeks lost approximately 7% of their body weight by day 5 of exposure (250 g at start of study, 237 g on day 5) (Kennedy et al. 1986), but recovered by day 16 after exposure ceased. Nose-only exposure of male CD rats to 590 mg/m<sup>3</sup> ammonium perfluorononanoate dusts for 4 hours resulted in 18 and 36% reductions in body weight 5 and 12 days after exposure, respectively (Kinney et al. 1989). Inhalation exposure to  $67 \text{ mg/m}^3$  had no significant effect on body weight. In a developmental study, inhalation exposure of pregnant rats to  $25 \text{ mg/m}^3$  APFO dusts during GDs 6–15 induced a 37% reduction in maternal body weight gain relative to controls during the exposure period (Staples et al. 1984); in a pair-fed group, the reduction of weight gain during the same period was 61% relative to *ad libitum* controls.

Reductions in body weight or body weight gain are typical, although not particularly sensitive, responses of rodents to oral exposure to perfluoroalkyls. In many cases, this effect is not associated with reduced food intake, and in some cases, exposed animals have shown an increase in relative food consumption (grams of food/grams of body weight) relative to controls. For example, administration of 50 mg/kg/day APFO for 7 days resulted in 17% weight loss; a similar decrease was observed in a pair-fed group (Pastoor et al. 1987). In mice, doses of approximately 25–30 mg/kg/day PFOA in the food for 7 days reduced terminal body weight by >10% relative to controls without a significant reduction in food intake (Xie et al. 2003; Yang et al. 2000, 2002a, 2002b). However, administration of the same dose to PPARαnull mice did not cause a reduction in weight gain, suggesting that the effect on body weight is a specific effect of peroxisome proliferators possibly due to increased fat utilization (Yang et al. 2002b). In general, body weight recovered once treatment ceased.

Intermediate-duration oral studies in rats have also reported reduced body weight gain with doses ≥10 mg/kg/day APFO (Butenhoff et al. 2004b; Griffith and Long 1980). In the former study, mean absolute food consumption was decreased, but mean relative food consumption was increased. In a 2-year bioassay, body weight gain in rats dosed with 15 mg/kg/day PFOA was reduced >10% relative to controls at the 1-year mark and at termination (Biegel et al. 2001). Similar observations have been made

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in mice dosed with approximately ≥18 mg/kg/day APFO for 28 days (Griffith and Long 1980), or 10 mg/kg 5 days/week for 4 weeks (Yang et al. 2009), and in pregnant mice dosed with ≥10 mg/kg/day APFO during GDs 1–17 (Lau et al. 2006). A study comparing wild-type mice and PPARα knockout mice (DeWitt et al. 2016) found a decrease in body weight gain in the wild-type mice, but not in the knockout mice. A 90-day and a 26-week study in monkeys also reported significant reductions in body weight gain or weight loss associated with decreased food consumption at dose levels in the range of 20– 30 mg/kg/day APFO (Butenhoff et al. 2002; Griffith and Long 1980), but a 4-week study in monkeys dosed with 20 mg/kg/day PFOA did not (Thomford 2001).

Transient weight loss was reported in rats applied 3,000 mg/kg APFO to the shaven skin for 24 hours (Kennedy 1985). In the 2-week study, rats in the 200 and 2,000 mg/kg/day groups lost weight during the treatment period (14 and 24%, respectively, on test day 10), but body weights were comparable to controls after 42 days of recovery. No changes in body weight were reported in mice applied up to 50 mg/kg/day PFOA daily for 4 days on the dorsal surface of the ears (Fairley et al. 2007).

# **PFOS**

*Epidemiological Studies.* General population studies have evaluated body weight, height, and BMI in infants, children, and adults to assess whether there were associations between growth and maternal serum PFOS levels. Andersen et al. (2010) found that maternal PFOS levels were inversely related to body weight and BMI in 12-month-old male infants; no associations were found in females at 12 months of age or in males and females at 5 months of age. The magnitude of the effect on body weight in the boys was small, 9 g per 1 ng/mL increase in maternal serum PFOS level. Other studies have not found associations between maternal PFOS or cord blood PFOS and body weight, length, or head circumference in infants <2 years of age (Alkhalawi et al. 2016; Cao et al. 2018; Manzano-Salgado et al. 2017b). Hartman et al. (2017) also found an inverse association between maternal serum PFOS and trunk body fatness in 9-year-old girls, but no associations with total body fatness or BMI. Karlsen et al. (2017) found associations between maternal PFOS levels and BMI and risk of being overweight at 18 months of age, but not at 5 years of age. Maisonet et al. (2012) found that at 20 months of age, girls whose mothers had serum PFOS levels in the 3<sup>rd</sup> tertile weighed 438 g more than those in the first tertile. Studies in children (Andersen et al. 2013; Braun et al. 2016a; Høyer et al. 2015b; Koshy et al. 2017; Manzano-Salgado et al. 2017b; Mora et al. 2017) or young adults (Halldorsson et al. 2012) did not find associations between maternal PFOS levels and BMI, waist circumference, and/or risk of being overweight. No associations between plasma PFOS and markers of adiposity (BMI, skinfold thickness, waist circumference,

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adiponectin levels, and leptin levels) were found in a study of children aged 8–10 years (Timmermann et al. 2014). Similarly, a study of 5-year-old children found no association between child serum PFOS levels and BMI score or risk of being overweight (Karlsen et al. 2017). Overall, the epidemiological studies do not suggest a connection between serum PFOS and body weight or the risk of being overweight/obese.

In a study of weight loss programs, PFOS did not influence weight loss, but was associated with greater weight regain in women (Liu et al. 2018a). PFOS was also associated with greater declines in resting metabolic rate in all participants during the weight loss period of the study and lower increases in resting metabolic rate during the weight regain period.

*Laboratory Animal Studies.* Dietary treatment of rats with 15 mg/kg/day PFOS (only dose level tested) for 7 days did not significantly alter body weight (Haughom and Spydevold 1992). Oral treatment of pregnant rats with 25 mg/kg/day PFOS on GDs 2–5 or 6–9 resulted in maternal weight loss during treatment, whereas treatment on GDs 10–13, 14–17, or 17–20 resulted in significant reductions in maternal weight gain (Grasty et al. 2003). In pregnant mice, oral dosing with up to 6 mg/kg/day PFOS on GDs 6–18 or 12–18 did not significantly affect body weight (Fuentes et al. 2006, 2007b). Decreases in maternal body weight were observed in rats administered 20 mg/kg/day on GDs 12–18 (Li et al. 2016). Pregnant rabbits appeared to be more sensitive as oral doses of 1 mg/kg/day on GDs 6–20 caused a 21% reduction in weight gain during treatment without altering food consumption (Case et al. 2001).

Alterations in body weight have also been observed following intermediate- or chronic-duration exposure. Reductions in body weight gain of >10% have been reported in intermediate-duration studies in rats dosed with  $\geq 2$  mg/kg/day PFOS associated with reductions in mean absolute and relative food consumption (Luebker et al. 2005a, 2005b). In a developmental toxicity study, treatment of pregnant rats with  $\geq$ 2 mg/kg/day PFOS on GDs 2–20 resulted in significant reductions in body weight gain, which were associated with significant reductions in mean absolute food and water consumption (Thibodeaux et al. 2003). In a 4-week study, treatment of Cynomolgus monkeys with up to 2 mg/kg/day, administered via a capsule, did not affect body weight gain (Thomford 2002a). In a 26-week study in Cynomolgus monkeys, the highest dose of PFOS tested, 0.75 mg/kg/day, produced a 13.5% reduction in final body weight, at which time the mean concentration of PFOS in serum was 172  $\mu$ g/mL (Seacat et al. 2002). In a 2-year dietary study in rats, final mean body weight of females that received doses of approximately 1.04 mg/kg/day PFOS was 14% lower than controls; this could have been due, in part, to a tendency of decreased food consumption during weeks 28 through 104 of the study (Butenhoff et al. 2012b;

Thomford 2002b). No significant effect (<10% difference with controls) was seen in females dosed with  $≤0.25$  mg/kg/day PFOS.

# **PFHxS**

*Epidemiological Studies.* Nine studies have evaluated the influence of *in utero* PFHxS exposure on childhood growth and found no associations between maternal PFHxS levels and body weight in infants <2 years of age (Alkhalawi et al. 2016; Cao et al. 2018; Maisonet et al. 2012; Manzano-Salgado et al. 2017b), body fatness or BMI at 9 years of age (Hartman et al. 2017), BMI or waist circumference at 3 or 7 years of age (Mora et al. 2017), changes in BMI scores between 2 and 8 years of age (Braun et al. 2016a), BMI at 18 months or 5 years of age (Karlsen et al. 2017), BMI at 4 or 7 years of age (Manzano-Salgado et al. 2017b), or the risk of childhood overweight/obesity (Braun et al. 2016a; Karlsen et al. 2017; Mora et al. 2017). Similarly, no associations were found between serum PFHxS levels in 5-yearold children and their BMI score or risk of being overweight (Karlsen et al. 2017) or between serum PFHxS and risk of being overweight in children in the World Trade Center Healthy Registry (Koshy et al. 2017). Alkhalawi et al. (2016) found no associations between maternal PFHxS levels and infant body weight or length at 1, 4, 6, or 12 months of age; however, longitudinal analysis of growth during this period showed an inverse association for body weight and an association for length.

In a clinical trial of weight loss programs, PFHxS was not associated with weight loss during the first 6 months of the study, but was associated with weight regain in females during the last 18 months of the study (Liu et al. 2018a). PFHxS was also associated with greater declines in resting metabolic rate in all participants during the weight loss period and lower increases in resting metabolic rate during the weight regain period.

*Laboratory Animal Studies.* Administration of PFHxS by gavage for 40–60 days did not significantly affect body weight in rats at  $\leq$ 10 mg/kg/day PFHxS (Butenhoff et al. 2009a) or mice at  $\leq$ 3 mg/kg/day (Chang et al. 2018); the mean terminal body weights were within 10% of the body weight of the control group (Butenhoff et al. 2009a).

# **PFNA**

*Epidemiological Studies.* Several studies have examined the influence of maternal serum PFNA levels on childhood growth. These studies did not find associations between maternal PFNA levels and growth

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during childhood (Cao et al. 2018; Wang et al. 2016), BMI (Braun et al. 2016a; Halldorsson et al. 2012; Hartman et al. 2017; Karlsen et al. 2017; Manzano-Salgado et al. 2017b; Mora et al. 2017), body fatness (Hartman et al. 2017), or overweight/obesity risk (Braun et al. 2016a; Karlsen et al. 2017; Mora et al. 2017). However, when the child's serum PFNA levels at age 5 years were used as the exposure biometric, an inverse association was found for BMI, but not for the risk of being overweight (Karlsen et al. 2017). Koshy et al. (2017) found no associations between serum PFNA and the risk of being overweight in children enrolled in the World Trade Center Health Registry.

PFNA was associated with greater weight regains in a study of participants in a 2-year weight loss clinical trial, but was not associated with weight loss during the first 6 months of the study (Liu et al. 2018b). PFNA also affected resting metabolic rate in all participants; it was associated with a greater decline during the weight loss period of the study and a lower increase during the weight regain period.

*Laboratory Animal Studies.* Decreases in body weight gain have been observed in rats administered  $\geq$ 3 mg/kg/day for 14 days (Fang et al. 2009, 2010; Hadrup et al. 2016) and in mice administered 5 mg/kg/day for 14 days (Wang et al. 2015a). The NOAEL for body weight effects was 1 mg/kg/day for both species. In intermediate-duration developmental toxicity studies, decreases in body weight were observed at 5 mg/kg/day in rats (Rogers et al. 2014) and weight loss was observed in mice at 10 mg/kg/day (Das et al. 2015). No alterations in maternal weight gain were observed in mice at 2.0 mg/kg/day (Wolf et al. 2010).

# **PFDA**

*Epidemiological Studies.* Four studies examined the effect of PFDA levels on childhood growth. Cao et al. (2018) did not find associations between cord blood PFDA and body weight, length, or head circumference in 19-month-old infants. Wang et al. (2016) reported decreases in weight and height in girls associated with increasing maternal serum PFDA levels. Inverse associations between serum PFDA levels in 5-year-old children and BMI and the risk of being overweight were reported by Karlsen et al. (2017). When using maternal serum PFDA levels (measured 2 weeks after childbirth) as the biomarker of exposure, no associations were found with BMI or the risk of being overweight in children aged 18 months or 5 years (Karlsen et al. 2017). In a study of children in the World Trade Center Health Registry, no association between serum PFDA and risk of being overweight was found (Koshy et al. 2017).

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In a study of adult participants in a 2-year weight loss clinical trial, PFDA was not associated with weight loss but was associated with weight regain in females during the last 18 months of the study (Liu et al. 2018a). PFDA also was associated with greater declines in resting metabolic rate during the weight loss period and lower increases in resting metabolic rate during the weight regain period of the study.

*Laboratory Animal Studies.* Ten days following administration of a single gavage dose of 50 mg/kg, weight loss was observed in rats (Kawabata et al. 2017).In a 1-week study, exposure to 9.5 mg/kg/day PFDA in the diet resulted in a 32% weight loss in rats (Kawashima et al. 1995); the NOAEL was 4.7 mg/kg/day. Rats administered 1 mg/kg/day PFDA for 28 days exhibited a 21% decrease in body weight gain (Frawley et al. 2018).

Body weight of female C57BL/6N mice administered a single gavage dose of 80 mg/kg PFDA was reduced 12% relative to controls 30 days post dosing (Harris et al. 1989); no significant effect was seen at 40 mg/kg PFDA. In a developmental study, pregnant mice dosed with 6.4 mg/kg/day PFDA on GDs 6– 15 gained 92% less weight (adjusted for the weight of the gravid uterus) on GDs 6–18 than controls; mice dosed with 12.8 mg/kg/day lost weight (Harris and Birnbaum 1989). Weight loss was also observed in C57BL/6N mice exposed to 78 mg/kg/day PFDA in the diet for 10 days (Permadi et al. 1992, 1993).

# **PFUnA**

*Epidemiological Studies.* Cao et al. (2018) found an association between cord blood PFUnA levels and length at 19 months of age, but found no associations for body weight or head circumference. Wang et al. (2016) found an inverse association between maternal serum PFUnA levels and weight and height in girls. Koshy et al. (2017) also found an inverse association between the serum PFUnA levels and the risk of being overweight in children enrolled in the World Trade Center Health Registry.

*Laboratory Animal Studies.* Decreases in body weight gain (10% in males and 23% in females) were observed in rats exposed to 1.0 mg/kg/day in a 41–46-day developmental toxicity study (Takahashi et al. 2014).

## **PFBS**

*Laboratory Animal Studies.* No significant alterations in body weight gain were observed in Sprague-Dawley rats administered ≤900 mg/kg/day PFBS via gavage for 28 days (3M 2001) or in Sprague-

Dawley rats administered ≤1,000 mg/kg/day PFBS via gavage for at least 70 days (Lieder et al. 2009b). Two studies did report decreases in maternal body weight gain in rats administered 1,000 or 2,000 mg/kg/day (York 2002, 2003a).

# **PFBA**

*Laboratory Animal Studies.* Alterations in body weight do not appear to be a sensitive outcome of PFBA exposure in rats or mice. No alterations in body weight gain were observed in Sprague-Dawley rats administered 184 mg/kg/day PFBA via gavage for 5 days (3M 2007a), C57BL/6 mice exposed to 78 mg/kg/day PFBA in the diet for 10 days (Permadi et al. 1992, 1993), Sprague-Dawley rats administered 150 mg/kg/day PFBA via gavage for 28 days (Butenhoff et al. 2012a; van Otterdijk 2007a), or Sprague-Dawley rats administered 30 mg/kg/day PFBA via gavage for 90 days (Butenhoff et al. 2012a; van Otterdijk 2007b).

# **PFDoDA**

*Epidemiological Studies.* Cao et al. (2018) found no association between cord blood PFDoDA and body weight or head circumference at 19 months of age. In contrast, Wang et al. (2016) found an inverse association between maternal serum PFDoDA levels and growth (weight and height) in girls.

*Laboratory Animal Studies.* Dosing of Sprague-Dawley rats with 5 mg/kg/day PFDoDA by gavage for 14 days resulted in a 25% reduction in final body weight relative to a control group or 7% loss of body weight compared with the starting body weight (Shi et al. 2007). Decreases in body weight gain (measured 10 days postexposure) were also observed in rats administered a single gavage dose of 50 mg/kg PFDoDA (Kawabata et al. 2017). Gavage administration of 2.5 mg/kg/day for 42 days resulted in approximately 30% decreases in male rats; the decreases in body weight gain persisted during a 14-day recovery period (Kato et al. 2015). An approximately 20% decrease in body weight gain was also observed in pregnant and nonpregnant females similarly exposed to 2.5 mg/kg/day (Kato et al. 2015). The decreases in body weight gain were accompanied by decreases in food intake in males and females. In a longer duration study (110 days), no alterations in body weight gain were observed in rats administered 0.5 mg/kg/day (Shi et al. 2009a).

## **PFHxA**

*Laboratory Animal Studies.* Gavage administration of up to 315 mg/kg/day did not result in alterations in body weight gain in rats exposed for 32–44 days (Kirkpatrick 2005), 90 days (Chengelis et al. 2009b), 92–93 days (Loveless et al. 2009), or 2 years (Klaunig et al. 2015). A 19% decrease in body weight gain was observed in rats administered 500 mg/kg/day NaPFHx for 92–93 days (Loveless et al. 2009) and a 19% decrease in maternal body weight gain was observed in rats administered 500 mg/kg/day on GDs 1– 20 (Loveless et al. 2009). In contrast to these findings, a 110–126-day study found a 12% decrease in male rats administered 100 mg/kg/day NaPFHx (Loveless et al. 2009).

# **FOSA**

*Epidemiological Studies.* Halldorsson et al. (2012) did not find associations between maternal serum FOSA levels and BMI or waist circumference in 20-year-olds.

*Laboratory Animal Studies.* No alterations in body weight were observed in Sprague-Dawley rats following a single gavage dose of 5 mg/kg FOSA in 2% Tween 80 vehicle (Seacat and Luebker 2000).

# **2.4 RESPIRATORY**

*Overview.* A small number of epidemiological studies have examined the potential of PFOA to damage the respiratory tract; detailed descriptions of these studies are presented in Table 2 in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*. Epidemiological studies examining respiratory endpoints were not identified for the other perfluoroalkyls. These studies were primarily conducted in PFOA workers or in residents of nearby communities. The possible associations between perfluoroalkyl exposure and asthma are discussed along with other immune effects in Section 2.14. Studies in laboratory animals have examined the potential for perfluoroalkyls to induce histological lesions in the lungs following inhalation (see Tables [2-1](#page-11-0) and [2-2\)](#page-14-0) or oral exposure (see Tables [2-3,](#page-16-0) [2-4,](#page-44-0) and [2-5\)](#page-66-0). No laboratory animal studies examining potential respiratory tract effects were identified for PFUnA, PFHpA, PFDoDA, or FOSA.

Epidemiological studies examining respiratory effects are only available for PFOA. No alterations in lung function were observed in workers at a PFOA facility but increases in respiratory illnesses were observed in residents living near the PFOA facility.

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Inhalation exposure to PFOA, PFOS, or PFNA dusts have resulted in nasal discharge, rales, and/or labored breathing in laboratory animals. Oral exposure studies in laboratory animals have not found consistent evidence of histological alterations for PFOA, PFOS, PFHxS, PFBS, or PFBA. An oral study with PFHxA reported nasal lesions in rats, however, a second study did not find these effects at higher doses.

## **PFOA**

*Epidemiological Studies.* There are limited data on the potential of PFOA to damage the respiratory tract. Pulmonary function tests and chest roentgenograms conducted on workers potentially exposed to PFOA at the Washington Works fluoropolymers production facility were within normal limits (Sakr et al. 2007b); the serum PFOA levels ranged from 5 to 9,550 ng/mL. Another study of workers at this facility did not find an association between estimated cumulative serum PFOA levels and the risk of chronic obstructive pulmonary disease (Steenland et al. 2015). In contrast, a study of residents living near this facility found an increase in the risk of chronic bronchitis (standard prevalence ratio [SPR] of 3.60, 95% confidence interval [CI] 2.92–4.44) and shortness of breath (SPR 2.05, 95% CI 1.70–2.46) (Anderson-Mahoney et al. 2008); it is noted that results were based on health surveys, and some of the subjects also worked at the facility. Summaries of these studies are presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 2.

Laboratory Animal Studies. Inhalation exposure of male and female rats to 18,600 mg/m<sup>3</sup> APFO dusts for 1 hour induced a red nasal discharge and dry rales (Griffith and Long 1980). Necropsy conducted 14 days after exposure showed bilateral mottling of the lungs in 8 out of 10 rats. Head-only exposure for 4 hours to 380 mg/m<sup>3</sup> APFO dusts, a concentration that was lethal to some rats, produced pulmonary edema, which disappeared within 1 week of exposure (Kennedy et al. 1986). Examination of the lungs and trachea from rats exposed head-only to up to 84 mg/m3 APFO dusts 6 hours/day, 5 days/week for 2 weeks showed no significant gross or microscopic alterations (Kennedy et al. 1986). Male CD rats exposed nose-only to  $\geq$ 590 mg/m<sup>3</sup> ammonium perfluorononanoate dusts for 4 hours exhibited lung noise and labored breathing during exposure and throughout a 12-day recovery period (Kinney et al. 1989).

Oral dosing of male and female CD rats with  $\leq 110 \frac{\text{mg}}{\text{kg}}$  APFO did not induce gross or microscopic changes in the lungs (Griffith and Long 1980; Perkins et al. 2004). Dosing for 2 years with 15 mg/kg/day APFO increased the incidence of lung hemorrhage in males (3M 1983; Butenhoff et al. 2012c). The

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incidences were 10/50, 14/50, and 22/50 for groups receiving doses of 0, 1.5, and 15 mg/kg/day, respectively. Pair-wise comparison between controls and high-dose groups revealed a statistically significant difference ( $p<0.05$ ). The investigators suggested that pulmonary lesions were not related to PFOA based on lower incidence of interstitial pneumonia in the 15 mg/kg/day males. In a study in monkeys administered up to 20 mg/kg/day APFO, administered via a capsule, for 26 weeks, no signs of respiratory problems were observed during the study and no gross or microscopic alterations in the lungs and trachea were observed at termination (Butenhoff et al. 2002).

No gross or microscopic alterations were found in the lung and trachea from male CD rats following application of up to 2,000 mg/kg/day APFO as an aqueous paste to an area of the shaven back (approximately 15% of the total body surface) 6 hours/day, 5 days/week for 2 weeks (Kennedy 1985).

### **PFOS**

*Laboratory Animal Studies.* Unpublished data summarized by OECD (2002) indicate that inhalation exposure of rats to concentrations of PFOS dust between 1,890 and 45,970 mg/m<sup>3</sup> for 1 hour induced dry rales and other breathing disturbances.

Dosing of Cynomolgus monkeys with up to 2 mg/kg/day PFOS, administered in a capsule, for 4 weeks had no effect on the gross or microscopic morphology of the lungs (Thomford 2002a). Administration of doses of up to 0.75 mg/kg/day of PFOS (potassium salt) administered via a capsule to Cynomolgus monkeys for 26 weeks did not produce any gross or microscopic alterations in the lungs or the trachea (Seacat et al. 2002). Dosing rats with up to 1.04 mg PFOS/kg/day in the diet for 104 weeks did not induce significant gross or microscopic alterations in the lungs or trachea (Butenhoff et al. 2012b; Thomford 2002b).

## **PFHxS**

*Laboratory Animal Studies.* Examination of the respiratory tract of rats administered ≤10 mg/kg/day PFHxS or mice administered  $\leq$ 3 mg/kg/day by gavage in a reproductive study (40–60 days of dosing) showed no treatment-related effects (Butenhoff et al. 2009a; Chang et al. 2018).

# **PFNA**

*Laboratory Animal Studies.* Labored breathing during and after a 4-hour nose-only exposure to 590 mg/m3 PFNA dust was reported in rats (Kinney et al. 1989).

# **PFDA**

*Laboratory Animal Studies.* No histological alterations were observed in the respiratory tract of rats administered 0.5 mg/kg/day for 28 days or mice administered 5 mg/kg once a week for 4 weeks (Frawley et al. 2018).

# **PFBS**

*Laboratory Animal Studies.* Administration of PFBS at gavage doses of ≤900 mg/kg/day for 28 days (3M 2001) or 600 mg/kg/day for 90 days (Lieder et al. 2009a) had no significant effect on the gross or microscopic morphology of the lungs or trachea in rats; no increases in nasal lesions were observed in the 90-day study (Lieder et al. 2009a).

# **PFBA**

*Laboratory Animal Studies.* Administration of PFBA to rats by gavage in doses ≤184 mg/kg/day for 5 days (3M 2007a), ≤150 mg/kg/day for 28 days (Butenhoff et al. 2012a; van Otterdijk 2007a), or ≤30 mg/kg/day for 90 days (Butenhoff et al. 2012a; van Otterdijk 2007b) did not cause morphological alterations in the respiratory tract.

# **PFHxA**

*Laboratory Animal Studies.* Degeneration/atrophy of the nasal olfactory epithelium was observed in rats administered via gavage 100 mg/kg/day NaPFHx for 92–93 days (Loveless et al. 2009); at 500 mg/kg/day, respiratory metaplasia was observed in the nasal cavity. A second study did not report histological alterations in the nasal cavity of rats administered up to 200 mg/kg/day NaPFHx for 90 days (Chengelis et al. 2009b).

# **2.5 CARDIOVASCULAR**

*Overview.* Epidemiological and laboratory animal studies have evaluated the toxicity of perfluoroalkyls to the cardiovascular system. The epidemiological studies evaluated several cardiovascular outcomes including ischemic heart disease, cerebrovascular disease, stroke, cardiovascular disease, myocardial infarction, hypertension, and pregnancy-induced hypertension. The results of these studies are summarized in [Table](#page-124-0) 2-8, with more detailed descriptions presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 3. The available occupational, community, and general population studies have not consistently found increases in the risk of heart disease or stroke that were associated with serum PFOA levels. Considerably less epidemiological data are available for other perfluoroalkyls; general population studies for PFOS, PFHxS, PFNA, PFDA, PFHpA, and PFDoDA have not consistently found increases in the risk of cardiovascular disease, although single studies for PFUnA, PFBS, PFHxA, and FOSA have found associations. Most of the available epidemiological studies did not find an association between serum PFOA and hypertension. A small number of studies (three or less for each compound) have examined potential associations with hypertension for other perfluoroalkyls. These studies found associations (PFBA), no associations (PFHxS, PFDA, PFUnA, PFHpA, PFBS, PFDoDA), or mixed results (PFOS, PFNA).

Several studies have evaluated the possible associations between serum perfluoroalkyls and pregnancyinduced hypertension and pre-eclampsia. Pregnancy-induced hypertension, also referred to as gestational hypertension, is the onset of hypertension after the  $20<sup>th</sup>$  week of pregnancy. Pre-eclampsia is pregnancyinduced hypertension accompanied by signs of damage to another organ system, such as the kidney or liver; elevated levels of protein in the urine are often present. While the two diseases are distinct, they can be inaccurately reported in studies that relied on self-reporting or use of birth certificates (birth certificates often only have an option for pregnancy-induced hypertension; thus, pre-eclampsia may be reported as pregnancy-induced hypertension). Due to possibility of misreporting, ATSDR has opted to group these two outcomes together. Although mixed results were found in studies of highly exposed community residents, the strongest methodological study (Darrow et al. 2013) found an increased risk of pregnancy-induced hypertension that was associated with serum PFOA levels. Increases in the risk of pregnancy-induced hypertension associated with serum PFOS levels were also found in two community studies. General population studies have not found associations between serum PFHxS or PFDA and preeclampsia; one study on PFUnA found an inverse association.

<span id="page-124-0"></span>



































aSee the Supporting Document for Epidemiological Studies for Perfluoroalkyls, Table 3 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

CHD = coronary heart disease; FOSA = perfluorooctane sulfonamide; HR = hazard ratio; LHWA = Little Hocking Water Authority; NHANES = National Health and Nutrition Examination Survey; NR = not reported; NS = not significant; OR = odds ratio; PFBA = perfluorobutanoic acid; PFBS = perfluorobutane sulfonic acid; PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHpA = perfluoroheptanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid; SMR = standardized

mortality ratio; SPR = standard prevalence ratio; WTCHR = World Trade Center Health Registry

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Examination of the cardiovascular system in laboratory animals primarily consists of inhalation, oral, and dermal studies examining the heart for morphological alterations (see Tables [2-1,](#page-11-0) [2-3,](#page-16-0) [2-4,](#page-44-0) [2-5,](#page-66-0) and [2-6\)](#page-91-0). No studies in laboratory animals were identified for PFNA, PFUnA, PFHpA, or FOSA.

The laboratory animal studies did not find increases in the incidence of histological alterations in the heart following exposure to PFOA, PFOS, PFHxS, PFDA, PFBS, PFBA, PFDoDA, or PFHxA.

# **PFOA**

*Epidemiological Studies—Heart Disease.* Possible associations between PFOA exposure and increased risk of heart disease have been examined in cohort mortality studies of workers, community members living near a PFOA facility, and the general population. Occupational exposure studies have not found increases in deaths from all heart disease, cerebrovascular disease, or ischemic heart disease when compared to U.S. general populations, state populations, and/or a population of workers at other company facilities (Leonard 2006; Lundin et al. 2009; Raleigh et al. 2014; Steenland and Woskie 2012). One occupational exposure study found an increase in the risk of cerebrovascular disease in workers with definite exposure for at least 6 months compared to an internal referent group (Lundin et al. 2009). However, other studies have not found increased risks of ischemic heart disease (Raleigh et al. 2014; Sakr et al. 2009), cerebrovascular disease (Raleigh et al. 2014), or coronary artery disease (Steenland et al. 2015). In another occupational exposure study, the investigators noted that electrocardiograms (EKGs) were within normal limits (Sakr et al. 2007b).

Studies of residents living near the Washington Works facility in West Virginia reported increased risks of self-reported cardiovascular disease (Anderson-Mahoney et al. 2008), angina (Anderson-Mahoney et al. 2008), myocardial infarction (Anderson-Mahoney et al. 2008), and stroke (Anderson-Mahoney et al. 2008; Simpson et al. 2013). It is noted that the Anderson-Mahoney et al. (2008) study did not measure serum PFOA levels; the incidences of self-reported diseases were compared to NHANES rates. Another community study of residents in this area did not find an increased risk of coronary artery disease (Winquist and Steenland 2014a). Seven general population studies have examined possible associations between serum PFOA and heart disease risks. A case-control study did not find increases in the risk of coronary artery disease in subjects with median serum PFOA levels of 4.2 ng/mL (cases) or 4.0 ng/mL (controls) (Mattsson et al. 2015). Utilizing the NHANES data set, Shankar et al. (2012) found increases in the risk of peripheral arterial disease, coronary heart disease, or stroke in participants with serum PFOA levels in the 4th quartile (>5.6 and >6.1 ng/mL in females and males, respectively) and for cardiovascular

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disease in participants with serum PFOA levels in the  $3<sup>rd</sup>$  and  $4<sup>th</sup>$  quartiles (>4.0 and >4.4 ng/L for females and males, respectively). In contrast, two other NHANES studies did not find associations between serum PFOA and physician-diagnosed coronary artery disease, angina, and/or heart attack (Melzer et al. 2010) or total cardiovascular heart disease (Huang et al. 2018). Two general population studies did not find associations between serum PFOA levels and carotid intima media thickness (Lin et al. 2013a; Lind et al. 2017b). Another study did not find associations with arterial wall stiffness or arterial pulse wave velocity (Koshy et al. 2017).

*Epidemiological Studies—Hypertension.* Occupational, community, and general population exposure studies have investigated the possible association between PFOA and blood pressure, the risk of hypertension, and the risk of pregnancy-induced hypertension and/or pre-eclampsia. A study by Min et al. (2012) utilizing NHANES data found an increase in hypertension risk among participants with serum PFOA levels in the 4<sup>th</sup> quartile. Another general population study did not find an association between serum PFOA and the risk of hypertension, but did find associations between serum PFOA and systolic and diastolic blood pressure (Bao et al. 2017). In contrast, no increases in the risk of hypertension were observed in workers at the Washington Works facility (Steenland et al. 2015), adult community members living near this facility (Winquist and Steenland 2014a), or adolescent NHANES participants (Geiger et al. 2014a). Additionally, Manzano-Salgado et al. (2017b) did not find associations between maternal serum PFOA levels and blood pressure in children at ages 4 or 7 years. There is some epidemiological evidence suggesting that an elevated uric acid level is a risk factor for hypertension (Johnson et al. 2003; Sündstrom et al. 2005). Several occupational, community, and general population studies have found increases in uric acid levels and increased risks of hyperuricemia; these data are discussed in Section 2.10. Overall, the results of these studies are suggestive of a connection between serum PFOA and increased risk of hyperuricemia.

Several studies have examined the possible associations between PFOA and pregnancy-induced hypertension/pre-eclampsia. Four studies have evaluated the community living near the Washington Works facility using different approaches to assess PFOA exposure. Savitz et al. (2012a, 2012b) used residential history and environmental dispersion of PFOA to estimate serum PFOA levels over time. Stein et al. (2009) used serum PFOA levels measured in 2005–2006 to assess the risk of pre-eclampsia occurring prior to the blood sampling. Darrow et al. (2013) primarily used serum PFOA levels measured in 2005–2006 to assess the association with pregnancy-induced hypertension occurring after the blood samples were collected. Savitz et al. (2012a) found an increased risk of self-reported pre-eclampsia in C8 Health Project participants with elevated PFOA levels and Darrow et al. (2013) found significant

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increases in the odds ratios (ORs) for self-reported pregnancy-induced hypertension in women with higher PFOA (≥6.9 ng/mL) levels. A third study of highly exposed residents reported a weak association between serum PFOA and self-reported pre-eclampsia in subjects whose serum PFOA levels were above the median (Stein et al. 2009); however, there was no dose-response gradient. Using birth record data and serum PFOA levels predicted from addresses, Savitz et al. (2012b) found no consistent associations between serum PFOA and the occurrence of pregnancy-induced hypertension in participants in the C8 Health Project. Similarly, Stein et al. (2009) did not find increases in the odds of self-reported preeclampsia among C8 Health Project participants categorized by serum PFOA levels. Another study of residents of this area did not find increases in the risk of pregnancy-induced hypertension among residents living in an area where PFOA-contaminated water was supplied by the Little Hocking Water Authority (Nolan et al. 2010). A general population study did not find an association between plasma PFOA and the risk of pre-eclampsia (Starling et al. 2014a).

*Laboratory Animal Studies.* A small number of laboratory animal studies have evaluated the cardiovascular toxicity of PFOA. These studies focused on potential histological alterations in the heart; none of the available studies evaluated endpoints related to hypertension. No histopathological alterations were seen in the heart from rats exposed intermittently head-only to up to 84 mg/m<sup>3</sup> APFO dusts for 2 weeks (Kennedy et al. 1986). Administration of APFO in the diet at doses up to approximately 100– 110 mg/kg/day to male and female CD rats or 10 mg/kg/day by gavage to Rhesus monkeys did not cause gross or microscopic alterations in the heart or aorta (Griffith and Long 1980). Similar negative findings were reported in Cynomolgus monkeys administered up to 20 mg/kg/day APFO by capsule for 26 weeks (Butenhoff et al. 2002) and in male and female Sprague-Dawley rats that received doses of up to 15 mg/kg/day APFO for 2 years (3M 1983; Butenhoff et al. 2012c). No morphological alterations were seen in the heart from male rats dermally exposed to  $\leq 2,000$  mg/kg APFO for 2 weeks (Kennedy 1985).

**Summary.** Cardiovascular toxicity as assessed by deaths from heart disease, risk of heart disease, and risk of hypertension has been evaluated in workers, community members living near a PFOA facility, and the general population. In general, occupational exposure studies have not found increases in the risks of deaths from heart disease or in the risks of ischemic heart disease, cerebrovascular disease, or coronary disease. Inconsistent results have been found in a small number of studies examining residents living in areas with high PFOA drinking water contamination or the general population. Studies of hypertension have also not found associations between serum PFOA and hypertension risk. However, studies of highly exposed residents provide some suggestive evidence of an association between serum PFOA and

increased risks of pregnancy-induced hypertension/pre-eclampsia. Studies in laboratory animals did not find histological alterations in the heart following acute-, intermediate-, or chronic-duration oral exposure.

# **PFOS**

*Epidemiological Studies—Heart Disease.* Three studies have evaluated the possible association between PFOS and heart disease. Melzer et al. (2010) did not find an association between serum PFOS and the risk of physician-diagnosed coronary artery disease, angina, and/or heart attack among NHANES participants; Huang et al. (2018) did not find increases in the risk of cardiovascular disease among NHANES participants. In a case-control study (Mattsson et al. 2015), no alterations in the risk of coronary artery disease were observed. Lin et al. (2013a) found an association between serum PFOS levels and carotid intima media thickness in a general population study. When the subjects were divided into subpopulations, associations between PFOS and carotid intima media thickness were found for females, nonsmokers, subjects 12–19 years of age, BMI <24, and those with an apolipoprotein E genotype of E2 carrier or E3/E3. A second study of 70-year-old subjects did not find associations between serum PFOS and the intima media thickness of the common carotid artery (Lind et al. 2017b). Similarly, no alterations in arterial wall stiffness or pulse wave velocity were found in children enrolled in the World Trade Center Health Registry (Koshy et al. 2017).

*Epidemiological Studies—Hypertension.* An increased risk of hypertension associated with serum PFOS levels were observed in adults; when categorized by sex, the association was only found in females (Bao et al. 2017). The study also found associations for systolic and diastolic blood pressure in males and females combined and in females only. No increases in the risk of hypertension associated with serum PFOS levels were observed in adolescent NHANES participants (Geiger et al. 2014a). Similarly, no associations between maternal serum PFOS levels and blood pressure were found in children at ages 4 and 7 years (Manzano-Salgado 2017b). Two studies found increases in the risk of self-reported pregnancy-induced hypertension (Darrow et al. 2013) or self-reported pre-eclampsia (Stein et al. 2009) associated with serum PFOS levels among C8 participants. No increase in the risk of pre-eclampsia was observed in a general population study (Starling et al. 2014b).

*Laboratory Animal Studies.* Studies in laboratory animal studies have evaluated the cardiovascular toxicity of PFOS but have not evaluated endpoints related to hypertension. Administration of doses of up to 0.75 mg/kg/day PFOS (potassium salt) via capsule to Cynomolgus monkeys for 26 weeks did not cause any significant gross or microscopic alterations in the heart or aorta (Seacat et al. 2002). Rats that

received up to approximately 1.04 mg/kg/day of PFOS in the diet for 2 years had no significant gross or microscopic changes in the heart (Butenhoff et al. 2012b; Thomford 2002b).

# **PFHxS**

*Epidemiological Studies.* Eight general population studies examined possible cardiovascular outcomes associated with PFHxS exposure. No increases in the risk of coronary artery disease (Mattsson et al. 2015) or cardiovascular disease (Huang et al. 2018) were found. Serum PFHxS levels were not associated with arterial wall stiffness (Koshy et al. 2017) or carotid artery intima media thickness (Lind et al. 2017b). Studies examining blood pressure have not found associations in adults (Bao et al. 2017) or children (Manzano-Salgado et al. 2017b). Additionally, no association between serum PFHxS and preeclampsia were found (Starling et al. 2014b).

*Laboratory Animal Studies.* Dosing of rats with≤10 mg/kg/day PFHxS or mice with ≤3 mg/kg/day by gavage for 40–60 days did not cause morphological alterations in the heart (Butenhoff et al. 2009a; Chang et al. 2018).

# **PFNA**

*Epidemiological Studies.* In a general population study, an inverse association between serum PFNA levels and carotid intima media thickness was observed (Lin et al. 2013a). The investigators suggested that this finding may be secondary to an interaction between higher serum PFOS levels and lower serum PFNA levels in the study population. Associations were only found in subjects with serum PFOS higher than the  $50<sup>th</sup>$  percentile regardless of whether the serum PFNA was higher or lower than the  $60<sup>th</sup>$ percentile. A second study did not find an association between serum PFNA and intima media thickness (Lind et al. 2017b), but did find an association with the echogenicity of the intima media complex, an indicator of early changes in the carotid artery. Koshy et al. (2017) also found an association between serum PFNA and arterial wall stiffness in children enrolled in the World Trade Center Health Registry. Increased risks of cardiovascular disease, coronary heart disease, and heart attack were found in NHANES participants (Huang et al. 2018). In contrast, another general population study did not find increases in the risk of coronary heart disease (Mattsson et al. 2015). An association between serum PFNA and hypertension risk and systolic and diastolic blood pressure was found in a general population study (Bao et al. 2017). Manzano-Salgado et al. (2017b) did not find associations between maternal

serum PFNA and blood pressure in children aged 4 or 7 years, and Starling et al. (2014b) did not find associations between serum PFNA and pre-eclampsia (Starling et al. 2014b).

# **PFDA**

*Epidemiological Studies.* In a study of NHANES participants, Huang et al. (2018) found an increased risk of any type of cardiovascular disease among participants with the highest serum PFDA levels when the statistical analyses adjusted for serum total protein levels and estimated glomerular filtration rate; however, no associations were found for specific types of cardiovascular disease. In another general population study, Mattsson et al. (2015) found no association between serum PFDA and the risk of coronary artery disease. Studies examining carotid artery intima media thickness or arterial wall stiffness of the brachial artery did not find associations with serum PFDA levels (Koshy et al. 2017; Lind et al. 2017b). Although Bao et al. (2017) did not find an association between serum PFDA levels and the risk of hypertension or systolic blood pressure levels, associations were found in diastolic blood pressure levels in males only and in males and females combined. No association was found between serum PFDA and pre-eclampsia (Starling et al. 2014b).

*Laboratory Animal Studies.* Death in female C57BL/6N mice following administration of a single lethal dose of 160 or 320 mg/kg PFDA by gavage was associated with mural thrombosis of the left ventricle of the heart (Harris et al. 1989). Doses ≤80 mg/kg did not cause gross or microscopic alterations in the heart, assessed 30 days after dosing, but 80 mg/kg significantly decreased relative heart weight (Harris et al. 1989).

### **PFUnA**

*Epidemiological Studies.* Serum PFUnA levels were associated with increased risks of any type of cardiovascular disease, coronary heart disease, and angina pectoris in NHANES participants (Huang et al. 2018). No associations between serum PFUnA levels and the risk of hypertension of systolic or diastolic blood pressure were observed (Bao et al. 2017). Starling et al. (2014b) found an inverse association between serum PFUnA levels and the risk of pre-eclampsia in pregnant women. No associations between serum PFUnA levels and carotid intima artery thickness (Lin et al. 2013a; Lind et al. 2017b) or brachial artery wall stiffness (Koshy et al. 2017) were observed in general population studies. Another general population study (Mattsson et al. 2015) did not find an increase in the risk of coronary artery disease associated with serum PFUnA levels.

### **PFHpA**

*Epidemiological Studies.* Mattsson et al. (2015) found an increase in the risk of coronary artery disease in individuals with serum PFHpA levels in the  $3<sup>rd</sup>$  quartile; however, the risk was not increased for those with serum levels in the 4<sup>th</sup> quartile. A study of NHANES participants did not find an association between the serum PFHpA levels and any type of cardiovascular disease or a specific type of heart disease (Huang et al. 2018). No associations between serum PFHpA and the thickness of the intima media of the common carotid artery were observed in a general population study of 70-year-old adults (Lind et al. 2017b). Bao et al. (2017) did not find an association between serum PFHpA levels and the risk of hypertension; the study did find associations for systolic and diastolic blood pressure levels in males only.

# **PFBS**

*Epidemiological Studies.* Two general population studies have evaluated the potential associations between serum PFBS and cardiovascular effects. Huang et al. (2018) found increased risks of cardiovascular disease (all types combined) in NHANES participants with serum PFBS levels in the  $2<sup>nd</sup>$  quartile and higher; however, no associations were found for specific disease types. Bao et al. (2017) did not find associations between serum PFBS levels and the risk of hypertension or systolic or diastolic blood pressure levels among adults.

*Laboratory Animal Studies.* No morphological alterations were reported in the heart or aorta from rats dosed with ≤900 mg/kg/day PFBS by gavage for 28 days (3M 2001) or ≤600 mg/kg/day PFBS for 90 days (Lieder et al. 2009a).

## **PFBA**

*Epidemiological Studies.* Only one epidemiological study examined potential cardiovascular health outcomes. Bao et al. (2017) found increases in the risk of hypertension in male and female adults, which was associated with serum PFBA levels. Systolic blood pressure levels were also associated with serum PFBA levels in males and females combined or in males only; no associations were found for diastolic blood pressure.
*Laboratory Animal Studies.* PFBA administered to rats by gavage in doses of up to 184 mg/kg/day for 5 days, 150 mg/kg/day for 28 days, or 30 mg/kg/day for 90 days did not induce gross or microscopic alterations in the heart (3M 2007a; Butenhoff et al. 2012a; van Otterdijk 2007a, 2007b).

## **PFDoDA**

*Epidemiological Studies.* No increase in the risk of coronary heart disease associated with serum PFDoDA levels was found in a general population study (Mattsson et al. 2015). In contrast, Huang et al. (2018) found increased risks of cardiovascular disease (any type), congestive heart failure, or angina pectoris in NHANES participants with higher serum PFDoDA levels. Bao et al. (2017) reported associations between serum PFDoDA levels in systolic and diastolic blood pressure levels among women, but there was no association with the risk of hypertension.

*Laboratory Animal Studies.* No histological alterations were observed in male rats administered 2.5 mg/kg/day for 42 days (Kato et al. 2015).

## **PFHxA**

*Epidemiological Studies.* An increased risk of cardiovascular disease (any type) was found in NHANES participants with higher serum PFHxA levels (Huang et al. 2018). A study of 70-year-old adults reported increases in the intima media thickness in the common carotid artery that was associated with serum PFHxA levels (Lind et al. 2017b).

*Laboratory Animal Studies.* No histological alterations were observed in the heart of rats administered up to 500 mg/kg/day NaPFHx for 90–93 days (Chengelis et al. 2009b; Loveless et al. 2009).

### **FOSA**

*Epidemiological Studies.* Serum FOSA levels were associated with an increased risk of cardiovascular disease (any type) in a study of NHANES participants (Huang et al. 2018). Increases in the intima media thickness in the common carotid artery was associated with serum FOSA levels in a study of 70-year-old men and women (Lind et al. 2017b).

### **2.6 GASTROINTESTINAL**

*Overview.* Available epidemiological data on the potential of perfluoroalkyls to induce gastrointestinal effects are limited to two studies of workers at a PFOS facility that found mixed results on the possible association between PFOS and colon polyps; summaries of these studies are presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 4. Epidemiological studies examining potential gastrointestinal effects were not identified for the other perfluoroalkyls. Studies examining ulcerative colitis are discussed in Section 2.14, Immunological. Laboratory animal studies have examined the gastrointestinal tract for morphological alterations following inhalation, oral, or dermal exposure to PFOA (Tables [2-1,](#page-11-0) [2-3,](#page-16-0) and [2-6\)](#page-91-0), oral exposure to PFOS [\(Table 2-4\)](#page-44-0), and oral exposure to other perfluoroalkyls [\(Table 2-5\)](#page-66-0); the NOAELs and LOAELs are presented in Figures [2-6,](#page-13-0) [2-8,](#page-40-0) and [2-9.](#page-62-0) No laboratory animal studies were identified for PFNA, PFUnA, PFHpA, or FOSA. Studies on PFOA and PFBS have reported some signs of gastrointestinal irritation following gavage administration. Most studies did not report histological alterations in the gastrointestinal tract following exposure to PFOA, PFOS, PFHxS, PFDA, PFBA, PFDoDA, or PFHxA.

## **PFOA**

*Laboratory Animal Studies.* The available data in rats and monkeys do not suggest that the gastrointestinal tract is a sensitive target of toxicity, although two studies did report some signs of irritation. Stomach irritation was reported in male rats exposed head-only to  $\geq$ 380 mg/m<sup>3</sup> APFO dusts for 4 hours (Kennedy et al. 1986). No histopathological alterations were seen in the stomach, small intestine, or large intestine from male rats exposed intermittently nose-only to up to 84 mg/m<sup>3</sup> APFO dusts for 2 weeks (Kennedy et al. 1986).

No significant gross or microscopic alterations of the gastrointestinal tract were observed in male or female rats exposed to approximately 100–110 mg/kg/day APFO through the diet for 90 days (Griffith and Long 1980). Similar observations were reported in male and female rats exposed to 15 mg/kg/day APFO via the diet for 2 years (3M 1983; Butenhoff et al. 2012c). The same investigators also reported that emesis occurred in Rhesus monkeys exposed to lethal doses (30 and 100 mg/kg/day) of APFO by gavage for 90 days (Griffith and Long 1980). In another intermediate-duration study in which Cynomolgus monkeys were exposed to up to 20 mg/kg/day APFO administered via a capsule for

26 weeks, no treatment-related alterations in the gastrointestinal tract were observed at termination (Butenhoff et al. 2002).

Intermittent application of up to 2,000 mg/kg/day APFO to the skin of male rats for up to 2 weeks did not result in gross or microscopic alterations in the gastrointestinal tract (Kennedy 1985).

## **PFOS**

*Epidemiological Studies.* There are limited data available on the potential of PFOS to induce gastrointestinal damage. A study of current, retired, or former workers employed for at least 1 year at a PFOS-based fluorochemical manufacturing facility in Decatur, Alabama found no association between self-reported incidence of gastric ulcer or colon polyps and having worked in a job with either low (estimated serum PFOS levels of 390–890 ng/mL) or high (estimated PFOS serum levels of 1,300– 1,970 ng/mL) exposure to PFOS, as compared to workers with no direct workplace exposure (estimated serum PFOS levels of 110–290 ng/mL) (Grice et al. 2007). A second study of workers at the Decatur facility found an increase in the risk ratio episodes of care for benign colonic polyps in workers with high potential exposure to PFOS (Olsen et al. 2004a).

*Laboratory Animal Studies.* Unpublished data summarized by OECD (2002) indicate that distension of the small intestine was observed in rats exposed to lethal concentrations of airborne PFOS dusts (1,890– 45,970 mg/m<sup>3</sup>) for 1 hour. Treatment of rats with up to approximately 1.04 mg/kg/day PFOS via the diet for 2 years did not induce morphological alterations in the gastrointestinal tract (Butenhoff et al. 2012b; Thomford 2002b).

## **PFHxS**

*Laboratory Animal Studies.* No morphological alterations were observed in the gastrointestinal tract of rats administered  $\leq$ 10 mg/kg/day or mice administered  $\leq$ 3 mg/kg/day PFHxS via gavage for 40–60 days (Butenhoff et al. 2009a; Chang et al. 2018).

## **PFDA**

*Laboratory Animal Studies.* Administration of 0.5 mg/kg/day PFDA to rats for 28 days or 5 mg/kg to mice for 4 weeks (once/week) did not result in histological alterations in the gastrointestinal tract (Frawley et al. 2018).

## **PFBS**

*Laboratory Animal Studies.* Necrosis of individual squamous cells and hyperplasia and hyperkeratosis were observed in the limiting ridge of the forestomach of male and female rats administered 600 mg/kg/day PFBS via gavage for 90 days (Lieder et al. 2009a); these lesions were likely due to irritation from the repeated gavage administration with PFBS. In another study, no morphological alterations were observed in the gastrointestinal tract of rats administered ≤900 mg/kg/day PFBS via gavage for 28 days (3M 2001).

## **PFBA**

*Laboratory Animal Studies.* Administration of PFBA to rats by gavage in doses of up to 184 mg/kg/day for 5 days, 150 mg/kg/day for 28 days, or 30 mg/kg/day for 90 days did not cause morphological alterations in the gastrointestinal tract (3M 2007a; Butenhoff et al. 2012a; van Otterdijk 2007a, 2007b).

## **PFDoDA**

*Laboratory Animal Studies.* No histological alterations were observed in the gastrointestinal tract of male rats receiving gavage administration of 2.5 mg/kg/day for 42 days or in male and female rats administered 42 mg/kg/day for 42 days and allowed to recover for 14 days (Kato et al. 2015).

### **PFHxA**

*Laboratory Animal Studies.* Rat administered 200 mg/kg/day NaPFHx for 90 days did not exhibit histological alterations in the gastrointestinal tract (Chengelis et al. 2009b). Erosions/ulcerations were observed in the glandular or nonglandular stomach of rats receiving gavage doses of 450 mg/kg/day PFHxA for 4 days; all animals exhibiting these lesions died early or were sacrificed *in extremis*

(Kirkpatrick 2005). No gastrointestinal lesions were observed in rats administered a time-weighted average (TWA) dose of 315 mg/kg/day for 32–44 days (Kirkpatrick 2005).

## **2.7 HEMATOLOGICAL**

*Overview.* A small number of epidemiological studies have evaluated hematological endpoints in workers exposed to PFOA or PFOS and in a community exposure study; these studies did not find alterations in hematological indices; epidemiological data were not identified for the other perfluoroalkyls. Details of these studies are presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 5. Laboratory animal studies have evaluated potential alterations in hematological endpoints for a variety of perfluoroalkyls (Tables [2-1,](#page-11-0) [2-3,](#page-16-0) [2-4,](#page-44-0) [2-5,](#page-66-0) and [2-6\)](#page-91-0). No studies examining hematological endpoints were identified for PFNA, PFHpA, or FOSA. Some laboratory animal studies have reported alterations in hematological indices following exposure to higher doses of PFOA, PFOS, PFHxS, PFDA, PFUnA, PFBS, PFBA, PFDoDA, or PFHxA.

## **PFOA**

*Epidemiological Studies.* Information on effects on hematological parameters is available from a study of residents in the Little Hocking water district in southeastern Ohio where there was significant environmental exposure to PFOA via the water supply (Emmett et al. 2006b). No significant correlations between any of the hematology parameters evaluated (including hemoglobin, hematocrit, red blood cell indices, white cell count, and platelet count) and serum PFOA were observed, whether the analysis included all of the individuals as a group or separate analyses were done for adults or children. In an occupational study, the investigators reported no alterations in blood counts in workers, with a range of serum PFOA levels of 5–9,550 ng/mL (Sakr et al. 2007b). A second occupational exposure study found an inverse association between serum fluorine (used as a measure of PFOA exposure) and hemoglobin levels (Gilliland 1992); no alterations in mean corpuscular hemoglobin or volume were found. Although no associations were found for total leukocyte counts, an inverse association with lymphocyte count and association with monocyte counts was found.

*Laboratory Animal Studies.* No treatment-related hematological alterations were reported in male rats exposed intermittently nose-only to up to 84 mg/m<sup>3</sup> APFO dusts for 2 weeks (Kennedy et al. 1986). The specific parameters evaluated included erythrocyte counts, hemoglobin concentration, hematocrit, and differential leukocyte counts.

No significant hematological alterations were reported in male and female rats orally dosed with approximately 100–110 mg/kg/day APFO in diet for 90 days (Griffith and Long 1980). Similar results were reported in Cynomolgus monkeys treated daily with up to 20 mg/kg/day APFO administered via a capsule (Butenhoff et al. 2002; Thomford 2001) or in Rhesus monkeys dosed daily by gavage with up to 30 mg/kg/day (Griffith and Long 1980). In a 2-year dietary study in rats dosed with 1.5 or 15 mg/kg/day APFO, hematology tests performed at various times during the study showed changes in treated groups consisting of decreases in red blood cell counts, hemoglobin concentration, and hematocrit that were not always dose-related or consistent among sexes and were within acceptable ranges for the rat (3M 1983; Butenhoff et al. 2012c).

Hematology tests (erythrocyte count, hemoglobin concentration, hematocrit, total and differential leukocyte count, and red cell indices) conducted in blood from rats following intermittent dermal exposure to  $\leq 2,000$  mg/kg/day APFO for 2 weeks showed inconsistent alterations or changes of unlikely biological significance (Kennedy 1985).

## **PFOS**

*Epidemiological Studies.* Two occupational exposure studies (Olsen et al. 1998a, 2003a) have examined the potential association between serum PFOS and hematological parameters (including hematocrit, hemoglobin, red blood cells, white blood cells, and platelets) in workers at 3M facilities in Decatur, Alabama and Antwerp, Belgium; mean measured levels of serum PFOS ranged from 800 to 2,440 ng/mL. No consistent alterations in hematological parameters were observed at either facility or at the different measuring time points.

*Laboratory Animal Studies.* Treatment of male and female rats with approximately 1.5–1.8 mg/kg/day PFOS (potassium salt) in the diet for 4 weeks did not result in significant alterations in hematological parameters (Seacat et al. 2003). Oral dosing with 1.3–1.6 mg/kg/day for 14 weeks resulted in a significant increase (45%) in non-segmented neutrophils (Seacat et al. 2003). The biological significance of this finding was not discussed by the investigators. In a 4-week study, oral administration of up to 2 mg/kg/day PFOS to Cynomolgus monkeys had no effect on hematological parameters (Thomford 2002a). In Cynomolgus monkeys dosed with 0, 0.03, 0.15, or 0.75 mg/kg/day PFOS (potassium salt) administered via a capsule for 26 weeks and subjected to comprehensive hematological tests during the study, the only significant effect was a 9% decrease in hemoglobin in 0.75 mg/kg/day males at

termination (Seacat et al. 2002). The investigators considered this a treatment-related effect, but not biologically significant given that the value was within the published range and there was no evidence of blood in the stools. No significant hematological effects were reported in a 2-year study in rats dosed with approximately 1.04 mg/kg/day PFOS in the diet (Butenhoff et al. 2012b; Thomford 2002b).

## **PFHxS**

*Laboratory Animal Studies.* Treatment of male rats with doses ≥0.3 mg/kg/day PFHxS by gavage for at least 42 days significantly increased prothrombin time (Butenhoff et al. 2009a). Doses ≥1 mg/kg/day significantly decreased hemoglobin concentration, whereas  $\geq$ 3 mg/kg/day decreased erythrocyte count and hematocrit; the decrease in hemoglobin (<5%) was not considered adverse at 1 mg/kg/day. Oral treatment of female rats with up to 10 mg/kg/day PFHxS did not significantly alter hematological parameters (Butenhoff et al. 2009a). No alterations in hematological parameters were observed in mice administered up to 3 mg/kg/day prior to mating and during mating, gestation, and lactation (Chang et al. 2018).

## **PFDA**

*Laboratory Animal Studies.* Significant decrease in mean corpuscular hemoglobin and mean corpuscular hemoglobin concentration were observed in rats administered 0.25 or 0.5 mg/kg/day for 28 days (Frawley et al. 2018). No other alterations in hematological parameters were observed. Hematological alterations were also not observed in mice receiving once weekly doses of 5 mg/kg PFDA for 4 weeks (Frawley et al. 2018).

## **PFUnA**

*Laboratory Animal Studies.* Treatment of rats with 1.0 mg/kg/day PFUnA via gavage for 41–46 days resulted in significant hematological changes (Takahashi et al. 2014). Effects in males included decreased mean corpuscular volume (MCV) (5%), mean corpuscular hemoglobin (MCH) (5%), activated partial thromboplastin time (APTT) (16–25%), and fibrinogen (19–33%), and increased platelet counts (13%) and white blood cells (7%). In females, there were increases in MCV (10%) and MCH (10%) and a decrease in fibrinogen (32%). The NOAEL was 0.3 mg/kg/day.

#### **PFBS**

*Laboratory Animal Studies.* A 90-day exposure to PFBS resulted in significant decreases in hemoglobin and hematocrit levels in males orally administered 200 or 600 mg/kg/day, and a decrease in erythrocyte levels was observed in males administered 600 mg/kg/day; the NOAEL was 60 mg/kg/day (Lieder et al. 2009a). In contrast, no hematological alterations were observed in rats administered 900 mg/kg/day PFBS for 28 days (3M 2001).

## **PFBA**

*Laboratory Animal Studies.* Administration of PFBA by gavage to rats in doses of up to 184 mg/kg/day for 5 days (3M 2007a) or up to 150 mg/kg/day for 28 days (Butenhoff et al. 2012a; van Otterdijk 2007a) did not result in significant alterations in hematological parameters. Oral doses of 30 mg/kg/day, but not 6 mg/kg/day, for 90 days resulted in significant reductions in red blood cell counts, hemoglobin, and hematocrit, and an increase in red cell distribution width in male rats (Butenhoff et al. 2012a; van Otterdijk 2007b). This dose level also caused a reduction in MCH and reduced MCH concentration in male rats. The lower hemoglobin and hematocrit observed in males were still detected at the end of a 3-week recovery period. These hematological effects were considered minor and not evidence of an adverse effect on red blood cell turnover by the investigator based on lack of alterations in bone marrow or the spleen.

### **PFDoDA**

*Laboratory Animal Studies.* Gavage administration of 2.5 mg/kg/day for 42 days resulted in decreases in mean corpuscular volume and reticulocytes and increases in mean corpuscular hemoglobin concentration in male rats (Kato et al. 2015). In animals allowed to recover for 14 days, decreases in red blood cells, hemoglobin, hematocrit, and leukocyte levels and increases in reticulocytes were observed. In females administered 2.5 mg/kg/day for 42 days and allowed to recover for 14 days, decreases in hemoglobin, hematocrit, and mean corpuscular hemoglobin and increases in neutrophil levels were observed (Kato et al. 2015).

## **PFHxA**

*Laboratory Animal Studies.* Several studies in rats have identified the hematological system as a target of PFHxA toxicity. Decreases in red blood cell counts, hemoglobin levels, and/or hematocrit levels and increases in reticulocyte levels have been observed in rats administered 315 mg/kg/day PFHxA for 32– 44 days (Kirkpatrick 2005), 200 mg/kg/day NaPFHx for 90 days (Chengelis et al. 2009b), 500 mg/kg/day NaPFHx for 92–93 days (Loveless et al. 2009), or 200 mg/kg/day PFHxA for 104 weeks (Klaunig et al. 2015). A decrease in hemoglobin levels was also observed in rats administered 150 mg/kg/day PFHxA for 32–44 days (Kirkpatrick 2005). Hematological alterations were not observed at doses ≤100 mg/kg/day. Hematological alterations were only observed in female rats in the Klaunig et al. (2015) study and only in males in the Kirkpatrick (2005) study; sex-specific differences were not observed in the Chengelis et al. (2009b) or Loveless et al. (2009) intermediate-duration studies.

## **2.8 MUSCULOSKELETAL**

*Overview.* Several epidemiological studies have evaluated possible associations between perfluoroalkyls and bone mineral density, risk of bone fractures, and risk of osteoarthritis; the results of these studies are summarized in [Table 2-9,](#page-153-0) with more detailed descriptions presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 6. Several cross-sectional community and general population studies have found associations between serum PFOA and the risk of osteoarthritis, particularly in participants under the age of 55 years. However, associations were not found in a study of mostly male workers. Mixed results were found in studies of PFOS, with studies finding a decreased risk of osteoarthritis, increased risk in women under 50 years of age, or no association. One general population study found increased risks of osteoarthritis associated with serum PFHxS and PFNA. The data provide some suggestive evidence of a relationship between serum perfluoroalkyls and osteoarthritis. Assessing whether there is an association between perfluoroalkyl exposure and osteoarthritis is complicated by the lack of mechanistic data to support this association and it is noted that there are a number of factors that contribute to the osteoarthritis risk, and that some of these factors may be affected by perfluoroalkyls, including elevations in uric acid levels. Epidemiological information on bone mineral density is limited to a study of women and a study of children both examining PFOA, PFOS, PFHxS, and PFNA; the database was not considered adequate for assessing possible associations. No epidemiological studies evaluating musculoskeletal outcomes were identified for PFDA, PFUnA, PFHpA, PFBS, PFBA, PFDoDA, PFHxA, or FOSA. No morphological alterations were noted in bone or skeletal muscle in

<span id="page-153-0"></span>



## **Table 2-9. Summary of Skeletal Outcomes in Humansa**



## **Table 2-9. Summary of Skeletal Outcomes in Humansa**



aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 6 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

NHANES = National Health and Nutrition Examination Survey; NS = not significant; OR = odds ratio; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid

laboratory animals following exposure to PFOA, PFOS, PFHxS, PFBS, PFBA, or PFHxA; these data are summarized in Tables [2-1,](#page-11-0) [2-3,](#page-16-0) [2-4,](#page-44-0) and [2-5](#page-66-0) and Figures [2-6,](#page-13-0) [2-8,](#page-40-0) [2-9,](#page-62-0) and [2-10.](#page-86-0) No laboratory animal data were available for PFNA, PFDA, PFUnA, PFHpA, PFDoDA, or FOSA.

## **PFOA**

*Epidemiological Studies.* Several studies have examined the possible association between serum PFOA levels and the risk of osteoarthritis; the possible mechanisms associated with these findings have not been elucidated. In an occupational study (80% male), no association between estimated cumulative serum PFOA levels and the risk of osteoarthritis was found (Steenland et al. 2015). Innes et al. (2011) examined adult participants in the C8 Health Project and found that the odds of reporting osteoarthritis were higher in participants with serum PFOA levels in the  $2<sup>nd</sup>$ ,  $3<sup>rd</sup>$ , and  $4<sup>th</sup>$  quartiles compared to participants in the 1<sup>st</sup> quartile. When segregated by age and BMI, the strongest associations between serum PFOA levels and osteoarthritis were found in subjects under 55 years of age and in nonobese (BMI <30) subjects. Increases in the risk of osteoarthritis associated with serum PFOA levels were observed in female NHANES participants (Uhl et al. 2013); there were no associations in men. When stratified by age, the associations were found in women 20–49 years of age, but not in older women (50–84 years old) (Uhl et al. 2013). An association between increases in risk of osteoporosis and serum PFOA levels was found in another study of female NHANES participants (Khalil et al. 2016). Two studies of adult NHANES participants found no associations between serum PFOA and bone mineral density of the total femur (Khalil et al. 2016), hip (Lin et al. 2014), or lumbar spine (Khalil et al. 2016; Lin et al. 2014); however, an inverse association was found in the neck portion of the femur in the Khalil et al. (2016) study. A study in obese children did not find an association between serum PFOA levels and measures of bone mineral density (Khalil et al. 2018). Additionally, Lin et al. (2014) did not find associations between serum PFOA levels and the risk of bone fractures (total fractures, hip fractures, wrist fractures, or spine fractures) in premenopausal women, postmenopausal women, or men.

*Laboratory Animal Studies.* In male rats exposed head-only to up to 84 mg/m3 APFO dusts for up to 2 weeks, examinations of the sternebrae were unremarkable (Kennedy et al. 1986). Similarly, no gross or microscopic alterations were reported in the sternum from rats following dietary exposure to 100– 110 mg/kg/day APFO for 90 days (Griffith and Long 1980) or in the femur, sternum, or thigh skeletal muscle from Cynomolgus monkeys dosed with up to 20 mg/kg/day APFO administered via a capsule for 26 weeks (Butenhoff et al. 2002). *In utero* exposure to 0.3 mg/kg/day PFOA resulted in morphometrical alterations in the femur (increases in the periosteal area) and decreases in bone mineral density in the tibia

of 13- or 17-month-old mice (Koskela et al. 2016). No alterations in biomechanical properties were found.

## **PFOS**

*Epidemiological Studies.* Several epidemiological studies have evaluated the potential of PFOS to induce skeletal damage. In the participants of the C8 Health Study, a decreased risk of osteoarthritis was found in participants with serum PFOS levels in the 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> quartiles (Innes et al. 2011). In contrast, Uhl et al. (2013) found an increased risk of osteoarthritis in NHANES participants with serum levels of >20.97 ng/mL. When categorized by sex and age, the osteoarthritis risk was approximately 5 times higher in women aged 20–49 years with serum PFOS levels in the  $4<sup>th</sup>$  quartile. Another study of NHANES participants (Khalil et al. 2016) did not find an increased risk of osteoporosis in women. However, the study did find an inverse association between serum PFOS and femur neck bone mineral density, but no associations with total femur or lumbar spine bone mineral density. No associations between serum PFOS levels and measures of bone mineral density were observed in a study of obese children (Khalil et al. 2018).

*Laboratory Animal Studies.* Treatment of monkeys with up to 0.75 mg/kg/day PFOS (potassium salt) administered via a capsule for 26 weeks had no significant effect on the gross or microscopic appearance of the femur, sternum, or thigh skeletal muscle (Seacat et al. 2002). Similar observations were made in rats treated with up to 1.04 mg/kg/day PFOS in the diet for 2 years (Butenhoff et al. 2012b; Thomford 2002b).

## **PFHxS**

*Epidemiological Studies.* A study of NHANES participants found an increase in the risk of osteoporosis among women that was associated with serum PFHxS levels (Khalil et al. 2016). An inverse association between serum PFHxS (fourth quartile) and total femur bone mineral density was also found in women. There were no associations between serum PFHxS and femur neck or lumbar spine bone mineral density (Khalil et al. 2016). In contrast, no association between serum PFHxS levels and bone mineral density were observed in obese children (Khalil et al. 2018).

*Laboratory Animal Studies.* No histological alterations were observed in bone or muscle of mice administered up to 3 mg/kg/day prior to mating and during mating, gestation, and lactation periods (Chang et al. 2018).

## **PFNA**

*Epidemiological Studies.* Khalil et al. (2016) found an increase in the risk of osteoporosis in women NHANES participants that was associated with serum PFNA levels. Increasing serum PFNA levels did not result in alterations in bone mineral density of the lumbar spine or femur neck, but was inversely associated with total femur bone mineral density in women with serum PFNA levels in the fourth quartile. A study of 48 obese children found an inverse association between serum PFNA levels and bone mineral density; however, the association was no longer significant after adjusting for multiple testing (Khalil et al. 2018).

### **PFBS**

*Laboratory Animal Studies.* Treatment of rats with up to 900 mg/kg/day PFBS by gavage for 28 days (3M 2001) or 90 days (Lieder et al. 2009a) did not induce morphological alterations in skeletal muscle.

## **PFBA**

*Laboratory Animal Studies.* PFBA administered to rats by gavage in doses of up to 184 mg/kg/day for 5 days did not induce morphological alterations in skeletal muscle (3M 2007a). Administration of 150 mg/kg/day PFBA for 28 days or 30 mg/kg/day for 90 days did not induce gross or microscopic alterations in bone (femur and sternum) or skeletal muscle (Butenhoff et al. 2012a; van Otterdijk 2007a, 2007b).

## **PFHxA**

*Laboratory Animal Studies.* An intermediate-duration gavage study did not find histological alterations in the bone or muscle of rats administered up to 200 mg/kg/day NaPFHx for 90 days (Chengelis et al. 2009b).

## **2.9 HEPATIC**

*Overview.* Epidemiological studies on perfluoroalkyls have examined three potential hepatic outcomes: liver disease, alterations in serum enzyme and bilirubin levels, and alterations in serum lipid levels. Summaries of the epidemiological studies examining these outcomes are presented in Tables [2-10,](#page-161-0) [2-11,](#page-163-0) and [2-12,](#page-169-0) with more detailed descriptions presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 7. There are limited epidemiological data on potential associations between serum perfluoroalkyls and risk of liver disease. Occupational exposure and community studies did not find increased risk of liver disease associated with PFOA or PFOS. As assessed by serum enzyme and bilirubin levels, the epidemiological studies provide suggestive evidence of liver damage. Increases in aspartate aminotransferase (AST), alanine aminotransferase (ALT), and gamma-glutamyl transferase (GGT) levels and decreases in serum bilirubin levels have been reported in occupational, community, and/or general population studies. These increases in serum enzyme levels, particularly ALT, are associated with increasing levels of PFOA, PFOS, and PFHxS; it is noted that there is considerable variability across studies and not all of the studies adjusted for potential confounders. No consistent results were found for PFNA. The results of available epidemiological studies suggest associations between increases in serum lipids, particularly total cholesterol and LDL cholesterol, and serum PFOA, PFOS, PFNA, and PFDA. For PFHxS, PFUnA, PFHpA, PFBS, PFBA, and PFDoDA, there are too few studies or the results are too inconsistent to determine if they also would affect serum lipid levels at environmental exposure levels. No epidemiological studies examining hepatic endpoints were identified for PFHxA or FOSA.

Numerous animal studies have evaluated the hepatotoxicity of perfluoroalkyls following inhalation, oral, and dermal exposure; summaries of these studies are presented in Tables [2-1,](#page-11-0) [2-2,](#page-14-0) [2-3,](#page-16-0) [2-4,](#page-44-0) [2-5,](#page-66-0) and [2-6](#page-91-0) and the NOAEL and LOAEL values are graphically presented in Figures [2-6,](#page-13-0) [2-7,](#page-15-0) [2-8,](#page-40-0) [2-9,](#page-62-0) and [2-10.](#page-86-0) No laboratory animal studies were identified for PFHpA.

The results of these studies provide strong evidence that the liver is a sensitive target of PFOA, PFOS, PFHxS, PFNA, PFDA, PFUnA, PFBS, PFBA, PFDoDA, and PFHxA toxicity. Observed effects in rodents include increases in liver weight; hepatocellular hypertrophy, hyperplasia, and necrosis; and decreases in serum cholesterol and triglyceride levels. As discussed in greater detail in Section 2.20, these effects are believed to be initiated by PPARα; however, studies in PPARα-null mice suggest that other mechanisms are also involved. Increases in liver weight have also been observed in monkey studies for PFOA and PFOS; these studies have also found alterations in serum lipid levels and hepatocellular hypertrophy (PFOS only).

<span id="page-161-0"></span>



aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 7 for more detailed descriptions of studies.

**PParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near** PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

HR = hazard ratio; NR = not reported; NS = not significant; OR = odds ratio; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; RRE<sub>P</sub>C = risk ratio episode of care; SMR = standardized mortality ratio; SPR = standard prevalence ratio



<span id="page-163-0"></span>











aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 7 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

ALT = alanine aminotransferase; AST = aspartate aminotransferase; GGT = gamma-glutamyl transferase; OR = odds ratio; NHANES = National Health and Nutrition Examination Survey; NR = not reported; NS = not significant; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid

<span id="page-169-0"></span>




























# **Table 2-12. Summary of Serum Lipid Outcomes in Humansa**



# **Table 2-12. Summary of Serum Lipid Outcomes in Humansa**

















## **Table 2-12. Summary of Serum Lipid Outcomes in Humansa**

aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 7 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

HDL = high density lipoprotein; LDL = low density lipoprotein; NHANES = National Health and Nutrition Examination Survey; NR = not reported; NS = not significant; OR = odds ratio; PFBA = perfluorobutanoic acid; PFBS = perfluorobutane sulfonic acid; PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHpA = perfluoroheptanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid; VLDL = very low-density lipoprotein; WTCHR = World Trade Center Health Registry

#### 2. HEALTH EFFECTS

To address concern over the relevance of liver enlargement in rodents to human health risk, the European Society of Toxicologic Pathology (ESTP) convened an expert panel to define what constitutes an adverse hepatic effect and whether hepatic effects induced by nuclear hormone receptors such as PPARα, constitutive androstane receptor (CAR), or pregnane X receptor (PXR) are rodent-specific adaptive reactions; the findings of the panel are summarized by Hall et al. (2012). As discussed by Hall et al. (2012), criteria were established for determining whether increases in liver organ weight and liver cell hypertrophy observed in studies of rodents exposed to agents inducing enzyme induction can be considered adaptive responses and of little relevance to humans. According to the ESTP criteria, increases in liver weight without histological evidence, such as (1) degenerative or necrotic changes including hepatocyte necrosis, inflammation, and steatotic vascular degeneration; (2) biliary/oval cell proliferation, degeneration, fibrosis, and cholestasis; or (3) necrosis and degeneration of other resident cells within the liver, are not considered adverse or relevant for human risk assessment. In the absence of histological changes, increases in liver organ weight are not considered relevant for human risk assessment unless at least two of the following three parameters are present: (1) at least 2–3 times increase in ALT levels; (2) biologically significant change in other biomarkers of hepatobiliary damage (alkaline phosphatase, AST, GGT, etc.); or (3) biologically significant change in another clinical pathology marker indicating liver dysfunction (albumin, bilirubin, bile acids, coagulation factors, cholesterol, triglycerides, etc.). ATSDR has adopted the criteria from Hall et al. (2012) for determining the adversity of the liver effects reported in the rodent perfluoroalkyl studies. Doses associated with increases in liver weight and hepatocellular hypertrophy were not considered adverse effect levels unless hepatocellular degenerative or necrotic changes or evidence of biliary or other liver cell damage were also present. The lowest doses associated with the liver weight increases and hepatocellular hypertrophy are noted in the LSE tables even though the dose levels are considered NOAELs.

### **PFOA**

*Epidemiological Studies—Liver Disease.* Three studies of highly exposed populations have examined possible associations between PFOA and increased risk of liver disease. In workers, no association between estimated cumulative serum PFOA levels and the risk of non-hepatitis liver disease was observed (Steenland et al. 2015). Similarly, two studies of residents living near the Washington Works PFOA facility reported no increases in liver disease. In a study by Anderson-Mahoney et al. (2008), no significant increases in self-reported liver problems were found in residents primarily served by the Lubeck Public Water Service District or Little Hocking Water District; the study did not measure serum PFOA levels. In a C8 Health Project study that included workers at the Washington Works facility,

estimated cumulative serum PFOA levels were not associated with any liver disease or enlarged liver, fatty liver, or cirrhosis (Darrow et al. 2016).

*Epidemiological Studies—Hepatic Serum Enzymes and Bilirubin Levels.* The possible association between PFOA exposure and hepatic enzymes has been examined in seven occupational exposure studies that have found inconsistent results. A small study of Italian perfluoroalkyl workers did not find associations between serum PFOA and ALT, AST, or GGT activities when only current workers were examined (Costa et al. 2009). In analysis of all workers (current, former, and non-exposed workers), associations between serum PFOA levels and ALT and GGT activities were found; total bilirubin was also inversely associated with serum PFOA. Another small study of workers at a fluorochemical facility in China found an association between serum PFOA and AST activity, but not ALT activity (Wang et al. 2012). Gilliland and Mandel (1996; data also reported in Gilliland 1992) did not find associations between serum fluorine levels (used as a surrogate for serum PFOA) and ALT, AST, or GGT levels in workers. In a follow-up study of this facility, there were no differences between AST, ALT, GGT, or total bilirubin levels between workers in three exposure groups (Olsen et al. 2000); the mean serum PFOA levels in this study ranged from 5,000 to 6,400 ng/mL at three time points and the serum PFOA levels in the lowest exposure group ranged from 0 to <1,000 ng/mL. Increases in GGT and decreases in total bilirubin levels associated with increases in serum PFOA were observed in a study of workers exposed to high levels of PFOA and PFOS (Olsen and Zobel 2007); ALT activity was not affected. In a cross-sectional study of active workers at a PFOA facility, a modest but statistically significant positive association between serum PFOA and GGT activity was found (Sakr et al. 2007b). No associations were found for bilirubin levels or ALT and AST activities.

The possible associations between serum PFOA and serum enzyme and bilirubin levels were examined in two longitudinal occupational exposure studies. Sakr et al. (2007a) examined the relationship between serum PFOA and liver enzymes in a longitudinal study of 454 workers who had two or more measurements of serum PFOA from 1979 until the study was conducted. The average length of employment among workers with multiple PFOA measurements was 11 years, and, on average, 10.8 years elapsed between their first and last serum PFOA measurement. The means of the first and last PFOA measurement were 1,040 and 1,160 ng/mL, respectively. After adjustment for potential confounders, serum PFOA was associated with AST activity, but not ALT, GGT, or total bilirubin. The second study included 179 workers involved in the demolition of 3M perfluoroalkyl manufacturing facilities examined over a mean period of 164 days (Olsen et al. 2012). In workers with prior exposure to

#### 2. HEALTH EFFECTS

PFOA who had a decrease in serum PFOA levels during the study period, there was a significant increase in ALT levels. An increase in serum PFOA levels did not significantly alter AST or total bilirubin levels.

Community and general population exposure studies have also examined possible associations between serum PFOA levels and alterations in serum hepatic enzyme and bilirubin levels. As with the occupational exposure studies, several studies of populations living near PFOA facilities have found inconsistent results. Darrow et al. (2016) found associations between ALT and bilirubin (inverse association) and estimated cumulative and 2005/2006 serum PFOA levels in participants of the C8 Health Project (6.5% of the participants also worked at the facility); there were no associations with GGT activity. Gallo et al. (2012) also reported a significant correlation between serum PFOA levels and ALT activity in C8 Health Project participants. Unlike the Darrow et al. (2016) study, a significant correlation between serum PFOA levels and GGT activity, but no correlation with direct bilirubin levels, was found. An earlier study of residents in the same area, as well as a study of residents near a facility in China, did not find associations between serum PFOA and ALT, AST, or GGT (Emmett et al. 2006b; Wang et al. 2012).

More consistent results were found in three general population studies. In studies utilizing data from NHANES, Gleason et al. (2015) and Lin et al. (2010) reported associations between serum PFOA levels and ALT, AST, and GGT activities; total bilirubin was also found to be associated with serum PFOA in the Gleason et al. (2015) study, but not in the Lin et al. (2010) study. A general population study conducted in Japan (Yamaguchi et al. 2013) also found associations between serum PFOA levels and AST, ALT, and GGT activities.

Although a number of epidemiological studies have found associations between serum PFOA and serum hepatic enzyme and bilirubin levels, many of the investigators noted that liver biomarker levels were typically within the normal range. Four studies examining the risk of having biomarker levels outside of the normal range provide useful information for evaluating the health impact of the enzyme level alterations. For ALT, Gallo et al. (2012) and Gleason et al. (2015) found increased risks of abnormal levels in C8 and NHANES participants, respectively. In contrast, Olsen and Zobel (2007) and Emmett et al. (2006b) did not find increased risks of abnormal ALT levels in workers and C8 participants, respectively. No alterations in the risk of abnormal AST levels associated with elevated serum PFOA levels were observed in NHANES participants (Gleason et al. 2015). Emmett et al. (2006b) found a decrease in the risk of abnormal AST levels with increasing serum PFOA levels in community members. Associations between the risk of elevated GGT and serum PFOA were found in the study conducted by

Gleason et al. (2015), but not in the Olsen and Zobel (2007), Gallo et al. (2012), or Emmett et al. (2006b) studies. Similarly, Gleason et al. (2015) reported an association between serum PFOA and the risk of elevated bilirubin levels, whereas Gallo et al. (2012) did not find this association in the higher exposed population.

One limitation to the interpretation of the serum hepatic enzyme data is confounding factors that should be considered in analyses; these include age, body mass index (BMI), serum lipid levels (triglycerides and total cholesterol), alcohol consumption, smoking, physical activity, and glucose levels (Deb et al. 2018; Kim et al. 2008). Although many of the studies accounted for age, BMI, smoking, and alcohol consumption, none of the studies adjusted for all of these potential confounders.

*Epidemiological Studies—Serum Lipids.* Occupational, community, and general population studies have examined the possible associations between serum PFOA levels and serum lipid levels; the results of these studies are presented in [Table 2-12.](#page-169-0) Summaries of the changes in serum total cholesterol and LDL cholesterol levels, as well as the risk associated with elevated serum cholesterol and LDL cholesterol levels, are presented in Figures [2-11,](#page-191-0) [2-12,](#page-192-0) [2-13,](#page-193-0) and [2-14.](#page-194-0)

A study of workers at a manufacturing facility in Italy found higher total cholesterol and non-high-density lipoprotein (HDL)-cholesterol levels (non-HDL cholesterol was estimated by subtracting HDL cholesterol from total cholesterol) in the PFOA-exposed workers, as compared to levels in workers who were not exposed to PFOA (Costa 2004). A second study at this facility (Costa et al. 2009) also found an association between serum PFOA levels and total cholesterol levels, but no association with HDL cholesterol levels. No associations were found for HDL cholesterol or triglyceride levels. In another small study of workers at a fluorochemical facility in China (Wang et al. 2012), no associations between serum PFOA and total cholesterol, LDL cholesterol, or triglyceride levels were observed; the study did find an inverse association between serum PFOA and HDL cholesterol levels.

Several studies have examined workers at 3M facilities in Cottage Grove, Minnesota, Decatur, Alabama, and/or Antwerp, Belgium; workers at these facilities were also exposed to high levels of PFOS. Gilliland and Mandel (1996; data also reported in Gilliland 1992) examined workers at the Cottage Grove facility in 1990 and found no associations between serum fluorine levels (used as a surrogate for PFOA) and total cholesterol, LDL cholesterol, or HDL cholesterol. In a follow-up to this study, Olsen et al. (2000) examined workers in 1993, 1995, and 1997; only 17 workers were examined at all three time periods,

<span id="page-191-0"></span>

## **Figure 2-11. Serum Total Cholesterol Levels Relative to Serum PFOA Levels (Presented as percent change in cholesterol levels)**

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<span id="page-192-0"></span>

## **Figure 2-12. Risk of Abnormal Cholesterol Levels Relative to PFOA Levels (Presented as Adjusted Ratios)**

<span id="page-193-0"></span>

## **Figure 2-13. Serum LDL Cholesterol Levels Relative to Serum PFOA Levels (Presented as percent change in LDL cholesterol levels)**

### 2. HEALTH EFFECTS

<span id="page-194-0"></span>

## **Figure 2-14. Risk of Abnormal LDL Cholesterol Levels Relative to PFOA Levels (Presented as Adjusted Ratios)**

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21 workers were examined in 1995 and 1997, and 68 workers were examined in 1993 and 1995. The study did not adjust for the use of cholesterol-lowering medication. When workers were categorized by blood PFOA levels  $(0 - <1,000, 1,000 - <10,000,$  and  $>10,000$  ng/mL), no significant differences in serum cholesterol, LDL cholesterol, HDL cholesterol, or triglyceride levels were found at any of the monitoring periods. A study in workers at the three 3M facilities, most of whom were not taking cholesterollowering medications, did not find associations between serum PFOA levels and total cholesterol or LDL cholesterol levels; however, serum PFOA levels were associated with elevated triglyceride levels and inversely associated with HDL cholesterol levels (Olsen and Zobel 2007). The study did not find increases in the risk of elevated total cholesterol (≥200 mg/dL), elevated LDL cholesterol (≥130 mg/dL), elevated triglyceride ( $\geq$ 150 mg/dL), or decreased HDL cholesterol ( $\leq$ 40 mg/dL) levels in workers with serum PFOA levels in the highest deciles. In addition to these cross-sectional studies, two longitudinal studies were conducted at these facilities. Using data for 174 workers with medical surveillance data in 2000 and 1997 and/or 1995, Olsen et al. (2003a) found that serum PFOA was a significant predictor of cholesterol and triglyceride levels, which was primarily due to 21 workers at the Antwerp facility (mean serum level 8,400 ng/mL) whose serum PFOA levels increased over time. In a longitudinal study, Olsen et al. (2012) examined workers (none of the subjects reported using cholesterol-lowering medication) involved in the demolition of 3M perfluoroalkyl manufacturing facilities; serum PFOA and lipid levels were measured prior to the demolition and after demolition (mean time interval of 164 days). The mean baseline serum PFOA levels were 881 ng/mL in 14 3M workers with prior PFOA or PFOS exposure and 28.9 ng/mL in the remaining 165 workers. Among the 119 workers whose serum PFOA/PFOS levels (mean increase 50.9 ng/mL) increased during the observation period, there was a significant increase in HDL cholesterol levels, but no change in total cholesterol or non-HDL cholesterol levels. No significant alterations in serum lipid levels were observed in the 55 workers whose serum PFOA/PFOS levels decreased during the observation period. In workers whose baseline levels of PFOA and PFOS were <15 and <50 ng/mL, respectively, there were no significant differences between pre- and post-exposure serum lipid levels.

Investigators have also examined workers at the DuPont Washington Works facility in West Virginia. In a cross-sectional study, Sakr et al. (2007b) found associations between serum PFOA levels and total cholesterol, LDL cholesterol, and very-low-density lipoprotein (VLDL) cholesterol levels in all subjects and in a subset of subjects not taking cholesterol-lowering medication. The study did not find any association between serum PFOA and HDL cholesterol or triglyceride levels. In a second study, Steenland et al. (2015) did not find an association between estimated serum PFOA levels and the occurrence of elevated cholesterol levels that required medication. In a longitudinal study of workers

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who had at least two serum PFOA measurements between 1979 and 2004, Sakr et al. (2007a) found a positive association between serum PFOA and total cholesterol levels; no associations with triglycerides, LDL cholesterol, or HDL cholesterol were found. Total cholesterol levels increased 1.06 mg/dL for each 1,000 ng/mL increase in serum PFOA.

Several studies have been conducted of residents living near the Washington Works facility. A study by Emmett et al. (2006b) of adults and children living in a community serviced by the Little Hocking Water Authority did not find an association between serum PFOA levels and total cholesterol levels; the study included an adjustment for the use of cholesterol-lowering medication. Four larger-scale studies of participants in the C8 Science Panel studies found associations between serum PFOA levels and serum lipid levels (Fitz-Simon et al. 2013; Frisbee et al. 2010; Steenland et al. 2009b; Winquist and Steenland 2014a). Positive associations between serum PFOA levels and total cholesterol and LDL cholesterol were found in a study of over 12,000 children and adolescents, with mean serum PFOA levels of 32.6 ng/mL in children (aged 1.0–11.9 years) and 26.3 ng/mL in adolescents (aged 12.0–17.9 years) (Frisbee et al. 2010). Serum PFOA was also positively associated with triglyceride levels. Additionally, there was an increased risk of elevated cholesterol  $(\geq 170 \text{ mg/dL})$  in subjects with serum PFOA levels in the 4<sup>th</sup> or 5<sup>th</sup> quintiles. Increased odds of high LDL cholesterol ( $\geq$ 110 mg/dL) were also observed for the  $5<sup>th</sup>$  PFOA quintile (OR 1.4, 95% CI 1.2–1.7). The investigators noted that the dose-response relationship between serum PFOA and serum lipids was nonlinear, with greater increases in lipids observed at the lower serum PFOA levels. Similar findings were reported in a study of  $>46,000$  adults with a median serum PFOA level of 26.6 ng/mL; the study excluded subjects who reported taking cholesterol-lowering medication (Steenland et al. 2009b). Associations were found between serum PFOA levels and total cholesterol, LDL cholesterol, and non-HDL cholesterol; a positive association between serum PFOA and triglycerides was also found. No associations between serum PFOA levels and HDL cholesterol levels were found. Increased risks of having high total cholesterol ( $\geq$ 240 mg/dL) were found in subjects with serum PFOA levels in the  $2<sup>nd</sup>$ ,  $3<sup>rd</sup>$ , and  $4<sup>th</sup>$  quartiles. The investigators noted that the odds of high total cholesterol from the  $1<sup>st</sup>$  to the  $5<sup>th</sup>$  quartile were approximately 40% for PFOA, which may be important given that the Framingham study found that the risk of coronary heart disease was about 1.8 times higher in subjects with total cholesterol levels >240 mg/dL as compared to subjects with levels <200 mg/dL. Steenland et al. (2009b) also found an association between serum PFOA levels and total cholesterol levels in a study of 10,746 adults taking cholesterol-lowering medication. Using both groups of subjects (taking or not taking cholesterol-lowering medication), the investigators analyzed whether taking cholesterollowering medication was associated with lower serum PFOA levels, which may be indicative of reverse causality. Although serum PFOA levels were significantly lower in subjects taking cholesterol-lowering

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medication, the difference between the groups was low (4%). Using estimated cumulative serum PFOA levels as the exposure metric, Winquist and Steenland (2014a) found increased risks of hypercholesterolemia at estimated cumulative exposure levels  $\geq$ 142 ng/mL. In a longitudinal study by Fitz-Simon et al. (2013), adults participating in the C8 Health Project and not taking cholesterol-lowering medication were examined twice, with an average of 4.4 years between examinations. Mean serum PFOA levels were 74.8 ng/mL at the first examination and 30.8 ng/mL at the second examination. In subjects whose serum PFOA levels halved between examinations, there was a 3.6% decrease in LDL cholesterol levels and 1.7% decrease in total cholesterol levels. However, there were very small changes in LDL cholesterol and total cholesterol levels in subjects whose serum PFOA levels decreased by >64% and there were slight increases in LDL cholesterol and total cholesterol levels in subjects whose serum PFOA levels fell by <50%. Changes in PFOA levels were not associated with changes in HDL cholesterol or triglyceride levels. Similarly, Wang et al. (2012) found no associations between serum PFOA levels and total cholesterol, HDL cholesterol, LDL cholesterol, or triglycerides in a study of adults living near a PFOA manufacturing facility in China; the mean serum PFOA level was 378.30 ng/mL and did not include an adjustment for the use of cholesterol-lowering medication.

General population studies were conducted in the United States, Canada, Denmark, Norway, Spain, Japan, Korea, China, and Taiwan; these studies have examined possible associations between serum PFOA levels and serum lipid levels in children, adolescents, pregnant women, and adults. In a study of 8–10-year-old children (median serum PFOA of 9.3 ng/mL), Timmermann et al. (2014) found an association between serum PFOA and triglyceride levels among obese children; this association was not found among normal weight children. In a study of adolescents (12–18 years of age) participating in NHANES (mean serum PFOA level of 4.2 ng/mL), Geiger et al. (2014b) found associations between serum PFOA and total cholesterol and LDL cholesterol levels; no associations were found for HDL cholesterol or triglycerides. The study also found increased risks of elevated total cholesterol levels (>170 mg/dL) associated with serum PFOA levels. No alterations in the risk of elevated LDL cholesterol or triglycerides or decreased HDL cholesterol were found. Associations between serum total cholesterol, LDL cholesterol, and triglycerides have also been observed in a study of Taiwanese adolescents (12– 15 years of age, median PFOA level of 9.3 ng/mL) (Zeng et al. 2015); no association was found for HDL cholesterol. A fourth study found associations between maternal PFOA levels and total cholesterol and LDL cholesterol in 7- and 15-year-old girls, but no associations for girls whose maternal PFOA levels were in the 2<sup>nd</sup> or 3<sup>rd</sup> tertiles (Maisonet et al. 2015b). No associations were found for HDL cholesterol or triglyceride levels. A study of children enrolled in the World Trade Center Health Registry found associations between serum PFOA levels and elevated serum cholesterol, LDL cholesterol, and

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triglyceride levels, but no association with HDL cholesterol (Koshy et al. 2017). Another study of children aged 3–18 years found no associations between serum PFOA levels and total cholesterol, LDL cholesterol, or triglycerides (Kang et al. 2018). Manzano-Salgado et al. (2017b) found no association between maternal serum PFOA levels and serum lipid levels in 4-year-old children.

Studies in adults have found mixed results for serum lipids. Using NHANES data for adults not taking cholesterol-lowering medication (mean serum PFOA level of 4.6 ng/mL), Nelson et al. (2010) found an association between serum PFOA levels and non-HDL cholesterol levels; no associations were found for total cholesterol, LDL cholesterol, or HDL cholesterol. Another study of NHANES participants that statistically adjusted for use of cholesterol-lowering medication found no associations between serum PFOA and total cholesterol, LDL cholesterol, HDL cholesterol, or triglyceride levels (Liu et al. 2018b). Associations between serum PFOA levels and total cholesterol levels were also found in a study of Danish adults not taking cholesterol-lowering medication (mean serum PFOA level of 7.1 ng/mL) (Eriksen et al. 2013). A study in Chinese adults (median PFOA level of 1.43 ng/mL) also found associations between serum PFOA and total cholesterol and LDL cholesterol, with no associations for HDL cholesterol or triglycerides (Fu et al. 2014a). This study did not find increased risks of elevated total cholesterol, LDL cholesterol, or triglycerides or decreased HDL cholesterol associated with serum PFOA. A second study of Chinese men found an association between serum PFOA and triglyceride levels, but no association with HDL cholesterol levels (Yang et al. 2018). A study of pregnant women in Denmark also found an association between serum PFOA (mean serum PFOA level of 4.1 ng/mL at gestation week 30) and total cholesterol levels (Skuladottir et al. 2015). No associations between serum PFOA levels and total cholesterol, LDL cholesterol, or non-HDL cholesterol levels were found in Canadian adults not taking cholesterol-lowering medication with a geometric mean serum PFOA level of 2.46 ng/mL (Fisher et al. 2013). In a second study of pregnant women (median PFOA level of 2.25 ng/mL at gestation week 18), no associations between plasma PFOA and total cholesterol, LDL cholesterol, or triglycerides were found (Starling et al. 2014a). The study did find an association between plasma PFOA and HDL cholesterol.

A number of epidemiological studies have reported associations between serum PFOA levels and serum lipid levels; the most consistently found alteration was for increased serum total cholesterol levels. Associations between serum PFOA and serum cholesterol levels have been observed in occupational (Costa 2004; Costa et al. 2009; Sakr et al. 2007a, 2007b), community (Fitz-Simon et al. 2013; Frisbee et al. 2010; Steenland et al. 2009b; Winquist and Steenland 2014a), and general population (Eriksen et al. 2013; Fu et al. 2014a; Geiger et al. 2014b; Skuladottir et al. 2015; Zeng et al. 2015) studies, whereas

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other investigators have not found associations in worker populations (Gilliland and Mandel 1996; Olsen et al. 2000; Olsen and Zobel 2007; Steenland et al. 2015; Wang et al. 2012), community populations (Emmett et al. 2006b; Wang et al. 2012), or general populations (Fisher et al. 2013; Nelson et al. 2010; Starling et al. 2014a). Longitudinal studies conducted in workers and highly exposed residents strengthen the interpretation of this association between serum PFOA and serum lipid levels. Serum PFOA levels were found to be a significant predictor of serum cholesterol levels in workers examined at least twice in a ≥5-year period (Olsen et al. 2003a; Sakr et al. 2007a). Similarly, a study of highly-exposed residents examined twice with approximately 4 years between examinations found that there was a 1.7% decrease in serum total cholesterol levels in subjects whose serum PFOA levels decreased by 50% between examinations (Fitz-Simon et al. 2013). As noted in Steenland et al. (2010a), there is considerable variation in the strength of the association between PFOA and serum cholesterol, with the greatest changes in serum cholesterol occurring at lower PFOA levels. The change in cholesterol levels per ng/mL change in serum PFOA ranged from 0.0007, calculated from data from the Olsen et al. (2000) occupational exposure study, to 2.0 calculated from data from the Nelson et al. (2010) general population study; the mean serum PFOA levels in these studies were  $\sim$ 22,000 and 4 ng/mL respectively. In a clinical trial, administration of APFO to patients with advanced solid tumors at doses of 50–1,200 mg weekly for 6 weeks resulted in decreases in serum cholesterol levels; the marked decreases in serum cholesterol levels were observed at serum PFOA concentrations of 175,000–230,000 ng/mL (Convertino et al. 2018). These results are similar to those observed in laboratory animals, suggesting that the dose-response curve may be biphasic. Steenland et al. (2010a) and Frisbee et al. (2010) suggested that this may be due to a steep dose-response curve at low PFOA levels, which flattens out at higher PFOA levels and may be indicative of saturation. A similar pattern was also observed in the risks of elevated cholesterol per increases in serum PFOA levels [\(Figure 2-14\)](#page-194-0). Several investigators have explored whether PFOA and cholesterol could be jointly affected or whether the associations were due to reverse causality (i.e., increased cholesterol resulted in increased serum PFOA levels). Butenhoff et al. (2012c) explored the issues of whether PFOA distributes into serum lipoprotein fractions, and whether increases in serum lipoproteins would result in increases in serum PFOA. They concluded that there was limited distribution to plasma lipoproteins, and did not consider it a non-causal factor. The Steenland et al. (2009b) study found slightly lower serum PFOA levels (4%) among individuals taking cholesterol medication, as compared to those not taking medication and noted that this was primarily a function of the large sample size. This finding does not support reverse causality.

*Laboratory Animal Studies.* Information from inhalation studies in animals is limited. Head-only exposure of male rats to 810 mg/m3 APFO dusts for 4 hours caused liver enlargement, but

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microscopically, the liver tissue appeared normal (Kennedy et al. 1986). Exposure head-only of male rats to 0, 1, 7.6, or 84 mg/m3 APFO dusts 6 hours/day, 5 days/week for 2 weeks resulted in significant increases in absolute and relative liver weight at 7.6 and 84 mg/m<sup>3</sup> on exposure day 10; in rats from the 84 mg/m3 group, absolute and relative liver weights were still significantly increased 28 days after exposure ceased (Kennedy et al. 1986). The activities of serum enzymes markers of liver function were unremarkable except for alkaline phosphatase, which was significantly increased in the 7.6 and 84 mg/m<sup>3</sup> groups immediately after exposure on day 10 and remained elevated in the 84 mg/m<sup>3</sup> group on day 14 of recovery. Histopathological changes were restricted to the 7.6 and 84 mg/m<sup>3</sup> groups and consisted of panlobular and centrilobular hepatocellular hypertrophy and necrosis. Panlobular hepatocellular hypertrophy was seen only after the  $10<sup>th</sup>$  exposure, but was limited to the centrilobular hepatocytes 14 or 28 days after exposure terminated, and was absent 42 days following cessation of exposure. Inhalation exposure of pregnant rats to  $25 \text{ mg/m}^3$  APFO dusts 6 hours/day during GDs 6–15 induced an 18% increase in absolute liver weight (Staples et al. 1984); no significant effect was reported in rats exposed to  $\leq$ 10 mg/m<sup>3</sup>.

Nose-only exposure of male CD rats to  $67 \text{ mg/m}^3$  ammonium perfluorononanoate dusts for 4 hours induced significant increases (28–37%) in absolute and relative liver weight, assessed 5 and 12 days after exposure (Kinney et al. 1989). Histopathological examinations were not conducted in this study.

The liver is the main target organ for perfluoroalkyls in animals following short- or long-term oral exposures. The hepatic response to exposure to many perfluoroalkyls, particularly in rodents, is initiated by the activation of the nuclear hormone receptor, PPARα, which triggers a characteristic sequence of morphological and biochemical events characterized by liver hypertrophy and alteration of a wide range of enzymes, particularly those involved in lipid metabolism. It appears that PFOA can also damage the liver via a method independent of PPARα resulting in increases in liver weight, hepatocellular hypertrophy, microvesicular steatosis, and cholangiopathy (Abbott et al. 2007; Das et al. 2017; Minata et al. 2010; Wolf et al. 2008a; Yang e al. 2002b).

The most sensitive liver effect observed in rats and mice after acute oral exposure to PFOA is an increase in liver weight (Cook et al. 1992; Das et al. 2017; Eldasher et al. 2013; Haughom and Spydevold 1992; Ikeda et al. 1985; Iwai and Yamashita 2006; Kawashima et al. 1995; Kennedy 1987; Liu et al. 1996; Loveless et al. 2006; Pastoor et al. 1987; Permadi et al. 1992, 1993; Qazi et al. 2012; White et al. 2009; Wolf et al. 2007, 2008a; Xie et al. 2003; Yahia et al. 2010; Yang et al. 2001, 2002b). In rats orally administered 50 mg/kg/day PFOA for 1, 3, or 7 days, a 10% increase in liver weight was observed after

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the first dose; however, the relative liver weight was not significantly different from controls (Pastoor et al. 1987). After 3 days of exposure, the relative liver weight was significantly higher (36%) than controls. Similarly, in mice, exposure to 390 mg/kg/day PFOA in the diet resulted in a significant increase in liver weight after 5 days of exposure, but not after 2 days of exposure (Permadi et al. 1992). The lowest LOAELs for increased relative liver weight in rats were 4.7 mg/kg/day in a 7-day study (Kawashima et al. 1995) and 2 mg/kg/day in a 14-day study (Liu et al. 1996); these studies also identified NOAELs of 2.4 and 0.2 mg/kg/day, respectively. In mice, the lowest LOAEL for increases in liver weight was 1 mg/kg/day PFOA administered in the diet for 10 days (Yang et al. 2001) or administered via gavage for 7 days (Eldasher et al. 2013; Wolf et al. 2008a). Pastoor et al. (1987) noted that oral administration of 50 mg/kg/day PFOA to rats for 7 days resulted in a 2-fold increase in absolute and relative liver weight, but no significant change in total deoxyribonucleic acid (DNA), indicating that the hepatomegaly represented hypertrophy rather than hyperplasia. Few acute-duration studies included histological examinations of the liver. Centrilobular and midzonal hypertrophy was observed in mice administered 1 or 3 mg/kg/day PFOA via gavage for 7 days; panlobular hypertrophy with cytoplasmic vacuolation was observed at 10 mg/kg/day (Wolf et al. 2008a). Qazi et al. (2010a) reported hepatocellular hypertrophy in mice exposed to 3.5 mg/kg/day PFOA in the diet for 10 days. Elcombe et al. (2010) reported hepatocellular hypertrophy in rats orally exposed to 18 mg/kg/day for 7 days, but not after 1 day of exposure. Increases in steatosis and triglyceride levels were observed in the livers of mice administered 10 mg/kg/day for 7 days (Das et al. 2017). A related liver effect was the finding of reduced serum cholesterol and triacylglycerol levels in rats administered 16 mg/kg/day PFOA in the diet for 7 days (Haughom and Spydevold 1992) and decreases in serum cholesterol and triglyceride levels in rats administered 18 mg/kg/day PFOA via gavage for 7 days (Elcombe et al. 2010).

Similar to the acute-duration studies, intermediate-duration oral exposure to PFOA resulted in increases in absolute and relative liver weights in rats (Biegel et al. 2001; Butenhoff et al. 2004b; Griffith and Long 1980; Perkins et al. 2004) and mice (Abbott et al. 2007; Ahmed and Abd Ellah 2012; Albrecht et al. 2013; Griffith and Long 1980; Kennedy 1987; Lau et al. 2006; Son et al. 2008; Wolf et al. 2007; Yang et al. 2009). The lowest dose resulting in increases in liver weight in rats was 0.96 mg/kg/day, observed following gavage administration of APFO for 28 days (Loveless et al. 2008); the lowest dose in mice was 0.5 mg/kg/day, observed in two 28-day studies using APFO (Kennedy 1987; Son et al. 2008). No significant alterations in liver weight were observed in rats administered 0.29 mg/kg/day for 28 days (Loveless et al. 2008) or in mice exposed to 0.2 mg/kg/day for 21 days (Kennedy 1987). Hepatocellular hypertrophy was the predominant histopathological alteration in rats (Cui et al. 2009; Griffith and Long 1980; Loveless et al. 2008; Perkins et al. 2004) and mice (Albrecht et al. 2013; Filgo et al. 2015a; Griffith

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and Long 1980; Loveless et al. 2008; Tan et al. 2013); the severity of the hypertrophy was dose-related (Filgo et al. 2015a; Loveless et al. 2008). At higher doses, focal necrosis was observed (LOAEL of 29 mg/kg/day in rats and 0.96 mg/kg/day in mice exposed for 28 days) (Loveless et al. 2008). Fatty changes were observed in rats administered 20 mg/kg/day for 28 days (Cui et al. 2009) and mice administered 9.6 mg/kg/day (Loveless et al. 2008). No significant alterations in liver weight or histopathology were observed in rats allowed to recover for 8 weeks following a 13-week exposure to 0.6–6.5 mg/kg/day (Perkins et al. 2004). Intermediate-duration exposure to PFOA also resulted in decreases in serum HDL cholesterol levels in rats and mice administered  $\geq 0.29$  or 0.96 mg/kg/day, respectively, for 28 days (Loveless et al. 2008). Serum cholesterol levels were decreased in rats administered 0.29 or 0.96 mg/kg/day (no changes were observed at higher doses) and in mice administered 9.6 or 29 mg/kg/day (Loveless et al. 2008). Similarly, serum triglyceride levels were decreased in rats administered 0.29–9.6 mg/kg/day and in mice administered 9.6 or 29 mg/kg/day (Loveless et al. 2008). In a study of mice fed a western-type diet, increases in plasma cholesterol levels were observed after 6 weeks of dietary exposure to 0.55 mg/kg/day in BALB/c or C57BL/6 mice (Rebholz et al. 2016). The results of this study suggest that diet (fat intake and/or cholesterol levels) may influence the response to PFOA and may account for some of the differences observed in humans and rats fed a standard diet, which is typically low in fat.

Chronic exposure of rats to PFOA resulted in hepatocellular hypertrophy, hepatocellular necrosis, and portal mononuclear cell infiltration after a 1-year exposure to a LOAEL of 15 mg/kg/day in the diet (3M 1983; Butenhoff et al. 2012c). A 2-year exposure to 15 mg/kg/day resulted in hepatocellular hypertrophy, cystoid degeneration, and portal mononuclear cell infiltration (3M 1983; Butenhoff et al. 2012c). The study also found significant increases in ALT and AST levels in male rats exposed to 1.5 mg/kg/day. A second chronic exposure study found significant increases in relative liver weight in rats exposed to 13.6 mg/kg/day in the diet for 2 years; no non-neoplastic lesions were noted in the liver (Biegel et al. 2001).

Studies in monkeys suggest that longer-term exposure may also result in liver effects. Significant increases in absolute and relative liver weight were observed in Cynomolgus monkeys exposed to 20/30 mg/kg/day administered via capsules for 26 weeks (Butenhoff et al. 2002). A significant increase in absolute, but not relative, liver weight was also observed in monkeys administered 3 or 10 mg/kg/day. However, no histological alterations were observed in the livers at the doses tested. Similarly, no histological alterations were observed in the livers of Cynomolgus monkeys administered 2 or 20 mg/kg/day via capsules for 30 days (Thomford 2001) or Rhesus monkeys administered 3 or

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10 mg/kg/day via gavage for 90 days (Griffith and Long 1980). Significant increases in serum triglyceride levels were observed in the 10 and 20/30 mg/kg/day groups; the increases were statistically significant at only some of the time points (Butenhoff et al. 2002). At 10 mg/kg/day, increases in serum triglyceride levels at 4, 10, and 14 weeks of exposure were significantly higher than pre-treatment levels. Increases in cholesterol levels were only observed in the 20/30 mg/kg/day group after 13 weeks of exposure, but not after 26 weeks. No alterations in serum cholesterol or triglyceride levels were observed in the Thomford (2001) study.

Several studies have examined PPARα-null mice to assess whether PFOA-induced liver effects can also occur via a mechanism independent of PPARα-receptor activation. Similar to wild-type mice, exposure to PFOA resulted in significant increases in liver weight (Abbott et al. 2007; Das et al. 2017; Minata et al. 2010; Wolf et al. 2008a; Yang e al. 2002b). Abbott et al. (2007) found that the effect level was slightly higher in PPARα-null mice than wild-type mice (3 versus 1 mg/kg/day) following oral exposure on GDs 1–17 (liver weights measured at weaning). Wolf et al. (2008a) and Minata et al. (2010) reported the same effect level (1 or 5 mg/kg/day, respectively) in PPAR $\alpha$ -null mice and wild-type mice administered PFOA via gavage for 7 days or 4 weeks. Wolf et al. (2008a) found dose-related increases in hepatocellular cytoplasmic vacuoles at  $\geq 1$  mg/kg/day and suggested that the increase in liver weight was due to the accumulation of PFOA in the hepatocytes rather than a toxic response. Hepatocyte proliferation was also observed at 10 mg/kg/day. Unlike the Wolf et al. (2008a) study, the Minata et al. (2010) 4-week study reported hepatocellular hypertrophy and microvesicular steatosis in the PPARα-null mice (no incidence data were provided and it is unclear at what dose levels these effects were found); cytoplasmic vacuolation was also reported in the hepatocytes. Filgo et al. (2015a) also reported hepatocellular hypertrophy in PPARα-null mice; the LOAEL was 3 mg/kg/day, which was higher than the LOAEL of 0.3 mg/kg/day found in wild-type mice. Minata et al. (2010) also reported cholangiopathy in both the wild-type and PPARα-null mice, but noted that the effect was more intensive in the PPARα-null mice. No significant alterations in steatosis or triglyceride accumulation were observed in PPARα-null mice administered 10 mg/kg/day for 7 days, but were observed in wild-type mice (Das et al. 2017). Additionally, significant decreases in serum total cholesterol levels at 5.2 and 10.2 mg/kg/day and increases at 20.7 mg/kg/day were observed in the PPARα-null mice; significant decreases in total cholesterol were observed in the wild-type mice at 10.2 and 20.7 mg/kg/day doses. Serum triglyceride levels were increased in both strains at 5.2 and 10.2 mg/kg/day doses and in the PPARα-null mice at 20.7 mg/kg/day (Minata et al. 2010).

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Intermittent application of 20, 200, or 2,000 mg/kg APFO to the skin of rats for 2 weeks resulted in the presence of one or more foci of coagulative necrosis in the livers from all treated groups (Kennedy 1985). The Kupffer cells within the foci of hepatocellular necrosis contained large vesicular nuclei and were markedly increased in number. At 2,000 mg/kg/day, these changes were seen in three out of five rats killed on the  $10<sup>th</sup>$  day of exposure, in three out of five rats killed on recovery day 14, and in one out of five rats killed on recovery day 42. This lesion occurred in two out of five rats from the 20 mg/kg/day dose group killed on day 10 of exposure. Serum ALT activity appeared elevated at termination of exposure in a dose-related manner, but without achieving statistical significance. A similar trend was seen for AST activity, but achieving statistical significance in the high-dose group. The blood concentrations of organofluorine on the 10<sup>th</sup> day of exposure were 10.2, 52.4, 79.2, and 117.8  $\mu$ g/mL in the control, low-, mid-, and high-dose groups, respectively. A study in mice reported that application of 6.25 mg/kg/day PFOA on the dorsal surface of each ear for 4 days resulted in a 52% increase in absolute liver weight (Fairley et al. 2007); no significant effect occurred after application of 2.5 mg/kg/day.

**Summary.** Epidemiological studies examining the hepatotoxicity of PFOA have examined three outcomes—risk of liver disease, evidence of hepatocellular damage (as measured by alterations in serum hepatic enzymes and bilirubin levels), and alterations in serum lipid levels (total cholesterol, LDL cholesterol, HDL cholesterol, and triglycerides)—among workers, residents living near a PFOA manufacturing facility with high levels of drinking water contamination, and the general population. Exposure to PFOA does not appear to be associated with increased risks of liver disease in workers or highly exposed community members. The epidemiological studies have found associations between serum PFOA levels and increases in serum ALT, AST, and GGT enzyme levels and decreases in serum bilirubin levels. However, the results have not been consistently found, and serum enzyme levels were typically within the normal range. Four studies examined the risk of serum enzyme levels outside of the normal range; the results were mixed for the risk of elevated ALT, with two studies finding an increased risk and two studies finding no association. A number of occupational, community, and general population studies have found associations between serum PFOA levels and serum total cholesterol levels; several studies have also found no associations. Studies examining the change in cholesterol levels per change in serum PFOA levels have found greater increases in serum cholesterol levels associated with serum PFOA levels at the lower range of PFOA levels and the dose-response curve suggests a biphasic relationship. Positive associations have also been observed for LDL cholesterol, although associations have not been consistently found. In general, no consistent associations were found between serum PFOA and HDL cholesterol or triglyceride levels.

#### 2. HEALTH EFFECTS

Studies in laboratory animals have found strong associations between PFOA exposure and hepatotoxicity. Liver effects have been observed in rats exposed to airborne APFO dusts; in rats, mice, and monkeys following oral exposure for acute-, intermediate-, or chronic-durations; and in rats following dermal exposure. The observed effects typically include increases in liver weight, hepatocellular hypertrophy, and decreases in serum cholesterol and triglyceride levels. Other effects that have been observed include hyperplasia, necrosis, and fatty degeneration. Available evidence suggests that the increased liver weight, hypertrophy, and serum lipid alterations are likely due to  $PPAR\alpha$  initiation and therefore, may not be relevant to humans. However, other mechanisms of liver toxicity are also involved, as evidenced by liver effects observed in PPARα-null mice (Das et al. 2017; Minata et al. 2010; Wolf et al. 2008a). In contrast to the results observed in epidemiological studies, a clinical trial study in humans with advanced solid tumors exposed to very large doses of PFOA (Convertino et al. 2018) and human exposure to other PPARα agonists, such as fibrates (Staels et al. 1998), suggest that hypolipidemic effects, similar to those observed in rodents, may occur in humans exposed to PFOA, although humans may not be as sensitive as rodents.

## **PFOS**

*Epidemiological Studies—Liver Disease.* Several studies have examined the possible association between PFOS exposure and liver diseases. No increases in deaths from cirrhosis of the liver were found in workers at the 3M facility in Decatur, Alabama (Alexander et al. 2003). Another study of workers at this facility found no significant alterations in the episodes of care for all liver disorders or all biliary duct disorders (Olsen et al. 2004a). However, among workers with at least 10 years of high potential exposure to PFOS, there were significant increases in episodes of care for cholelithiasis or acute cholecystitis and for all biliary tract disorders. A third study of workers at a PFOS facility in Decatur, Alabama did not find increases in cholelithiasis, cholecystitis, or liver disease (including cirrhosis and hepatitis) (Grice et al. 2007).

*Epidemiological Studies—Hepatic Serum Enzymes and Bilirubin Levels.* A series of studies conducted by Olsen and associates evaluated liver function (as assessed by serum liver enzymes) in workers at several 3M facilities involved in PFOS production. Using health data collected in 1995 and 1997, Olsen et al. (1999) did not find associations between serum PFOS and serum ALT, AST, or GGT enzymes at PFOS levels <6,000 ng/mL; a positive association with total bilirubin levels was found. No conclusions were drawn from the few workers with serum PFOS  $\geq 6,000$  ng/mL due to their small number (seven in 1995 and five in 1997 data). Similarly, no association of ALT, AST, or GGT and serum PFOA levels

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were observed in groups of workers at these facilities examined in 1993 (111 subjects), 1995 (80 subjects), and/or 1997 (74 subjects) (Olsen et al. 2000). A subsequent evaluation of workers from the same plants, but that included women and a longitudinal analysis of the workers, reported that, after adjusting for potential confounding factors, there were no substantial changes in hepatic parameters (Olsen et al. 2003a). GGT levels in females and ALT levels in males with PFOS levels in the  $4<sup>th</sup>$  quartile were significantly elevated in comparisons between individuals with serum PFOS levels in the 4<sup>th</sup> quartile to those with levels in the 1<sup>st</sup> quartile; however, there were no statistical adjustments for potential confounders. In contrast to these findings in workers, Gallo et al. (2012) reported significant increases in the risks of elevated ALT, GGT, and bilirubin levels in a study of C8 participants. Conflicting results have been found in general populations studies. Studies using the NHANES data set (Gleason et al. 2015; Lin et al. 2010) did not find associations between serum PFOS and ALT, AST, GGT, or total bilirubin levels. No increases in the risk of elevated levels of ALT, AST, or GGT were found (Gleason et al. 2015), although there was an increased risk of elevated total bilirubin levels. In a study of adults in Japan (Yamaguchi et al. 2013), significant correlations between serum PFOS and ALT, AST, and GGT levels were found.

*Epidemiological Studies—Serum Lipids.* Occupational, community, and general population studies have examined possible associations between serum PFOS levels and serum lipids; these data are summarized in [Table 2-12.](#page-169-0) A graphical presentation of differences in total cholesterol and LDL cholesterol levels relative to serum PFOS levels and the risks of elevated total cholesterol and LDL cholesterol are presented in Figures [2-15,](#page-207-0) [2-16,](#page-208-0) [2-17,](#page-209-0) and [2-18.](#page-210-0)

In the Olsen occupational studies, significantly higher serum total cholesterol levels were found in workers with serum PFOS levels between 3,000 and 6,000 ng/mL (Olsen et al. 1999, 2003a). However, the studies found mixed results for associations between serum PFOS and other serum lipids, with one study finding an association with LDL cholesterol (Olsen et al. 1999) and the other finding an association with triglycerides (Olsen et al. 2003a). Longitudinal analysis was conducted using data for 174 workers with medical surveillance data in 2000 and 1997 and/or 1995 (Olsen et al. 2003a). No significant differences in serum PFOS levels were observed across the three time periods, and serum PFOS levels were not a significant predictor of cholesterol or triglyceride levels.

Two large-scale studies of participants in the C8 Science Panel studies found associations between serum PFOS levels and serum lipid levels (Frisbee et al. 2010; Steenland et al. 2009b). Associations between serum PFOS levels and total cholesterol, LDL cholesterol, and HDL cholesterol were found in a study of

<span id="page-207-0"></span>

## **Figure 2-15. Serum Total Cholesterol Levels Relative to Serum PFOS Levels (Presented as percent change in cholesterol levels)**

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<span id="page-208-0"></span>

## **Figure 2-16. Risk of Abnormal Cholesterol Levels Relative to PFOS Levels (Presented as Adjusted Ratios)**

<span id="page-209-0"></span>

## **Figure 2-17. Serum LDL Cholesterol Levels Relative to Serum PFOS Levels (Presented as percent change in LDL cholesterol levels)**

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<span id="page-210-0"></span>

## **Figure 2-18. Risk of Abnormal LDL Cholesterol Levels Relative to PFOS Levels (Presented as Adjusted Ratios)**

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over 12,000 children and adolescents; the mean serum PFOS levels were 20.7 ng/mL in children (aged 1.0–11.9 years) and 19.3 ng/mL in adolescents (aged 12.0–17.9 years) (Frisbee et al. 2010). Similar findings were reported in a study of adults with a median serum PFOS level of 19.6 ng/mL; the study excluded subjects who reported taking cholesterol-lowering medication (Steenland et al. 2009b).

Associations were found between serum PFOS and total cholesterol, LDL cholesterol, and triglyceride levels, but not with HDL cholesterol. Participants with serum PFOS levels in the 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> quartiles also had elevated risks of high cholesterol levels. Steenland et al. (2009b) noted that the odds of high cholesterol from the 1<sup>st</sup> to the 5<sup>th</sup> quintile was approximately 50% for PFOS, which may be important given that the Framingham study found that the risk of coronary heart disease was about 1.8 times higher in subjects with total cholesterol levels >240 mg/dL as compared to subjects with levels <200 mg/dL.

Steenland et al. (2009b) also examined over 10,000 participants who were taking cholesterol-lowering medication; an association between serum PFOS and total cholesterol levels was found in this group. Using both groups of subjects (taking or not taking cholesterol-lowering medication), the investigators analyzed whether taking cholesterol medication was associated with lower serum PFOA or PFOS levels, which may be indicative of reverse causality; no differences in serum PFOS levels were found between the two groups.

General population studies were conducted in the United States, Canada, and several European and Asian countries; these studies have found mixed results for associations between serum PFOS levels and serum lipids. Some studies have found associations between serum PFOS levels and serum total cholesterol (Nelson et al. 2010; Skuladottir et al. 2015; Starling et al. 2014a) and HDL cholesterol (Châtaeu-Degat et al. 2010); inverse associations between serum PFOS and HDL cholesterol (Starling et al. 2014a) and triglycerides (Châtaeu-Degat et al. 2010) were also found. However, other studies in adults have not found associations between serum PFOS and total cholesterol (Châtaeu-Degat et al. 2010; Eriksen et al. 2013; Fisher et al. 2013; Fu et al. 2014a; Liu et al. 2018b), non-HDL cholesterol (Fisher et al. 2013), LDL cholesterol (Châtaeu-Degat et al. 2010; Fisher et al. 2013; Fu et al. 2014a; Liu et al. 2018b; Starling et al. 2014a), HDL cholesterol (Fisher et al. 2013; Fu et al. 2014a; Liu et al. 2018b; Yang et al. 2018), or triglycerides (Fu et al. 2014a; Starling et al. 2014a; Liu et al. 2018b; Yang et al. 2018). Additionally, two studies did not find increased risks of elevated cholesterol levels (Fisher et al. 2013; Fu et al. 2014a). Several of these studies controlled for use of cholesterol-lowering medication (Châtaeu-Degat et al. 2010; Eriksen et al. 2013; Fisher et al. 2013; Nelson et al. 2010; Liu et al. 2018b). Overall, studies of children and adolescents have found associations for serum lipid levels. Geiger et al. (2014b) found increases in

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the risk of elevated cholesterol and LDL cholesterol in children and adolescents aged 12–18 years; an association between serum PFOS and LDL cholesterol levels was also found. Zeng et al. (2015) found associations between serum PFOS and serum total cholesterol, LDL cholesterol, and triglyceride levels in children aged 12–15 years. Koshy et al. (2017) found an association between serum PFOS levels and serum total cholesterol, LDL cholesterol, and HDL cholesterol in children enrolled in the World Trade Center Health Registry. Timmermann et al. (2014) also found an association between serum PFOS and triglycerides only in obese Danish children (8–10 years of age), but not in normal weight children. In contrast, Maisonet et al. (2015b) found an inverse association between maternal serum PFOS and total cholesterol and LDL cholesterol in 15-year-old girls; no association was found when the girls were 7 years of age. Kang et al. (2018) did not find an association between serum PFOS and cholesterol, LDL cholesterol, or triglyceride levels in children aged 3–18 years, and Manzano-Salgado et al. (2017b) did not find associations between maternal serum PFOS and cholesterol, LDL cholesterol, HDL cholesterol, or triglyceride levels in 4-year-old children.

*Laboratory Animal Studies.* Unpublished data summarized by OECD (2002) indicate that inhalation exposure of rats to lethal concentrations  $(1,890-45,970 \text{ mg/m}^3)$  of PFOS dusts for 1 hour resulted in varying discoloration of the liver.

Consistent with the results for PFOA, acute-duration oral exposure of rats to PFOS resulted in increases in liver weight (Elcombe et al. 2012b; Era et al. 2009; Haughom and Spydevold 1992), hepatocellular hypertrophy (Elcombe et al. 2012b), and decreases in serum cholesterol and/or triglyceride levels (Elcombe et al. 2012a, 2012b; Haughom and Spydevold 1992). The lowest adverse effect level for increased liver weight, hypertrophy, and decreased serum cholesterol was 1.79 mg/kg/day in rats exposed to PFOS in the diet for 7 days (Elcombe et al. 2012b); however, a similar study by this group did not find significant alterations in liver weight or ALT, AST, or serum cholesterol levels after 7 days of exposure to 1.72 mg/kg/day (Elcombe et al. 2012a). Likewise, in mice, increases in liver weight (Fuentes et al. 2006; Qazi et al. 2009b, 2010a; Wan et al. 2011), hepatocellular hypertrophy (Qazi et al. 2010a), and decreases in serum cholesterol levels (Qazi et al. 2010a) were observed following acute exposure to PFOS. The lowest LOAEL for liver weight was 3 mg/kg/day in mice administered PFOS via gavage on GDs 6–18 (Fuentes et al. 2006); no effects were observed at 1.5 mg/kg/day. The only acute-duration mouse study that included histopathological examination of the liver and measurement of serum cholesterol levels identified a LOAEL of 8.5 mg/kg/day in mice exposed to PFOS in the diet for 10 days (Qazi et al. 2010a).

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Intermediate-duration exposure to PFOS resulted in increased liver weight in rats (Cui et al. 2009; Curran et al. 2008; Elcombe et al. 2012a; Seacat et al. 2003; Thibodeaux et al. 2003) and mice (Bijland et al. 2011; Thibodeaux et al. 2003; Wan et al. 2011, 2014b; Xing et al. 2016; Yahia et al. 2008), hepatocellular hypertrophy in rats (Cui et al. 2009; Curran et al. 2008; Elcombe et al. 2012a; Seacat et al. 2003), decreased serum cholesterol levels in rats (Curran et al. 2008; Elcombe et al. 2012a; Luebker et al. 2005b; Seacat et al. 2003), decreased total cholesterol, triglyceride, non-HDL cholesterol, and HDL cholesterol levels in mice (Bijland et al. 2011), and increased serum AST and GGT levels in mice (Xing et al. 2016). A mouse study (Bijland et al. 2011) also showed dramatic decreases in the hepatic production of VLDL and HDL (Bijland et al. 2011). Another mouse study (Lee et al. 2015b) did not find increases in hepatic lipid levels in dams, although there were alterations in fetal livers. Only one of the intermediate-duration mouse studies included histopathological examination of the liver. Xing et al. (2016) reported cytoplasmic vacuolization, focal necrosis, and hepatocellular hypertrophy in mice exposed to PFOS via gavage for 30 days; however, the study did not report incidence; the lowest dose tested was 2.5 mg/kg/day. The lowest adverse effect level for liver effects in rats was 0.14 mg/kg/day for a significant increase in relative liver weight in female rats, but not male rats, exposed to PFOS in the diet for 28 days (Curran et al. 2008). This study also found significant decreases in serum cholesterol levels and increases in absolute and relative liver weights in males and females at 2.98 mg/kg/day and hepatocellular hypertrophy at 5.89 mg/kg/day. Seacat et al. (2003) reported increases in liver weight, hepatocellular hypertrophy, and decreased serum cholesterol levels in rats following a 14-week dietary exposure to 1.33 mg/kg/day; however, no significant alterations in liver weight or liver histopathology were observed in rats exposed to 1.77 mg/kg/day PFOS in the diet for 4 weeks (Seacat et al. 2003). In contrast, Elcombe et al. (2012a) reported increases in liver weight, hepatocellular hypertrophy, and decreased serum cholesterol in rats exposed to 1.54 mg/kg/day PFOS in the diet for 28 days.

Data on the chronic toxicity of PFOS to the liver in rodents are limited to a study in rats (Butenhoff et al. 2012b; Thomford 2002b). Hepatotoxicity characterized by centrilobular hypertrophy, centrilobular eosinophilic hepatocytic granules, and centrilobular hepatocytic vacuolation was noted in rats exposed to PFOS in the diet for 2 years. Among rats sacrificed at the end of the study, significant increases in the incidence of centrilobular hepatocellular hypertrophy were observed in male and female rats exposed to ≥0.25 mg/kg/day (Thomford 2002b). When animals sacrificed at interim periods (14 or 52 weeks) and unscheduled deaths were included with animals sacrificed at exposure termination, the incidence of centrilobular hepatocellular hypertrophy was also increased in males exposed to 0.1 mg/kg/day. At ≥0.1 mg/kg/day, significant increases in the incidences of eosinophilic clear cell altered foci and cystic hepatocellular degeneration were observed in male rats. An increase in cystic degeneration was observed

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in male rats exposed to  $\geq 0.025$  mg/kg/day. However, this was mainly due to a high incidence in unscheduled deaths; among animals sacrificed at exposure termination, the incidence was only increased in males exposed to 1.04 mg/kg/day. An increased incidence of single cell necrosis was observed in males and females at 1.04 mg/kg/day (all groups combined). Observations made in a group of rats exposed to 1.17 mg/kg/day PFOS for 52 weeks and allowed to continue on the control diet for an additional year showed that hepatotoxicity was not a persistent response, as hepatotoxicity was generally absent at the end of the recovery period. At termination, electron microscopy showed mild to moderate smooth endoplasmic reticulum hyperplasia and minimal to mild hepatocellular hypertrophy primarily in rats dosed with 1.5 mg/kg/day PFOS, the highest dose tested.

In a study of Cynomolgus monkeys administered via gavage three doses of PFOS over 315 days, decreases in HDL cholesterol levels were found; the investigators noted that the levels were still within the normal variation (Chang et al. 2017). No alterations in other serum clinical chemistry parameters were found. Treatment of Cynomolgus monkeys with up to 2 mg/kg/day PFOS administered via a capsule for 4 weeks did not induce gross or microscopic morphological alterations in the liver and did not increase cell proliferation (Thomford 2002a). In a 26-week study in Cynomolgus monkeys, exposure to 0.75 mg/kg/day PFOS, administered via a capsule resulted in increased absolute liver weight after 183 days of treatment (Seacat et al. 2002). Significant decreases in serum total cholesterol were also observed at 0.75 mg/kg/day after 91, 153, and 182 days of exposure. On day 182, total cholesterol decreased to 35 and 53% of predosing values in males and females, respectively. The HDL cholesterol levels were significantly lower in males at 0.03 and 0.75 mg/kg/day on days 153 and 182 and in females at 0.15 and 0.75 mg/kg/day on days 153 and 182; the lack of pre-treatment HDL cholesterol measurements precludes within-group comparisons. Serum bilirubin was significantly lower in males at 0.75 mg/kg/day on days 91, 153, and 182. Light microscopy of liver sections showed centrilobular vacuolation, hypertrophy, and mild bile stasis in some monkeys exposed to 0.75 mg/kg/day. Electron microscopy showed lipid-droplet accumulation in some males and females exposed to 0.75 mg/kg/day. Increased glycogen content was also noted at this dose level. No histological alterations were observed in the livers of monkeys exposed to 0.75 mg/kg/day for 26 weeks and allowed to recover for 7 months or 1 year. Similarly, serum cholesterol returned to pretreatment levels 36 days post exposure and HDL cholesterol levels returned to pretreatment levels after 61 days of recovery.

*Summary.* Epidemiological studies have examined the possible associations between PFOS exposure and liver disease in workers and hepatocellular damage and alterations in serum lipid levels in workers and the general population. The available occupational exposure studies or general population studies do not

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consistently suggest an association between PFOS exposure and increases in the risk of liver disease or biliary tract disorders. A small number of occupational exposure studies have not found associations between serum PFOS levels and increases in ALT, AST, or GGT levels. Overall, the epidemiological studies suggest an association between serum PFOS levels and increases in serum total cholesterol levels and possibly serum LDL cholesterol levels. Studies of workers at a PFOS manufacturing facility found elevated serum total cholesterol levels in workers with high serum PFOS levels; however, a longitudinal analysis at the same facility did not find that serum PFOS was a significant predictor of cholesterol levels. Studies of residents living in an area with very high PFOA water levels found increases in serum total cholesterol levels associated with elevated serum PFOS levels in children, adolescents, and adults. Mixed results have been found for associations between serum PFOS and increases in serum total cholesterol levels in general population studies. Associations have been found between serum PFOS levels and serum LDL-cholesterol levels among non-occupational populations.

In laboratory animals, oral exposure to PFOS results in increases in liver weight, hepatocellular hypertrophy, and decreases in serum lipid levels. A small number of studies also reported focal necrosis and centrilobular hepatocytic vacuolization. The proposed mechanism of action for the increased liver weight, hepatocellular hypertrophy, and decreased serum lipid levels involves PPARα receptor activation. Due to species differences for this mechanism, these effects observed in rodents are not considered relevant to humans. The applicability of the hepatic hypertrophy and serum lipid alterations observed in rodent studies to humans has been questioned due to species differences in the presumed mechanism of action for these effects in rodents.

### **PFHxS**

*Epidemiological Studies—Hepatic Serum Enzymes and Bilirubin Levels.* Lin et al. (2010) did not find associations between serum ALT and GGT levels with serum PFHxS levels in a general population study using the NHANES data set.

*Epidemiological Studies—Serum Lipids.* Eight studies have evaluated the potential association between serum PFHxS levels and serum lipids in the general population. A study utilizing the NHANES data set for adults not taking cholesterol-lowering medication reported an association between serum PFHxS and non-HDL cholesterol, but no associations with total cholesterol, LDL cholesterol, or HDL cholesterol (Nelson et al. 2010). In a study of Canadian adults not taking cholesterol-lowering medication with a geometric mean serum PFHxS level of 2.16 ng/mL, associations were found for total cholesterol, LDL
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cholesterol, and non-HDL cholesterol (Fisher et al. 2013). The study also found increased odds of having a high cholesterol level with increasing PFHxS levels. Associations between serum PFHxS levels and HDL cholesterol and triglyceride levels were found in a study of Chinese men (Yang et al. 2018). In pregnant women in Norway with median serum PFHxS levels of 0.60 ng/mL, serum PFHxS levels were associated with serum HDL cholesterol, but not with total cholesterol, LDL cholesterol, or triglycerides (Starling et al. 2014a). No associations between serum PFHxS and total cholesterol, LDL cholesterol, HDL cholesterol, or triglyceride levels were found in a study of Taiwanese children aged 12–15 years (mean serum PFHxS of 2.1 ng/mL) (Zeng et al. 2015) or Korean children aged 3–18 years (mean serum PFHxS of 0.793 ng/mL) (Kang et al. 2018). A study of Spanish children aged 4 years found an association between maternal serum PFHxS and triglyceride levels, but not with cholesterol, LDL cholesterol, or HDL cholesterol (Manzano-Salgado et al. 2017b). A fourth study in children reported associations between serum PFHxS levels and serum cholesterol and LDL cholesterol, but not HDL cholesterol or triglycerides, in World Trade Center Health Registry enrollees (Koshy et al. 2017).

*Laboratory Animal Studies.* Acute-duration gavage administration of PFHxS resulted in increases in liver weight, steatosis, and increases in hepatic triglyceride levels in mice; increases in liver weight and steatosis were also observed in similarly exposed PPARα-null mice (Das et al. 2017). An intermediateduration study with PFHxS in rats reported that gavage doses of  $\geq$ 3 mg/kg/day induced a significant increase in absolute and relative liver weight in males (Butenhoff et al. 2009a). Light microscopy revealed minimal to moderate enlargement of centrilobular hepatocytes. Clinical chemistry tests showed a significant decrease in serum cholesterol at  $\geq$ 0.3 mg/kg/day and decreased serum triglycerides at 10 mg/kg/day. None of these alterations were observed in female rats. Centrilobular hepatocellular hypertrophy was observed in mice administered ≥0.3 mg/kg/day PFHxS for 42–60 days (Chang et al. 2018); at 3 mg/kg/day single cell necrosis and microvascular fatty changes were also observed. In male mice, dietary exposure to PFHxS in a western-type diet resulted in >50% decreases in plasma triglyceride, total cholesterol, non-HDL cholesterol, and HDL cholesterol levels and approximately 75% decreases in the hepatic production of VLDL (Bijland et al. 2011). Increases in liver weight and hepatic triglyceride levels were also observed.

# **PFNA**

*Epidemiological Studies—Hepatic Serum Enzymes and Bilirubin Levels.* A health evaluation of workers at a U.S. polymer production facility using PFNA did not find alterations in ALT, AST, GGT, or bilirubin levels related to increases in exposure intensity score in a longitudinal analysis (Mundt et al.

2007). Associations between serum PFNA and ALT and GGT levels were observed in a NHANES data study (Gleason et al. 2015); however, another study (Lin et al. 2010) utilizing the NHANES data did not find associations between serum PFNA and these enzymes. Neither study found associations for AST or total bilirubin.

*Epidemiological Studies—Serum Lipids.* Longitudinal analysis of serum lipid levels in the occupational exposure study (Mundt et al. 2007) did not find significant differences in serum total cholesterol or triglycerides over time. In general population studies, associations have been observed between serum PFNA levels and total cholesterol levels in adults (Fu et al. 2014a; Nelson et al. 2010) and children (Koshy et al. 2017; Zeng et al. 2015). No associations with cholesterol were found in a study in pregnant women (Starling et al. 2014a) or studies in children (Kang et al. 2018; Manzano-Salgado et al. 2017b). Several studies have also found associations with LDL cholesterol (Fu et al. 2014a; Koshy et al. 2017; Zeng et al. 2015) or non-HDL cholesterol (Nelson et al. 2010), but others did not find associations for LDL cholesterol (Nelson et al. 2010; Kang et al. 2018; Starling et al. 2014a). Most studies did not find an association between serum PFNA and HDL cholesterol (Fu et al. 2014a; Nelson et al. 2010; Koshy et al. 2017; Manzano-Salgado et al. 2017b; Zeng et al. 2015) or triglycerides (Fu et al. 2014a; Kang et al. 2018; Koshy et al. 2017; Manzano-Salgado et al. 2017b;Starling et al. 2014a). Exceptions were the Starling et al. (2014a) study of pregnant women, which found a positive association for HDL cholesterol, Yang et al. (2018) study of men, which found associations for HDL cholesterol and triglycerides, and Zeng et al. (2015), which found an association with triglycerides in children. Fu et al. (2014a) did not find increased risks of elevated cholesterol, LDL cholesterol, or triglyceride levels or lowered HDL cholesterol levels in adults.

*Laboratory Animal Studies.* Ten studies have evaluated the hepatic toxicity of PFNA. The observed effects are consistent with effects observed for other perfluoroalkyls. Alterations in serum lipid levels consisted of decreases in serum HDL cholesterol levels in rats administered via gavage  $\geq 1$  mg/kg/day PFNA for 14 days (Fang et al. 2012a), decreases in serum triglyceride and cholesterol levels in mice receiving gavage doses of  $\geq$ 1 mg/kg/day PFNA (Wang et al. 2015a), and decreases in serum cholesterol levels in mice administered 0.5 mg/kg/day PFNA (Singh and Singh 2018). Increases in liver weight were observed in rats nose-only exposed to  $\geq$  67 mg/m<sup>3</sup> PFNA (Kinney et al. 1989), in mice administered via gavage 10 mg/kg/day PFNA for 7 days (Das et al. 2017), in mice exposed to 0.5 mg/kg/day PFNA in the diet for 14 days (Kennedy 1987), in mice administered  $\geq$ 0.2 mg/kg/day PFNA via gavage for 14 days (Wang et al. 2015a), and in the offspring of mice administered via gavage  $\geq 0.83$  mg/kg/day PFNA on GDs 1–17 or 1–18 (Das et al. 2015; Wolf et al. 2010). The increases in liver weight, hepatocellular

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hypertrophy, and deceases in serum lipid levels are considered adaptive and not relevant to humans (Hall et al. 2012). Hepatocellular vacuolation was observed in mice administered via gavage 5 mg/kg/day PFNA for 14 days (Fang et al. 2012b). In PPARα-null mice, increases in liver weight were observed in non-pregnant mice administered via gavage ≥1.5 mg/kg/day PFNA for 18 days, but were not found in pregnant animals (Wolf et al. 2010). Das et al. (2017) found increases in liver weight, steatosis, and increases in liver triglyceride levels in PPARα-null mice administered 10 mg/kg/day PFNA for 10 days.

#### **PFDA**

*Epidemiological Studies—Serum Lipids.* Six general population studies have evaluated the potential relationships between serum PFDA and serum lipids and reported inconsistent results. Fu et al. (2014a) found an association between serum PFDA and total cholesterol in adults and Koshy et al. (2017) found associations between serum PFDA and total cholesterol in children. A study of men did not find associations between serum PFDA and HDL cholesterol or triglycerides (Yang et al. 2018). Studies in pregnant women (Starling et al. 2014a) and other studies in children (Kang et al. 2018; Zeng et al. 2015) did not find associations. Fu et al. (2014a), Starling et al. (2014a), and Koshy et al. (2017) found positive associations with HDL cholesterol; this was not found in the Zeng et al. (2015) study. Koshy et al. (2017) also found an association with LDL cholesterol. The other studies did not find associations between serum PFDA and LDL cholesterol (Fu et al. 2014a; Starling et al. 2014a; Zeng et al. 2015), and none found association with triglycerides (Fu et al. 2014a; Kang et al. 2018; Koshy et al. 2017; Starling et al. 2014a; Zeng et al. 2015). Only the Fu et al. (2014a) study looked for alterations in the risk of elevated cholesterol, LDL cholesterol, or triglyceride levels or decreased HDL cholesterol levels, but the study did not find significant increases in the risk.

*Laboratory Animal Studies.* Hepatic effects observed in laboratory animals exposed to PFDA include alterations in liver weight and morphology. Increases in liver weight have been observed in mice following a single gavage dose of PFDA; the alterations were observed 2 days after exposure to 40 mg/kg/day (Brewster and Birnbaum 1989) or 30 days after exposure to  $\geq$ 20 mg/kg/day (Harris et al. 1989). Repeated dietary exposure to 2.4 mg/kg/day PFDA for 1 week (Kawashima et al. 1995) or 78 mg/kg/day for 10 days (Permadi et al. 1992, 1993) also resulted in increases in liver weight. Oral doses ≥9.5 mg/kg/day also resulted in increases in hepatic cholesterol levels in rats (Kawashima et al. 1995) and hepatic lipids in mice (Brewster and Birnbaum 1989). These acute doses were also associated with hepatocellular hypertrophy and evidence of peroxisome proliferation. Thirty days after a single gavage dose of  $\geq$ 20 mg/kg/day PFDA, effects included periportal to panlobular hepatocellular

hypertrophy characterized by swollen hepatocytes with abundant granular eosinophilic cytoplasm and enlarged and hyperchromatic nuclei (Harris et al. 1989).

In intermediate-duration exposure studies, an increased incidence of minimal single cell hepatocellular necrosis were observed in rats administered 0.5 mg/kg/day PFDA for 28 days (Frawley et al. 2018).

# **PFUnA**

*Epidemiological Studies—Serum Lipids.* Of the five studies evaluating potential associations between serum PFUnA and serum lipids, only a study by Kang et al. (2018) in children found an association between serum PFUnA and total cholesterol and LDL cholesterol. The other studies did not find associations between serum PFUnA and total cholesterol or LDL cholesterol (Fu et al. 2014a; Koshy et al. 2107; Starling et al. 2014a) or with HDL cholesterol or triglycerides (Yang et al. 2018). None of the studies found associations with triglyceride levels. Starling et al. (2014a) and Koshy et al. (2017) found associations of serum PFUnA levels with HDL cholesterol levels; Fu et al. (2014a) did not find an association for this parameter. No alterations in the risk of abnormal serum lipid levels were found in the adults examined by Fu et al. (2014a).

*Laboratory Animal Studies.* Only one animal study was identified that examined the liver following oral exposure to PFUnA. In an intermediate-duration study of rats administered PFUnA via gavage, increases in relative liver weight were observed in males at 0.3 mg/kg/day and in females at 1.0 mg/kg/day, and mild to moderate centrilobular hepatocellular hypertrophy was observed in males and females at 1.0 mg/kg/day (Takahashi et al. 2014).

# **PFHpA**

*Epidemiological Studies—Serum Lipids.* Epidemiological data on PFHpA are limited to a study in adults conducted by Fu et al. (2014a), which found no associations between serum PFHpA and total cholesterol, LDL cholesterol, HDL cholesterol, or triglyceride levels and a study in men conducted by Yang et al. (2018), which found no associations between serum PFHpA and HDL cholesterol or triglycerides.

#### **PFBS**

*Epidemiological Studies—Serum Lipids.* In the only epidemiological study examining serum lipids and possible associations with serum PFBS, Zeng et al. (2015) found an association with total cholesterol levels in children. No associations were found between serum PFBS and LDL cholesterol, HDL cholesterol, or triglycerides.

*Laboratory Animal Studies.* Treatment of male rats with 900 mg/kg/day PFBS by gavage for 28 days induced a significant increase in absolute and relative liver weight (25–30%) relative to controls, which was no longer detected following a 14-day recovery period (3M 2001). Clinical chemistry tests of liver function were unremarkable and there were no chemical-related microscopic alterations. No alterations in liver weight, serum chemistry parameters (ALT, AST, cholesterol), or liver morphology were observed in rats administered gavage doses as high as 600 mg/kg/day PFBS for 90 days (Lieder et al. 2009a). Significant increases in liver weight were observed at 300 and 1,000 mg/kg/day in a 2-generation study (Lieder et al. 2009b); the alterations were only observed in male rats. An increase in hepatocellular hypertrophy was also observed in the male P0 and F1 rats administered via gavage 1,000 mg/kg/day. Dietary exposure to mice resulted in decreases in plasma triglyceride levels and hepatic cholesterol levels, but no alterations in liver weight or plasma cholesterol, HDL cholesterol, or non-HDL cholesterol (Bijland et al. 2011).

### **PFBA**

*Epidemiological Studies—Serum Lipids.* Only one epidemiological study examined hepatic outcomes; this study (Fu et al. 2014a) did not find any associations between serum PFBA levels and total cholesterol, LDL cholesterol, HDL cholesterol, or triglycerides in adults.

*Laboratory Animal Studies.* Treatment of rats with up to 184 mg/kg/day PFBA by gavage for 5 days did not affect liver weight, nor did it cause gross or microscopic morphological alterations in the liver (3M 2007a). In addition, clinical chemistry tests did not indicate altered liver function. Similarly, administration of approximately 20 mg/kg/day PFBA in the diet to male rats for 2 weeks did not significantly affect relative liver weight, but the same dose of PFOA induced a 45% increase in liver weight (Ikeda et al. 1985). Dietary administration of doses of approximately 78 mg/kg/day PFBA to male mice for 10 days induced a 63% increase in absolute liver weight (Permadi et al. 1992, 1993).

PFBA intermediate-duration studies have consistently found increases in liver weight and histological alterations. Dosing rats with PFBA by gavage for 28 days resulted in significant increases in absolute and relative liver weight and decreases in serum cholesterol at  $>$ 30 mg/kg/day and hepatocellular hypertrophy at 150 mg/kg/day (Butenhoff et al. 2012a; van Otterdijk 2007a). Administration of 150 mg/kg/day PFBA induced hepatocyte hypertrophy. These liver effects were no longer detected after a 21-day recovery period. In a similar 90-day study, administration of 30 mg/kg/day PFBA resulted in increased absolute liver weight and panlobular hepatocyte hypertrophy (Butenhoff et al. 2012a; van Otterdijk 2007b); no liver effects were observed at 6 mg/kg/day. None of the liver alterations were observed after a 21-day recovery period.

#### **PFDoDA**

*Epidemiological Studies—Serum Lipids.* A general population study of adolescents (Zeng et al. 2015) did not find any associations between serum PFDoDA and total cholesterol, LDL cholesterol, HDL cholesterol, or triglyceride levels.

*Laboratory Animal Studies.* Dosing of male Sprague-Dawley rats with 10 mg/kg/day PFDoDA by gavage for 14 days induced a 35% increase in total serum cholesterol; doses of 1 or 5 mg/kg/day had no significant effect (Shi et al. 2007). In a subsequent study, the same group of investigators reported that in rats dosed via gavage with 1 or 5 mg/kg/day PFDoDA, there was a trend for decreased serum triglycerides, but the differences with controls were not statistically significant (Zhang et al. 2008); at 10 mg/kg/day, serum triglyceride levels were significantly increased. Liver triglyceride and liver cholesterol levels were increased at  $\geq$ 5 mg/kg/day. Absolute liver weight was significantly reduced in the 5 mg/kg/day group (19%) relative to controls, but this may have been due to a marked reduction in body weight (shown in Shi et al. [2007], but not in Zhang et al. [2008]).

In a 42-day PFDoDA gavage administration study, increases in relative liver weight were observed in males at  $\geq$ 0.5 mg/kg/day and hepatocellular hypertrophy was observed at 2.5 mg/kg/day (Kato et al. 2015). The study also found decreases in serum cholesterol at 0.1 and 0.5 mg/kg/day, but not at 2.5 mg/kg/day. In pregnant females (most dying before the end of the study), single cell hepatocyte necrosis was observed at 2.5 mg/kg/day (Kato et al. 2015). Prebiliary infiltration of inflammatory cells (males), disposition of bilirubin (females), and hepatocellular hypertrophy (females) were observed in males and nonpregnant females administered 2.5 mg/kg/day PFDoDA for 42 days followed by a 42-day recovery period (Kato et al. 2015).

#### **PFHxA**

*Laboratory Animal Studies.* Increases in liver weight, decreases in serum cholesterol levels, and centrilobular hepatocellular hypertrophy have been observed in rats administered 315 mg/kg/day PFHxA for 32–44 days (Kirkpatrick 2005) or  $\geq$ 100 mg/kg/day NaPFHx for 90–93 days (Chengelis et al. 2009b; Loveless et al. 2009). In a chronic-duration study, gavage administration of 200 mg/kg/day for 2 years resulted in increases in the incidence of hepatocellular necrosis in female rats (Klaunig et al. 2015). At 100 mg/kg/day, decreases in triglyceride levels were observed in male rats.

## **FOSA**

*Laboratory Animal Studies.* In the only study examining hepatic effects, Seacat and Luebker (2000) reported no alterations in liver weight in rats receiving a single gavage dose of 5 mg/kg FOSA.

# **2.10 RENAL**

*Overview.* Epidemiological and laboratory animal studies have evaluated the potential of perfluoroalkyls to be renal toxicants. Human studies have evaluated the risk of kidney disease, alterations in renal function, damage to the kidney, and alterations in uric acid levels. The results of epidemiological studies evaluating kidney disease and renal function are summarized in [Table 2-13;](#page-223-0) [Table 2-14](#page-226-0) contains the studies evaluating alterations in uric acid levels. More detailed descriptions of these studies can be found in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 8. Although there are a couple of studies finding associations between PFOA exposure and kidney disease, the results are not consistent across study populations. However, there is some indication that perfluoroalkyls may affect renal function. Decreases in estimated glomerular filtration rate and increases in uric acid levels associated with serum PFOA or PFOS have been reported in a number of epidemiological studies. However, these alterations may be due to reverse causality (i.e., increases in serum perfluoroalkyl levels could be due to a decrease in glomerular filtration and shared renal transporters for perfluoroalkyls and uric acid). Based on the small number of epidemiological studies or the inconsistency of the results, possible associations between other perfluoroalkyls (PFHxS, PFNA, PFDA, PFBS, PFDoDA, or PFHxA) and renal functions cannot be assessed. No studies were available for PFUnA, PFHpA, PFBA, or FOSA.

<span id="page-223-0"></span>







aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 8 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

BUN = blood urea nitrogen; GFR = glomerular filtration rate; HR = hazard ratio; NHANES = National Health and Nutrition Examination Survey; NR = not reported; NS = not significant; OR = odds ratio; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; SMR = standardized mortality ratio

<span id="page-226-0"></span>









aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 8 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

NHANES = National Health and Nutrition Examination Survey; NR = not reported; NS = not significant; OR = odds ratio; PFBS = perfluorobutane sulfonic acid; PFDoDA = perfluorododecanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid

#### 2. HEALTH EFFECTS

Laboratory animal studies have primarily evaluated kidney morphology; these studies are summarized in Tables [2-1,](#page-11-0) [2-3,](#page-16-0) [2-4,](#page-44-0) [2-5,](#page-66-0) and [2-6.](#page-91-0) The NOAEL and LOAEL values for these studies are illustrated in Figures [2-6,](#page-13-0) [2-8,](#page-40-0) [2-9,](#page-62-0) and [2-10.](#page-86-0) In general, the laboratory animal studies have not found evidence of impaired renal function or morphological damage following exposure to PFOA, PFOS, PFHxS, PFDA, PFUnA, PFBS, PFBA, PFDoDA, or PFHxA. No laboratory animal studies examining renal endpoints were available for PFNA, PFHpA, or FOSA.

#### **PFOA**

*Epidemiological Studies—Kidney Disease.* Several epidemiological studies have examined the possible association between PFOA exposure and increased risk of kidney disease. In a cohort mortality study of workers at the DuPont PFOA facility in West Virginia, Steenland and Woskie (2012) found an increase in deaths from chronic renal disease when compared to DuPont workers at other regional facilities. When estimated cumulative PFOA exposure was estimated based on the worker's job history and data from a biomonitoring survey conducted from 1979 to 2004, there was a significant positive trend for nonmalignant kidney disease when the workers were divided in estimated cumulative exposure quartiles. Two studies of workers at the 3M APFO facility in Cottage Grove, Minnesota did not find increases in deaths from chronic kidney disease (Raleigh et al. 2014) or nephritis and nephrosis (Lundin et al. 2009) as compared to mortality rates for the state of Minnesota. Similar results were found when chronic kidney disease deaths were compared to those in a cohort of workers in St. Paul Minnesota who worked at a non-APFO facility (Raleigh et al. 2014). An occupational exposure study (Steenland et al. 2015) and C8 community study (Dhingra et al. 2016b) found no associations between estimated cumulative PFOA exposure and the risk of chronic kidney disease. Another study of the community living near the Washington Works facility found a higher prevalence of self-reported kidney disease as compared to rates reported in NHANES (Anderson-Mahoney et al. 2008).

*Epidemiological Studies—Biomarkers of Renal Function.* Several biomarkers of renal function have been evaluated in epidemiological studies; these include BUN, serum creatinine, glomerular filtration rate, and uric acid levels (discussed in the following section). Kidney function, assessed by levels of BUN and serum creatinine, was not associated with exposure to PFOA in the occupational exposure studies by Olsen et al. (2003a) or Costa et al. (2009) or a community exposure study by Emmett et al. (2006b).

#### 2. HEALTH EFFECTS

Three studies have found inverse associations between serum PFOA and glomerular filtration rate. Using the NHANES data for the 1999–2008 cycles, Shankar et al. (2011a) found an inverse association between serum PFOA levels and estimated glomerular filtration rate in adults. The likelihood of chronic kidney disease, defined as a glomerular filtration rate of  $\langle 60 \text{ mL/minute}/1.73 \text{ m}^2$ , was significantly higher in adults with the highest serum PFOA ( $>5.9$  ng/mL, OR 1.73, 95% CI 1.04–2.88) levels than in adults with serum PFOA levels in the lowest quartile. The study also investigated whether the association between serum PFOA levels and chronic kidney disease was due to reverse causality (i.e., decreased glomerular filtration leads to a decrease in perfluoroalkyl filtration) and found a stronger negative correlation between estimated glomerular filtration rate and serum PFOA levels in subjects without chronic kidney disease, suggesting that it was not due to reverse causality. In another study utilizing NHANES data, an inverse association was found in adolescents with serum PFOA levels in the 4<sup>th</sup> quartile (Kataria et al. 2015). Similarly, an inverse association between serum PFOA and glomerular filtration rate was found in children participating in the C8 Health Project (Watkins et al. 2013). Unlike Shankar et al. (2011a), Watkins et al. (2013) suggested that the association between serum perfluoroalkyl levels and estimated glomerular filtration rates may be a consequence of reverse causation because no associations were found between estimated serum PFOA levels 3 or 10 years prior to enrollment in the study or at the time of study enrollment and estimated glomerular filtration rates; predicted serum PFOA levels were based on environmental PFOA levels, self-reported residential history, and PBPK modeling.

*Epidemiological Studies—Alterations in Uric Acid Levels.* Associations between serum PFOA levels and serum uric acid levels have been found in several occupational, community, and general population studies. Costa et al. (2009) and Sakr et al. (2007b) reported associations between serum PFOA levels and serum uric acid levels in workers with high serum PFOA levels. In adult participants of the C8 Health Project, positive linear trends between serum uric acid levels and serum PFOA levels were found (Steenland et al. 2010b). When the subjects were categorized by PFOA levels, significantly increased risks of hyperuricemia (>6.0 mg/dL for women, >6.8 mg/dL for men) were observed for subjects with serum PFOA levels in the  $2<sup>nd</sup>$ ,  $3<sup>rd</sup>$ ,  $4<sup>th</sup>$ , and  $5<sup>th</sup>$  quintiles ( $\geq$ 11.5 ng/mL). Four studies utilizing NHANES data have found associations between serum PFOA and serum uric acid levels in adults (Gleason et al. 2015; Shankar et al. 2011b) and adolescents (Geiger et al. 2013; Kataria et al. 2015). A study in Taiwanese adolescents also found this association between PFOA and uric acid (Qin et al. 2016). Several studies have also found increases in the risk of hyperuricemia in a highly exposed population (Steenland et al. 2010b) and the general population (Gleason et al. 2015; Geiger et al. 2013; Qin et al. 2016; Shankar et al. 2011b). The ORs for the risk of hyperuricemia in these studies are summarized in [Figure 2-19.](#page-232-0)

<span id="page-232-0"></span>

# **Figure 2-19. Risk of Hyperuricemia Relative to PFOA Levels (Presented as Adjusted Odds Ratios)**

PFOA - Hyperuricemia Risk [OR (95% CI)]

#### 2. HEALTH EFFECTS

*Laboratory Animal Studies.* No gross or microscopic alterations were observed in the kidneys from male rats following head-only inhalation exposure to up to 84 mg/m<sup>3</sup> APFO dusts for 2 weeks (Kennedy et al. 1986). Significantly elevated absolute and relative kidney weight was reported in male rats dosed with ≥3 mg/kg/day PFOA by gavage in water for 70 days (Butenhoff et al. 2004b), but histological evaluation of the kidney was not conducted in this study. Rats that received much higher doses (100– 110 mg/kg/day) of APFO for 90 days in the diet showed no significant morphological alterations in the kidneys, and BUN and the urinalysis were unremarkable (Griffith and Long 1980). Also, male mice dosed with up to 47 mg/kg/day APFO in the drinking water for 21 days showed no morphological alterations in the kidneys, and BUN and serum creatinine levels were not significantly affected (Son et al. 2008). Treatment of Cynomolgus monkeys with daily doses of up to 20 mg/kg/day APFO, administered via a capsule, for 26 weeks (Butenhoff et al. 2002) or Rhesus monkeys dosed with up to 10 mg/kg/day by gavage for 90 days (Griffith and Long 1980) did not cause morphological alterations in the kidneys, and blood chemistries and urinalyses provided no evidence of alterations in kidney function. In a 2-year dietary study in rats, relative kidney weight from males dosed with 15 mg/kg/day APFO was significantly elevated (14%) at the 1-year interim evaluation relative to controls, but gross and microscopic appearance (at 1 year and at termination), BUN, and urinalyses (several times during the study) were not significantly affected (3M 1983; Butenhoff et al. 2012c). No gross or microscopic alterations were seen in the kidneys from rats that received dermal applications of up to 2,000 mg/kg/day APFO to the shaven skin for 2 weeks (Kennedy 1985).

*Summary.* Epidemiological studies have examined possible associations between exposure to PFOA and increases in the risk of kidney disease and alterations in renal function. Mixed results for associations between serum PFOA and risks of kidney disease have been reported in occupational exposure studies and studies of highly exposed residents with more studies not finding associations. Several general population and community studies have found inverse associations between serum PFOA and glomerular filtration rate; however, there is suggestive evidence that this association may be due to reverse causation rather than a direct effect. Associations between serum PFOA levels and serum uric acid levels have been consistently observed in occupational, community, and general populations. Laboratory animal studies have not found evidence of alterations in renal function or histological alterations.

#### **PFOS**

*Epidemiological Studies—Biomarkers of Renal Function.* Three studies have found inverse associations between serum PFOS levels and glomerular filtration rate in adults (Shankar et al. 2011a), adolescents (Kataria et al. 2015), and children (Watkins et al. 2013). In the Watkins et al. (2013) study of C8 Health Project participants, a concentration-related linear trend between decreasing estimated glomerular filtration rates and increases in serum PFOS levels was observed in children and adolescents 1–<18 years old. In adolescents 12–19 years of age participating in NHANES, the estimated glomerular filtration rate was lower in participants with serum PFOA levels in the  $2<sup>nd</sup>$ ,  $3<sup>rd</sup>$ , and  $4<sup>th</sup>$  quartiles than those with levels in the 1<sup>st</sup> quartile (Kataria et al. 2015). In addition to the inverse association between serum PFOS and estimated glomerular filtration rate observed in adult NHANES participants, Shankar et al. (2011a) also found increased risks of chronic kidney disease (defined as a glomerular filtration rate of  $\leq 60$  mL/minute/1.73 m<sup>2</sup>) in participants with serum PFOS levels in the 4<sup>th</sup> quartile.

*Epidemiological Studies—Alterations in Uric Acid Levels.* In a study of C8 Health Project participants, a linear trend between serum uric acid levels and serum PFOS levels was found (Steenland et al. 2010b). When the subjects were categorized by serum PFOS levels, increased risks of hyperuricemia (>6.0 mg/dL) for women, >6.8 mg/dL for men) were observed for subjects with serum PFOS levels in the  $3<sup>rd</sup>$ ,  $4<sup>th</sup>$ , and 5th quintiles. Similar findings were found in NHANES adult participants (Shankar et al. 2011b). A study of adolescent NHANES participants found associations between serum PFOS and serum uric acid levels (Kataria et al. 2015); a second study did not find an association (Geiger et al. 2013). The Geiger et al. (2013) study did find an increased risk of hyperuricemia for adolescents with serum PFOS levels in the 4th quartile. A study of Taiwanese adolescents did not find associations between serum PFOS and uric acid or an increased risk of hyperuricemia (Qin et al. 2016). The ORs for the risk of hyperuricemia in these studies are summarized in [Figure 2-20.](#page-235-0)

*Laboratory Animal Studies.* No significant morphological alterations or clinical evidence of impaired kidney function was reported in male and female rats dosed with up to 1.77 mg/kg/day PFOS (potassium salt) (Seacat et al. 2003) or 5.89 mg/kg/day (Curran et al. 2008) for 4 weeks. Extending the treatment to 14 weeks resulted in an increase in BUN in male (23% increase) and female rats (41% increase), but histopathology of the kidneys and urinalyses were unremarkable (Seacat et al. 2003). The NOAEL values were 0.34 and 0.4 mg/kg/day in males and females, respectively. Gavage administration of three doses of PFOS to Cynomolgus monkeys over 315 days did not result in alterations in BUN or serum creatinine or

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# **Figure 2-20. Risk of Hyperuricemia Relative to PFOS Levels (Presented as Adjusted Odds Ratios)**

total protein levels (Chang et al. 2017). Treatment of Cynomolgus monkeys with up to 0.75 mg/kg/day PFOS (potassium salt) administered via a capsule for 26 weeks did not cause morphological alterations in the kidneys, nor did it affect BUN, serum creatinine, or urinary parameters (Seacat et al. 2002). Similar results were reported in a 4-week study in monkeys dosed with up to 2 mg/kg/day PFOS (Thomford 2002a). A mild increase in BUN was reported in rats treated with approximately 0.25 or 1.04 mg/kg/day PFOS in the diet for 53 weeks in a 2-year study (Butenhoff et al. 2012b; Thomford 2002b). However, there were no significant gross or microscopic alterations in the kidneys at week 53 or at termination.

## **PFHxS**

*Epidemiological Studies—Biomarkers of Renal Function.* A small number of epidemiological studies have evaluated biomarkers of renal function. In a study of C8 Health Project child participants (aged 1– <18 years), an inverse association between serum PFHxS and estimated glomerular filtration rate was observed (Watkins et al. 2013). A study of adolescent participants in NHANES did not find this association (Kataria et al. 2015). It is noted that the reported median PFHxS level in the Watkins et al. (2013) study (5.2 ng/mL) exceeded the lower end of the  $4<sup>th</sup>$  quartile serum PFHxS level in the Kataria et al. (2015) study ( $\geq$ 4 ng/mL).

*Epidemiological Studies—Alterations in Uric Acid Levels.* In NHANES participants ≥12 years of age (Gleason et al. 2015) and adolescent NHANES participants (Kataria et al. 2015), no associations between serum PFHxS levels and serum uric acid levels or risk of hyperuricemia (Gleason et al. 2015) were found. A study of Taiwanese adolescents found an association between serum PFHxS levels and serum uric acid levels, but did not find increased risks of hyperuricemia (Qin et al. 2016).

*Laboratory Animal Studies.* Male rats treated by gavage with 10 mg/kg/day PFHxS for at least 42 days showed a significant increase in BUN levels, but there were no significant gross or microscopic alterations in the kidneys (Butenhoff et al. 2009a); the NOAEL was 3 mg/kg/day. No significant effect on BUN was reported in female rats. No histological alterations were observed in the kidneys of mice following intermediate-duration administration of  $\leq$ 3 mg/kg/day PFHxS (Chang et al. 2018).

## **PFNA**

*Epidemiological Studies—Biomarkers of Renal Function.* Two epidemiological studies have evaluated the possible associations between serum PFNA and alterations in renal function biomarkers. In a study of

children participating in the C8 Health Project, an inverse association between serum PFNA and estimated glomerular filtration rate was observed, but not in adolescents participating in NHANES (Watkins et al. 2013). Mundt et al. (2007) noted that there were small, but not clinically significant, alterations in BUN, creatinine, and serum uric acid levels in workers exposed to PFNA.

*Epidemiological Studies—Alterations in Uric Acid Levels.* Gleason et al. (2015) found an association between serum PFNA and serum uric acid levels in NHANES participants; this association was not found in studies of adolescents (Kataria et al. 2015; Qin et al. 2016). Studies by Gleason et al. (2015) and Qin et al. (2016) did not find increases in the risk of hyperuricemia associated with serum PFNA levels.

## **PFDA**

*Epidemiological Studies—Alterations in Uric Acid Levels.* Epidemiological studies examining renal outcomes are limited to a study of Taiwanese adolescents that found no association between serum PFDA levels and serum uric acid levels and did not find increased risks of hyperuricemia (Qin et al. 2016).

*Laboratory Animal Studies.* Administration of a single dose of up to 80 mg/kg PFDA to female C57BL/6N mice by gavage did not induce gross or microscopic changes in the kidneys (Harris et al. 1989). However, 2 out of 10 mice that died following administration of a dose of 320 mg/kg showed mild acute necrosis of the proximal convoluted tubules. No histological alterations were observed in the kidneys of rats administered 0.5 mg/kg/day PFDA for 28 days or mice receiving weekly gavage doses of 5 mg/kg for 4 weeks (Frawley et al. 2018).

#### **PFUnA**

*Laboratory Animal Studies.* Treatment of male and female rats with 1.0 mg/kg/day PFUnA via gavage for 41–46 days resulted in significant increases in BUN levels (35–61% in males, 19–45% in females) and alkaline phosphatase activity (86–140% in males, 83% in females) and significant decreases in total protein (11% in males, 10–13% in females) and albumin (7% in males) levels (Takahashi et al. 2014); the NOAEL was 0.3 mg/kg/day.

#### **PFBS**

*Epidemiological Studies—Alterations in Uric Acid Levels.* Serum PFBS levels were not associated with serum uric acid levels or increases in the risk of hyperuricemia in a study of adolescents in Taiwan (Qin et al. 2016).

*Laboratory Animal Studies.* Treatment of female rats with 900 mg/kg/day PFBS by gavage for 28 days caused a significant increase (9–11%) in absolute and relative kidney weight, but caused no significant alterations in the microscopic appearance of the kidneys (3M 2001). The weight of the kidneys returned to control levels following a recovery period of approximately 14 days; the NOAEL for kidney weight effects was 900 mg/kg/day PFBS. In a 90-day rat study, PFBS did not result in alterations in kidney weights, but did result in hyperplasia of the medullary and papillary tubular and ductal epithelial cells in the inner medullary region at 600 mg/kg/day, but not at 200 mg/kg/day (Lieder et al. 2009a). Minimal to moderate papillary epithelial tubular/acinar hyperplasia was also observed in a 2-generation rat study at 300 mg/kg/day; the study identified a NOAEL of 100 mg/kg/day (Lieder et al. 2009b).

## **PFBA**

*Laboratory Animal Studies.* No alterations in renal morphology or clinical indications of impaired renal function were reported in rats treated with PFBA in doses of up to 184 mg/kg/day for 5 days (3M 2007a), 150 mg/kg/day for 28 days (Butenhoff et al. 2012a; van Otterdijk 2007a), or 30 mg/kg/day by gavage for 90 days (Butenhoff et al. 2012a; van Otterdijk 2007b).

#### **PFDoDA**

*Epidemiological Studies—Alterations in Uric Acid Levels.* In adolescents, no associations between serum PFDoDA levels and serum uric acid levels or the risk of hyperuricemia were observed (Qin et al. 2016).

*Laboratory Animal Studies.* No histopathological alterations were observed in rats administered up to 2.5 mg/kg/day PFDoDA for 42–47 days (Kato et al. 2015).

#### **PFHxA**

*Epidemiological Studies—Alterations in Uric Acid Levels.* In adolescents, no associations between serum PFHxA levels and serum uric acid levels or the risk of hyperuricemia were observed (Qin et al. 2016).

*Laboratory Animal Studies.* Renal papillary necrosis was determined to be one of the causes of death in rats administered 450 mg/kg/day PFHxA for 4 days (Kirkpatrick 2005). No increases in renal lesions were observed in surviving rats administered a TWA dose of 315 mg/kg/day for 32–44 days (Kirkpatrick 2005). No histological alterations were observed in the kidneys of rats administered up to 200 mg/kg/day NaPFHx for 90 days (Chengelis et al. 2009b). In a 2-year gavage study, treatment of female rats with 200 mg/kg/day PFHxA resulted in mild renal tubular degeneration and mild to severe papillary necrosis (Klaunig et al. 2015); the NOAEL was 100 mg/kg/day. In addition, urinalysis revealed an increased mean urine volume and reduced specific gravity. There were no histological alternations in the kidneys of males.

# **2.11 DERMAL**

*Overview.* No studies were located regarding dermal effects in humans. Studies in laboratory animals have not found dermal effects following head-only inhalation exposure to PFOA (see [Table 2-1\)](#page-11-0) or oral exposure to PFOA, PFOS, or PFBA (see Tables [2-3,](#page-16-0) [2-4,](#page-44-0) and [2-5\)](#page-66-0). Dermal exposure to PFOA has resulted in skin damage (see [Table 2-6\)](#page-91-0).

# **PFOA**

In an inhalation head-only exposure study, no histopathological alterations were observed in the abdominal skin of male rats exposed to  $\leq 84$  mg/m<sup>3</sup> APFO dusts for 2 weeks (Kennedy et al. 1986).

No microscopic alterations were observed in the skin following oral exposure of rats to  $\leq$ 100– 110 mg/kg/day APFO via the diet for 90 days (Griffith and Long 1980) or monkeys exposed to up to 20 mg/kg/day PFOA or 0.75 mg/kg/day PFOS for 26 weeks (Butenhoff et al. 2002; Seacat et al. 2002).

Application of a single dose of 5,000 mg/kg of an aqueous paste of APFO to a clipped area of the skin of rats, and left in place covered for 24 hours produced mild skin irritation (Kennedy 1985); no irritation was

apparent with a dose of 3,000 mg/kg. In a 2-week dermal exposure study, skin irritation was observed in rats exposed to 200 mg/kg/day (Kennedy 1985). Acute necrotizing dermatitis was observed in two out of five rats exposed to 2,000 mg/kg/day; this lesion was observed after the 10<sup>th</sup> treatment. Application of 500 mg/kg APFO to the intact or abraded skin of young rabbits and left covered for 24 hours was nonirritating, as scored according to the Draize procedure immediately after removal of the cover and 48 hours later (Griffith and Long 1980).

#### **PFOS**

Administration of up to approximately 1.04 mg/kg/day PFOS to rats in the diet for 2 years did not induce morphological alterations in the skin (Butenhoff et al. 2012b; Thomford 2002b).

#### **PFBA**

There were no significant gross or microscopic alterations in the skin of rats receiving gavage doses of ≤150 mg/kg/day PFBA for 28 days or ≤30 mg/kg/day PFBA for 90 days (Butenhoff et al. 2012a; van Otterdijk 2007a, 2007b).

# **2.12 OCULAR**

*Overview.* No information was located regarding ocular effects in humans. Ocular irritation has been observed in laboratory animals following exposure to airborne APFO dust or instillation of PFOA into the eye (see Tables [2-1](#page-11-0) and [2-6\)](#page-91-0). However, ocular effects have not been found following oral exposure to PFOA, PFOS, PFBS, PFBA, or PFHxA (see Tables [2-3,](#page-16-0) [2-4,](#page-44-0) and [2-5\)](#page-66-0).

# **PFOA**

Rats exposed to 18,600 mg/m3 APFO dusts for 1 hour exhibited a red material around the eyes and lacrimation during exposure (Griffith and Long 1980). Male rats exposed to  $\geq 810$  mg/m<sup>3</sup> APFO dusts for 4 hours showed corneal opacity and corrosion, which was confirmed by fluorescein staining (Kennedy et al. 1986). Examination of the eyes of male rats exposed intermittently to up to 84 mg/m<sup>3</sup> APFO for 2 weeks using a bright light and a slit-lamp biomicroscope on days 5 and 9 of exposure did not reveal any significant exposure-related alterations (Kennedy et al. 1986). Microscopic examination of the eyes from these rats at termination and following a recovery period of up to 42 days was unremarkable.

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In oral exposure studies, examination of the eyes from rats exposed to approximately 100–110 mg/kg/day APFO in the diet for 90 days did not reveal any significant gross or microscopic alterations (Griffith and Long 1980). Similar results were reported in rats that received dietary doses up to 15 mg/kg/day APFO for 2 years (3M 1983; Butenhoff et al. 2012c) and in monkeys dosed with up to 20 mg/kg/day APFO for 26 weeks (Butenhoff et al. 2002).

No significant gross alterations were observed in the eyes of rats following repeated dermal exposure to APFO (Kennedy 1985). Microscopic examination of the eyes also did not reveal treatment-related changes. In a study in rabbits, 0.1 g APFO was instilled once in the conjunctival sac of the right eye and examinations were conducted after 1, 24, 48, and 72 hours and 5 and 7 days after the application (Griffith and Long 1980). APFO produced moderate irritation of the eye characterized by iridal and conjunctival effects. The effects were most pronounced 1 hour after instillation. The irritation was persistent, but by day 7, it had subsided. In a different experiment in which 0.1 g APFO was instilled for 5 or 30 seconds before washing with 200 mL of water, there was limited conjunctival irritation, but the effects were immediate and persistent.

# **PFOS**

No gross or microscopic alterations were observed in the eyes from rats exposed to  $\leq$ 1.77 mg/kg/day PFOS in the diet for 4 weeks or  $\leq$ 1.56 mg/kg/day for 14 weeks (Seacat et al. 2003). Similar findings were reported in monkeys dosed daily with up to 2 mg/kg/day PFOS administered via a capsule for 4 weeks (Thomford 2002a) or up to 0.75 mg/kg/day PFOS administered via a capsule for 26 weeks (Seacat et al. 2002), and in rats dosed with up to 1.04 mg/kg/day in the diet for 2 years (Butenhoff et al. 2012b; Thomford 2002b).

## **PFBS**

No gross or microscopic alterations were observed in the eyes of rats administered ≤900 mg/kg/day PFBS via gavage for 28 days (3M 2001).

## **PFBA**

Examination of the eyes of rats orally exposed to  $\leq 150$  mg/kg/day PFBA for 28 days or  $\leq 30$  mg/kg/day for 90 days did not reveal any significant alterations in the eyes (Butenhoff et al. 2012a; van Otterdijk 2007a, 2007b).

## **PFHxA**

No ophthalmological alterations were observed in rats administered up to 500 mg/kg/day NaPFHx for 90–93 days (Chengelis et al. 2009b; Loveless et al. 2009).

# **2.13 ENDOCRINE**

*Overview.* Epidemiological studies have examined a number of endocrine targets including thyroid gland and hormones, reproductive hormones, and insulin levels. A discussion of the thyroid effects is included in this section; the reproductive hormone effects are discussed in Section 2.16, Reproductive, and the insulin effects (as well as other effects associated with glucose metabolism and utilization) are discussed in Section 2.18, Other Noncancer. Summaries of results of epidemiological studies evaluating thyroid outcomes are presented in [Table 2-15;](#page-243-0) more in-depth summaries of the studies are presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 9. Although some associations between serum PFOA, PFOS, PFHxS, PFNA, PFDA, and PFUnA and thyroid stimulating hormone (TSH), triiodothyronine (T3), or thyroxine (T4) levels or thyroid disease have been found, the results are not consistent across studies and a larger number of studies have not found associations. A small number of studies have evaluated PFDoDA and most studies have not found consistent associations between serum perfluoroalkyl levels and thyroid hormone levels. No epidemiological studies examining endocrine health outcomes were identified for PFHpA, PFBS, PFBA, PFHxA, or FOSA.

Laboratory animal studies have primarily evaluated potential morphological alterations in endocrine tissues following oral exposure; these studies are summarized in Table[s 2-3,](#page-16-0) [2-4,](#page-44-0) an[d 2-5.](#page-66-0) Some alterations in thyroid hormone levels have been observed in laboratory animals exposed to PFOA, PFOS, PFHxS, or PFDA. Histopathological alterations have been observed in the thyroid of some laboratory animal studies for PFHxS, PFBA, and PFHxA; the investigators noted that these effects were likely secondary to the hepatocellular hypertrophy, although the mechanism has not been established for these compounds. In general, the pituitary, parathyroid, thyroid, and adrenal glands do not appear to be

<span id="page-243-0"></span>



# **Table 2-15. Summary of Thyroid Outcomes in Humansa**



# **Table 2-15. Summary of Thyroid Outcomes in Humansa**




















### **Table 2-15. Summary of Thyroid Outcomes in Humansa**









### **Table 2-15. Summary of Thyroid Outcomes in Humansa**









### **Table 2-15. Summary of Thyroid Outcomes in Humansa**











aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 9 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

HR = hazard ratio; NHANES = National Health and Nutrition Examination Survey; NR = not reported; NS = not significant; OR = odds ratio;

PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid;

PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid; T3 = triiodothyronine; T4 = thyroxine; TSH = thyroid stimulating hormone

sensitive targets following exposure to PFOA, PFOS, PFDA, PFBS, or PFBA. Endocrine effects have not been examined in laboratory animal studies on PFNA, PFUnA, PFHpA, or FOSA.

### **PFOA**

*Epidemiological Studies.* A number of epidemiological studies have examined the potential of PFOA to damage the thyroid. Steenland et al. (2015) did not find an association between serum PFOA and the risk of thyroid disease in male or female workers at the Washington Works facility. The occupational exposure studies do not suggest an association between serum PFOA and alterations in thyroid hormone levels. One study (Olsen and Zobel 2007) reported associations between serum PFOA levels and free T4 and T3 levels in workers at 3M facilities; it is noted that the investigators did not consider the results clinically relevant since the levels were within the normal range. A study reported an association between serum PFOA and TSH, but this was only observed at one time point (Olsen et al. 1998b); another study of the 3M Cottage Grove facility, reported an association between serum fluorine levels and TSH levels (Gilliland 1992). A fifth occupational study reported that TSH, T4, and T3 levels were within the reference range (Sakr et al. 2007b).

Three studies of the community affected by the Washington Works facility reported increases in selfreported thyroid disease (Anderson-Mahoney et al. 2008), any type of functional thyroid disease (Lopez-Espinosa et al. 2012; Winquist and Steenland 2014b), or hypothyroidism (Lopez-Espinosa et al. 2012). No associations between estimated cumulative serum PFOA and hyperthyroidism or hypothyroidism were found in retrospective analysis (Winquist and Steenland 2014b). However, in prospective analysis, an association between estimated cumulative serum PFOA and hypothyroidism was found in men (Winquist and Steenland 2014b). Consistent with the occupational exposure data, no association between serum PFOA and TSH levels was found (Emmett et al. 2006b; Knox et al. 2011a; Lopez-Espinosa et al. 2012). Increases in serum PFOA were also associated with increases in T4 levels and decreases in T3 uptake in adults (Knox et al. 2011a).

A number of studies have examined the thyroid outcomes associated with serum PFOA levels in the general population. An association between serum PFOA and thyroid disease risk was found in female NHANES participants, but not in males (Melzer et al. 2010). Another study utilizing NHANES data (Wen et al. 2013) found an increased risk of subclinical hypothyroidism among women, but not men, and a decreased risk of subclinical hyperthyroidism among men, but not women. An increased risk of hypothyroidism was also observed in a study of pregnant women (DuFour et al. 2018). A case-control

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study of women did not find that serum PFOA levels were associated with the risk of hypothyroxinemia (Chan et al. 2011). Although five studies found associations between serum PFOA and T3 levels (Crawford et al. 2017; Jain 2013; Lewis et al. 2015; Webster et al. 2016; Wen et al. 2013), five other studies did not find these associations (Berg et al. 2017; Raymer et al. 2012; Shrestha et al. 2015; Wang et al. 2014; Yang et al. 2016a). No associations between serum PFOA and TSH or T4 levels were found in the general population studies (Berg et al. 2017; Bloom et al. 2010; Crawford et al. 2017; Jain 2013; Ji et al. 2012; Kang et al. 2018; Raymer et al. 2012; Shrestha et al. 2015; Wang et al. 2013a, 2014; Webster et al. 2016; Wen et al. 2013; Yang et al. 2016a), with the exception of two studies which found an association for TSH and T4 levels (Lewis et al. 2015) or free T4 (Preston et al. 2018).

Studies examining possible relationships between cord blood PFOA and cord blood thyroid hormone levels have not found associations for T4, T3, or TSH (Dufour et al. 2018; Shah-Kulkarni et al. 2016; Tsai et al. 2017). Preston et al. (2018) found an inverse association between maternal serum PFOA and neonatal T4 levels.

In a clinical trial of patients with advanced solid tumors administered 50–1,200 mg APFO (approximately 0.10–2.4 mg/kg/day) for 6 weeks, increases in free T4 levels were observed with no apparent alterations in TSH (Convertino et al. 2018).

*Laboratory Animal Studies.* Repeated intermittent head-only exposure of male rats to up to 84 mg/m<sup>3</sup> APFO dusts for 2 weeks did not result in significant gross or microscopic alterations in the thyroid or adrenal gland (Kennedy et al. 1986).

In a 2-generation study in rats, daily treatment of the parental generation with 0, 1, 3, 10, or 30 mg/kg/day APFO by gavage in water for 70–90 days produced an increased incidence of hypertrophy and/or vacuolation of the zona glomerulosa of the adrenal gland from high-dose males (Butenhoff et al. 2004b). The respective incidences were 0/10, 0/10, 0/10, 2/10, and 7/10. This effect was also observed in F1 generation males treated with the same dose level. No explanation was apparent for this finding. In rats dosed with up to 15 mg/kg/day APFO in the diet for 2 years, there were no significant morphological alterations in the adrenals (3M 1983; Butenhoff et al. 2012c). A study in monkeys treated with APFO also reported effects on the adrenal glands. Griffith and Long (1980) reported diffuse lipid depletion in the adrenals from Rhesus monkeys dosed daily for 90 days with 30 mg/kg/day APFO by gavage. This dose level was lethal to some monkeys; no such effect was seen in monkeys dosed with 10 mg/kg/day.

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For the most part, morphological evaluations of other endocrine glands in animals treated with PFOA have been negative. For example, male and female rats dosed via the diet with approximately 100– 110 mg/kg/day APFO for 90 days showed no gross or microscopic alterations in the pituitary or thyroid glands (Griffith and Long 1980). Similar observations were reported in the pituitary, thyroid, and parathyroid glands from male and female rats dosed with up to 15 mg/kg/day APFO in the diet for 2 years (Butenhoff et al. 2012c; 3M 1983).

Administration of up to 20 mg/kg/day PFOA administered via a capsule to Cynomolgus monkeys for 4 weeks did not significantly alter free T4, total T4, free T3, total T3, or TSH (Thomford 2001). Serum T4 and total T4 were significantly reduced in Cynomolgus monkeys dosed with 10 mg/kg/day APFO administered via a capsule for up to 6 months, but were still within the normal range (Butenhoff et al. 2002). No significant changes were seen on serum free T3, total T3, or TSH, or thyroid histology.

The only relevant dermal information is that no morphological alterations were observed in the thyroid of rats following dermal application of up to 2,000 mg/kg/day APFO for 2 weeks in the Kennedy (1985) study.

### **PFOS**

*Epidemiological Studies.* A number of epidemiological studies have examined the risk of thyroid disease and alterations in thyroid hormone levels to evaluate whether the thyroid gland is a target of PFOS toxicity. In studies of NHANES participants, no increases in the risk of thyroid disease were observed in men or women (Lewis et al. 2015; Melzer et al. 2010). Melzer et al. (2010) did find an increase in the risk of having thyroid disease and currently taking thyroid medication among men, and Wen et al. (2013) found increased risks of subclinical hypothyroidism among men and women. Dufour et al. (2018) also found an association between cord blood PFOS and risk of maternal hypothyroidism. Although some studies have found alterations in thyroid hormone levels, the results are not consistent across studies. Associations between serum PFOS and TSH levels were observed in three general population studies (Berg et al. 2015, 2017; Wang et al. 2014); however, one of the studies (Berg et al. 2017) found that the association was no longer significant after adjustments for exposure to other perfluoroalkyls and persistent organic compounds. In contrast, two other studies found inverse associations for TSH (Dallaire et al. 2009; Yang et al. 2016a). A third study also found an inverse association with TSH but only among pregnant women who were positive for thyroid peroxides antibodies (Preston et al. 2018). An occupational exposure study (Olsen et al. 1998a) and ten general population studies (Bloom et al. 2010;

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Crawford et al. 2017; Jain 2013; Ji et al. 2012; Kang et al. 2018; Lewis et al. 2015; Raymer et al. 2012; Shrestha et al. 2015; Wang et al. 2014; Wen et al. 2013) did not find associations between serum PFOS and TSH levels. Conflicting results were also reported for T3 levels, with some studies reporting associations (Olsen et al. 2003a), inverse associations (Dallaire et al. 2009), or no association (Berg et al. 2017; Crawford et al. 2017; Jain 2013; Lewis et al. 2015; Raymer et al. 2012; Shrestha et al. 2015; Wang et al. 2014; Webster et al. 2016; Wen et al. 2013; Yang et al. 2016a). Most studies did not find an association with T4 levels (Berg et al. 2017; Crawford et al. 2017; Jain 2013; Ji et al. 2012; Kang et al. 2018; Lewis et al. 2015; Raymer et al. 2012; Preston et al. 2018; Wang et al. 2014; Webster et al. 2016; Wen et al. 2013; Yang et al. 2016a), but three studies did find associations between T4 levels and serum PFOS (Dallaire et al. 2009; Lewis et al. 2015; Shrestha et al. 2015). In NHANES participants with two indicators of thyroid stress (low iodine levels and high thyroid peroxidase antibody), serum PFOS levels were significantly (p<0.05) associated with increases in free and total T3, decreases in free T4, and increases in TSH levels (Webster et al. 2016).

Conflicting results were also found in studies using cord blood PFOS as the biomarker of exposure. Tsai et al. (2017) found an inverse association with cord blood T4 and a positive association with cord blood TSH; no association was found for T3. Shah-Kulkarni et al. (2016) found no associations for cord blood T4, T3, or TSH. It is noted that cord blood serum PFOS levels were much higher in the Tsai et al. (2017) study compared to the Shah-Kulkarni et al. (2016) study.

*Laboratory Animal Studies.* Chang et al. (2008b) conducted a study of thyroid function in rats exposed to PFOS (potassium salt). Administration of a single dose of 15 mg/kg by gavage in water (only dose level tested) reduced serum total T4 significantly at 2, 6, and 24 hours after dosing. This effect was attributed to a PFOS-induced transient increase in tissue availability of thyroid hormones and turnover of T4 with a resulting reduction in serum total T4. Chang et al. (2008b) concluded that PFOS did not induce a classical hypothyroid state or alter the hypothalamic-pituitary-thyroid axis. In another acute-duration study, dosing of pregnant mice with 6 mg/kg/day PFOS (potassium salt) on GDs 6–18 did not affect maternal serum levels of free or total T3 or T4 (Fuentes et al. 2006).

Changes in thyroid hormones have also been reported following intermediate-duration exposure to PFOS. For example, in a 2-generation gavage study in which dosing of rats started before mating and continued through gestation, doses  $\geq 0.4$  mg/kg/day (the lowest dose tested) caused a significant and dose-related reduction in total T4 in maternal serum on postpartum day 5 (Luebker et al. 2005b). Free T4 and TSH were not significantly affected. Exposure of pregnant rats to  $\geq$ 1 mg/kg/day PFOS on GDs 2–20 induced

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significant reductions in total T4 and free T4 and less marked reductions in T3 during pregnancy, particularly on GD 7 (Thibodeaux et al. 2003); however, serum TSH values were not significantly altered. A similar study in pregnant mice reported a decrease in total T4 on GD 6 in mice dosed with 20 mg/kg/day PFOS on GDs 1–17 (Thibodeaux et al. 2003). No alterations in total T4 were reported in mice dosed with 15 mg/kg/day. No information was provided regarding other thyroid hormones. Decreases in T4 levels were observed in male and female rats exposed to PFOS in the diet for 28 days (Curran et al. 2008); T3 levels were decreased in female rats exposed to 50 or 100 mg/kg/day and in male rats at 100 mg/kg/day. No histological alterations were observed in the thyroid. Another study with PFOS found no thyroid histological effects in rats exposed to 10.3 mg/kg/day for 1 day, 8.17 mg/kg/day for 7 days, or 7.34 mg/kg/day for 28 days (Elcombe et al. 2012a). Exposure of rats to  $\geq 0.27$  mg/kg/day PFOS in drinking water for 91 days resulted in decreases in total T4 levels (Yu et al. 2009a), but no changes in T3 or TSH levels (highest dose tested was 2.37 mg/kg/day). Curran et al. (2008) suggested that the apparent decreases in T4 levels, in the absence of TSH alterations and histological alterations in the thyroid, may be a result of measurement error when analog assays (chemiluminometric immunoassay and radioimmunoassay) are used due to binding interference. A decrease in serum total T4 levels was observed in Cynomolgus monkeys administered three doses of PFOS (average dose of 13.3 mg/kg in males and 14 mg/kg in females) over 315 days (Chang et al. 2017). The investigators did not consider this an adverse effect because the values were within the normal variation and there were not changes in free T4 levels or TSH levels. In another study in Cynomolgus monkeys, T3 was numerically lower than controls in one female and one male monkey dosed with 2 mg/kg/day PFOS by capsule for 4 weeks (Thomford 2002a). However, it is difficult to determine whether the effect was treatment-related based on only two animals. In a 26-week study in Cynomolgus monkeys, the highest dose of PFOS tested, 0.75 mg/kg/day, induced a significant increase in serum TSH (approximately twice control value, but still within the reference range) and a decrease in total T3 at termination, but not at earlier time points; variations in other thyroid hormones, including T4, were inconsistent regarding dose and over time (Seacat et al. 2002). The clinical relevance of the lowered total T3 values was not apparent since there was no indication of a clinical hypothyroid response, and thyroid histology was not altered by treatment with PFOS.

Examination of the adrenal glands from rats dosed with up to 1.77 mg/kg/day PFOS via the diet for 4 or 14 weeks did not show any significant gross or microscopic alterations (Seacat et al. 2003). No significant gross or microscopic lesions were reported in the adrenals, thyroid and parathyroid, or pituitary gland from rats dosed with up to 1.04 mg/kg/day PFOS in the diet for 2 years (Butenhoff et al. 2012b; Thomford 2002b).

#### **PFHxS**

*Epidemiological Studies.* Fifteen general population studies have evaluated possible associations between serum PFHxS levels and alterations in thyroid hormone levels. With the exception of a study of pregnant women, which found an association between serum PFHxS levels and TSH levels (Wang et al. 2014), and a study of NHANES participants, which found associations between serum PFHxS and total T4 and T3 in women (Wen et al. 2013), the epidemiological studies did not find associations for TSH, T3, or T4 (Berg et al. 2017; Bloom et al. 2010; Crawford et al. 2017; Jain 2013; Ji et al. 2012; Kang et al. 2018; Lewis et al. 2015; Preston et al. 2018; Wang et al. 2013a, 2014; Webster et al. 2016; Yang et al. 2016a). No associations were also found between cord blood PFHxS levels and cord blood T4, T3, or TSH (Shah-Kulkarni et al. 2016). Chan et al. (2011) did not find an increase in the risk of hypothyroxinemia associated with serum PFHxS levels. Wen et al. (2013) found increases in the risk of subclinical hypothyroidism and subclinical hyperthyroidism among women, but not men and Dufour et al. (2018) did not find an association between cord blood PFHxS levels and risk of hypothyroidism in pregnant women.

*Laboratory Animal Studies.* Hypertrophy and hyperplasia of the follicular cells were observed in the thyroids of male rats treated with ≥3 mg/kg/day PFHxS for at least 42 days (Butenhoff et al. 2009a). The NOAEL was 1 mg/kg/day. The investigators noted that the observed changes in rats are consistent with the known effects of inducers of microsomal enzymes where the hepatocellular hypertrophy results in a compensatory hypertrophy and hyperplasia of the thyroid due to an increase in plasma turnover of T4 and associated stimulation of TSH. Neither thyroid hormones nor TSH were measured in the study. In studies of pregnant rats, 20–30 and 60% decreases in serum thyroxine were observed in the dams administered 5 mg/kg/day or 25 mg/kg/day PFHxS on GD 7–22 (Ramhøj et al. 2018). In mice administered up to 3 mg/kg/day PFHxS prior to mating and during mating, gestation, and lactation, no alterations in TSH were observed in the parental males or females (Chang et al. 2018); this study also found no histological alterations in the thyroid gland.

#### **PFNA**

*Epidemiological Studies.* Inverse associations between serum PFNA levels and T4 levels (Wang et al. 2014) and TSH levels (Yang et al. 2016a) have been reported in general population studies. However, several other studies have not found alterations in TSH, T4, or T3 levels associated with serum PFNA

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levels (Berg et al. 2017; Bloom et al. 2010; Jain 2013; Ji et al. 2012; Lopez-Espinosa et al. 2012; Preston et al. 2018; Wang et al. 2013a; Webster et al. 2016; Wen et al. 2013; Yang et al. 2016a). The investigators for an occupational exposure study reported that differences in TSH, T4, and T3 levels were small and clinically insignificant in groups of workers exposed to low levels, high levels, or no PFNA (Mundt et al. 2007). Preston et al. (2018) found an inverse association between serum PFNA levels and TSH levels, but only in pregnant women who were positive for maternal thyroid peroxides antibodies. Crawford et al. (2017) found associations between serum PFNA and free T4 and T3 levels in women, but no associations with total T4 or TSH. No associations between cord blood PFNA levels and cord blood T4, T3, or TSH were found in two studies (Shah-Kulkarni et al. 2016; Tsai et al. 2017). No associations were found for thyroid disease, hypothyroidism, or subclinical hypo- or hyperthyroidism among residents living near the Washington Works PFOA facility (Lopez-Espinosa et al. 2012), in NHANES participants (Wen et al. 2013), or in pregnant women (Dufour et al. 2018).

### **PFDA**

*Epidemiological Studies.* Most general population studies did not find associations between serum PFDA levels and TSH, T3, or T4 levels (Berg et al. 2017; Bloom et al. 2010; Ji et al. 2012; Kang et al. 2018; Wang et al. 2013a, 2014; Yang et al. 2016a). The exceptions were studies in pregnant women that found positive associations (Berg et al. 2015; Wang et al. 2014) or inverse associations with T3 (Berg et al. 2017), or an inverse association with TSH levels (Yang et al. 2016a). No associations between cord blood PFDA and cord blood T4, T3, or TSH were found in a study by Shah-Kulkarni et al. (2016).

*Laboratory Animal Studies.* Administration of a single dose of 80 mg/kg PFDA to female C57BL/6N mice by gavage resulted in 2- and 4-fold increases in serum T3 and T4, respectively, relative to controls 30 days after dosing (Harris et al. 1989). No alterations were observed in the adrenal glands of rats administered 0.5 mg/kg/day PFDA for 28 days or mice receiving weekly gavage doses of 5 mg/kg for 4 weeks (Frawley et al. 2018).

### **PFUnA**

*Epidemiological Studies.* Inverse associations between serum PFUnA and serum TSH (Yang et al. 2016a) T4 (Wang et al. 2014), or T3 (Berg et al. 2015, 2017) have been reported in pregnant women. However, other general population studies have not found association between PFUnA and TSH, T4, or T3 levels (Bloom et al. 2010; Ji et al. 2012; Kang et al. 2018; Wang et al. 2013a, 2014; Yang et al. 2016a) or between cord blood PFUnA and cord blood T4, T3, or TSH (Shah-Kulkarni et al. 2016; Tsai et al. 2017).

#### **PFBS**

*Laboratory Animal Studies.* Treatment of rats with up to 900 mg/kg/day PFBS by gavage for 28 days did not alter the gross or microscopic appearance of the adrenal, pituitary, or thyroid/parathyroid glands (3M 2001). Levels of thyroid hormones in serum were not available in this study. A study in pregnant mice administered ≥200 mg/kg/day PFBS on GDs 1–20 found decreases in maternal levels of total T4, free T4, and total T3 and increases in TSH levels (Feng et al. 2017).

### **PFBA**

*Laboratory Animal Studies.* Treatment of rats with up to 184 mg/kg/day PFBA by gavage for 5 days did not affect the gross or microscopic morphology of the adrenal, thyroid, or pituitary glands (3M 2007a). Treatment with ≥30 mg/kg/day for 28 or 90 days significantly increased the incidence of hyperplasia/ hypertrophy of the follicular epithelium of the thyroid gland (Butenhoff et al. 2012a; van Otterdijk 2007a, 2007b). These changes were not observed following a 3-week recovery period. Van Otterdijk (2007a, 2007b; Butenhoff et al. 2012a) suggested that the thyroid lesion likely reflected an increase in T4 producing follicular cells in response to feedback mechanisms from the increased turnover of T4 by the hypertrophic hepatocytes. None of these studies measured thyroid hormones or TSH in serum.

### **PFDoDA**

*Epidemiological Studies.* Four general population studies have evaluated the effect of PFDoDA on thyroid hormone levels. Wang et al. (2014) reported inverse associations between serum PFDoDA and free T4 and total T4 in pregnant women; no associations were found for TSH or total T3. In another study of pregnant women (Yang et al. 2016a), inverse associations were found for TSH, free T4, total T4, free T3, and total T3. The third study (Ji et al. 2012) found no associations between serum PFDoDA and TSH or T4. Shah-Kulkarni et al. (2016) did not find associations between cord blood PFDoDA levels and cord blood T4, T3, or TSH levels.

*Laboratory Animal Studies.* Histological alterations were observed in the pancreas, adrenal gland, and/or thymus of rats administered 2.5 mg/kg/day PFDoDA for 42–47 days (Kato et al. 2015). Decreases in

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zymogen granules were observed in the pancreas of male rats and edema of the pancreas interstitium was observed in females (most female rats died before the end of the study). Atrophy of the adrenal cortex was observed in males and in females exposed for 42 days and allowed to recover for 14 days. Atrophy of the thymic cortex was observed in females (most dying before the end of the study). A 28-day study found a 40% reduction in serum estradiol levels in pubertal female rats administered 3 mg/kg/day for 28 days (Shi et al. 2009b).

### **PFHxA**

*Laboratory Animal Studies.* An increased incidence of thyroid follicular epithelial hypertrophy was observed in female rats administered 500 mg/kg/day NaPFHx for 93 days (Loveless et al. 2009). No alterations were observed in male rats in this study or in a second 90-day study in which male and female rats were administered doses as high as 200 mg/kg/day NaPFHx.

### **2.14 IMMUNOLOGICAL**

*Overview.* Epidemiological studies have evaluated three categories of altered immune response related to exposure to perfluoroalkyls: immunosuppression (altered antibody response, infectious disease resistance), hypersensitivity (asthma, wheezing, eczema, atopic dermatitis, allergies), and autoimmunity. A summary of epidemiological studies evaluating immunological endpoints is presented in [Table 2-16;](#page-273-0) more detailed descriptions of individual studies are presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 10. Epidemiological data evaluating potential immunological effects are available for all perfluoroalkyls except PFBA. In general, the epidemiological studies identify the immune system as a target of perfluoroalkyl toxicity. The strongest evidence of the immunotoxicity of perfluoroalkyls in humans comes from epidemiological studies finding associations evaluating the antibody response to vaccines. Associations have been found for PFOA, PFOS, PFHxS, and PFDA. There is also some limited evidence for decreased antibody response for PFNA, PFUnA, and PFDoDA, although many of the studies did not find associations for these compounds. In general, decreases in disease resistance have not been found for PFOA, PFOS, PFHxS, or PFNA. There is marginal evidence for associations between PFOA, PFOS, PFHxS, PFNA, PFDA, PFBS, and PFDoDA and increased risk of asthma; the evidence was considered marginal due to the small number of studies evaluating the outcome and/or conflicting study results. There are limited data of effects on

<span id="page-273-0"></span>






























































aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 10 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk and bold indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

CI = confidence interval; IFN-α-2 = interferon-α2; IFN-γ = interferon-γ; IgA = immunoglobulin A; IgE = immunoglobulin E; IP-10 = interferon-γ-inducible protein 10; IRR= incidence risk ratio; NHANES = National Health and Nutrition Examination Survey; NR = not reported; NS = not significant; OR = odds ratio; PFBS = perfluorobutane sulfonic acid; PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHpA = perfluoroheptanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid; RR= relative risk; SPR = standard prevalence ratio; TNF-α =tumor necrosis factor-α

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autoimmunity; epidemiological studies provide suggestive evidence of an association between serum PFOA and the risk of ulcerative colitis. The small number of studies investigating immunotoxicity following exposure to PFHpA and PFHxA did not find associations.

Laboratory animal studies have also evaluated immunosuppression (disease resistance, antibody response, NK cell activity, delayed-type hypersensitivity response, monocyte phagocytosis), hypersensitivity (airway resistance, local lymph node assay), and autoimmunity. In addition, laboratory animal studies have examined secondary outcomes (lymphoid organ weights, lymphocyte counts or subpopulations, lymphocyte proliferation, cytokine levels, serum antibody levels, histological alterations in immune organs). Summaries of the laboratory animal studies are presented in the LSE tables for PFOA, PFOS, and other perfluoroalkyls (Tables [2-3,](#page-16-0) [2-4,](#page-44-0) [2-5,](#page-66-0) and [2-6\)](#page-91-0); the NOAEL and LOAEL values are presented in Figures [2-8,](#page-40-0) [2-9,](#page-62-0) an[d 2-10.](#page-86-0) No laboratory animal studies were identified for PFUnA, PFHpA, PFDoDA, or FOSA. Studies in laboratory animals identify the immune system as a sensitive target of toxicity following exposure to PFOA and PFOS. The observed effects include impaired responses to T-dependent antigens, impaired response to infectious disease, and secondary outcomes (decreases in spleen and thymus weights and in the number of thymic and splenic lymphocytes). A small number of studies evaluated the immunotoxicity of other perfluoroalkyls and most did not evaluate immune function. No alterations in spleen or thymus organ weights or morphology were observed in studies on PFHxS, PFBA, and PFDA. A study on PFNA found decreases in spleen and thymus weights and alterations in splenic lymphocyte phenotypes.

The National Toxicology Program (NTP 2016b) concluded that exposure to PFOA or PFOS is presumed to be an immune hazard to humans based on a high level of evidence that PFOA and PFOS suppressed the antibody response from animals and a moderate level of evidence from studies in humans. It was noted that the strongest evidence is for suppression of the antibody response and increased hypersensitivity (PFOA only).

### **PFOA**

*Epidemiological Studies—Immunosuppression Outcomes.* Studies evaluating the immunosuppressive effects of PFOA have examined disease resistance and antibody responses. One study found associations between maternal serum PFOA and the number of episodes of the common cold and other respiratory tract infections and the number of episodes of gastroenteritis with vomiting or diarrhea in 3-year-old children (Granum et al. 2013). Another study found an association between maternal PFOA and the risk

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of having a greater number of days with a fever greater than the median (Dalsager et al. 2016), although there was no increase in the number of days with a fever. A third study found an increased risk of lower respiratory tract infections associated cord PFOA from birth to 10 years of age (Impinen et al. 2018). However, other studies have not found associations between PFOA levels and the frequency of the common cold or flu in adults (Looker et al. 2014), between maternal PFOA levels and otitis media in 1.5– 3-year-old children (Granum et al. 2013; Okada et al. 2012), between maternal PFOA and the risk of hospitalization for infectious diseases in young children (Fei et al. 2010), between maternal PFOA and the risk of number of days with cough, nasal discharge, diarrhea, or vomiting (Dalsager et al. 2016), between cord PFOA and number of common colds (Impinen et al. 2018), or between maternal serum PFOA and total number of infectious diseases between birth and 2 years of age (Goudarzi et al. 2017).

Several studies have evaluated the antibody response to vaccination in adults and children; the changes in the response to antibody levels relative to serum PFOA levels are graphically presented in [Figure 2-21;](#page-304-0) the figure does not include data from other studies that used different statistical methods. In adults, decreases in antibody response against influenza A H3N2 virus were associated with increasing serum PFOA levels; however, there were no associations with two other strains of influenza virus (influenza A H1N1 and influenza B) (Looker et al. 2014). Another study of adults also did not find an altered immune response to influenza A H1N1 virus (Stein et al. 2016b). A small-scale study of 12 adults did not find significant alterations in the response to diphtheria or tetanus booster vaccines associated with serum PFOA levels (Kielsen et al. 2016). Increasing current serum PFOA levels were associated with lower antibody levels for mumps and rubella, but not for measles, in a cross-sectional study of adolescents (Stein et al. 2016a). A series of prospective studies by Grandjean and associates (Grandjean et al. 2012, 2017; Mogensen et al. 2015a) evaluated tetanus and diphtheria antibody levels in children at 5, 7, and 13 years of age. Diphtheria antibody levels at age 7 and 13 were inversely associated with serum PFOA levels at age 5 and 7 (Grandjean et al. 2012; Mogensen et al. 2015a) and with serum PFOA at age 13 (Grandjean et al. 2017), respectively. Decreases in tetanus antibody levels at age 7 were associated with increases in serum PFOA levels at age 5, but not at age 7 (Grandjean et al. 2012; Mogensen et al. 2015a) and tetanus antibody levels were not associated with serum PFOA at age 7 or 13 (Grandjean et al. 2017). In studies comparing maternal serum PFOA with antibody levels in children, no associations were found for tetanus antibodies at age 3 (Granum et al. 2013), age 5 (Grandjean et al. 2012), or age 7 (Grandjean et al. 2012) or for diphtheria at age 5 or 7 (Grandjean et al. 2012). It is noted that Grandjean and associates also found an inverse association between serum polychlorinated biphenyls (PCBs) and serum antibody concentrations against tetanus and diphtheria in children living in the Faroe

### **Figure 2-21. Antibody Responses Relative to Serum PFOA Levels in Epidemiological Studies (Presented as percent difference in antibody concentration per 2-fold increase in serum PFOA)**

<span id="page-304-0"></span>

-50 -45 -40 -35 -30 -25 -20 -15 -10 -5 0 5 10 15 20 25 30 35 40 45 50 55 60

 $\beta$  (% change) in Antibody Levels [+/- 95% CI]

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Islands (Heilmann et al. 2010). Statistically adjusting for PCB exposure (milk and serum PCB levels) did not alter the results (Grandjean et al. 2012). Lower levels of rubella antibodies at age 3 were associated with increasing maternal PFOA (Granum et al. 2013).

NTP (2016b) concluded that there is moderate confidence that exposure to PFOA is associated with suppression of the antibody response based on the available human studies. NTP (2016b) also concluded that there is low confidence that exposure to PFOA is associated with increased incidence of infectious disease (or lower ability to resist or respond to infectious disease).

*Epidemiological Studies—Hypersensitivity Outcomes.* Of the different types of hypersensitivity effects, the most widely studied endpoint is asthma; the possible association between exposure to PFOA and asthma has been studied in occupational, community, and general population studies. Several studies have found associations between current serum PFOA levels and diagnosis of asthma in children (Dong et al. 2013; Humblet et al. 2014; Qin et al. 2017) and adults (Anderson-Mahoney et al. 2008; Zhu et al. 2016). A case-control study found significantly higher serum PFOA levels in asthmatic adolescents as compared to adolescents without asthma (Zhou et al. 2017).

However, other studies have found no association between estimated cumulative serum PFOA levels and incidence of asthma being treated with medication in workers (Steenland et al. 2015) or asthma in the general population (Stein et al. 2016a). In children, no associations between maternal serum PFOA levels and asthma-related health outcomes were observed in 3-year-old children (Granum et al. 2013), 5–9-yearold children (Smit et al. 2015) or 1–10-year-old children (Impinen et al. 2018), or between current PFOA levels and current asthma in adolescents (Stein et al. 2016a). However, the Stein et al. (2016a) study did find an association with rhinitis in adolescents. No associations between maternal PFOA and wheezing were found in infants up to 18 months of age (Okada et al. 2012), infants 12 or 24 months of age (Okada et al. 2014), children 3 years of age (Granum et al. 2013), children 5–9 years of age (Smit et al. 2015), children 2–10 years old (Impinen et al. 2018), or between current serum PFOA levels and wheezing in adults (Stein et al. 2016a). The ORs for asthma diagnosis relative to serum PFOA levels are graphically presented in [Figure 2-22;](#page-306-0) studies using different statistical methods are not included. No associations between maternal PFOA and prevalence of allergic diseases or wheezing were found in 4-year-old children (Goudarzi et al. 2016a). No associations between maternal PFOA and eczema were found in infants up to 18 months of age (Okada et al. 2012), children 3 years of age (Granum et al. 2013), or children 5–9 years of age (Smit et al. 2015). Similarly, no association was found between cord blood PFOA and atopic dermatitis in children 2 years of age (Wang et al. 2011).

## **Figure 2-22. Risk of Asthma Diagnosis Relative to PFOA Levels (Presented as Adjusted Odds Ratios)**

<span id="page-306-0"></span>

#### 2. HEALTH EFFECTS

No associations were found between risks of allergy or allergic sensitization and current serum PFOA levels in adults (Stein et al. 2016a) or between cord PFOA in 2–10-year-old children (Impinen et al. 2018). Two studies examining the possible association between current serum PFOA levels in adults and food allergies have found mixed results, with one study finding an association (Buser and Scinicariello 2016) and one not finding an association (Stein et al. 2016a); a study in infants did not find an association between the risk of food allergy and maternal serum PFOA levels (Okada et al. 2012). It is noted that IgE levels, which were used to assess food allergies, is not a sensitive measure of clinical food allergy. No association was found for food sensitization (Buser and Scinicariello 2016).

Associations between serum PFOA and IgE, eosinophil counts, and eosinophil cationic protein levels were observed in asthmatic children (9–16 years of age), but not in non-asthmatic children (Dong et al. 2013; Zhu et al. 2016). Significantly higher IL-4 and IL-5 levels were observed in male children with asthma with the highest PFOA levels (Zhu et al. 2016). Two studies found associations between PFOA and IgE levels in infants. An inverse association was found between maternal PFOA and IgE levels in female infants but not in male infants (Okada et al. 2012), whereas Wang et al. (2011) found a correlation between cord blood PFOA and child IgE levels in males only or in males and females combined. A third study did not find an association between cord blood PFOA and IgE levels in infants (Ashley-Martin et al. 2015). NTP (2016b) concluded that there is low confidence that exposure to PFOA during childhood is associated with increased hypersensitivity responses.

*Epidemiological Studies—Autoimmune Outcomes.* There are limited data that can be used to evaluate the possible association between PFOA exposure and the risk of autoimmune diseases. Significant increases in the risk of ulcerative colitis were observed in an occupational exposure study (Steenland et al. 2015) and a C8 Science Panel study (Steenland et al. 2013). Although both studies found consistent results, it should be noted that the community study also included participants with occupational exposure to PFOA. The occupational study also found an association between PFOA exposure and rheumatoid arthritis; this was not observed in the community study. The community study (Steenland et al. 2013) also found no associations for other autoimmune diseases (Crohn's disease, Type I diabetes, lupus, and multiple sclerosis). A third study examined neural- and non-neural-specific antibodies and found no associations with cord blood PFOA or current serum PFOA in 7-year-old children (Osuna et al. 2014).

NTP (2016b) concluded that there is low confidence that exposure to PFOA is associated with ulcerative colitis.

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*Laboratory Animal Studies.* The results of several mouse studies support the epidemiological data suggesting that exposure to PFOA can result in immunosuppression. Significant alterations in IgM levels in response to T-dependent antigens, such as sheep red blood cells (sRBCs) or horse red blood cells were observed in acute and intermediate oral mouse studies (DeWitt et al. 2008, 2009, 2016; Loveless et al. 2008; Yang et al. 2002a); the lowest-adverse-effect level was 3.75 mg/kg/day in mice exposed to PFOA in the drinking water for 15 days (DeWitt et al. 2008). Rats appear to be less sensitive than mice; no alterations in IgM levels were observed in rats administered PFOA via gavage for 28 days (Loveless et al. 2008). In a mouse developmental toxicity study, exposure to PFOA on GDs 6–17 was not associated with alterations in IgM or IgG levels in the offspring (Hu et al. 2010). Limited data suggest that alterations in NK cells or delayed type hypersensitivity are not sensitive endpoints for PFOA in laboratory animals. Exposure of male rats to 50 mg/kg/day PFOA by gavage for 14 days did not significantly affect the numbers of T cells, NK cells, or helper T cells (Iwai and Yamashita 2006), and tests for delayed-type hypersensitivity response in mice challenged with bovine serum albumin following exposure to 30 mg/kg/day PFOA via drinking water for 15 days were negative (DeWitt et al. 2008).

Two studies have evaluated hypersensitivity in mice. Application of ≥18.8 mg/kg/day PFOA to the dorsal surface of the ears of mice and subsequently injected with ovalbumin resulted in a significant increase in serum total IgE compared to mice exposed only to ovalbumin (Fairley et al. 2007). Ovalbumin-specific airway hyperreactivity also increased in mice co-exposed to ovalbumin and 25 mg/kg PFOA relative to mice exposed to ovalbumin alone. The investigators suggested that PFOA exposure may increase the IgE response to environmental allergens (Fairley et al. 2007). In contrast to the results of the dermal study, no increases in airway hyperresponsiveness were observed in ovalbumin-sensitized mice exposed *in utero* and post-weaning to PFOA in the diet (Ryu et al. 2014). In nonsensitized mice, PFOA did induce airway hyperresponsiveness in 12-week-old pups.

Numerous studies have evaluated secondary outcomes in monkeys, rats, and mice. In the spleen and thymus, exposure to PFOA resulted in decreases in organ weight, decreases in the number of cells, and/or atrophy (DeWitt et al. 2008; Loveless et al. 2008; Qazi et al. 2009a, 2012; Son et al. 2009; Yang et al. 2000, 2001, 2002b). Acute exposure resulted in decreases in absolute thymus weight at 11.5 mg/kg/day (Yang et al. 2001), decreases in spleen weight at 30 mg/kg/day (Qazi et al. 2012; Yang et al. 2000), and severe thymic atrophy at 30 mg/kg/day (Qazi et al. 2012; Yang et al. 2000). Exposure of male rats to 50 mg/kg/day PFOA by gavage for 14 days did not significantly affect the absolute or relative spleen weight nor did it alter lymphocyte subsets (Iwai and Yamashita 2006).

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Decreases in relative spleen weight were observed at ≥0.96 mg/kg/day PFOA, and absolute spleen weight and absolute and relative thymus weights were decreased at 9.6 and 29 mg/kg/day (Loveless et al. 2008). The lowest-adverse-effect levels for spleen and thymus weight changes identified in mouse intermediate studies were 3.75 mg/kg/day PFOA for decreases in absolute spleen weight (DeWitt et al. 2008) and 9.6 mg/kg/day for decreases in absolute and relative thymus weight (Loveless et al. 2008). In rats, no alterations in spleen weight were observed following chronic exposure to 15 mg/kg/day in the diet (3M 1983; Butenhoff et al. 2012c).

Decreases in the number of splenic and thymic lymphocytes were observed in mice administered via gavage ≥9.6 mg/kg/day PFOA for 28 days (Loveless et al. 2008). In contrast, administration of 29 mg/kg/day PFOA by gavage for 28 days did not result in alterations in the number of splenic or thymic lymphocytes in rats (Loveless et al. 2008). A 10-day exposure of mice to 3.0 mg/kg/day PFOA resulted in decreases in the number of bone marrow B-lymphoid cells (Qazi et al. 2012); a decrease in bone marrow myeloid cells was also observed at 30 mg/kg/day. Examination of the B-lymphoid cell subpopulations showed decreases in pro/pre B cells, immature B cells, and early mature B cells, with the greatest reductions observed for pro/pre B cells. When mice were allowed to recover for 10 days following a 10-day exposure to 30 mg/kg/day PFOA in the diet, only a partial recovery of B-lymphoid cells was observed. Significant increases in CD4-CD8- and CD4-CD8+ thymic lymphocytes were observed in mice exposed to 47.21 mg/kg/day for 21 days; increases in CD4+CD8+ lymphocytes were observed at 17.63 and 47.21 mg/kg/day (Son et al. 2009). Similarly, there were decreases in splenic CD4-CD8- lymphocytes at 47.21 mg/kg/day and CD4-CD8+ lymphocytes at ≥0.49 mg/kg/day and increases in splenic CD4+CD8- lymphocytes at 17.63 and 47.21 mg/kg/day.

Two studies examined the immune response to mitogens in mice exposed to PFOA. Marked decreases in total leukocytes, lymphocytes, and neutrophils levels and increases in tumor necrosis factor-α (TNF-α) and interleukin-6 (IL-6) were observed in the peritoneal cavity, bone marrow, and spleen cells in response to lipopolysaccharide (LPS) stimulation in mice exposed to approximately 40 mg/kg/day PFOA for 10 days (Qazi et al. 2009a). Exposure of splenic lymphocytes isolated from PFOA-exposed mice to concavalin A (ConA) or LPS resulted in decreases in lymphocyte proliferation (Yang et al. 2002a).

A number of studies have evaluated the potential of PFOA to induce histological alterations in immune organs. In monkeys, administration of approximately 20 mg/kg/day PFOA administered via a capsule to Cynomolgus monkeys for 4 or 26 weeks did not affect the gross or microscopic morphology of the spleen (Butenhoff et al. 2002; Thomford 2001). Administration via gavage of 30 mg/kg/day PFOA to Rhesus

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monkeys for 90 days induced atrophy of lymphoid follicles in the spleen and lymph nodes and slight to moderate hypocellularity of the bone marrow (Griffith and Long 1980). No histological alterations were observed in the spleen or thymus of rats exposed intermittently to  $\leq 84$  mg/m<sup>3</sup> APFO dusts for 2 weeks (Kennedy et al. 1986), ≤29 mg/kg/day administered via gavage for 28 days (Loveless et al. 2008), or dermal doses of  $\leq$ 2000 mg/kg/day for 2 weeks (Kennedy 1985) or in the spleen and mesenteric lymph nodes of rats exposed to ≤110 mg/kg/day PFOA in the diet for 90 days (Griffith and Long 1980) or ≤15 mg/kg/day PFOA in the diet for 2 years (3M 1983; Butenhoff et al. 2012c).

Studies in wild-type mice and PPARα-null mice demonstrate that PFOA-induced immunomodulation results from PPARα-dependent and -independent mechanisms (DeWitt et al. 2016; Yang et al. 2002b). Exposure to 30 or 33 mg/kg/day PFOA resulted in decreases in spleen weight, thymus weight, number of splenic lymphocytes, number of thymic lymphocytes, and CD4+ and CD8+ splenic and thymic lymphocytes in wild-type mice. Similar exposures of PPARα knockout mice did not result in alterations in spleen weight, number of splenic lymphocytes, or their phenotypes. Although decreases in thymus weight, number of thymic lymphocytes, and their phenotypes were observed in the knockout mice, the magnitudes of the changes were lower in the knockout mice than in the wild-type mice. However, similar responses were observed in T-cell-dependent antibody responses. Exposure to 30 mg/kg/day PFOA resulted in 16 and 14% decreases in the response to sRBCs in wild-type and knockout mice, respectively (DeWitt et al. 2016).

In a systematic review of the available laboratory animal data, NTP (2016b) concluded that there is high confidence that exposure to PFOA is associated with suppression of the antibody response, very low confidence that PFOA is associated with the ability to respond to infectious disease, and moderate confidence that PFOA is associated with increased hypersensitivity.

**Summary.** Epidemiological studies have evaluated several aspects of immunotoxicity including immunosuppression, hypersensitivity, and autoimmunity. A number of general population studies have found significant inverse associations between serum PFOA levels and antibody responses to vaccines. However, no consistent associations were found between serum PFOA and disease resistance, as measured by episodes of the common cold, cough, fever, or hospitalization for infectious disease. In tests of hypersensitivity, there is some evidence of an association between serum PFOA and asthma diagnosis in children and adults, although this finding was not consistent across studies; increased risk of allergy or allergic sensitization does not appear to be associated with serum PFOA. Based on the findings of an occupational exposure and community exposure study, there is some suggestive association between

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serum PFOA and an increased risk of ulcerative colitis, but not for other autoimmune diseases. Animal studies suggest that the immune system is a sensitive target of PFOA toxicity. A number of studies in mice have demonstrated evidence of immunosuppression and increased hypersensitivity. Laboratory animal studies have also found secondary immune outcomes in the spleen and thymus, which included decreases in organ weight and decreases in the number of lymphocytes.

### **PFOS**

*Epidemiological Studies—Immunosuppression Outcomes.* Several epidemiological studies have evaluated the potential of PFOS to cause immunosuppression. In studies that evaluated infectious disease resistance, no alterations in the risk of otitis media were observed in infants monitored through 18 months or 3 years of age (Granum et al. 2013; Okada et al. 2012), common cold or other upper respiratory infections (Granum et al. 2013), gastroenteritis with vomiting or diarrhea (Granum et al. 2013), hospitalizations due to infectious diseases in children (Fei et al. 2010), or symptoms of infection such as nasal discharge, cough, diarrhea, or vomiting in children (Dalsager et al. 2016). In contrast, other studies have found associations between PFOS and infectious diseases. Associations between the number of days with symptoms of infection and maternal PFOS levels were observed in children (Dalsager et al. 2016) and between maternal serum PFOS and the risk of total infectious disease in early life (age 4 years) (Goudarzi et al. 2017). Associations were also found between cord PFOS levels and the number of common colds from 0 to 2 years of age and the number of lower respiratory tract infections between 0 and 10 years of age (Impinen et al. 2018).

Other studies evaluating immunosuppression found significant alterations in the response to vaccines; the changes in the response to antibody levels relative to serum PFOS levels are graphically presented in [Figure 2-23;](#page-312-0) studies utilizing different statistical methods are not included in this figure. In children receiving a tetanus vaccination at age 5, there were associations between serum PFOS levels at age 5 and tetanus antibody levels at age 5 (Grandjean et al. 2012) and between serum PFOS levels at age 7 and tetanus antibody levels at age 7 when the analysis was restricted to children who were not likely to have had a booster vaccine after age 5 (Grandjean and Budtz-Jorgensen 2013). However, no associations were found between tetanus antibody levels at age 5 and maternal PFOS or child PFOS levels (Grandjean et al. 2012), between tetanus antibody levels at age 7 and maternal PFOS or child PFOS levels at age 5 or 7 years (Grandjean et al. 2012; Mogensen et al. 2015a), or between tetanus antibody levels at age 14 and child PFOS levels at age 13 (Grandjean et al. 2017). Similarly, diphtheria antibody levels at age 7 were

### **Figure 2-23. Antibody Responses Relative to Serum PFOS Levels in Epidemiological Studies (Presented as percent difference in antibody concentration per 2-fold increase in serum PFOS)**

<span id="page-312-0"></span>

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significantly associated with serum PFOS levels at age 5 and 7 (Grandjean et al. 2012; Mogensen et al. 2015a), but antibody levels at age 5 were not associated with maternal PFOS or child PFOS at age 5 years (Grandjean et al. 2012) and antibody levels at age 13 were not associated with child PFOS levels at age 7 or 13 years (Grandjean et al. 2017). In another study of children (Granum et al. 2013), decreased rubella antibody levels were associated with higher maternal PFOS levels, but no associations were found for tetanus or Haemophilus influenza type B antibodies. In adolescents, recent serum PFOS levels were inversely associated with mumps and rubella antibody levels, but not with measles antibody levels (Stein et al. 2016a). In studies in adults, recent PFOS levels were inversely associated with diphtheria antibody levels 30 days after booster administration (Kielsen et al. 2016), but not with tetanus antibody levels 30 days after booster administration (Kielsen et al. 2016) or influenza types A H3N2, A H1N1, or B antibody levels 21 days post-vaccination (Looker et al. 2014).

NTP (2016b) concluded that there is moderate confidence that exposure to PFOS is associated with suppression of the antibody response and that there is low confidence that exposure to PFOS is associated with increased incidence of infectious disease (or lower ability to resist or respond to infectious disease).

*Epidemiological Studies—Hypersensitivity Outcomes.* Several studies examined the risk of hypersensitivity associated with serum PFOS in children and adolescents; however, the results are inconsistent. In three case-control studies, increased risks of asthma were observed. Qin et al. (2017) reported increased risk of asthma in children associated with serum PFOS levels. Dong et al. (2013) reported an increased risk of asthma diagnosis and increased severity of asthma episodes in children with PFOS levels in the  $4<sup>th</sup>$  quartile. Zhu et al. (2016) also reported an association between asthma diagnosis and serum PFOS levels in the  $4<sup>th</sup>$  quartile; however, the association was only significant in males. A third case-control study found significantly elevated serum PFOS levels in asthmatic adolescents (Zhu et al. 2016). Prospective and cross-sectional studies in children (Granum et al. 2013) did not find an association between maternal PFOS levels and the risk of asthma diagnosis in 3-year-old children; between cord PFOS and asthma diagnosis, current asthma, or ever having asthma in 2–10-year-old children (Impinen et al. 2018); or between maternal PFOS and asthma diagnosis in adolescents (Humblet et al. 2014; Stein et al. 2016a). Data evaluating associations between serum PFOS and the risk of asthma diagnosis are presented i[n Figure](#page-314-0) 2-24.

<span id="page-314-0"></span>

## **Figure 2-24. Risk of Asthma Diagnosis Relative to PFOS Levels (Presented as Adjusted Odds Ratios)**

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No associations between maternal PFOS or cord PFOS and eczema, atopic dermatitis, or wheezing or total allergic diseases have been found in children (Goudarzi et al. 2016a; Granum et al. 2013; Impinen et al. 2018; Okada et al. 2012, 2014; Smit et al. 2015; Wang et al. 2011). Similarly, no associations between recent serum PFOS levels in adolescents and food allergies or sensitizations (Buser and Scinicariello 2016; Stein et al. 2016a) or maternal PFOS levels and food allergies in infants (Okada et al. 2012) were observed. However, in a cross-sectional study of adolescents, recent PFOS levels were associated with mold allergies and inversely associated with the risk of plant or cockroach or shrimp allergies (Stein et al. 2016a). In related studies, cord blood PFOS levels were associated with an increase in cord IgE levels, but not in infant serum IgE levels (Wang et al. 2011). Two other studies did not find associations between maternal PFOS levels and cord IgE levels (Ashley-Martin et al. 2015; Okada et al. 2012).

NTP (2016b) concluded that there is very low confidence that exposure to PFOS is associated with changes in the hypersensitivity response in children.

*Laboratory Animal Studies.* A limited number of laboratory animal studies examined PFOS-induced immunosuppression. Guruge et al. (2009) reported an impaired response to an influenza A virus challenge in mice administered 0.025 mg/kg/day PFOS via gavage for 21 days (Guruge et al. 2009). Several studies have found an impaired response to sRBCs (Dong et al. 2009, 2011; Peden-Adams et al. 2008); however, decreases in NK cell activity were observed at higher doses (0.83–2.08 mg/kg/day) (Dong et al. 2009). Qazi et al. (2009a) reported several alterations in parameters associated with the innate immune system in mice exposed to approximately 40 mg/kg/day PFOS in the diet for 10 days. These alterations included marked decreases in total leukocyte and lymphocyte levels and increases in TNF-α and IL-6 levels in the peritoneal cavity and bone marrow in response to LPS stimulation; no alterations were observed in mice exposed to a 20-fold lower dose. As discussed in Section 2.17, a developmental toxicity study (Keil et al. 2008) found an altered response to sRBCs in mice exposed to PFOS *in utero*.

No alterations in spleen or thymus weights were observed in mice exposed to 0.025 mg/kg/day PFOS (Guruge et al. 2009); at a higher dose (0.42 mg/kg/day), significant decreases in relative spleen and thymus weights were observed (Dong et al. 2009; Zheng et al. 2009). Decreases in splenic and thymic cellularity were also observed at  $\geq 0.42$  mg/kg/day PFOS (Dong et al. 2009; Qazi et al. 2009b, 2012; Zheng et al. 2009). Bone marrow cells (B-lymphoid and myeloid cells) were also significantly decreased in mice exposed to 30 mg/kg/day PFOS for 10 days (Qazi et al. 2012). Within the B-lymphoid cell population, there were decreases in the number of pro/pre B cells and immature cells (Qazi et al. 2012).

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Significant alterations in all splenic T cell CD4 and CD8 subpopulations were observed at ≥0.00331 mg/kg/day PFOS (Peden-Adams et al. 2008) and thymic lymphocyte phenotypes were altered at 0.42 mg/kg/day PFOS (Dong et al. 2009).

Rats treated with 1.77 mg/kg/day PFOS for 4 weeks, 6.34 mg/kg/day for 28 days, 1.56 mg/kg/day for 14 weeks, or 1.04 mg/kg/day for 2 years did not show significant morphological alterations in the spleen, thymus, or mesenteric lymph nodes (Butenhoff et al. 2012b; Lefebvre et al. 2008; Seacat et al. 2003; Thomford 2002b). Similar findings were reported in Cynomolgus monkeys dosed with up to 2 mg/kg/day for 4 weeks or up to 0.75 mg/kg/day PFOS for 26 weeks (Seacat et al. 2002; Thomford 2002a).

In a systematic review of the available laboratory animal data, NTP (2016b) concluded that there is high confidence that exposure to PFOS is associated with suppression of the antibody response, moderate confidence that PFOS is associated with the ability to respond to infectious disease, and low confidence that PFOS is associated with increased hypersensitivity.

*Summary.* A number of epidemiological studies have examined the potential immunotoxicity of PFOS. The database provides convincing evidence of an association between serum PFOS levels and immunosuppression, particularly impaired antibody responses to vaccines in adults and children. Mixed results have been observed in studies evaluating infectious disease resistance. Similarly, inconsistent results have been examined in studies evaluating associations between serum PFOS and hypersensitivity outcomes, such as asthma; no associations were found for eczema, dermatitis, food allergies/ sensitizations. Laboratory animal studies, particularly studies in mice, provide strong evidence of the immunotoxicity of PFOS. The strongest evidence comes from studies reporting impaired antibody responses resulting from oral exposure to relatively low doses of PFOS. Other immune effects include decreased response to infectious disease, decreases in spleen and thymus weights, and decreases in splenic and thymic cellularity and bone marrow cells.

### **PFHxS**

*Epidemiological Studies—Immunosuppression Outcomes.* Several epidemiological studies have examined the potential of PFHxS to suppress the immune system. Altered antibody responses relative to serum PFHxS levels are graphically presented in [Figure 2-25.](#page-317-0) Inverse associations were observed between tetanus antibody levels in 5- and 7-year-old children and serum PFHxS levels at age 5 or 7 years

### **Figure 2-25. Antibody Responses Relative to Serum PFHxS Levels in Epidemiological Studies (Presented as percent difference in antibody concentration per 2-fold increase in serum PFHxS)**

<span id="page-317-0"></span>

#### 2. HEALTH EFFECTS

(Grandjean et al. 2012; Mogensen et al. 2015a); but there were no associations between serum PFHxS levels at age 7 or 13 and tetanus antibody levels at age 13 (Grandjean et al. 2017). No associations were found between maternal PFHxS levels and tetanus antibody levels in the children. These studies found no associations between diphtheria antibody levels at ages 5, 7, or 13 and serum PFHxS levels in the mother or in the children. A study in 3-year-old children found an inverse association between maternal PFHxS levels and rubella antibody levels, but no association with influenza type B or tetanus antibody levels (Granum et al. 2013). In adolescents, serum PFHxS levels were also inversely associated with rubella antibody titers in a seropositive subcohort (Stein et al. 2016a); no associations were found for measles or mumps antibody titers. Another study in adolescents did not find associations between recent serum PFHxS levels and tetanus or diphtheria antibody levels (Kielsen et al. 2016). A study in adults did not find associations between PFHxS levels and response to influenza vaccine; some alterations in serum cytokine levels were observed, but chemokine and IgA levels were not altered (Stein et al. 2016b).

In general, the available studies do not suggest an association between serum PFHxS and decreased infectious disease resistance. No alterations in the frequency of fever, cough, nasal discharge, otitis media, diarrhea, or vomiting were observed in children (Dalsager et al. 2016; Granum et al. 2013). Cord PFHxS levels were not associated with increased prevalence of common colds in children 0–2 years of age or lower respiratory tract infections in children 0–10 years of age (Impinen et al. 2018). No association between maternal PFHxS levels and total infectious disease prevalence was found in children up to the age of 4 years (Goudarzi et al. 2017); however, when boys and girls were analyzed separately, an association was found in girls. An association between maternal PFHxS levels and the number of episodes of gastroenteritis was found in children (Granum et al. 2013).

*Epidemiological Studies—Hypersensitivity Outcomes.* Data evaluating associations between serum PFHxS and the risk of asthma diagnosis are presented in [Figure 2-26.](#page-319-0) No associations were observed between asthma diagnosis, wheezing, and/or eczema or total allergic diseases in children and maternal serum PFHxS levels (Goudarzi et al. 2016a; Granum et al. 2013; Smit et al. 2015) or with recent PFHxS levels in adolescents (Humblet et al. 2014; Okada et al. 2014). In contrast, case-control studies in asthmatic children did find associations between recent PFHxS serum levels and asthma diagnosis (Dong et al. 2013; Qin et al. 2017; Zhu et al. 2016), but no association with asthma severity (Dong et al. 2013). Another case-control study found significantly elevated serum PFHxS levels in adolescents with asthma (Zhu et al. 2016). Dong et al. (2013) also reported associations between serum PFHxS levels and

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## **Figure 2-26. Risk of Asthma Diagnosis Relative to PFHxS Levels (Presented as Adjusted Odds Ratios)**

#### 2. HEALTH EFFECTS

eosinophil counts and eosinophil cationic protein levels in asthmatic children, but not in non-asthmatics. No associations were found with IgE levels in either case-control study (Dong et al. 2013; Zhu et al. 2016) or in a study measuring cord blood IgE (Ashley-Martin et al. 2015).

An increased risk of food allergies associated with serum PFHxS levels, but not increased sensitivity to foods, was found in adolescents (Buser and Scinicariello 2016). Another study found no associations between serum PFHxS levels and allergic sensitization to plants, dust mites, pets, cockroaches/shrimp, rodents, mold, or food in adolescents (Stein et al. 2016a).

*Laboratory Animal Studies.* In the only available study evaluating immunotoxicity for PFHxS, Butenhoff et al. (2009a) did not find histological alterations in the spleen, thymus, or lymph nodes of rats administered 10 mg/kg/day PFHxS via gavage for 42–56 days.

### **PFNA**

*Epidemiological Studies—Immunosuppression Outcomes.* Most studies examining a possible association between serum PFNA levels and immunosuppression have not found associations. No associations were found between maternal or child PFNA levels and tetanus antibody levels at ages 3, 5, 7, or 13 (Grandjean et al. 2012, 2017; Granum et al. 2013) or in adults (Kielsen et al. 2016). Some studies have found associations between serum PFNA and diphtheria antibody levels, but the results were not consistent. Grandjean and associates found a significant inverse association between diphtheria antibodies levels at age 5 (Grandjean et al. 2012) and serum PFNA levels at age 5, but not for antibody levels at age 13 and PFNA levels at age 7 or 13 (Grandjean et al. 2017). Kielsen et al. (2016) also reported an inverse association (unadjusted for potential confounders) between serum PFNA and diphtheria antibody levels in a small study of adults. An inverse association between maternal serum PFNA and rubella antibody levels was observed in children (Granum et al. 2013), but there was no association for influenza type B antibody levels. Similarly, no associations were found between recent PFNA serum levels and measles, mumps, or rubella antibody titers in adolescents (Stein et al. 2016a). Data evaluating associations between serum PFNA and altered antibody response are presented in [Figure](#page-321-0) 2-27.

### **Figure 2-27. Antibody Responses Relative to Serum PFNA Levels in Epidemiological Studies (Presented as percent difference in antibody concentration per 2-fold increase in serum PFNA)**

<span id="page-321-0"></span>

 $\beta$  (% change) in Antibody Levels [+/- 95% CI]

#### 2. HEALTH EFFECTS

The epidemiological data provide mixed results on whether there are associations between decreased infectious disease resistance and PFNA levels. No alterations in the risk of increased number of days with fever, cough, nasal discharge, diarrhea, or vomiting were observed in children (Dalsager et al. 2016), although the study did find a significant increase in the number of days above the median for nasal discharge. In a prospective study of children to the age of 4 years, no associations between maternal PFNA levels and prevalence of total infectious diseases were found (Goudarzi et al. 2017). Another study found that the number of episodes of the common cold in children was associated with maternal serum PFNA; no associations were found for otitis media or gastroenteritis (Granum et al. 2013). No associations between cord PFNA levels and the prevalence of common colds were found in children up to 2 years of age (Impinen et al. 2018), but cord PFNA levels were positively associated with the prevalence of lower respiratory infections in children up to the age of 10 years (Impinen et al. 2018).

*Epidemiological Studies—Hypersensitivity Outcomes.* Case-control studies of asthmatic children have reported associations between serum PFNA and asthma diagnosis (Dong et al. 2013; Qin et al. 2017; Zhu et al. 2016), but no association with asthma severity (Dong et al. 2013); another study found significantly higher serum PFNA levels in adolescents with asthma (Zhu et al. 2016). However, cross-sectional or retrospective studies (Humblet et al. 2014; Smit et al. 2015; Stein et al. 2016a) have not found associations. A prospective study of children to the age of 10, did not find associations between cord PFNA levels and current asthma, ever having asthma, asthma diagnosis, or wheezing (Impinen et al. 2018). Data evaluating associations between serum PFNA and the risk of asthma diagnosis are presented in [Figure 2-28.](#page-323-0) Another study found no associations between maternal PFNA levels and prevalence of total allergic diseases or wheezing (Goudarzi et al. 2016a). No associations were found in adolescents between PFNA and food allergies (Buser and Scinicariello 2016), allergies (Stein et al. 2016a), or allergic sensitizations to plants, dust mites, pets, cockroach/shrimp, rodents, mold, or food (Stein et al. 2016a). However, inverse associations between serum PFNA and food sensitizations were observed in adolescents (Buser and Scinicariello 2016) and between maternal serum PFNA and allergic diseases in infants (Okada et al. 2014). No increases in the risk of other hypersensitivity effects (wheezing, eczema, or atopic dermatitis) were observed (Humblet et al. 2014; Okada et al. 2014; Smit et al. 2015; Stein et al. 2016a; Wang et al. 2011).

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## **Figure 2-28. Risk of Asthma Diagnosis Relative to PFNA Levels (Presented as Adjusted Odds Ratios)**
#### 2. HEALTH EFFECTS

*Laboratory Animal Studies.* Administration of PFNA for 14 days resulted in decreases in thymus and/or spleen weights at  $\geq$ 3 mg/kg/day in rats and mice (Fang et al. 2008, 2009, 2010); at 1 mg/kg/day, an increase in thymus weight was observed in rats (Fang et al. 2009). Fang et al. (2009) reported increases in the ratio of thymic cortex to medulla in rats presumably administered  $\geq 3$  mg/kg/day PFNA. In the spleen, there were decreases in the percentage of  $F4/80+$  and CD49b+ cells at  $\geq 1$  mg/kg/day and in CD11c+ cells at  $\geq$ 3 mg/kg/day (Fang et al. 2008). Increases in pro-inflammatory cytokines were observed in the serum at  $\geq$ 3 mg/kg/day (Fang et al. 2009) and spleen at 5 mg/kg/day (Fang et al. 2010).

No alterations were observed in the response of splenic T lymphocytes to ConA at 5 mg/kg/day (Fang et al. 2008).

Two weeks after a single intraperitoneal administration of 46 mg/kg PFNA to male and female B57BL/6J mice, a number of immunological alterations included significant decreases in relative spleen weight and splenic leukocyte counts, alterations in splenic T-lymphocyte phenotypes (increased ratios of CD4+ and CD8+ cells), a decrease in viable thymic cells, a marked decrease in CD4+CD8+ thymic lymphocytes, and an increase in CD4+ and CD8+ thymic lymphocytes, and increased levels of tumor necrosis factor-α in response to exposure to the LPS (Rockwell et al. 2013). Similar effects were observed 4 weeks postexposure (Rockwell et al. 2017). Comparison of the results 2 weeks post-exposure to 4 weeks postexposure showed a partial recovery in spleen weight and specific thymic lymphocyte subpopulations, but no recovery of the ratio of specific splenic lymphocytes, thymocyte viability, or response to LPS (Rockwell et al. 2017). Some sex-related differences were noted, with females appearing to be more sensitive than males (Rockwell et al. 2017).

## **PFDA**

*Epidemiological Studies—Immunosuppression Outcomes.* Studies examining possible associations between serum PFDA levels and response to vaccines have reported mixed results; see [Figure 2-29](#page-325-0) for a graphical presentation of the antibody response relative to PFDA levels. Inverse associations were observed between serum PFDA levels at age 5 and tetanus antibody levels at ages 5 and 7 (Grandjean et al. 2012) and serum PFDA levels at age 7 and antibody levels at age 13 (Grandjean et al. 2017).



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Similarly, diphtheria antibody levels at age 13 were inversely associated with serum PFDA levels at age 7 years (Grandjean et al. 2017), but no associations were observed at other time periods (Grandjean et al. 2012). In adults, diphtheria antibody levels were inversely associated with serum PFDA levels, but there was no association for tetanus antibody levels (Kielsen et al. 2016); this study did not adjust for potential confounders. Two studies examined the possible association between serum PFDA levels and infectious disease resistance, no association was found between maternal serum PFDA levels and symptoms of infection in children aged 1–4 years (Dalsager et al. 2016) and the prevalence of total infectious disease in children 0–4 years of age (Goudarzi et al. 2017).

*Epidemiological Studies—Hypersensitivity Outcomes.* In case-control studies, associations between asthma diagnosis and asthma severity were observed in children (Dong et al. 2013; Zhu et al. 2016); associations with serum IgE levels, absolute eosinophil counts, and eosinophil cationic protein levels were also observed. A case-control study in adolescents found significantly higher serum PFDA levels among the asthmatic cases (Zhu et al. 2016). A fourth case-control study did not find an association between serum PFDA and asthma risk in children (Qin et al. 2017). A cross-sectional study of children did not find associations between maternal PFDA levels and asthma, eczema, or wheezing in children (Smit et al. 2015). Another cross-sectional study found no association between allergic diseases or eczema in infant and maternal PFDA levels (Okada et al. 2014). In a prospective study, the prevalences of total allergic diseases or wheezing in 4-year-old children were not associated with maternal PFDA levels (Goudarzi et al. 2016a). Data evaluating associations between serum PFDA and the risk of asthma diagnosis are presented i[n Figure 2-30.](#page-327-0)

*Laboratory Animal Studies.* A single gavage dose of 80 mg/kg PFDA did not significantly alter relative thymus weight in female C57BL/6N mice, but it caused a 28% decrease in relative spleen weight 30 days after dosing (Harris et al. 1989). Lethal doses (160 and 320 mg/kg) induced atrophy and lymphoid depletion in both the thymus and spleen. No significant alterations in tests of humoral- or cell-mediated immunity, or alterations in the number of total splenic cells or splenic B-cells, T-cells, T-cell subsets, natural killer cells or macrophages were observed in rats administered up to 0.5 mg/kg/day for 28 days (Frawley et al. 2018). In tests of innate immunity, the study found decreases in the specific activity of fixed tissue macrophages in the liver in rats administered 0.25 or 0.5 mg/kg/day; the investigators suggested that interpretation of this finding may be confounded by the increased number of hepatocytes.

### 2. HEALTH EFFECTS

# **Figure 2-30. Risk of Asthma Diagnosis Relative to PFDA Levels (Presented as Adjusted Odds Ratios)**

<span id="page-327-0"></span>

#### 2. HEALTH EFFECTS

In mice receiving weekly doses of PFDA for 4 weeks, decreases in the number of splenic T cells, T-cell subsets, and macrophages were observed at  $\geq$ 1.25 mg/kg (Frawley et al. 2018). No alterations in humoral-mediated or cell-mediated immune tests or host-resistance to the influenza virus were found.

## **PFUnA**

*Epidemiological Studies.* Six epidemiological studies have evaluated the potential immunotoxicity of PFUnA in humans. Kielsen et al. (2016) reported inverse associations between serum PFUnA (unadjusted for potential confounders) and diphtheria and tetanus antibody levels in adults. Goudarzi et al. (2017) found no association between maternal PFUnA levels and the risk of total infectious diseases in children up to the age of 4 years. However, Impinen et al. (2018) found cord PFUnA levels were associated with increases in the prevalence of common colds in children up to 2 years of age and the prevalence of lower respiratory tract infections in children up to the age of 10 years.

No significant associations between maternal PFUnA levels and the risk of asthma diagnosis, eczema, or wheezing were observed in children (Smit et al. 2015). Similarly, no associations were found between cord PFUnA levels and risk of current asthma, ever having asthma, asthma diagnosis, or wheezing in children up to the age of 10 years (Impinen et al. 2018). Maternal PFUnA levels were not associated with the prevalences of total allergic diseases or wheezing in 4-year-old children (Goudarzi et al. 2016a). Okada et al. (2012) found inverse associations between maternal serum PFUnA and risk of allergies or eczema in female infants, but not in males, and Impinen et al. (2018) found no association between serum PFUnA and allergic sensitization.

#### **PFHpA**

*Epidemiological Studies.* In general, the two available human immunotoxicity studies did not find associations between serum PFHpA levels and diphtheria or tetanus antibody levels in adults (Kielsen et al. 2016) or risk of asthma diagnosis, eczema, or wheezing in children (Smit et al. 2015). The Smit et al. (2015) study did find an inverse association between maternal PFHpA levels and current wheezing in one subcohort; however, this was not observed in the other subcohort with higher mean maternal PFHpA levels.

#### **PFBS**

*Epidemiological Studies.* The epidemiological database for PFBS consists of three case-control studies in asthmatic children (Dong et al. 2013; Qin et al. 2017; Zhu et al. 2016). Two studies reported increases in asthma diagnosis, but no association with serum IgE levels (Dong et al. 2013; Zhu et al. 2016); the third study (Qin et al. 2017) did not find an association between serum PFBS and asthma risk.

*Laboratory Animal Studies.* No significant histological alterations were observed in spleen, thymus, or lymph nodes of rats administered via gavage 900 mg/kg/day PFBS for 28 days (3M 2001).

#### **PFBA**

*Laboratory Animal Studies.* No significant gross or microscopic alterations were reported in the spleen, thymus, or mesenteric lymph nodes from rats dosed with PFBA by gavage in doses of up to 184 mg/kg/day for 5 days, 150 mg/kg/day for 28 days, or 30 mg/kg/day for 90 days (3M 2007a; Butenhoff et al. 2012a; van Otterdijk 2007a, 2007b).

#### **PFDoDA**

*Epidemiological Studies.* Six epidemiological studies examining potential immunotoxic endpoints were identified. Kielsen et al. (2016) found inverse associations between recent serum PFDoDA levels (not adjusted for potential confounders) and diphtheria and tetanus antibody levels in adults. No associations between maternal PFDoDA levels and the risk of total infectious diseases were found in children up to the age of 4 years (Goudarzi et al. 2017). Associations between serum PFDoDA levels and the risk of asthma diagnosis, severity of asthma, serum IgE levels, absolute eosinophil counts, and eosinophil cationic protein levels were observed in a case-control study of asthmatic children (Dong et al. 2013). A crosssectional study of children did not find associations between maternal serum PFDoDA levels and risk of asthma diagnosis, eczema, or wheezing (Smit et al. 2015). Another study did not find associations between maternal serum PFDoDA levels and the risk of allergic disease or eczema in infants (Okada et al. 2014). In contrast, a prospective study of 4-year-old children found an inverse association between maternal PFDoDA levels and the prevalence of mother-reported total allergic diseases, but no association with the prevalence of wheezing (Goudarzi et al. 2016a).

#### **PFHxA**

*Epidemiological Studies.* Two epidemiological studies examined potential immunotoxic endpoints. Dong et al. (2013) found no associations between serum PFHxA levels in asthmatic and nonasthmatic children and asthma diagnosis, asthma severity, or IgE levels. Qin et al. (2017) did not find an association between serum PFHxA levels and asthma risk in children.

*Laboratory Animal Studies.* Thymic atrophy was observed in 3/9 female rats administered a TWA dose of 315 mg/kg/day PFHxA for 32–44 days (Kirkpatrick 2005). Thymic atrophy and necrosis was also observed in most male and female rats administered 450 mg/kg/day PFHxA for 4 days; all animals died early or were sacrificed *in extremis* (Kirkpatrick 2005).

## **FOSA**

*Epidemiological Studies.* The only available epidemiological study found an association between cord FOSA levels and an increased prevalence of lower respiratory tract infections in children up to the age of 10 years (Impinen et al. 2018); no association was found for common colds in children up to the age of 2 years. This study also found no associations between cord FOSA and current asthma, ever having asthma, asthma diagnosis, wheezing, or allergic sensitization (Impinen et al. 2018).

## **2.15 NEUROLOGICAL**

*Overview.* There are limited data on the neurotoxicity of perfluoroalkyls in humans or laboratory animals; epidemiological data come from three studies examining memory and animal studies primarily evaluated for morphological alterations; the results of these human studies are summarized in [Table 2-17](#page-331-0) with more detailed descriptions in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 11. The epidemiological studies found decreases in the risk of memory loss associated with serum PFOA, PFOS, PFHxS, and PFNA. The potential to induce neurodevelopmental effects (including the risk of attention deficit hyperactivity disorder [ADHD]) has been more widely studied; these data are discussed in Section 2.17, Developmental. No epidemiological studies examining potential neurological effects were found for PFDA, PFUnA, PFHpA, PFBS, PFBA, PFDoDA, PFHxA, or FOSA.

<span id="page-331-0"></span>



aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 11 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

OR = odds ratio; NHANES = National Health and Nutrition Examination Survey; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid

#### 2. HEALTH EFFECTS

The results of the laboratory animal studies are presented in Tables [2-1,](#page-11-0) [2-3,](#page-16-0) [2-4,](#page-44-0) [2-5,](#page-66-0) and [2-6](#page-91-0) and in Figures [2-6,](#page-13-0) [2-8,](#page-40-0) [2-9,](#page-62-0) and [2-10.](#page-86-0) No morphological alterations in the brain and nerves were observed in studies of PFOA, PFOS, PFBS, or PFBA. No alterations in neurological function tests were observed in studies of PFOA, PFHxS, PFHxA, PFBS, PFBA, or PFDoDA. Impaired learning and memory were observed in a study of PFOS and decreases in grip strength were observed in a study of PFUnA. Potential neurological effects were not examined in animals exposed to PFNA, PFHpA, or FOSA.

## **PFOA**

*Epidemiological Studies.* Gallo et al. (2013) found a decreased risk of self-reported memory loss in older adult (>50 years of age) C8 participants with serum PFOA levels in the  $2<sup>nd</sup>$ ,  $3<sup>rd</sup>$ ,  $4<sup>th</sup>$ , or  $5<sup>th</sup>$  quintiles. When the participants were categorized by diabetic status, the risk of memory loss was higher among the diabetics than nondiabetics ( $p=0.014$ ). In sensitivity analyses, the association between serum PFOA levels and memory impairment was compared within and across water districts. Within a water district, the association between serum PFOA and memory impairment was significant, but there was no association between the geometric mean concentration of PFOA in a district and memory impairment. A general population study conducted by Shrestha et al. (2017) of 55–74-year-old participants also found higher memory and learning scores (6% increase) and 16–18% decreases in perseverative errors and responses. In a third study, no association between serum PFOA and self-reported difficulty remembering or periods of confusion was found in NHANES participants aged 60–<85 years (Power et al. 2013).

*Laboratory Animal Studies***.** Exposure of rats to 18,600 mg/m3 APFO dusts for 1 hour induced excessive salivation. Intermittent, head-only exposure of male rats exposed to up to  $84 \text{ mg/m}^3$  APFO dusts for 2 weeks did not reveal gross or microscopic alterations in the brain (Kennedy et al. 1986).

A small number of studies have examined the potential toxicity of perfluoroalkyls to the nervous system in animals, but comprehensive testing has not been conducted. No alterations in performance on a novel recognition test were observed in rats administered a single 50 mg/kg dose of PFOA (Kawabata et al. 2017). No overt signs of neurotoxicity or altered response to stimuli were observed in rats and mice administered up to 1,000 mg/kg PFOA via gavage and observed for 14 days (Sato et al. 2009). Exposure of rats to up to approximately 110 mg/kg/day PFOA via the diet for 90 days did not induce gross or microscopic alterations in the brain, spinal cord, or peripheral nerves (Griffith and Long 1980). Similar results were reported in rats fed a diet that provided approximately 15 mg/kg/day PFOA for 2 years (3M

#### 2. HEALTH EFFECTS

1983; Butenhoff et al. 2012c). Rhesus monkeys exposed to doses of PFOA that caused lethality (≥30 mg/kg/day by gavage) showed signs of hypoactivity and prostration, but examination of the brain did not reveal treatment-related alterations (Griffith and Long 1980). Treatment of Cynomolgus monkeys with doses of up to 20 mg/kg/day PFOA administered via a capsule did not induce morphological alterations in the brain or sciatic nerve (Butenhoff et al. 2002).

Similarly, no gross or microscopic alterations were reported in the brain from rats dermally exposed to APFO in the Kennedy (1985) study.

#### **PFOS**

*Epidemiological Studies.* Three studies have examined the influence of serum PFOS levels on selfreported memory in older adults. Gallo et al. (2013) found an inverse association between serum PFOS levels and the risk of memory loss in C8 Health Study participants. No association for difficulty remembering or periods of confusion was found in the second study of NHANES participants (Power et al. 2013). A second general population study of older adults found associations between serum PFOS levels and 11% higher scores on tests of visual reproduction delayed recall and 8% higher scores on tests of visual and spatial function (Shrestha et al. 2017), but found no associations on tests of executive function, reaction time, affective state, or motor function.

*Laboratory Animal Studies.* No histological alterations were observed in the brain, spinal cord, and/or sciatic nerve of rats administered a single gavage dose of up to 500 mg/kg PFOS (Sato et al. 2009), rats treated with up to 1.6–1.8 mg/kg/day PFOS for 4 or 14 weeks (Seacat et al. 2003), rats exposed to 8.5 mg/kg/day PFOS in the diet for 13 weeks (Kawamoto et al. 2011), rats exposed to 1.04 mg/kg/day PFOS in the diet for 2 years (Butenhoff et al. 2012b; Thomford 2002b), or Cynomolgus monkeys dosed with up to 0.75 mg/kg/day PFOS for 26 weeks (Seacat et al. 2002). However, ultrasonic stimulation resulted in bursts of locomotion immediately followed by tonic convulsions in mice administered 125 mg/kg PFOS and rats administered 250 mg/kg PFOS (Sato et al. 2009); the effect was observed 1– 7 days postexposure and frequently resulted in death. Similarly, tonic convulsions following ultrasonic stimulation were observed in rats exposed to 8.5 mg/kg/day PFOS in the diet for 6 weeks (Kawamoto et al. 2011); this effect was not observed at  $\leq 2.0$  mg/kg/day. Impaired spatial learning and memory, assessed using the Morris water maze test, was observed in mice administered 2.15 or 10.75 mg/kg/day PFOS, but not 0.43 mg/kg/day, for 3 months (Long et al. 2013). Similarly, impaired performance on retention tasks, as assessed by the water maze test, was observed in mice administered 3 or 6 mg/kg/day

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PFOS for 4 weeks (Fuentes et al. 2007c). Histopathological examination of the hypothalamus in male Sprague-Dawley rats administered PFOS via gavage for 28 days revealed degeneration of gonadotropic cells of the pituitary gland at  $\geq 1.0$  mg/kg/day and dense chromatin, condensed ribosomes, and loss of morphology in the hypothalamus at  $\geq 3.0$  mg/kg/day (López-Doval et al. 2014).

#### **PFHxS**

*Epidemiological Studies.* A decrease in the risk of self-reported memory loss was observed in older adult participants of the C8 Health Study who had serum PFHxS levels in the 5<sup>th</sup> quintile (Gallo et al. 2013). No association between serum PFHxS levels and self-reported difficulty remembering or periods of confusion was reported in a study of NHANES participants (Power et al. 2013).

*Laboratory Animal Studies.* In a reproductive study in rats dosed with PFHxS, a functional observational battery (FOB) and motor activity tests were conducted in males on exposure days 36 and 39 and in females on postpartum day 17 (Butenhoff et al. 2009a). The battery assessed autonomic functions, reactivity and sensitivity to stimuli, excitability, gait and sensorimotor coordination, limb grip strength, and abnormal clinical signs. No significant alterations were reported in males or females dosed with up to 10 mg/kg/day PFHxS.

#### **PFNA**

*Epidemiological Studies.* Self-reported memory loss was shown to be inversely associated with serum PFNA levels in a study of older C8 Health Study participants (Gallo et al. 2013). Another study of NHANES participants did not find an association with self-reported difficulty remembering or periods of confusion (Power et al. 2013).

#### **PFUnA**

*Laboratory Animal Studies.* In the only study located for PFUnA, a decrease in grip strength was observed in male and female rats administered 1.0 mg/kg/day PFUnA for 41–46 days and allowed to recover for 14 days (Takahashi et al. 2014). No other alterations in performance on FOB tests were found.

#### **PFBS**

*Laboratory Animal Studies.* A significant decrease in tail flick latency to a thermal stimulus was observed in all groups of male rats administered via gavage PFBS for 28 days. However, other tests of sensory reactivity to stimuli, grip strength, and motor activity were not affected (3M 2001), and the significance of this isolated finding is difficult to ascertain. Gross and microscopic examination of the brain, spinal cord, and sciatic nerve did not show any significant alterations. In a 90-day study, no significant alterations in motor activity or performance on functional observation tests were observed in rats at PFBS doses as high as 600 mg/kg/day (Lieder et al. 2009a).

#### **PFBA**

*Laboratory Animal Studies.* Administration of up to 184 mg/kg/day PFBA by gavage for 5 consecutive days to rats had no significant effect on the gross or microscopic morphology of the brain or spinal cord (3M 2007a). In a 28-day gavage study, male rats dosed with  $150 \text{ mg/kg/day}$ , but not 30 mg/kg/day, showed a delay in bilateral pupillary reflex at the end of the treatment period (Butenhoff et al. 2012a; van Otterdijk 2007a). Results from other tests, including hearing ability, static righting reflex, grip strength, and motor activity, were comparable between groups, and histological examinations of the brain (including the optic nerve), spinal cord, and sciatic nerve were unremarkable. In a 90-day study, pupillary reflex tests conducted in weeks 8 and 12 showed delayed dilation under dark conditions in rats dosed with 30 mg/kg/day (2/40 in controls versus 7/39 in high-dose rats; p=0.071 according to the Fisher Exact Test) (Butenhoff et al. 2012a; van Otterdijk 2007b). Since no abnormalities were recorded during a 3-week recovery period, and there were no histopathological alterations in the eyes, the effect was not considered biologically significant by the investigators. Tests for hearing ability, static righting reflex, grip strength, and motor activity showed no associations with treatment with PFBA. In addition, there were no significant gross or microscopic alterations in the brain, spinal cord, or sciatic nerve.

#### **PFDoDA**

*Laboratory Animal Studies.* Single-dose administration of 50 mg/kg resulted in impaired performance on a novel object recognition test, but did not result in alterations in other tests of memory, anxiety, or open field activity (Kawabata et al. 2017). A second study conducted functional observation tests in rats administered PFDoDA for 42 days (Kato et al. 2015). No alterations in sensorimotor reactivity, grip strength, or spontaneous motor activity were observed at 2.5 mg/kg/day. However, in rats allowed to

recover for 14 days, decreases in forelimb grip strength were observed in males and females at 2.5 mg/kg/day; a decrease in motor activity was also observed in females at 2.5 mg/kg/day but this was only observed during the first week of recovery (Kato et al. 2015).

## **PFHxA**

*Laboratory Animal Studies.* Administration of up to 500 mg/kg/day NaPFHx for 92–93 days (Loveless et al. 2009) or 200 mg/kg/day PFHxA for 104 weeks (Klaunig et al. 2015) had no effect on locomotion or performance in the FOB test.

## **2.16 REPRODUCTIVE**

*Overview.* A number of epidemiological studies have evaluated the reproductive toxicity of perfluoroalkyls; summaries of these studies are presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 12. These studies have evaluated the following categories of reproductive outcomes: alterations in reproductive hormone levels; effects on sperm; effects on menopause onset, menstrual cycle length, endometriosis, and breastfeeding duration; and effects on fertility. Overviews of the studies examining these specific endpoints are presented in Tables [2-18,](#page-338-0) [2-19,](#page-346-0) [2-20,](#page-351-0) and [2-21,](#page-358-0) respectively. In addition to these reproductive outcomes, several epidemiological studies have evaluated the influence of perfluoroalkyls on sexual maturation; these data are discussed in Section 2.17, Developmental. Although some studies examining reproductive hormone levels have found associations with PFOA, PFOS, PFHxS, PFNA, PFUnA, PFDoDA, or PFHxA levels, the findings are not consistent across studies or there are too few studies to interpret the results. Alterations in reproductive hormone levels have not been found in studies of FOSA. Some associations between serum perfluoroalkyls (PFOA, PFOS, PFHxS, PFNA, PFDA) levels and sperm parameters have been found; often, only one sperm parameter was altered and it is difficult to assess the adversity of this alteration. There is some suggestive evidence of an association between serum PFOA, PFOS, PFHxS, or PFNA levels and an increased risk of early menopause; however, this may be due to reverse causation since an earlier onset of menopause would result in a decrease in the removal of perfluoroalkyls in menstrual blood. Epidemiological studies provide mixed evidence of impaired fertility (increased risks of longer time to pregnancy and infertility); there is also some evidence for PFOA, PFOS, PFHxS PFNA, PFHpA, and PFBS but the results are not consistent across studies or were only based on a single study. The small number of studies evaluating fertility for PFDA, PFUnA, PFDoDA, and FOSA did not find associations. Reproductive outcomes have not been evaluated in epidemiological studies on PFBA.

<span id="page-338-0"></span>

## **Table 2-18. Summary of Alterations in Reproductive Hormone Levels in Humansa**

























## **Table 2-18. Summary of Alterations in Reproductive Hormone Levels in Humansa**

aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls, Table 12 for more detailed descriptions of studies.* 

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

CI = confidence interval; DHEAS = dihydroepiandrosterone sulfate; FOSA = perfluorooctane sulfonamide; FSH = follicle stimulating hormone; LH = luteinizing hormone; NS = not significant; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid; SHBG = sex hormone binding globulin

<span id="page-346-0"></span>











aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls,* Table 12 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

NS = not significant; PFDA = perfluorodecanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid

<span id="page-351-0"></span>















aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 12 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

HR = hazard ratio; OR = odds ratio; NS = not significant; PFDA = perfluorodecanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; RR = risk ratio

<span id="page-358-0"></span>

# **Table 2-21. Summary of Fertility Outcomes in Humansa**


















aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 12 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

FOR = fecundability odds ratio; FOSA = perfluorooctane sulfonamide; FR = fecundability ratio (probability of conceiving during a given menstrual cycle); OR = odds ratio; NS = not significant; PFDA = perfluorodecanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid

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Studies in laboratory animals have evaluated the potential histological alterations in reproductive tissues, alterations in reproductive hormones, and impaired reproductive functions. Summaries of these studies are presented in Tables [2-1,](#page-11-0) [2-3,](#page-16-0) [2-4,](#page-44-0) [2-5,](#page-66-0) an[d 2-6](#page-91-0) and in Figures [2-6,](#page-13-0) [2-8,](#page-40-0) [2-9,](#page-62-0) and [2-10.](#page-86-0) Multigeneration studies on PFOA, PFOS, and PFBS have not found alterations in reproductive parameters in animals; similarly, no effect on fertility was observed for PFHxS or PFDoDA. One study found alterations in sperm parameters and decreases in fertility in mice exposed to PFNA. An increase in the incidence of Leydig cell hyperplasia (reclassified as gonadal stromal hyperplasia) has been observed in animals exposed to PFOA; one study for PFDoDA reported ultrastructural alterations in the testes. Studies on PFOS, PFHxS, PFBS, and PFBA have not found histological alterations. Delays in mammary gland development have been observed in mice exposed to PFOA; this effect has also been observed in perinatally exposed mice (see Section 2.17, Developmental). No laboratory animal studies examined reproductive endpoints for PFDA, PFUnA, PFHpA, or FOSA.

## **PFOA**

*Epidemiological Studies—Reproductive Hormone Levels.* Three studies have evaluated potential effects of PFOA exposure on reproductive hormone levels in workers (Gilliland 1992; Olsen et al. 1998b; Sakr et al. 2007b). Sakr et al. (2007b) found associations between serum PFOA and estradiol and testosterone levels in male workers at the Washington Works facility. Similarly, Gilliland (1992) found associations between serum fluorine levels and estradiol and prolactin levels and inverse associations with bound and free testosterone levels in workers at the 3M Cottage Grove facility. In contrast, Olsen et al. (1998b) did not find associations between serum PFOA and estradiol or testosterone in male workers at the 3M Cottage Grove facility. The study did find an association with prolactin levels, but this was only found in workers examined in 1993, but not in those examined in 1995. In a general population study of men aged 30–66 years of age, correlations were found between serum PFOA levels and free testosterone levels and LH levels; no correlations were found for estradiol, prolactin, follicle stimulating hormone (FSH), or total testosterone levels (Raymer et al. 2012). Another study of similar aged men did not find an association between serum PFOA and sex hormone binding globulin levels (Specht et al. 2012). Studies of young men (median age 19 years) (Joensen et al. 2013) or adolescents and young men (12–30 years of age) (Tsai et al. 2015) did not find associations between serum PFOA and reproductive hormone levels. A third study (Vested et al. 2013) found an association between LH and FSH levels and maternal serum PFOA levels in young adult males; other hormones were not affected. A fourth study in adolescents (aged 13– 15 years) found an association between serum PFOA and estradiol levels in boys, but not in girls, and did not find associations for testosterone levels (Zhou et al. 2016).

Two studies of women (Barrett et al. 2015; Knox et al. 2011b) did not find associations with estradiol levels or luteal progesterone levels. A third study of adolescent and young women (Tsai et al. 2015) found an association between serum PFOA and sex hormone binding globulin levels in adolescents (12– 17 years), but not in young adults; no associations with FSH or testosterone were observed in either group.

*Epidemiological Studies—Effects on Sperm.* Six general population studies have evaluated the potential alterations in sperm parameters associated with PFOA exposure. Although some associations have been found, the results are not consistent across studies. Buck Louis et al. (2015) reported an increase in curvilinear velocity and some alterations in sperm morphology that were associated with serum PFOA levels. Toft et al. (2012) found a PFOA-related increase in the percentage of motile sperm in men with serum PFOA levels in the 3<sup>rd</sup> tertile. Vested et al. (2013) reported inverse associations between maternal serum PFOA levels and sperm concentration and total sperm count in young adults; no alterations in motility or morphology were observed. Other studies did not find alterations in sperm viability, count, concentration, motility, or morphology (Buck Louis et al. 2015; Joensen et al. 2013; Raymer et al. 2012; Toft et al. 2012) or the Y-X chromosome ratio (Kvist et al. 2012).

*Epidemiological Studies—Effects on Menstrual Cycle Length, Menopause Onset, Endometriosis, and Breastfeeding Duration.* Two studies examined possible associations between serum PFOA levels and alterations in menstrual cycle length. An increased risk of a long menstrual cycle ( $\geq$ 32 days) was observed in women with serum PFOA levels in the 3<sup>rd</sup> tertile and when serum PFOA was used as a continuous variable (Lyngsø et al. 2014). No alterations in the risk of having a short menstrual cycle (≤24 days) or irregular menstrual cycles (≥7 days difference between cycles) were observed. The second study did not find an association between serum PFOA and menstrual cycle length (Lum et al. 2017).

Four studies have evaluated the risk of early menopause. In a study of C8 Health Study participants, increases in the risk of early menopause was observed in perimenopausal  $(>=42$ – $\leq 51$  years of age) and menopausal (>51–≤65 years of age) women with serum PFOA levels in the  $2<sup>nd</sup>$ ,  $3<sup>rd</sup>$ ,  $4<sup>th</sup>$ , and  $5<sup>th</sup>$  quintiles (Knox et al. 2011b). An increase in menopause risk was also observed in a cross-sectional study of NHANES participants with serum PFOA levels in the 3<sup>rd</sup> tertile (Taylor et al. 2014). Taylor et al. (2014) also found a higher risk of hysterectomy among women with serum PFOA levels in the  $2<sup>nd</sup>$  and  $3<sup>rd</sup>$  tertiles. Findings of higher levels of PFOA (and other perfluoroalkyls) among women with hysterectomies and that serum PFOA levels increased after menopause provide suggestive evidence that at least part of the

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association may be due to reverse causation (Taylor et al. 2014). In contrast, no alterations in the risk of early menopause or age of menopause were associated with estimated cumulative serum PFOA levels in retrospective and prospective studies of C8 Health Study participants (Dhingra et al. 2016a); age of menopause was also not associated with measured serum PFOA levels in the prospective study (Dhingra et al. 2016a). Cross-sectional analysis also showed that early menopause was associated with measured serum PFOA levels, but not with modeled serum PFOA levels (Dhingra et al. 2017), providing support that reverse causation may contribute to the observed association.

Buck Louis et al. (2012) showed that the risk of endometriosis and the risk of moderate-to-severe endometriosis were associated with serum PFOA levels; however, adjustment for parity resulted in confidence intervals that included unity. A second study found an increased risk of self-reported endometriosis in women with serum PFOA levels in the  $3<sup>rd</sup>$  quartile; for the  $4<sup>th</sup>$  quartile women, the confidence intervals included unity (Campbell et al. 2016). A case-control study (Vagi et al. 2014) found an increased risk of polycystic ovary syndrome among women with serum PFOA levels in the 3<sup>rd</sup> tertile.

Two studies utilizing pharmacokinetic modeling have investigated whether the observed associations between PFOA exposure and early onset menopause or risk of endometriosis was due to reverse causation (Ngueta et al. 2017; Ruark et al. 2017). As discussed in Section 3.1.4, menstrual blood loss is a route of elimination of perfluoroalkyls. Therefore, variability in menstruation such as menarche, menopause, and pharmacological management of menstruation (e.g., use of oral contraceptives) could affect serum perfluoroalkyl levels, and thereby contribute to observed statistical associations between serum PFOA levels and early onset menopause (Ruark et al. 2017) or endometriosis (Ngueta et al. 2017) outcomes.

Three studies evaluated a possible association between maternal PFOA levels and breastfeeding duration. Two studies found increases in the risk of breastfeeding ≤3 or 6 months that were associated with maternal PFOA levels (Fei et al. 2010; Romano et al. 2016). Timmermann et al. (2017) found an inverse association between maternal PFOA levels and the duration of breastfeeding and the amount of time the women exclusively breastfed. Fei et al. (2010) reported that when the women were segregated by parity, the associations were only found in multiparous women. In contrast, Timmermann et al. (2017) found no differences in duration or breastfeeding exclusiveness between primiparous and multiparous women. It is noted that a number of factors can influence the duration of breastfeeding including diminished milk production, inadequate lactation support from health care providers after delivery, use of medication that is not compatible with breastfeeding, lack of spousal/family support, and individual choice. In general, these studies did not consider whether these factors may have influenced the observed associations.

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*Epidemiological Studies—Effects on Fertility.* Several general population studies have examined the possible association between female serum PFOA levels and decreased fertility or infertility; the results are graphically presented in Figures [2-31](#page-371-0) and [2-32,](#page-372-0) respectively. With the exception of the Buck Louis et al. (2013) and Vestergaard et al. (2012) prospective studies, all of the women were pregnant; thus, couples with unresolved infertility are underrepresented in these analyses. Maternal transfer of PFOA during pregnancy and lactation can result in lower serum PFOA levels in women (see Section 3.1.2 for additional information), as compared to nulliparous women; thus, parity should be considered when evaluating potential associations between serum PFOA and infertility. The Buck Louis et al. (2013) study is the only study that used maternal and paternal serum PFOA levels as the biomarkers of exposure. Most of the studies evaluated two aspects of fertility: fecundability, which is a measure of time to pregnancy, and risk of infertility, which is typically time to pregnancy of >12 months.

In a study of pregnant women participating in the Danish National Birth Cohort study, a decrease in fecundability and an increase in infertility were observed in women with serum PFOA (measured at gestation week 12) levels in the three highest quartiles (Fei et al. 2009). When the women were categorized by parity, decreased fecundability OR and increased infertility OR were only found in the parous group; the ORs for the nulliparous women included unity (Fei et al. 2009). A second re-analysis of these data (Bach et al. 2015a) using a different statistical approach confirmed the results of the whole group and the parous subgroup; this re-analysis also found a decrease in the fecundability risk among the nulliparous women. In another set of women participating in the Danish National Birth Cohort study (Bach et al. 2015c), no alterations in fecundability or infertility risk were observed in the whole cohort or when the women were categorized into parous and nulliparous subcohorts. It was noted that the median serum PFOA levels in this second study (4.0 ng/mL) were lower than the levels in the larger study (5.4 ng/mL). A decrease in fecundability and an increase in infertility risk were also observed in a Canadian study of pregnant women (Vélez et al. 2015). An increase in infertility risk was also found in a Norwegian study of subfecund pregnant women with serum PFOA levels in the three highest quartiles (Whitworth et al. 2012b); when the women were categorized based on parity, the infertility risk was only elevated in the parous women with serum PFOA levels in the 3<sup>rd</sup> and 4<sup>th</sup> quartiles. A multinational study also found an alteration in fecundability (Jørgensen et al. 2014a); however, this study found that higher serum PFOA levels resulted in a decrease in the time to pregnancy (fecundability ratio >1) among primiparous women.

<span id="page-371-0"></span>

# **Figure 2-31. Fecundability Relative to PFOA Levels (Presented as Adjusted Fecundability Ratios)**

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**Figure 2-32. Infertility Relative to PFOA Levels (Presented as Adjusted Odds Ratios)**

<span id="page-372-0"></span>

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Other studies of pregnant women have not found alterations in fecundability, fertility, and/or infertility (Bach et al. 2015a; Crawford et al. 2017; Jørgensen et al. 2014a; Lum et al. 2017; Wang et al. 2017; Whitworth et al. 2016). The two prospective studies which followed women intending to get pregnant for 6 months (Vestergaard et al. 2012) or 12 months (Buck Louis et al. 2013) also did not find associations between serum PFOA levels in women and fecundability; Buck Louis et al. (2013) also found no association when male serum PFOA was used as the biomarker of exposure.

*Laboratory Animal Studies.* Examination of the testes and epididymides of rats exposed intermittently head-only to up to 84 mg/m<sup>3</sup> APFO dusts for 2 weeks did not reveal any gross or microscopic treatmentrelated alterations (Kennedy et al. 1986).

Several studies have been conducted in rats to examine whether induction of Leydig cell tumors could be due to an endocrine-related mechanism. In a 14-day gavage study in which rats were dosed with up to 50 mg/kg/day PFOA, testes weight was not significantly affected and microscopic examination did not reveal any significant alterations (Cook et al. 1992). However, the weight of the accessory sex organ unit (ventral and dorsal lateral prostate, seminal vesicles, and coagulating glands) was significantly decreased in rats dosed with 25 mg/kg/day PFOA (17% decrease) and 50 mg/kg/day PFOA (18% decrease) relative to controls and to a pair-fed group. There was also a trend for reduced serum and interstitial fluid testosterone in PFOA-treated rats; serum LH was not altered and estradiol was significantly increased (63%) at  $\geq$ 10 mg/kg/day. Challenge experiments conducted with human chorionic gonadotropin, gonadotropin-releasing hormone, or naloxone suggested that the decrease in serum testosterone was due to a lesion at the level of the testes. Serum levels of progesterone and 17α-hydroxyprogesterone were not altered by 50 mg/kg/day PFOA, but androstenedione levels were reduced 2-fold. The data suggested that the decrease in serum testosterone may be due to a decrease in the conversion of 17α-hydroxyprogesterone to androstenedione, and this could be attributed to the elevated serum levels of estradiol. The decrease in weight of the accessory sex organ unit could also be attributed to the elevated estradiol serum levels. In a subsequent study from the same group of investigators, rats dosed with 25 mg/kg/day PFOA for 14 days showed a significant increase in estradiol in serum and in testicular interstitial fluid relative to controls (Biegel et al. 1995). Treatment with PFOA for 14 days significantly increased aromatase activity in the liver (aromatase converts testosterone to estradiol), but not in testes, muscle, or adipose tissue, suggesting that PFOA increases serum estradiol by inducing aromatase activity in the liver. Treatment with PFOA also increased testicular interstitial fluid transforming growth factor  $α$  $(TGF\alpha)$ . Collectively, the results were consistent with the hypothesis that increased estradiol levels ultimately produce Leydig cell hyperplasia and adenoma by acting as a mitogen or enhancing growth

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factor secretion. A study of the dose-response relationship for PFOA and serum estradiol reported a significant increase in serum estradiol in rats dosed with  $\geq 2$  mg/kg/day, which was well correlated with total hepatic aromatase activity (Liu et al. 1996). Significant increases in serum estradiol were also reported during the first year of treatment of male rats with 13.6 mg/kg/day PFOA in a 2-year dietary study (Biegel et al. 2001).

Significant increases in the incidence of Leydig cell hyperplasia were observed in rats exposed to 13.6 mg/kg/day PFOA in the diet for 2 years (Biegel et al. 2001). Another 2-year study found an increased incidence of vascular mineralization in the testes of rats exposed to 15 mg/kg/day PFOA in the diet; no effects were observed at 1.5 mg/kg/day (3M 1983; Butenhoff et al. 2012c). In female rats, increases in the incidence of tubular hyperplasia of the ovaries were observed following a 2-year exposure to 1.5 mg/kg/day (3M 1983; Butenhoff et al. 2012c). A peer review of the histological slides from this study (3M 1983; Butenhoff et al. 2012c) concluded that the more current nomenclature for the tubular hyperplasia was gonadal stromal hyperplasia (Mann and Frame 2004). Additionally, the peer reviewers substantially disagreed with the incidence of lesions in the 1.5 mg/kg/day group and slightly disagreed with the incidence in the 15 mg/kg/day group. Based on the incidence reported by the peer reviewers, no statistically significant increases in the occurrence of gonadal stromal hyperplasia were observed in either group; a significant increase in grade 3 and above lesions were observed in the 15 mg/kg/day group.

In a 2-generation reproduction study in which male and female rats were dosed with up to 30 mg/kg/day PFOA by gavage in water for 70 days before mating and until sacrifice, there were no effects on estrous cycling, sperm number and quality, mating and fertility, or histopathology of the reproductive organs assessed in the parental and F1 generations (Butenhoff et al. 2004b). Intermediate-duration studies of rats and monkeys also did not find gross or microscopic alterations in the sex organs at termination; Cynomolgus monkeys were dosed with up to 20 mg/kg/day PFOA for 4 or 26 weeks (Butenhoff et al. 2002; Thomford 2001), Rhesus monkeys with up to 100 mg/kg/day PFOA for 13 weeks (Griffith and Long 1980), and rats with up to approximately 100–110 mg/kg/day PFOA for 13 weeks (Griffith and Long). Serum levels of estradiol and estriol were not significantly altered in the 4-week study conducted by Thomford (2001), but estrone was reduced in monkeys dosed with 2 and 20 mg/kg/day PFOA; no possible explanation was discussed. In the 26-week study (Butenhoff et al. 2002), no treatment-related alterations were reported in serum estrone, estriol, estradiol, or testosterone, indicating that the reduced serum estrone levels in the 4-week study was transitory. In 2-year dietary studies in rats, doses of 13.6 mg/kg/day PFOA significantly increased the incidence of Leydig cell hyperplasia (Biegel et al. 2001), whereas 15 mg/kg/day increased the incidence of vascular mineralization in the testes and

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1.5 mg/kg/day increased the incidence of tubular hyperplasia in the ovaries (3M 1983; Butenhoff et al. 2012c).

A study in pregnant mice dosed with 5 mg/kg/day PFOA (only dose level tested) reported that the mammary gland showed changes suggesting substantial delay (possibly up to 10 days) in gland differentiation on PND 20 and alterations in milk protein gene expression on PND 20 (White et al. 2007). Subsequent studies by this group support the finding of delayed mammary gland differentiation. On PND 1, the mammary glands of mice administered 5 mg/kg/day on GDs 8–17 appeared immature; the morphology was similar to that seen in late pregnancy prior to parturition and the initiation of nursing (White et al. 2009). Another study found that the normal weaning-induced mammary gland involution was compromised on PND 22 in mice exposed to 1 mg/kg/day on GDs 1–17 or 0.001 mg/kg/day administered on GD 7–PND 22 (White et al. 2011); the investigators noted that the mammary gland structure was similar to mammary gland tissue at or near the peak of lactation (PND 10). Necrosis was observed in the placenta of mice administered via gavage 10 or 25 mg/kg/day PFOA on GDs 11–16 (Suh et al. 2011); no alterations were observed at 2 mg/kg/day.

A study of pregnant mice reported increases in serum estradiol levels, with no changes in progesterone levels, at 10 mg/kg/day when PFOA was administered on GDs 1–7 (Chen et al. 2017b); however, when PFOA was administered on GD 13, there were significant decreases in serum progesterone levels at 5 and 10 mg/kg/day with no changes in estradiol levels (Chen et al. 2017b). In peripubertal female mice, administration of 5 mg/kg PFOA 5 days/week for 4 weeks resulted in significant increases in serum progesterone levels during estrus and preestrus, but no changes in estradiol levels were observed (Zhao et al. 2010).

No gross or microscopic alterations were reported in the testes from rats dermally exposed to 2,000 mg/kg/day APFO (Kennedy 1985).

*Summary.* Epidemiological studies have examined a several types of reproductive endpoints. Due to inconsistent results, the available data are not suitable for determining whether there are associations between serum PFOA and reproductive hormones or effects on sperm. There is some suggestive evidence that increases in serum PFOA levels can result in earlier onset of menopause; however, this is based on the findings of two studies (a third study did not find an association) and may partially be due to reverse causation. Several general population studies found associations between serum PFOA and impaired fertility (increased time to pregnancy and/or infertility), while others have not found

associations. The available epidemiological data are considered inadequate for determining whether there is an association between serum PFOA and fertility. The database limitations include inconsistency across studies, small number of studies including measurements of male serum PFOA levels, findings in parous women but not nulliparous women, and the underrepresentation of couples not becoming pregnant. The results of a multi-generational study in rats do not suggest that the reproductive system is a sensitive target of PFOA toxicity. Additionally, histological alterations have not been observed in monkeys or rats following intermediate and/or chronic oral exposure.

## **PFOS**

*Epidemiological Studies—Reproductive Hormone Levels.* In an occupational exposure study of workers at 3M Decatur and Antwerp facilities (Olsen et al. 1998a) and a general population study (Raymer et al. 2012), no associations between serum PFOS and reproductive hormones were found. Studies in adolescent and young adult males have found inverse associations between serum PFOS levels and total and free testosterone levels (Joensen et al. 2013), free androgen index (Joensen et al. 2013), and FSH levels (Tsai et al. 2015). Another study of young men did not find alterations in reproductive hormone levels (Vested et al. 2013).

In a study of females participating in the C8 Health Studies, serum PFOS levels were inversely associated with estradiol levels in both perimenopausal and menopausal women (Knox et al. 2011b). An inverse association with follicular estradiol levels was also observed in a general population study (Barrett et al. 2015); when segregated by parity, the inverse association was only found in nulliparous women. An inverse association between serum PFOS levels and testosterone levels was observed in adolescent females; no association was found in older females (Tsai et al. 2015). A general population study of adolescents (aged 13–15 years) found an inverse association between serum PFOS levels and testosterone levels in boys, but not in girls; the study also found no associations with estradiol levels in boys or girls (Zhou et al. 2016).

*Epidemiological Studies—Effects on Sperm.* The available general population data do not provide evidence that PFOS damages sperm. One study (Buck Louis et al. 2015) found an association for one measure of sperm motility (distance travelled) but not for other measures. Another study (Toft et al. 2012) found an inverse association between serum PFOS levels and percentage of normal sperm. Other studies have not found alterations in sperm viability, count, motility, volume, or morphology (Buck Louis et al. 2015; Joensen et al. 2013; Raymer et al. 2012; Toft et al. 2012; Vested et al. 2013). A multinational

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study (Kvist et al. 2012) found a nonlinear association between serum PFOS and Y-X chromosome ratio; however, when categorized by country, the only significant trend was a negative trend in the Greenland cohort. It is noted that these are studies of individuals exposed to background levels of PFOS, involved a single measurement of PFOS, and are not adequate for establishing causality.

*Epidemiological Studies—Effects on Menstrual Cycle Length, Menopause Onset, Endometriosis, and Breastfeeding Duration.* No alterations in the risk of irregular, short, or long menstrual cycle lengths associated with serum PFOS levels were observed in a study of pregnant women (Lyngsø et al. 2014). Similarly, no association between serum PFOS levels and menstrual cycle length was observed in another study (Lum et al. 2017). A study of C8 Health Study participants found increases in the risk of early menopause in perimenopausal and menopausal women with serum PFOS levels in the  $\geq 3^{rd}$  and  $\geq 2^{nd}$  quintiles, respectively (Knox et al. 2011b). In contrast, a study of NHANES participants did not find an association between serum PFOS and the risk of early menopause (Taylor et al. 2014). The risk of endometriosis was not associated with serum PFOS levels (Buck Louis et al. 2012; Campbell et al. 2016). However, there was a greater risk of having moderate to severe endometriosis; adjusting for parity decreased the risk and the CIs included unity. General population studies found increases in the risk of having a hysterectomy in women having serum PFOS levels in the 2<sup>nd</sup> and 3<sup>rd</sup> tertiles (Taylor et al. 2014) and the risk of having polycystic ovary syndrome in women with serum PFOS levels in the  $3<sup>rd</sup>$  tertile (Vagi et al. 2014). Most of these endpoints were only examined in one study and the evidence is inconclusive to determine whether there is an association between PFOS exposure and these female reproductive outcomes.

Utilizing pharmacokinetic modeling, Ruark et al. (2017) and Ngueta et al. (2017) have investigated whether the observed associations between PFOS exposure and early onset menopause or risk of endometriosis was due to reverse causation. Menstrual blood loss is a route of elimination of perfluoroalkyls (see Section 3.1.4) and variability in menstruation such as menarche, menopause, and pharmacological management of menstruation (e.g., use of oral contraceptives) could affect serum perfluoroalkyl levels, and thereby contribute to observed statistical associations between serum PFOS levels and early onset menopause (Ruark et al. 2017) or endometriosis (Ngueta et al. 2017) outcomes.

Maternal serum PFOS levels have been associated with increases in the risk of breastfeeding for  $\leq$ 3 or 6 months (Fei et al. 2010; Romano et al. 2016) and inversely associated with the length of breastfeeding and the length of exclusive breastfeeding (Timmermann et al. 2017). When the women were segregated by parity, the associations were only found in multiparous women (Fei et al. 2010). In contrast,

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Timmermann et al. (2017) found no significant alterations in breastfeeding length or exclusiveness between primiparous women and multiparous women. In general, these studies did not consider whether other factors such as the duration of breastfeeding including diminished milk production, inadequate lactation support from health care providers after delivery, use of medication that is not compatible with breastfeeding, lack of spousal/family support, and individual choice may have influenced the observed associations. Additionally, the associations between maternal PFOS and breastfeeding duration may be due to reverse causality since longer breastfeeding would likely result in lower maternal PFOS levels.

*Epidemiological Studies—Effects on Fertility.* Several general population studies have evaluated whether there is a possible association between serum PFOS and time-to-pregnancy (as measured using a fecundability ratio) or infertility; graphical presentations of potential associations between fecundability and infertility relative to serum PFOA levels are presented in Figures [2-33](#page-379-0) and [2-34,](#page-380-0) respectively. A couple of studies have found associations, but most have not found associations. Fei et al. (2009) found decreases in fecundability and increases in infertility risk among pregnant women with serum PFOS levels in the top three quartiles. When the women were categorized by parity (Fei et al. 2012), the decrease in fecundability and increase in infertility risk were only observed in nulliparous women with serum PFOS levels in the  $3<sup>rd</sup>$  and  $4<sup>th</sup>$  quartiles; no alterations were observed among parous women. A reanalysis of these data (Bach et al. 2015c) resulted in similar associations between PFOS and fecundability and infertility. Whitworth et al. (2012b) also found an increased risk of infertility among subfecund women with serum PFOS levels in the 3<sup>rd</sup> quartile; categorizing by parity resulted in increases in only parous women with serum PFOS levels in the 4<sup>th</sup> quartile. In contrast, other studies have not found alterations in fecundability or fertility associated with maternal serum PFOS levels (Bach et al. 2015a, 2015c; Buck Louis et al. 2013; Crawford et al. 2017; Jørgensen et al. 2014a; Lum et al. 2017; Vélez et al. 2015; Vestergaard et al. 2012; Wang et al. 2016; Whitworth et al. 2016).

*Laboratory Animal Studies.* Significant decreases in serum testosterone levels and epididymal sperm count were observed in mice administered 10 mg/kg/day PFOS for 21 days (Wan et al. 2011), in rats administered 5 mg/kg/day for 21 days (Li et al. 2018), and in mice administered 10 mg/kg/day for 5 weeks (Qu et al. 2016). No alterations were observed in mice administered 5 mg/kg/day PFOS or in mice administered 5 or 10 mg/kg/day PFOS for 14 days (Wan et al. 2011). No alterations in reproductive performance (number of litters, gestation length, number of implantation sites, or potential resorptions) were observed in rats administered 1 mg/kg/day PFOS throughout gestation and lactation (Buttenoff et al. 2009b). Lee et al. (2015a) did find a decrease in placental weight and placental capacity (ratio of fetal weight to placental weight) in mice administered  $\geq 0.5$  mg/kg/day PFOS via gavage on GDs 11–16.

<span id="page-379-0"></span>

# **Figure 2-33. Fecundability Relative to PFOS Levels (Presented as Adjusted Fecundability Ratios)**

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# **Figure 2-34. Infertility Relative to PFOS Levels (Presented as Adjusted Odds Ratios)**

<span id="page-380-0"></span>

PFOS-Infertility [OR (95% CI)]

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Multigeneration studies with PFOS in rats did not provide indications of reproductive toxicity. Exposure of male and female rats to up to 3.2 mg/kg/day PFOS by gavage before mating and continuing during gestation did not affect mating or fertility parameters of the parental or F1 generation (Luebker et al. 2005a, 2005b). Dietary exposure of rats to 1.3–1.8 mg/kg/day PFOS for 4 or 14 weeks did not induce gross or microscopic alterations in the sex organs of males or females (Seacat et al. 2003). A similar study in Cynomolgus monkeys administered up to 0.75 mg/kg/day PFOS administered via a capsule also reported no significant morphological alterations in the sex organs, but serum estradiol was significantly decreased in males on days 62, 91, and 182 of the study (Seacat et al. 2002). In addition, treatment with PFOS had no significant effect on cell proliferation in the testes. Serum estradiol also was lower than in controls in one male and one female monkey dosed with 2 mg/kg/day PFOS for 4 weeks, but little can be concluded from results from just two animals (Thomford 2002a). In a 2-year dietary study in rats, administration of up to 1.04 mg/kg/day PFOS did not induce gross or microscopic alterations in the reproductive organs (Butenhoff et al. 2012b; Thomford 2002b). Overall, the reproductive system does not seem to be a sensitive target of PFOS toxicity, although some changes in testosterone and estradiol levels and decreases in sperm count have been observed.

## **PFHxS**

*Epidemiological Studies—Reproductive Hormone Levels.* Three general population studies evaluated possible effects of PFHxS on reproductive hormone levels. In young men, no associations between serum PFHxS levels and testosterone, free androgen index, LH, estradiol, sex hormone binding globulin, or FSH levels were found (Joensen et al. 2013). Similarly, no alterations in follicular estrogen or luteal progesterone were observed in women (Barrett et al. 2015). An association between serum PFHxS levels and estradiol levels were observed in adolescent boys, but not in girls; no associations were observed for testosterone levels (Zhou et al. 2016).

*Epidemiological Studies—Effects on Sperm.* With the exception of the finding of an inverse association between serum PFHxS levels and percent normal sperm (Toft et al. 2012), general population studies have not found associations between PFHxS and sperm parameters (Joensen et al. 2013; Toft et al. 2012); it is noted that the Joensen et al. (2013) study of young men did not find alterations in sperm morphology.

*Epidemiological Studies—Effects on Menstrual Cycle Length, Menopause Onset, Endometriosis, and Breastfeeding Duration.* Five general population studies have evaluated possible associations between serum PFHxS levels and female reproductive outcomes. Taylor et al. (2014) reported increases in the risk

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of earlier menopause in women with serum PFHxS levels in the 3<sup>rd</sup> tertile and the risk of hysterectomy in women with serum PFHxS levels in the 2<sup>nd</sup> and 3<sup>rd</sup> tertiles. These findings may be due to reverse causation in that early menopause may result in higher serum PFHxS levels. Other studies did not find associations with the risk and severity of endometriosis (Buck Louis et al. 2012; Campbell et al. 2016) or polycystic ovary syndrome (Vagi et al. 2014).

Romano et al. (2016) did not find associations between maternal PFHxS levels and the risk of breastfeeding  $\leq$ 3 or 6 months. Similarly, Timmermann et al. (2017) did not find associations between maternal PFHxS levels and the length of breastfeeding or length of exclusive breastfeeding.

*Epidemiological Studies—Effects on Fertility.* Seven studies have evaluated possible effects on fertility associated with female serum PFHxS levels. Vélez et al. (2015) found increases in time to pregnancy (measured as a decreased fecundability OR) and risk of infertility, which were associated with serum PFHxS levels in pregnant women. Vestergaard et al. (2012) reported an increase in the fecundability OR, indicating a shorter time to pregnancy, when risk was calculated using continuous serum PFHxS; however, when the subjects were divided into two groups based on serum PFHxS levels above and below the median level, the fecundability ratio included unity in the above-median group (fecundability ratio 1.29, 95% CI 0.90–1.83), as compared to the below-median group. Wang et al. (2017) found a decreased risk of endometriosis-related infertility in a case-control study. Studies by Bach et al. (2015a), Crawford et al. (2017), Jørgensen et al. (2014a), and Whitworth et al. (2016) did not find alterations in time to pregnancy, fertility, or the risk of infertility.

*Laboratory Animal Studies.* Exposure to 10 mg/kg/day PFHxS did not result in alterations in reproductive organ weights or histopathology in male rats exposed for a minimum of 42 days beginning 14 days prior to cohabitation and female rats sacrificed on lactation day 21 or GD 25 (rats that did not deliver a litter) (exposure began 14 days prior to cohabitation) (Butenhoff et al. 2009a). Fertility was not affected by treatment with PFHxS and there were no significant effects on sperm parameters. Also, estrous cycling was not affected by dosing with PFHxS. A similarly designed study in mice also reported no alterations in reproductive toxicity parameters (Chang et al. 2018).

## **PFNA**

*Epidemiological Studies—Reproductive Hormone Levels.* Reproductive hormone alterations associated with serum PFNA levels are limited to a finding for estradiol in young men (Joensen et al. 2013); no

associations with other reproductive hormones were found in this study. In another study of adolescent and young adults, no associations between serum PFNA and sex hormone binding globulin, FSH, or testosterone were found in males or females (subjects were segregated by sex and age range) (Tsai et al. 2015). Zhou et al. (2016) found an inverse association between serum PFNA and testosterone levels in boys, but not in girls, and did not find associations for estradiol levels. Another study did not find alterations in follicular estradiol or luteal progesterone levels in women (Barrett et al. 2015).

*Epidemiological Studies—Effects on Sperm.* Buck Louis et al. (2015) found associations between serum PFNA and increases in the percentage of normal sperm and a decrease in the percentage of sperm with coiled tails. No associations were found for other sperm parameters (Buck Louis et al. 2015; Joensen et al. 2013; Toft et al. 2012).

*Epidemiological Studies—Effects on Menstrual Cycle Length, Menopause Onset, Endometriosis, and Breastfeeding Duration.* No association between serum PFNA levels and menstrual cycle length was observed in a general population study (Lum et al. 2017). Increases in the risk of earlier menopause and hysterectomy were found in women with serum PFNA levels in the  $3<sup>rd</sup>$  and  $\geq 2<sup>nd</sup>$  serum PFNA tertiles (Taylor et al. 2014). The investigators examined the possibility that these effects may be due to reverse causation and found that serum PFNA levels increased post-menopause (Taylor et al. 2014). An increase in the risk of endometriosis was associated with serum PFNA levels in a general population study (Buck Louis et al. 2012); however, adjustment for parity resulted in OR CIs that included unity. A second study did not find an association between serum PFNA and self-reported endometriosis (Campbell et al. 2016). Vagi et al. (2014) did not find an increased risk of polycystic ovary syndrome that was associated with serum PFNA levels.

No associations between maternal PFNA levels and the risk of breastfeeding ≤3 or 6 months were found in a general population study (Romano et al. 2016). In contrast, Timmermann et al. (2017) found inverse associations between maternal PFNA levels and breastfeeding length and the length of exclusive breastfeeding. The study also found no differences in breastfeeding length or exclusiveness between primiparous and multiparous women.

*Epidemiological Studies—Effects on Fertility.* Jørgensen et al. (2014a) found increases in time to pregnancy (measured as a decrease in fecundability ratio) and an increase in infertility risk in a study of pregnant women. In sensitivity analysis, the fecundability ratio for primiparous women was 0.99 and the 95% CI range included unity (0.88–1.22). Wang et al. (2016) found an inverse association between

serum PFNA levels in women and the risk of endometriosis-induced infertility. Studies by Bach et al. (2015a), Buck Louis et al. (2013), Crawford et al. (2017), Lum et al. (2017), Vestergaard et al. (2012), and Whitworth et al. (2016) did not find associations between serum PFNA levels and fecundability ratio, fertility or risk of infertility.

*Laboratory Animal Studies.* Two acute-duration studies have evaluated the reproductive toxicity of PFNA in male rats (Feng et al. 2009, 2010). Gavage administration of 5 mg/kg/day for 14 days resulted in decreases in serum testosterone and increases in serum estradiol levels and atrophy of the seminiferous tubules (Feng et al. 2009). Electron microscopic examination of the testes revealed large vacuoles between the Sertoli cells and spermatogonia at 5 mg/kg/day; these changes as well as increases in serum Mullerian inhibiting substance and decreases in serum inhibin B cells were suggestive of damage to the secretory function of the Sertoli cells (Feng et al. 2010). In mice administered 0.5 mg/kg/day PFNA for 90 days, decreases in sperm motility, viability, and count and degenerative changes in the seminiferous tubules were observed (Singh and Singh 2018). When the mice were mated with unexposed females, significant decreases in litter size were observed at 0.5 mg/kg/day.

## **PFDA**

*Epidemiological Studies—Reproductive Hormone Levels.* No associations were found between serum PFDA levels and testosterone, free androgen index, LH, estradiol, sex hormone binding globulin, or FSH levels in young men (Joensen et al. 2013). Similarly, no alterations in follicular estradiol or luteal progesterone levels were observed in women (Barrett et al. 2015). In adolescent boys, an inverse association between serum PFDA and testosterone was found; no association was found in girls (Zhou et al. 2016). This study also found no associations for estradiol levels in boys or girls.

*Epidemiological Studies—Effects on Sperm.* Two general population studies evaluated potential effects of PFDA exposure on sperm parameters. Buck Louis et al. (2015) found associations between serum PFDA levels and increases in sperm head length and decreases in the percentage of sperm with coiled tails. No alterations were found for sperm viability, count, volume, motility, or other morphological alterations (Buck Louis et al. 2015; Joensen et al. 2013).

*Epidemiological Studies—Effects on Menstrual Cycle Length, Menopause Onset, Endometriosis, and Breastfeeding.* Three studies examined alterations in female reproductive outcomes associated with serum PFDA levels. In two studies, no associations between serum PFDA levels and the risk or severity of endometriosis were found (Buck Louis et al. 2012; Lum et al. 2017). In the third study, an inverse association between maternal PFDA levels and duration of breastfeeding was found (Timmermann et al. 2017). No association was found for the length of exclusive breastfeeding.

*Epidemiological Studies—Effects on Fertility.* Six studies examined the potential for PFDA to alter fertility. No alterations in time to pregnancy (measured as fecundability ratio) or risk of infertility were observed in pregnant women (Bach et al. 2015a). Additionally, no associations with the probability of pregnancy (Lum et al. 2017), endometriosis-related infertility (Wang et al. 2017), or fecundability (Whitworth et al. 2016) were observed in other general population studies. Two prospective studies also found no association between female serum PFDA levels (Buck Louis et al. 2013; Vestergaard et al. 2012) or male serum PFDA levels (Buck Louis et al. 2013) and time to pregnancy.

## **PFUnA**

*Epidemiological Studies—Reproductive Hormone Levels.* An inverse association between serum PFUnA levels and FSH levels was observed in adolescent girls (Tsai et al. 2015). The study did not find alterations in sex hormone binding globulins or testosterone levels in adolescent and young adult males or females. Another study of women did not find alterations in follicular estradiol or luteal progesterone levels (Barrett et al. 2015).

*Epidemiological Studies—Effects on Fertility.* Three studies evaluated possible associations between maternal serum PFUnA levels and fertility. No alterations in time to pregnancy (measured as a fecundability ratio) or infertility risk (Bach et al. 2015a), endometriosis-related infertility risk, or fecundability (Whitworth et al. (2016) were observed.

## **PFHpA**

*Epidemiological Studies.* Only one study examined potential fertility associations. Wang et al. (2017) found a decreased risk of endometriosis-related infertility in a case-control study.

## **PFBS**

*Epidemiological Studies—*Two studies have evaluated potential association for reproductive outcomes. Zhou et al. (2016) did not find associations between serum PFBS and testosterone or estradiol levels in adolescent boys or girls. Wang et al. (2017) found an association between serum PFBS levels and endometriosis-related infertility in a case-control study.

*Laboratory Animal Studies.* Administration of up to 900 mg/kg/day PFBS to rats by gavage for 28 days did not cause any significant gross or microscopic alterations in primary or secondary sex organs from males or females (3M 2001). A 2-generation study in which rats were exposed to gavage doses of potassium PFBS as high as 1,000 mg/kg/day did not result in alterations in fertility, sperm parameters, estrus cycling, or histological alterations in reproductive tissues (Lieder et al. 2009b).

## **PFBA**

*Laboratory Animal Studies.* No significant gross or microscopic alterations were reported in primary and secondary reproductive organs from rats dosed with PFBA by gavage in doses of up to 184 mg/kg/day for 5 days (3M 2007a), 150 mg/kg/day for 28 days (Butenhoff et al. 2012a; van Otterdijk 2007a), or 30 mg/kg/day for 90 days (Butenhoff et al. 2012a; van Otterdijk 2007b).

## **PFDoDA**

*Epidemiological Studies—*A study in adolescent boys and girls found an inverse association between serum PFDoDA levels and testosterone levels in girls only; no associations were found for estradiol levels (Zhou et al. 2016). In the two studies evaluating fertility, no associations were found for endometriosisrelated infertility (Wang et al. 2017) or fecundability (Whitworth et al. 2016).

*Laboratory Animal Studies.* Treatment of male rats with 1, 5, or 10 mg/kg/day PFDoDA by gavage for 14 days induced a dose-related decrease in testes weight, which achieved statistical significance at 10 mg/kg/day (Shi et al. 2007). Measurement of serum hormone levels showed a significant decrease in LH at 10 mg/kg/day and in testosterone at 5 and 10 mg/kg/day, no significant effect on FSH levels, and a significant decrease in serum estradiol only at 5 mg/kg/day. Alterations in the ultrastructure of the testes were seen in the 5 and 10 mg/kg/day groups and consisted of the presence of large clustered lipid droplets and enlarged mitochondria in Sertoli cells, large vacuoles, and expanded mitochondria in Leydig and

spermatogenic cells. Morphological features of apoptosis were seen in cells in the 10 mg/kg/day group. Assessment of messenger ribonucleic acid (mRNA) expression of genes involved in cholesterol transport and steroidogenesis provided evidence of altered cholesterol transport and steroid hormone synthesis, but no effects were noted for LH receptor and aromatase mRNA expression. Considering that serum total cholesterol was unaffected at 5 mg/kg/day and increased at 10 mg/kg/day and that aromatase expression was unaffected, the decrease in testosterone synthesis probably resulted from decreased steroidogenesis gene expression. In a longer-duration study (110 days) conducted by these investigators, decreased serum testosterone levels were observed at 0.2 and 0.5 mg/kg/day (Shi et al. 2009a). A third study (Kato et al. 2015) evaluated reproductive performance and found no alterations in estrous cycling during the first 14 days of exposure and no alterations in fertility, number of corpora lutea, or number of implantation sites in male and female rats administered 2.5 mg/kg/day PFDoDA for 14 days prior to mating and during gestation. In pregnant females administered 2.5 mg/kg/day, hemorrhages were observed at the implantation sites; only one female delivered live pups and 58% of the animals died or were sacrificed early. In females exposed for 42 days and not mated, continuous diestrus was observed at 2.5 mg/kg/day (Kato et al. 2015).

## **PFHxA**

*Epidemiological Studies—*The only epidemiological study evaluating reproductive outcomes associated with PFHxA found an inverse association for testosterone levels in adolescent boys (Zhou et al. 2016) but did not find this association in girls and found no association with estradiol levels.

*Laboratory Animal Studies.* No alterations in mating, fertility, or gestation length were observed in rats administered TWA doses of 315 mg/kg/day PFHxA for 14 days prior to mating and during mating and gestation (Kirkpatrick 2005). Similarly, no alterations in mating, fertility, gestation length, number of implantation sites, estrous cycling, or sperm parameters were observed in rats administered up to 500 mg/kg/day NaPFHx for 70 days prior to mating, during the mating period, and throughout gestation and lactation (Loveless et al. 2009). A 90-day study did not find histological alterations in reproductive tissues of male or female rats administered up to 200 mg/kg/day NaPFHx (Chengelis et al. 2009b).

## **FOSA**

*Epidemiological Studies.* One study examined reproductive hormone levels and did not find an association between serum FOSA and follicular estradiol or luteal progesterone levels in women (Barrett

et al. 2015). Two prospective epidemiological studies evaluated the possible association between FOSA and fertility. Vestergaard et al. (2012) did not find an increase in time to pregnancy, as measured as a fecundability ratio, or decrease in the likelihood of becoming pregnant within the first six menstrual cycles. In contrast, Buck Louis et al. (2013) found an increased time to pregnancy associated with serum FOSA levels in women, but not in men; the investigators noted that the results should be interpreted cautiously because only 10% of the blood samples had FOSA levels above the limit of detection. Another study found no association between maternal FOSA and fecundability (Whitworth et al. 2016).

## **2.17 DEVELOPMENTAL**

*Overview.* A large number of epidemiological studies have examined the potential of developmental toxicity of perfluoroalkyls in the general population and in populations living in an area with high PFOA drinking water contamination. Epidemiological studies are available for 10 of the 12 perfluoroalkyls discussed in the profile; no developmental data were identified for PFHxA or PFBS. The discussion of these developmental outcomes is divided into four categories: pregnancy outcome, birth outcome, neurodevelopment, and sexual maturation. The epidemiological studies examining pregnancy outcome are summarized in [Table 2-22;](#page-389-0) the pregnancy outcomes include miscarriage, stillbirth, preterm birth, and gestation age. [Table 2-23](#page-396-0) summarizes the epidemiological studies examining birth outcomes, which include birth weight, birth size, low birth weight, small for gestational age, birth defects, and sex ratio. Epidemiological studies examining neurodevelopmental endpoints, particularly risks for ADHD, are summarized in [Table 2-24.](#page-420-0) Studies evaluating possible associations between serum perfluoroalkyl levels and development of the reproductive system are summarized in [Table 2-25.](#page-436-0) Further details on these studies are presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 13. Studies examining childhood growth and examining the possible relationship between maternal serum perfluoroalkyl levels and body weight and BMI in children and adults are discussed in Section 2.3, Body Weight.

In general, the epidemiological studies did not find associations between perfluoroalkyl exposure and adverse pregnancy outcomes (miscarriage, preterm birth, or gestational age) for PFOA, PFOS, PFHxS, PFNA, PFDA, or PFUnA. Mixed results have been found for birth outcomes, particularly birth weight. Some epidemiological studies have found associations between maternal PFOA or PFOS exposure and decreases in birth weight, and meta-analyses of these data have found that increases in maternal PFOA or PFOS were associated with 11–19 g or 1–5 g decreases in birth weight, respectively; accounting for maternal glomerular filtration rates attenuated these results by about 50%. No consistent associations for

<span id="page-389-0"></span>

















aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls,* Table 13 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

OR = odds ratio; NS = not significant; PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid; RR= risk ratio




















































aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls,* Table 13 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

BMI = body mass index; FSH = follicle stimulating hormone; FOSA = perfluorooctane sulfonamide; HR = hazard ratio; LH = luteinizing hormone; NS = not significant; NR = not reported; OR = odds ratio; PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid; RR = relative risk;  $T3 =$  triiodothyronine;  $T4 =$  thyroxine;  $TSH =$  thyroid stimulating hormone


































# **Table 2-24. Summary of Neurodevelopmental Outcomes in Humansa**







## **Table 2-24. Summary of Neurodevelopmental Outcomes in Humansa**



# **Table 2-24. Summary of Neurodevelopmental Outcomes in Humansa**

aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 13 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

ADHD = attention deficit hyperactivity disorder; FOSA = perfluorooctane sulfonamide; MDI/PDI = mental and psychomotor development indices; NHANES = National Health and Nutrition Examination Survey; NS = not significant; OR = odds ratio; PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid

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# **Table 2-25. Summary of Effects on the Development of the Reproductive System in Humansa**













aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 13 for more detailed descriptions of studies. bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

CI = confidence interval; DHEAS = dihydroepiandrosterone sulfate; FOSA = perfluorooctane sulfonamide; FSH = follicle stimulating hormone; LH = luteinizing hormone; NS = not significant; OR = odds ratio; PFDA = perfluorodecanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; SHBG = sex hormone binding globulin

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alterations in birth weight were found for other perfluoroalkyls (PFHxS, PFNA, PFDA, PFUnA, PFDoDA). Overall, no associations were found between serum PFOA, PFOS, PFHxS, PFNA, or PFUnA and increases in the risk of low birth weight or small for gestational age infants. The small number of studies (2 or less) examining potential developmental effects of PFHpA, PFBA, and FOSA do not allow for assessing possible associations with pregnancy outcomes or birth outcomes.

No consistent results for risks of birth defects have been found; these potential endpoints were only examined for a few perfluoroalkyls. The available epidemiological data do not suggest associations between perfluoroalkyls and IQ or scholastic achievement for PFOA, PFOS, PFHxS, PFNA, PFDA, PFUnA, or PFDoDA. Similarly, no associations were found between PFOA, PFOS, PFHxS, PFNA, or PFDA and increased risk of ADHD; several studies found decreased risk of ADHD. Inconsistent results have been found between PFOA and PFOS and delays in puberty or age of puberty, especially in girls.

Summaries of laboratory animal studies are presented in Tables [2-1,](#page-11-0) [2-3,](#page-16-0) [2-4,](#page-44-0) an[d 2-5](#page-66-0) and the NOAEL and LOAEL values are presented in Figures [2-6,](#page-13-0) [2-8,](#page-40-0) [2-9,](#page-62-0) and [2-10;](#page-86-0) no data were available for PFHpA or FOSA. Laboratory animal studies provide strong evidence of the developmental toxicity of a number of perfluoroalkyls. Prenatal losses and decreases in pup survival were observed following exposure to PFOA, PFOS, PFNA, PFDA, PFDoDA and PFHxA; no deaths were observed in a single study of PFBS. Decreases in fetal weights, birth weight, and pup weight were observed in studies of PFOA, PFOS, PFNA, PFDA, PFUnA, PFBS, and PFHxA; no effects on weight were observed in studies on PFHxS or PFDoDA. In PFOA studies, delays in mammary gland development were observed at fairly low doses. Several studies have demonstrated biphasic alterations in motor activity in rodents exposed to PFOA, PFOS, and PFHxS; no effects on locomotor activity were observed in a study of PFDA. Studies in laboratory animals have examined a number of developmental endpoints, including pup survival, malformations, birth weight, mammary gland development, and neurodevelopment.

## **PFOA**

*Epidemiological Studies—Pregnancy Outcomes.* The results of available epidemiological studies of women living near a PFOA facility and the general population do not suggest an association between serum PFOA levels and adverse pregnancy outcomes. No increases in risk of miscarriage (Darrow et al. 2014; Jensen et al. 2015; Savitz et al. 2012b; Stein et al. 2009), stillbirths (Savitz et al. 2012b), pregnancy loss (Buck Louis et al. 2016), or pre-term birth (Chen et al. 2012a; Darrow et al. 2013; Hamm et al. 2010; Manzano-Salgado et al. 2017a; Sagiv et al. 2018; Stein et al. 2009; Whitworth et al. 2012a) were found.

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The Whitworth et al. (2012a) general population study reported a decrease in the risk of preterm births among women with serum PFOA levels in the  $4<sup>th</sup>$  quartile. Most studies did not find an association between maternal PFOA levels and gestational age (Apelberg et al. 2007b; Chen et al. 2012a; Lauritzen et al. 2017; Li et al. 2017; Manzano-Salgado et al. 2017a) or gestational length (Lind et al. 2017; Sagiv et al. 2018). The exception is a study by Wu et al. (2012) of pregnant women with higher serum PFOA levels which found an inverse association between maternal serum PFOA levels and gestational age.

*Epidemiological Studies—Birth Outcomes.* Community and general population exposure studies have evaluated a number of birth outcomes including birth weight; risk of low birth weight; risk of small for gestational age; birth length; head, chest, and abdominal circumferences; ponderal index; sex ratio; and birth defects. In highly exposed populations, no association between maternal serum PFOA levels and birth weight were found (Darrow et al. 2013; Nolan et al. 2009; Savitz et al. 2012b). Several general population studies have found associations between maternal serum PFOA and birth weight. Fei et al. (2007, 2008a), Lauritzen et al. (2017), Lenters et al. (2016a), Maisonet et al. (2012), Minatoya et al. (2017), Starling et al. (2017), and Wu et al. (2012) found inverse associations between maternal serum PFOA and birth weight. However, 23 other general population studies did not find associations (Alkhalawi et al. 2016; Ashley-Martin et al. 2017; Bach et al. 2016; Callan et al. 2016; Cao et al. 2018; Chen et al. 2012a; Govarts et al. 2016; Hamm et al. 2010; Kim et al. 2011; Kobayashi et al. 2017; Lauritzen et al. 2017; Lee et al. 2013, 2016; Li et al. 2017; Lind et al. 2017; Manzano-Salgado et al. 2017a; Monroy et al. 2008; Robledo et al. 2015a; Sagiv et al. 2018; Shi et al. 2017; Wang et al. 2016; Washino et al. 2009; Whitworth et al. 2012a). As illustrated in [Figure 2-35,](#page-444-0) most studies found no association between maternal serum PFOA levels and the risk of low birth weight infants (typically defined as <2,500 g) (Chen et al. 2012a; Darrow et al. 2013; Fei et al. 2007, 2008a; Manzano-Salgado et al. 2017a; Savitz et al. 2012b; Stein et al. 2009) or found a decreased risk of low birth weight infants (Nolan et al. 2009; Savitz et al. 2012a). Similarly, most studies found no increases in the risk for small for gestational age (Chen et al. 2012a; Fei et al. 2007, 2008a; Hamm et al. 2010; Lauritzen et al. 2017; Manzano-Salgado et al. 2017a; Savitz et al. 2012b; Wang et al. 2016; Whitworth et al. 2012a); these data are presented in [Figure 2-36.](#page-445-0) One study (Savitz et al. 2012b) of C8 participants did find an increase in the risk of small for gestational age; however, when the maternal serum PFOA levels were categorized into percentiles, the risk was not increased in infants whose maternal serum PFOA levels were  $\geq 80^{th}$  percentile (21.0–717.6 ng/mL). A general population study (Lauritzen et al. 2017) also found an increased risk of small for gestational age (Lauritzen et al. 2017). Using data compiled from four European birth cohort studies in which cord serum PFOA was measured or estimated from breast milk levels, Govarts et al. (2018) did not find an association between cord PFOA and the risk of small for gestational age.

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#### <span id="page-444-0"></span>References **Exposure Metric** Darrow et al. 2013 2Q (6.9-<11.1 ng/mL) 3Q (11.1-<18.9 ng/mL) 4Q (18.9-<37.2 ng/mL) 5Q (≥37.2 ng/mL) Nolan et al. 2009 **NR NR** 2Q (6.8-<16.6 ng/mL) Savitz et al. 2012a 3Q (16.6-<63.1 ng/mL) 4Q (63.1-934.3 ng/mL) Savitz et al. 2012b 3Q (6.1 to < 10.2 ng/mL) 4Q (10.2 to 21.0 ng/mL) 5Q (21.0 to 717.6 ng/mL) 3Q (11.4 to < 21.0 ng/mL) Savitz et al. 2012b 4Q (21.0 to 49.0 ng/mL) 5Q (49.0 to 2468.4 ng/mL) 50-<75 percentile (21.3-<50.0 ng/mL) Stein et al. 2009 75th-90th percentile (50.0-<120.6 ng/mL) >90th percentile (120.6-894.4 ng/mL) Chen et al. 2012a per In unit cord PFOS OR=4.27; 95% CI: 0.5-36.5 Fei et al. 2007, 2008a 2Q (3.91-5.20 ng/mL) OR=3.73; 95% CI: 0.42-32.42 3Q (5.21-6.96 ng/mL) OR=2.44: 95% CI: 0.27-22.25 4Q (≥6.97 ng/mL) Manzano-Salgado et al. 2017a Continuous In-transformed  $\overline{\phantom{0}}$  $\bf{0}$  $0.5$  $\overline{\mathbf{1}}$ 1.5  $\mathbf{2}$  $4.5$ 5  $5.5$ 6 6.5  $2.5$ 3  $3.5$ 4

## **Figure 2-35. Risk of Low Birth Weight Infant Relative to PFOA Levels (Presented as Adjusted Odds Ratios)**

PFOA-Low Birth Weight [OR (95% CI)]

# **Figure 2-36. Risk of Small for Gestational Age Infant Relative to PFOA Levels (Presented as Adjusted Odds Ratios)**

<span id="page-445-0"></span>

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However, among women who smoked during pregnancy, cord serum PFOA was associated with an increased risk of small for gestational age infants (OR 2.177, 95% CI 1.022–4.643); no association was found among nonsmoking women (OR 0.511, 95% CI 0.869–2.632). Six general population studies found inverse associations between maternal serum PFOA levels and birth length, abdominal circumference, and/or ponderal index (ratio of birth weight to birth length) (Alkhalawi et al. 2016; Apelberg et al. 2007b; Cao et al. 2018; Fei et al. 2007, 2008a; Lauritzen et al. 2017; Wu et al. 2012). However, most studies did not find associations between maternal serum PFOA levels and birth length; head, chest, or abdominal circumference; or ponderal index (Alkhalawi et al. 2016; Bach et al. 2016; Callan et al. 2016; Cao et al. 2018; Chen et al. 2012a; Kobayashi et al. 2017; Lauritzen et al. 2017; Lee et al. 2013; Maisonet et al. 2012; Manzano-Salgado et al. 2017a; Minatoya et al. 2017; Robledo et al. 2015a; Shi et al. 2017; Wang et al. 2016). Studies examining newborn leptin and adiponectin levels (Ashley-Martin et al. 2017; Minatoya et al. 2017) and adiposity (Starling et al. 2017) have not found associations with maternal PFOA levels.

In a systematic review of 19 epidemiological studies discussed above, Johnson et al. (2014) evaluated the possible association between PFOA exposure and fetal growth and concluded that there was sufficient evidence that PFOA reduces fetal growth based on a moderate rating of the human evidence. A metaanalysis of the Apelberg et al. (2007b), Chen et al. (2012a), Fei et al. (2007, 2008a), Fromme et al. (2010), Hamm et al. (2009), Kim et al. (2011), Maisonet et al. (2012), Washino et al. (2009), and Whitworth et al. (2012) studies showed an association between PFOA and birth weight; a 1 ng/mL increase in serum or plasma PFOA was associated with a -18.9 g (95% CI -29.8 to -7.9) change in birth weight. The results of this meta-analysis are also reported in Lam et al. (2014). Johnson et al. (2014) and Lam et al. (2014) discuss whether glomerular filtration rate was a possible confounder in evaluating the association between serum PFOA and birth weight. They concluded that there was insufficient evidence of an association between glomerular filtration rate and birth weight.

A second meta-analysis (Verner et al. 2015) of the Apelberg et al. (2007b), Chen et al. (2012a), Fei et al. (2007), Hamm et al. (2010), Maisonet et al. (2012), Washino et al. (2009), and Whitworth et al. (2012a) studies found a similar result, a 1 ng/mL increase in PFOA levels was associated with a 14.72 g (95% CI -21.66 to -7.78) decrease in birth weight. Verner et al. (2015) also utilized a PBPK model to simulate maternal PFOA levels at delivery and evaluate the influence of glomerular filtration rate on the association between maternal PFOA and birth weight. In contrast to the conclusions of Johnson et al. (2014) and Lam et al. (2014), Verner et al. (2015) found that a 1 ng/mL increase in PFOA was associated

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with a 7.13 g (95% CI -8.46 to -5.80) decrease in birth weight; suggesting that glomerular filtration rate may be a confounding factor.

A third meta-analysis conducted by Negri et al. (2017) of the Apelberg et al. (2007b), Bach et al. (2016), Chen et al. (2012a), Darrow et al. (2013), Fei et al. (2007), Fromme et al. (2010), Hamm et al. 2009), Kim et al. (2011), Maisonet et al. (2012), Monroy et al. (2008), Washino et al. (2009), and Whitworth et al. (2012a) studies reported a -12.80 g (95% CI -23.21 to -2.38) change in birth weight associated with a 1 ng/mL increase in serum PFOA.

A fourth meta-analysis conducted by Steenland et al. (2018) included 24 studies; 11 of the 12 studies included by Negri et al. (2017) (the Monroy et al. 2008 study was excluded) plus studies by Wu et al. (2012), Robledo et al. (2015a), Callan et al. (2016), Lee et al. (2016), Wang et al. (2016), Lenters et al. (2016a); Minatoya et al. (2017), Shi et al. (2017), Li et al. (2017), Manzano-Selgado et al. (2017); Starling et al. (2017), and Sagiv et al. (2018). The study found a that a 1 ng/mL increase in serum PFOA was associated with a -10.5 g (95% CI-16.7 to -4.4) change in birth weight. In sensitivity analysis, inclusion of the Savitz et al. (2012b) study, which used predicted maternal serum concentrations based on estimated environmental exposure, resulted in a birth weight change of -1.0 g (95% CI -2.4–0.4) per 1 ng/mL increase in serum PFOA. Categorizing studies based on when maternal serum PFOA levels were sampled resulted in differences in birth weight change; -3.3 g (95% CI -9.6–3.0) when sampled early in pregnancy or shortly after conception and -17.8 g (-25.0 to -10.6) when sampled late in pregnancy. The investigators suggested that this may be indicative of reverse causality or confounding.

A small number of studies have examined the potential associations between PFOA exposure and risks of birth defects. In a study of C8 Health Study participants, no increases in the risk of brain, gastrointestinal, kidney, craniofacial, eye, limb, genitourinary, or heart defects were found (Stein et al. 2014c).

*Epidemiological Studies—Neurodevelopmental Outcomes.* A number of epidemiological studies have evaluated neurodevelopment at various ages using maternal serum PFOA or cord blood PFOA as a biometric of exposure. Fei et al. (2008b) did not find an increased risk of Apgar scores of <10 in newborns. Another study found an inverse association between maternal serum PFOA and the 5-minute Apgar score (Wu et al. 2012). Utilizing the Neonatal Intensive Care Unit Network Neurobehavioral Scale (NNNS) in 5-week-old infants, Donauer et al. (2015) found an increased risk of reduced muscle tone (hypotonia), which was associated with maternal serum PFOA levels, but found no associations on tests of social/easy going or high arousal/difficult. Goudarzi et al. (2016b) reported lower scores on tests of

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mental and psychomotor development in female 6-month-old infants; no association was found when male and female infants were grouped together. When the infants were tested at 18 months of age, no association between maternal PFOA levels and mental and psychomotor indices were found. Fei et al. (2008b) did not find associations between maternal PFOA levels and the risk of delays in motor, cognitive, or language development in 6- and 18-month-old infants. It is noted that in the Fei et al. (2008b) study, the mothers were asked to recall at what age the infants reached a developmental milestone, whereas standardized tests of development were used in the other two studies. Although the Donauer et al. (2015) and Goudarzi et al. (2016b) studies suggest some delays in neurodevelopment in young infants, more research is needed before establishing a possible relationship with PFOA.

Studies in children have examined possible associations between PFOA and IQ, motor skills, behavior, and ADHD. An association between estimated *in utero* PFOA levels and IQ was found in 6–12-year-old children participating in the C8 Health Studies (Stein et al. 2013); higher IQ scores were found in children with the highest estimated PFOA exposure levels. The study did not find an association with reading or math skills. A general population study (Wang et al. 2015b) did not find an association between maternal serum PFOA levels and IQ scores in children 5 or 8 years of age. Jeddy et al. (2017) did not find an association between maternal PFOA levels and early communication development in 15-month-olds; among 38-month-olds, an inverse association was found for intelligibility scores, but there were no associations with other scores of communication development. In a prospective study, maternal PFOA levels were not associated with reading scores in 5- or 8-year-old children (Zhang et al. 2018). Reading scores at age 5 years were associated with serum PFOA levels when the children were 3 years of age and serum PFOA levels in 5-year-olds were not associated with reading scores at 8 years of age (Zhang et al. 2018). In a study of adults (20 years of age), Strøm et al. (2014) did not find an association between maternal PFOA levels and scholastic achievement. A community study of children and adolescents did not find an association between serum PFOA levels and learning problems in 12–15- or 5–18-year-olds (Stein and Savitz 2011). Two studies (Fei and Olsen 2011; Høyer et al. 2015a) did not find associations between maternal PFOA levels and motor coordination in 7-year-old children or motor skills in 5–9-yearold children.

Several studies have examined possible associations between maternal or child PFOA levels and scores on tests/surveys that assess behavioral problems. No associations were found between maternal PFOA levels and behavioral problems in 7-year-old children (Fei and Olsen 2011) or behavioral regulation problems in 5- or 8-year-old children (Vuong et al. 2016) or 7-year-old children (Oulhote et al. 2016). Similarly, no associations between serum PFOA levels and scores on behavioral tests were observed in 7-

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year-old children (Oulhote et al. 2016) or 9–11-year-old children (Gump et al. 2011). No associations between breast milk PFOA levels and behavioral development in 6- and 24-month-old infants were observed (Forns et al. 2015). In contrast, Høyer et al. (2015a) found an association between maternal PFOA levels and behavioral problems in 5–9-year-old children; the risk was increased in children with maternal PFOA levels in the 3<sup>rd</sup> tertile. Stein et al. (2014a) found an association between the children's serum PFOA levels and survey results on behavioral problems and emotional disturbances in girls aged 6–12 years of age; this association was not found in boys or in boys and girls combined. Additionally, the association was only found when the survey was completed by mothers, but not when completed by the child's teacher. Oulhote et al. (2016) found associations between serum PFOA in 5-year-old children and behavioral survey scores, particularly for internalizing problems, peer relationships, and autism screening scores. In a study of 8-year-old children, Vuong et al. (2018) found an association between PFOA and at risk metacognition scores, but no associations with at risk behavior regulation or global executive scores.

Ten studies have looked for a possible association between PFOA and ADHD in children. Two studies of participants of the C8 Health Study found lower scores on tests for ADHD (Stein et al. 2013) or lower risks of ADHD (Stein and Savitz 2011) associated with estimated *in utero* PFOA or child PFOA levels, respectively. In a third community study in which parents and teachers completed surveys regarding ADHD-like behaviors (Stein et al. 2014a), no association between the child's serum PFOA (measured 3– 4 years before the surveys were completed) and ADHD-like behaviors were found when the mothers completed the survey and an inverse association was found when the teachers completed the survey. Segregating the children by sex resulted in an association in girls (mother-completed survey only) and no associations in boys. Two general population studies have found associations between the risk of ADHD or increases in ADHD behavior in children. An increase in the risk of parent-reported ADHD diagnosis was observed in a study of 12–15-year-old NHANES participants (Hoffman et al. 2010). The second study (Høyer et al. 2015a) found increases in hyperactivity among 5–9-year-old children with maternal serum PFOA levels in the 3<sup>rd</sup> tertile. When this multinational cohort was segregated by country, the association was only found in the group of children from Greenland, but not in the Ukrainian cohort. Median serum PFOA levels were slightly higher in the Greenland cohort; it is also noted that the median maternal PFOS levels were 4 times higher in the Greenland cohort than in the Ukraine cohort. Other general population studies have not found associations. Two case-control studies of children did not find increased risks of being diagnosed with ADHD associated with maternal PFOA levels (Liew et al. 2015) or cord blood PFOA levels (Ode et al. 2014). Two studies did not find associations between cord blood PFOA levels and performance on tests evaluating for ADHD symptoms in 7-year-old children (Lien et al. 2016) or 18-month-old infants (Quaak et al. 2016). A third study found no association between maternal

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PFOA levels and ADHD in 20-year-olds (Strøm et al. 2014). In addition to looking at possible relationships between PFOA and ADHD, two studies did not find associations between maternal PFOA levels and autism behaviors (Braun et al. 2014) or the risk of autism diagnosis (Liew et al. 2015).

*Epidemiological Studies—Development of the Reproductive System.* Studies exploring possible associations between PFOA and alterations in the development of the reproductive system have examined several outcomes including hormone levels in cord blood, hormone levels in children and adolescents, anogenital distance, congenital malformations of reproductive organs, and age of puberty in boys and girls.

A multinational case-control general population study (Vesterholm Jensen et al. 2014) found a decrease in the risk of cryptorchidism in the Finnish cohort, but not in the Danish cohort or in the combined cohort. With the exception of inhibin levels, no associations between maternal serum PFOA levels and cord blood levels of reproductive hormones were found (Itoh et al. 2016). Cord inhibin was associated with maternal serum PFOA levels in male infants, but not in female infants (Itoh et al. 2016). Some alterations in reproductive hormone levels were found in 6–9-year-old boys and girls participating in the C8 Health Study (Lopez-Espinosa et al. 2016). In boys, an inverse association between serum PFOA levels and total testosterone levels were observed; no associations were found for estradiol levels or insulin-like growth factor 1. In girls, an inverse association was found for insulin-like growth factor 1 levels and no associations were found for estradiol or testosterone levels. In adolescent girls, an association between maternal PFOA levels and testosterone levels was found (Maisonet et al. 2015a). This association was not found in young adult females (Kristensen et al. 2013). Other reproductive hormones were not shown to be associated with maternal PFOA levels (Kristensen et al. 2013; Maisonet et al. 2015a). Lind et al. (2017a) found no association between maternal PFOA levels and anogenital distance in boys or girls.

In a community exposure study (Lopez-Espinosa et al. 2011), increasing levels of serum PFOA were associated with delays in menarche in girls aged 8–18 years. Serum PFOA levels in the  $2<sup>nd</sup>$ ,  $3<sup>rd</sup>$ , and 4th quartiles were associated with 142-, 163-, and 130-day delays in the onset of menarche, respectively. Using PBPK modeling, Wu et al. (2015) examined whether the association between serum PFOA and delays in the onset of menarche observed in the Lopez-Espinosa et al. (2011) study were due to reverse causality using a Monte Carlo PBPK model. They found that rapid growth around the time of menstruation onset may contribute to the apparent association between PFOA and delay of menarche. In the PBPK simulated study, the delay in the onset of menarche was 48 days for the  $4<sup>th</sup>$  quartile (OR 0.82, 95% CI 0.76–0.88). A delay in menarche was also observed in a general population study; a 162-day

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delay was estimated in the daughters of women with maternal serum PFOA levels in the  $3<sup>rd</sup>$  tertile (Kristensen et al. 2013). A second general population study did not find an association between maternal serum PFOA levels and an earlier age of menarche (Christensen et al. 2011).

The only study available on age of puberty in males (Lopez-Espinosa et al. 2011) did not find an association with serum PFOA levels.

*Laboratory Animal Exposure Studies.* Exposure of pregnant Sprague-Dawley rats to 25 mg/m3 APFO on GDs 6–15 resulted in a statistically significant reduction (10.3%) in neonatal body weight on PND 1, but the difference over controls was no longer significant on PND 4 (Staples et al. 1984). Exposure concentrations  $\leq 10$  mg/m<sup>3</sup> did not affect neonatal body weight. The incidence of malformations and variations among the exposed groups and controls was comparable.

*In utero* exposure to PFOA resulted in prenatal losses and decreases in pup survival. An increase in resorbed embryos were observed in mice administered 10 mg/kg on GD 13 (Chen et al. 2017b). An increase in resorptions was observed in mice administered ≥5 mg/kg/day throughout gestation (Lau et al. 2006) or 2 mg/kg/day on GDs 11–16 (Suh et al. 2011). Prenatal losses were also observed in PFOA mouse studies administering  $\geq 6$  mg/kg/day (Abbott et al. 2007), 5 mg/kg/day (White et al. 2011), or 20 mg/kg/day (Lau et al. 2006) throughout gestation; an increase in the percentage of dams with total litter loss was also observed at 5 mg/kg/day (Wolf et al. 2007). Administration of 20 mg/kg/day PFOA on GDs 7–17 or 10–17 did not result in litter loss (Wolf et al. 2007); no effect on litter size was observed as a result of administration of 5 mg/kg/day on GDs 8–17 (White et al. 2009). Gestational exposure (GDs 1–17) to PFOA also resulted in perinatal losses in mice administered  $3 \text{ mg/kg/day}$  PFOA (Ngo et al. 2014) and decreases in pup survival in mice exposed to ≥0.6 mg/kg/day (Abbott et al. 2007), 3 mg/kg/day (Albrecht et al. 2013), or 5 mg/kg/day (Lau et al. 2006; Yahia et al. 2010; White et al. 2011, Wolf et al. 2007); 100% pup mortality was observed in the offspring of mice exposed to 10 mg/kg/day throughout gestation (Yahia et al. 2010). Decreased pup survival was also observed in mice exposed to 5 mg/kg/day PFOA on GDs 15–17 (Wolf et al. 2007). No alterations in fetuses/litter or survival were observed at 1 mg/kg/day PFOA (Lau et al. 2006; White et al. 2011). Butenhoff et al. (2004b) also reported increases in pup mortality on PNDs 6–8 in the offspring of rats administered 30 mg/kg/day PFOA throughout gestation and during lactation.

Decreases in birth weight have not been consistently found in mouse studies with PFOA. No significant alterations in birth weight were observed in mice exposed to 3 mg/kg/day (Albrecht et al. 2013), 5 or

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10 mg/kg/day (Lau et al. 2006), or 20 mg/kg/day (Abbott et al. 2007); decreases in birth or fetal weight were observed at 5 mg/kg/day (Hines et al. 2009; Yahia et al. 2010), 10 mg/kg/day (Suh et al. 2011), and 20 mg/kg/day (Lau et al. 2006). A decrease in mean litter weight on PNDs 2–14 was observed in mice administered  $\geq$ 0.5 mg/kg/day PFOA on GDs 6–17 (Hu et al. 2010) and a decrease in pup body weight on PND 20 was observed in mice exposed to 5 mg/kg/day on GDs 8–17 or 12–17 (White et al. 2007). *In utero* exposure of mice to PFOA throughout gestation resulted in decreases in pup body weight in mice exposed to 1 mg/kg/day (Abbott et al. 2007; Hines et al. 2009),  $\geq$ 3 mg/kg/day (Lau et al. 2006; Wolf et al. 2007), and 5 mg/kg/day (Yahia et al. 2010; White et al. 2007, 2011). In a cross-fostering study, lactationonly exposure (maternal dose of 5 mg/kg/day PFOA) resulted in decreased body weight in female pups on some PNDs (2, 3, 4, and 22, but not on PNDs 7, 10, 15, or 17) (Wolf et al. 2007). Hines et al. (2009) monitored body weights from birth to 18 months of age in female mice exposed *in utero* to PFOA on GDs 1–17. At weaning, decreases in body weight were observed at 1 and 5 mg/kg/day; by 10 weeks of age, there were no differences in body weight between the controls and mice exposed to  $\geq 1$  mg/kg/day. Significant increases in body weight were observed in mice exposed to 0.1 and 0.3 mg/kg/day, and by 20–29 weeks of age, the increases in body weight were observed in mice exposed to 0.01, 0.1, or 0.3 mg/kg/day. The largest increase in body weight gain (9.6%) was observed at 0.1 mg/kg/day; because the weight increase was less than 10%, the 0.1 mg/kg/day was considered a NOAEL. At 40 weeks of age, the increased body weight was observed in the 0.1 and 0.3 mg/kg/day groups. At termination (18 months of age), there were no differences in body weight between the controls and mice exposed to 0.01– 3 mg/kg/day; a decrease in body weight was observed at 5 mg/kg/day. During the period of increased body weight in the lower-dose animals, there were no changes in serum glucose levels or the response to a glucose challenge, but there were significant increases in insulin and leptin levels at 0.01 and 0.1 mg/kg/day. Although there were no changes in the percentage of body fat to body weight measurements in mice at 42 weeks of age, at 18 months of age, significant decreases in abdominal body fat and increases in intrascapular brown fat was observed at  $\geq 1$  mg/kg/day PFOA (Hines et al. 2009). Based on systematic review of pup body weight data from the Abbott et al. (2007), Hines et al. (2009), Lau et al. (2006), White et al. (2007, 2009, 2011), and Wolf et al. (2007) mouse studies, Koustas et al. (2014) concluded that there was sufficient evidence that exposure to PFOA adversely affected fetal growth in animals. A meta-analysis estimate was a decrease of 0.023 g pup body weight per 1 mg/kg/day increase in PFOA dose.

A few studies have examined the potential of PFOA to induce malformations/variations. Lau et al. (2006) reported reductions in ossification of the proximal phalanges at  $\geq 1$  mg/kg/day and supraoccipital at 10 or 20 mg/kg/day. This study also reported enlarged fontanels in pups exposed to  $\geq$ 1 mg/kg/day and tail and

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limb defects at ≥5 mg/kg/day; however, there was no clear dose-response for these effects. Koskela et al. (2016) found altered femur and tibial bone morphology and decreased tibial mineral density in the offspring of mice exposed to 0.3 mg/kg/day in the diet on GDs 1–21. An increased percentage of litters with microcardia was also observed in the offspring of mice exposed to 10 or 20 mg/kg/day (Lau et al. 2006). No increases in the occurrence of malformations/variations were observed in the offspring of rats administered 100 mg/kg/day on GDs 6–15 (Staples et al. 1984) or in a 2-generation study at doses as high as 30 mg/kg/day (Butenhoff et al. 2004b).

Delayed eye opening was observed in the offspring of mice administered  $\geq 1$  mg/kg/day PFOA on GDs 1– 17 (Abbott et al. 2007) and in mice administered 5 mg/kg/day throughout gestation (Lau et al. 2006; Wolf et al. 2007). Neither Albrecht et al. (2013) nor Lau et al. (2006) found alterations in eye opening in mice exposed to 3 mg/kg/day PFOA on GDs 1–17. Lau et al. (2006) also reported advanced (earlier than controls) preputial separation at  $\geq 1$  mg/kg/day and delayed vaginal opening at 20 mg/kg/day. The effect in the male offspring is in contrast to the Butenhoff et al. (2004b) study, which found delays in preputial separation in rats exposed to 30 mg/kg/day PFOA; a delay in vaginal patency was also observed at this dose.

A series of studies conducted by White and associates found significant delays in mammary gland development in the offspring of mice administered 1 mg/kg/day PFOA via gavage on GDs 8–17 (White et al. 2011) or 5 mg/kg/day PFOA on GDs 1–17, 8–17, 12–17, 10–17, 13–17, or 15–17 (White et al. 2007, 2009, 2011). The delay was characterized as reduced ductal elongation and branching and delays in timing and density of terminal end buds and was observed at all observational periods (PNDs 10, 20, 22, and 42, and 63 and 18 months of age). Decreases in mammary epithelial growth, as assessed by developmental scoring, were observed in the offspring of mice exposed to 0.01 mg/kg/day on GDs 1–17 (Tucker et al. 2015), 0.3 mg/kg/day on GDs 1–17 (Macon et al. 2011), or 0.01 mg/kg/day on GDs 10–17 (Macon et al. 2011). Tucker et al. (2015) noted that the delays in mammary gland development began at puberty and continued during young adulthood. Albrecht et al. (2013) did not find any alterations in mammary gland development on PND 20 in mouse offspring following *in utero* exposure to PFOA on GDs 1–17. Delayed mammary gland development was also observed in offspring only exposed via lactation (maternal dose of 3 mg/kg/day PFOA on GDs 1–17); the effects were observed on PNDs 42 and 63, but not on PND 22 (White et al. 2009). In a multigeneration study conducted by White et al. (2011), delays in mammary gland development were not consistently observed in the F2 offspring of F1 females that were exposed *in utero* to 1 or 5 mg/kg/day PFOA. However, delays in mammary gland development were observed in the F1 and F2 offspring exposed to 0.001 mg/kg/day *in utero* (GDs 7–17) and

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postnatally. The investigators (White et al. 2011) noted that the delay in mammary gland development did not appear to affect lactational support based on normal survival and growth of the F2 pups. Tucker et al. (2015) noted dose-related strain differences on the effect of PFOA on mammary gland differences; effects were observed in CD-1 mice at  $\geq 0.01$  mg/kg/day and in C57BL/6 mice at  $\geq 0.3$  mg/kg/day (the highest NOAEL for this strain was 0.1 mg/kg/day); it is noted that the serum PFOA concentrations at a given dose were lower in the C57Bl/6 mice than in the CD-1 mice. Yang et al. (2009) reported strain differences in mammary gland effects in peripubertal mice administered PFOA for 4 weeks beginning on PND 21. In BALB/c mice, reductions in ductal length and decreased numbers of terminal end buds and stimulated terminal ducts were observed at 5 and 10 mg/kg. In contrast, 5 mg/kg resulted in mammary gland growth stimulation in C57BL/6 mice, as evidenced by increased number of terminal end buds with no alterations in ductal length. Mammary gland inhibition was observed in the C57BL/6 mice administered 10 mg/kg. Stimulation of mammary gland growth was also observed in PPARα knockout mice similarly administered 5 mg/kg (Zhao et al. 2010). In a series of experiments to evaluate the mechanism of PFOA-induced alterations in mammary gland development, Zhao et al. (2010) found that PFOA did not result in alterations in ovariectomized C57BL/6 mice administered 5 mg/kg 5 days/week for 4 weeks. In ovary-intact mice, PFOA enhanced mammary gland responses to exogenous estradiol and progesterone. Increased levels of epidermal growth factor receptor, hepatocyte growth factor, cyclin D1, and proliferating cell nuclear antigen levels were also found in PFOA-exposed C57BL/6 and PPARαknockout mice (Zhao et al. 2010).

A consistent finding in the five mouse studies evaluating the neurodevelopmental toxicity of PFOA is an increase in motor activity. Increases in horizontal and ambulatory locomotor activity (tested on PND 60) were observed in the offspring of mice exposed to 0.1 mg/kg/day in the diet on GD 7 through PND 21 (Sobolewski et al. 2014); a decrease in resting time was also observed in the males. Increased ambulatory activity was observed on PND 18 in the offspring of mice administered 1 mg/kg/day on GDs 1–17 (Goulding et al. 2017). Significant increases in open field activity were observed at PND 36 in the offspring of mice exposed to 1.6 mg/kg/day throughout gestation and lactation (Cheng et al. 2013). Johansson et al. (2008) and Onishchenko et al. (2011) demonstrated a biphasic alteration in motor activity: an initial period of decreased activity followed by increased activity. Johansson et al. (2008) administered a single dose of 8.7 mg/kg/day PFOA to mice on PND 10 and monitored spontaneous activity for a 1-hour period when the mice were 2 or 4 months of age. In the first 20-minute period, there was a decrease in spontaneous activity, followed by a 20-minute period with an activity level similar to controls, and a 20-minute period with significantly increased spontaneous activity. Similarly, Onishchenko et al. (2011) reported an increase in activity in a 48-hour period in the adult offspring of

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mice exposed to 0.3 mg/kg/day PFOA throughout gestation; however, there was a decrease in activity during the initial 3 hours of testing. Johansson et al. (2008) also found an increased susceptibility of the cholinergic system in mice exposed to 0.58 or 8.7 mg/kg/day PFOA on PND 10. In control mice, an injection of nicotine resulted in increases in activity; mice exposed to 0.58 mg/kg/day also responded with an increase in activity, although the increase was less than that observed in the controls. In contrast, nicotine resulted in a decrease in activity in mice exposed to 8.7 mg/kg/day. Exposure to PFOA did not alter learning or memory, as evidenced by the lack of effect on maze tests (Cheng et al. 2013; Johansson et al. 2008). Tests of neurobehavioral development found altered motor coordination and impaired negative geotaxis reflex, but no effect on righting reflex or cliff avoidance, in the offspring of mice exposed to 1.6 mg/kg/day throughout gestation and lactation (Cheng et al. 2013). Decreases in initial novel object exploratory behavior were also observed at 0.1 mg/kg/day, but there were no alterations in recognition time for novel objects (Sobolewski et al. 2014).

Support for the heart effects observed in the mouse study conducted by Lau et al. (2006) comes from a series of studies in chicken embryos and hatchlings that demonstrate the developmental cardiotoxicity of PFOA (Jiang et al. 2012, 2013, 2016). The avian model was selected due to the similarity between avian and mammalian cardiovascular development and the lack of direct maternal influence (Jiang et al. 2012). The effects following *in ovo* exposure include thinning of the right ventricular wall in chick embryos and alterations in left ventricular posterior wall dimension, volume, heart rate, stroke volume, and ejection fraction in the hatchlings (Jiang et al. 2012). Tests with WY 14,643, a PPAR $\alpha$  agonist, and PFOA provide evidence that the cardiotoxicity involves both PPAR $\alpha$  and bone morphorgenic protein 2 (BMP2) pathways (Jiang et al. 2013). Comparisons of results following *in ovo* exposure and *in vitro* exposure suggest that the cardiotoxicity was not likely due to cytotoxicity, but rather an alteration in early cardio morphology and function processes (Jiang et al. 2016).

**Summary.** Epidemiological studies have examined a number of potential developmental outcomes in communities living near a PFOA facility and in general populations. Although not consistently reported, the available general population studies suggest an inverse association between maternal serum PFOA levels and birth weight; a number of studies have not found this association. Several systematic reviews of these data have concluded that there was sufficient evidence that maternal PFOA levels are associated with reductions in fetal growth. After correcting for glomerular filtration rate, a small decrease in birth weight was associated with increases in maternal serum PFOA. Two of the three studies evaluating possible effects of sexual maturation found small delays in the start of menarche associated with maternal serum PFOA levels. Overall, the data do not suggest associations between serum PFOA levels and

adverse pregnancy outcomes such as miscarriages or stillbirths, most birth outcomes (e.g., risk of low birth weight, risk of small for gestational age, birth length, ponderal index, sex ratio, or birth defects), or neurodevelopmental outcomes (IQ or scholastic achievement, motor skills, and risk of ADHD). Animal studies provide strong evidence that developmental toxicity is a sensitive target of PFOA toxicity. Observed effects include prenatal losses and decreases in pup survival, decreases in birth weight, developmental delays such as delayed eye opening, delays in mammary gland development, and increased motor activity.

## **PFOS**

*Epidemiological Studies—Pregnancy Outcomes.* No associations between maternal PFOS levels and the risk of miscarriages were observed in several studies (Darrow et al. 2014; Jensen et al. 2015; Stein et al. 2009). Three studies reported increases in the risk of preterm birth associated with maternal serum PFOS levels in the >90<sup>th</sup> percentile (>23.2 ng/mL) (Stein et al. 2009), maternal serum levels in the 2<sup>nd</sup>, 3<sup>rd</sup>, or  $4<sup>th</sup>$  quartiles ( $\geq$ 18.9 ng/mL) (Sagiv et al. 2018), or cord blood PFOS levels in the 3<sup>rd</sup> and 4<sup>th</sup> quartiles (≥5.68 ng/mL) (Chen et al. 2012a), and one study reported a decrease risk in preterm birth (Whitworth et al. 2012a). Three other studies did not find associations for preterm birth (Fei et al. 2007, 2008a; Hamm et al. 2010; Manzano-Salgado et al. 2017a), one study found no association between serum PFOS and pregnancy loss (Buck Louis et al. 2016), and five studies found no associations between maternal PFOS levels and gestational age or length (Lauritzen et al. 2017; Li et al. 2017; Lind et al. 2017a; Manzano-Salgado et al. 2017a).

*Epidemiological Studies—Birth Outcomes.* Occupational, community, and general population exposure studies have examined the possible associations between maternal PFOS levels and a number of birth outcomes including birth weight; risk of low birth weight; risk of small for gestational age; birth length; head, chest, and abdominal circumferences; ponderal index; sex ratio; and birth defects. Most studies did not find associations between maternal serum PFOS levels and birth weight (Alkhalawi et al. 2016; Apelberg et al. 2007b; Ashley-Martin et al. 2016, 2017; Callan et al. 2016; Cao et al. 2018; Darrow et al. 2013; Bach et al. 2016; Fei et al. 2007, 2008a; Govarts et al. 2016; Hamm et al. 2010; Kim et al. 2011; Kobayashi et al. 2017; Lauritzen et al. 2017; Lee et al. 2013, 2016; Lenters et al. 2016a; Lind et al. 2017a; Maisonet et al. 2012; Manzano-Salgado et al. 2017a; Minatoya et al. 2017; Monroy et al. 2008; Robledo et al. 2015a; Sagiv et al. 2018; Shi et al. 2017; Starling et al. 2017; Whitworth et al. 2012a), including an occupational exposure study (Grice et al. 2007) in which female workers were exposed to very high levels of PFOS (serum levels ranged from 1,300 to 1,970 ng/mL). Five studies did find inverse associations

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between birth weight and maternal serum PFOS levels. In the Washino et al. (2009) study, an inverse association was found between maternal serum PFOS levels and birth weight; segregating by sex resulted in an inverse association in girls, but not in boys. The magnitude of the change was small, 148.8 g decrease in birth weight per log unit increase in maternal PFOS for combined. Maisonet et al. (2012) also reported small decreases in birth weight (140.1 g) in infants whose mother's serum PFOS levels were in the 3rd tertile. Lauritzen et al. (2017) also reported an inverse association between birth weight and maternal serum PFOS levels (292 g per ln unit increase in PFOS). Similarly, Chen et al. (2012a) reported an inverse association between cord blood PFOS and birth weight, but the magnitude was small (110.2 g decrease per ln unit increase in cord PFOS levels). Li et al. (2017) also reported a small decrease in birth weight associated with cord PFOS levels (95 g decrease per ln increase in cord PFOS levels); when infants were categorized by sex, the association was only found among boys. Although these studies found decreases in birth weight associated with PFOS levels, no studies found increases in the risk of low birth weight infants (Chen et al. 2012a; Darrow et al. 2013; Fei et al. 2007, 2008a; Manzano-Salgado et al. 2017a; Stein et al. 2009) or small for gestational age infants (Chen et al. 2012a; Fei et al. 2007, 2008a; Hamm et al. 2010; Lauritzen et al. 2017; Manzano-Salgado et al. 2017a; Whitworth et al. 2012a). The ORs for low birth weight and small for gestational age risks are presented in Figures [2-37](#page-458-0) and [2-38.](#page-459-0) Analysis of data compiled from four European birth cohort studies found an inverse association between cord PFOS levels (measured levels and levels estimated from breast milk PFOS levels) and small for gestational age (OR 0.823, 95% CI 0.741–0.913) (Govarts et al. 2018). When subjects were segregated based on whether they smoked during pregnancy, a positive association was found among smokers (OR 1.627, 95% CI 1.024–2.588) and an inverse association was found among nonsmokers (OR 0.661, 95% CI 0.644–0.717). Three studies have evaluated leptin and adiponectin hormone levels or adiposity in newborns. Maternal PFOS levels were not associated with alterations in leptin levels (Ashley-Martin et al. 2017; Minatoya et al. 2017). Mixed results were found for adiponectin levels with one study finding no alterations (Ashley-Martin et al. 2017) and another finding an association (Minatoya et al. 2017). No association was found between maternal PFOS levels and adiposity at birth (Starling et al. 2017).

Verner et al. (2015) conducted a meta-analysis of the Apelberg et al. (2007b), Chen et al. (2012a), Fei et al. (2007), Hamm et al. (2010), Maisonet et al. (2012), Washino et al. (2009), and Whitworth et al. (2012a) studies and found that a 1 ng/mL increase in maternal PFOS levels was associated with a 5.00 g (95% CI -8.92 to -1.09) decrease in birth weight. When the data were re-analyzed utilizing a PBPK model to account for glomerular filtration rate, the magnitude of the effect of PFOS on birth weight decreased (Verner et al. 2015). A 1 ng/mL increase in PFOS was associated with a 2.72 g (95% CI -3.40 to -2.04) decrease in birth weight. A second meta-analysis conducted by Negir et al. (2017)

<span id="page-458-0"></span>

# **Figure 2-37. Risk of Low Birth Weight Infant Relative to PFOS Levels (Presented as Adjusted Odds Ratios)**

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# **Figure 2-38. Risk of Small for Gestational Age Infant Relative to PFOS Levels (Presented as Adjusted Odds Ratios)**

<span id="page-459-0"></span>

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utilized data from the Fei et al. (2007), Monroy et al. (2008), Washino et al. (2009), Hamm et al. (2010), Chen et al. (2012a), Maisonet et al. (2012), Whitworth et al. (2012a), and Bach et al. (2016) studies. The investigators found a -0.92 g (95% CI -3.43–1.60) change in birth weight per 1 ng/mL increase in serum PFOS.

Maternal PFOS was not associated with birth length (Alkhalawi et al. 2016; Apelberg et al. 2007b; Bach et al. 2016; Callan et al. 2016; Cao et al. 2018; Chen et al. 2012a; Kobayashi et al. 2017; Laurizten et al. 2017; Lee et al. 2013; Manzano-Salgado et al. 2017a; Robledo et al. 2015a; Shi et al. 2017; Washino et al. 2009) with the exception of the finding of small decreases in birth length  $(\leq 1.2 \text{ cm})$  that was associated with serum PFOS levels (Fei et al. 2007, 2008a; Lauritzen et al. 2017). Four studies reported inverse associations between ponderal index and cord blood PFOS levels (Apelberg et al. 2007b) or maternal serum PFOS levels (Alkhalawi et al. 2016; Lee et al. 2013; Minatoya et al. 2017); other studies did not find this effect (Callan et al. 2016; Cao et al. 2018; Chen et al. 2012a; Maisonet et al. 2012; Robledo et al. 2015a; Shi et al. 2017). Two studies reported small decreases in head circumference, which were associated with maternal serum PFOS levels (Apelberg et al. 2007b) and cord blood PFOS (Chen et al. 2012a); other studies have not found associations (Bach et al. 2016; Callan et al. 2016; de Cock et al. 2014; Fei et al. 2007, 2008a; Lauritzen et al. 2017; Lee et al. 2013; Manzano-Salgado et al. 2017a; Robledo et al. 2015a; Washino et al. 2009).

One study reported no increases in the risk of birth defects associated with maternal serum PFOS levels (Stein et al. 2009); a second study found an increased risk of congenital cerebral palsy in girls, but not in boys (Liew et al. 2014). Bae et al. (2015) did not find associations between the odds of having a boy and paternal or maternal serum PFOS levels.

*Epidemiological Studies—Neurodevelopmental Outcomes.* Epidemiological studies examined several aspects of neurodevelopment, including age of reaching neurobehavioral milestones, IQ, motor development, behavior, ADHD, and autism. Fei et al. (2008b) did not find associations between maternal PFOS levels and the risk of having an Apgar score of <10 or in motor and mental development at 6 months. However, the study did find that some neurobehavioral milestones (delay in sitting, early use of word-like sounds, and delays in using two-word sentences) were associated with maternal PFOS levels. Goudarzi et al. (2016b) did not find alterations on mental and psychomotor development in 6- and 18-month-old infants that were associated with maternal serum PFOS levels. A third study of infants did not find alterations in neurobehavioral or muscle coordination tests (Donauer et al. 2015).

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In the only study evaluating IQ, Wang et al. (2015b) did not find associations between maternal PFOS levels and IQ score in children 5 or 8 years of age. Zhang et al. (2018) did not find associations between maternal PFOS levels and reading scores in 5- or 8-year-old children. However, associations were found between the child's serum PFOS levels at age 3 years and reading scores at 5 years of age and serum PFOS levels at 5 years of age and reading scores at 8 years of age. Strøm et al. (2014) found no associations between scholastic achievement in 20-year-olds and maternal PFOS levels. In a study of children living in a community with high PFOA contamination, Stein and Savitz (2011) found decreases in the risk of learning problems in children 5–18 or 12–15 years of age. In contrast, Vuong et al. (2016) found increased risks of global executive functioning and metacognition problems that were associated with maternal PFOS levels. Another study found an association between maternal PFOS levels and verbal comprehension in 15-month-olds, but an inverse association with intelligibility scores in 38-month-olds (Jeddy et al. 2017). A subsequent study by Vuong et al. (2018) did not find associations between serum PFOS levels in 8-year-old children and metacognition or global executive functioning scores. Four studies have not found associations between maternal PFOS levels and behavioral health and motor coordination/skills in children (Fei and Olsen 2011; Høyer et al. 2015a; Oulhote et al. 2016), between breast milk PFOS levels and behavioral development in 6- and 24-month-old infants (Forns et al. 2015), or between serum PFOS levels age 5 or 7 years and behavioral development in 7-year-old children (Oulhote et al. 2016). A fifth study (Vuong et al. 2016) found an increased risk for problems with behavioral regulation. The available data do not suggest an association between maternal PFOS levels or cord blood PFOS levels and the risk of ADHD or ADHD behaviors (Hoffman et al. 2010; Liew et al. 2015; Ode et al. 2014; Quaak et al. 2016; Stein and Savitz 2011; Strøm et al. 2014), although Liew et al. (2015) found a decreased risk of ADHD diagnosis in children whose mothers had serum PFOS levels in the 4th quartile. Similarly, Høyer et al. (2015a) did not find increases in the risk of hyperactivity in children and Gump et al. (2011) found a decrease in impulsivity. Braun et al. (2014) and Liew et al. (2015) did not find associations between maternal PFOS and autism risk.

*Epidemiological Studies—Development of Reproductive System.* Several epidemiological studies have examined the possible associations between PFOS and the development of the reproductive system, including the risk of congenital defects to reproductive organs, alterations in reproductive hormone levels, and age of puberty; the results of these studies are summarized in [Table 2-25.](#page-436-0) No alterations in the risk of cryptorchidism (Toft et al. 2016; Vesterholm Jensen et al. 2014) or hypospadias (Toft et al. 2016) were found in two studies. No association between maternal PFOS levels and anogenital distance was found in boys and an inverse association was found in girls (Lind et al. 2017a). Itoh et al. (2016) reported associations between maternal PFOS levels and alterations in cord blood hormone levels, in particular

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estradiol in males, testosterone:estradiol ratio in males (inverse association), progesterone levels in males and females, prolactin levels in females, and inhibin levels in males. Similarly, Toft et al. (2016) found associations between amniotic fluid PFOS levels and levels of testosterone, androstenedione, progesterone, and insulin-like factor 3 (inverse association) in amniotic fluid. Lopez-Espinosa et al. (2016) also found a number of alterations in reproductive hormone levels in 6–9-year-old boys and girls. In the boys, inverse associations between serum PFOS levels and estradiol, total testosterone, and insulinlike growth factor 1 were observed. Inverse associations between total testosterone and insulin-like growth factor 1 and serum PFOS levels were also observed in the girls. A study of young adult women found no associations between reproductive hormone levels and maternal PFOS levels (Kristensen et al. 2013).

A study of 8–18-year-old children found delays in the age of puberty in boys and girls (Lopez-Espinosa et al. 2011) that were associated with serum PFOS levels. In the children with serum PFOS levels in the 3<sup>rd</sup> and 4<sup>th</sup> quartiles, the respectively delays were 131 and 190 days in boys and 141 and 138 days in girls. In contrast, two other studies have not found alterations in either the age of menarche or an earlier age of menarche that were associated with maternal PFOS levels (Christensen et al. 2011; Kristensen et al. 2013). The differences in the biomarker of exposure and the potential exposure to high levels of PFOA in the Lopez-Espinosa et al. (2011) community study make it difficult to compare the results of these three studies. As discussed in the PFOA section, Wu et al. (2009) reanalyzed the Lopez-Espinosa et al. (2011) data using a Monte Carlo PBPK model, which accounted for rapid growth occurring around puberty, and found much shorter delays in the age of menarche than found in the Lopez-Espinosa et al. (2011) study. In the girls with simulated serum PPFOS levels in the  $4<sup>th</sup>$  quartile, the delay was 72 days (OR 0.75, 95%) CI 0.70–0.81).

*Laboratory Animal Exposure Studies.* Increases in fetal mortality and decreases in pup survival have also been observed in rats and mice exposed to PFOS *in utero* (Abbott et al. 2009; Chen et al. 2012b; Fuentes et al. 2006; Grasty et al. 2003, 2005; Lau et al. 2003; Lee et al. 2015a; Luebker et al. 2005a, 2005b; Ngo et al. 2014; Thibodeaux et al. 2003; Xia et al. 2011; Yahia et al. 2008). Increases in the number of resorptions and dead fetuses were observed in mice administered ≥0.5 mg/kg/day (Lee et al. 2015a); increases in abortions between GD 22 and 28 were observed in rabbits treated with 3.75 mg/kg/day PFOS by gavage on GDs 6–20 (Case et al. 2001). Decreases in the number of live fetuses were observed in mice exposed to  $\geq 2.0$  mg/kg/day on GDs 11–16 and 20 mg/kg/day on GDs 1–17 (Thibodeaux et al. 2003) or GDs 0–17 (Yahia et al. 2008). Increases in perinatal losses were observed in the litters of mice administered  $\geq 0.1$  mg/kg/day PFOS on GDs 1–17 (Ngo et al. 2014). Pup survival is

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affected at lower maternal doses. Significant decreases in pup survival were observed in rats at 1.6 mg/kg/day (dams were exposed for 6 weeks prior to mating and during gestation through lactation days 4 or 21) (Luebker et al. 2005a, 2005b) and in mice exposed to 4.5 mg/kg/day on GDs 15–18 (Abbott et al. 2009); no alterations in pup survival were observed in rats or mice exposed to 1 mg/kg/day (Luebker et al. 2005b; Yahia et al. 2008). A series of studies by Grasty et al. (2003) in rats that were exposed for 4 days during different gestational periods showed that the pup was more susceptible if exposure occurred later in gestation. On PND 4, pup survival was 70, 50, 60, 20, or 5% for exposures on GDs 2–5, 6–9, 10– 13, 14–17, or 17–20, respectively. Grasty et al. (2003) and others (Abbott et al. 2009; Chen et al. 2012b; Lau et al. 2003) also noted that most deaths occurred within the first 4 PNDs, with the highest rates occurring on PND 1. Lau et al. (2003) and Luebker et al. (2005a) found that cross fostering did not significantly improve pup survival; deaths were observed in the *in utero* only exposure group. However, Luebker et al. (2005a) showed that rats exposed *in utero* and during lactation had the highest pup mortality, as compared to other cross-fostered groups. The mechanism involved in the early pup mortality has not been identified, but there is some indication that pulmonary deficits may be a contributing factor. At high doses (50 mg/kg/day administered on GDs 19–20), pups demonstrated difficulty breathing within minutes of birth (Grasty et al. 2003). Histological examination of the lungs of pups exposed to 25 or 50 mg/kg/day on GDs 19–20 showed evidence of delayed lung maturation (Grasty et al. 2003, 2005), specifically, an increase in the proportion of solid lung tissue and a decrease in the proportion of small airway tissue. A comparison of the lungs of PFOS-exposed neonates to control fetuses (GD 21) showed that 17 and 50% of the lung tissue in the neonates exposed to 25 or 50 mg/kg/day, respectively, on GDs 19–20 was not histologically different from the control fetuses (Grasty et al. 2005). Administration of therapeutic agents known to enhance terminal lung maturation and accelerate surfactant production did not improve pup survival (Grasty et al. 2005). Histological damage has also been reported in pups exposed to lower PFOS levels. Lung atelectasis was observed in pups exposed to 10 mg/kg/day on GDs 0–18 (Yahia et al. 2008). No lung effects were observed in pups exposed to 1 mg/kg/day or in fetuses exposed to 20 mg/kg/day on GDs 0–17 (Yahia et al. 2008). Alveolar hemorrhage, thickened epithelial walls of the pulmonary alveolus, focal lung consolidation, and focal infiltration of inflammation cells were observed in pups exposed to 2 mg/kg/day on GDs 0–21; no lung effects were observed at 0.1 mg/kg/day (Chen et al. 2012b).

Decreases in fetal body weight, birth weight, and pup body weight have been observed in rats, mice, and rabbits exposed to PFOS (Case et al. 2001; Chen et al. 2012b; Era et al. 2009; Fuentes et al. 2006, 2007b; Grasty et al. 2003; Lau et al. 2003; Lee et al. 2015a; Li et al. 2016; Luebker et al. 2005a, 2005b; Rogers et al. 2014; Xia et al. 2011; Yahia et al. 2008). In rats, the lowest-adverse-effect level for decrease in fetal

body weight was 10 mg/kg/day following administration on GDs 2–20 (Thibodeaux et al. 2003) and the highest no-effect level was 5 mg/kg/day, also identified in the Thibodeaux et al. (2003) study. Decreases in rat pup birth weight and body weight on PND 4 were observed in the offspring of rats exposed to 0.4 mg/kg/day for 42 days prior to mating and gestation through lactation day 4 (Luebker et al. 2005b). Mice appear to be less sensitive to the effect of PFOS on pup body weight than rats (Lau et al. 2003). Exposure of rats to 2 mg/kg/day PFOS on GDs 2–21 resulted in significant decreases in birth weight and pup body weight on PNDs 1–3; exposure to 5 mg/kg/day resulted in decreases in pup body weight through PND 19. In contrast, no alterations in birth weight or pup body weight were observed in mice exposed to doses as high as 5 mg/kg/day on GDs 1–18. Fuentes et al. (2007b) reported the lowest LOAEL of 6 mg/kg/day for decreases in pup weight in mice exposed on GDs 12–18. Decreases in fetal body weight were observed in mice exposed to 10 mg/kg/day on GDs 0–17 (Yahia et al. 2008). Fuentes et al. (2006) did not find decreases in fetal body weight following exposure to 6 mg/kg/day on GDs 6–18. In rabbits, a decrease in fetal body weight was observed following exposure to 2.5 mg/kg/day on GDs 6– 20, but not at 1 mg/kg/day (Case et al. 2001).

Several studies also reported delays in developmental milestones. Delays in eye opening were observed in rats exposed to 2 mg/kg/day on GDs 2–21 (Lau et al. 2003) or 0.4 mg/kg/day for 42 days prior to mating and throughout the gestation and lactation periods (Luebker et al. 2005a) and in mice exposed to 8.5 mg/kg/day on GDs 15–18 (Abbott et al. 2009). Fuentes et al. (2007b) did not find a delay in eye opening in mouse pups exposed to 6 mg/kg/day on GDs 12–18, but did find a delay in pinna detachment at this dose level. A decrease in neuromuscular development, as evidenced by a delay in tail pull reflex, climbing ability, and forelimb grip strength, was observed in mice exposed to 6 mg/kg/day on GDs 12–18 (Fuentes et al. 2007b).

Prenatal exposure to PFOS has resulted in malformations/anomalies/variations in rats, mice, and rabbits (Case et al. 2001; Era et al. 2009; Thibodeaux et al. 2003; Yahia et al. 2008). An increased incidence of cleft palate was observed in rats exposed to 10 mg/kg/day on GDs 2–20 (Thibodeaux et al. 2003) and in mice exposed to 10 mg/kg/day on GDs 0–17 (Yahia et al. 2008), 15 mg/kg/day on GDs 1–17 (Thibodeaux et al. 2003), 20 mg/kg/day on GDs  $1-17$  (Era et al. 2009), and 50 mg/kg/day on GDs  $11-15$ (Era et al. 2009). Other skeletal and external alterations included sternal defects in rats exposed to 10 mg/kg/day on GDs 2–20 (Thibodeaux et al. 2003) and mice exposed to 1 mg/kg/day on GDs 0–17 (Yahia et al. 2008), delayed skeletal ossification in rabbits exposed to 2.5 mg/kg/day on GDs 6–20 (Case et al. 2001), wavy ribs and spina bifida occulta in mice exposed to 10 mg/kg/day on GDs 1–17 (Yahia et al. 2008), and tail abnormalities and delayed ossification of phalanges at 20 mg/kg/day (Yahia et al.

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2008). Visceral abnormalities, consisting of enlarged right atrium at  $10 \text{ mg/kg/day}$ , and ventricular septal defects at 20 mg/kg/day were observed in mice exposed on GDs 1–17 (Thibodeaux et al. 2003). No malformations/anomalies/variations were found by Thibodeaux et al. (2003) in mice exposed to 1 mg/kg/day on GDs 1–17 or by Fuentes et al. (2006) in mice exposed to 6 mg/kg/day on GDs 6–18. In addition to the previously discussed histological alterations observed in the pups exposed to lethal doses, mild to severe intracranial dilatation of blood vessels was observed in fetuses exposed to 20 mg/kg/day on GDs 0–17 and in pups exposed to 10 mg/kg/day on GDs 0–18 (Yahia et al. 2008). No histological alterations were observed in the heart of rat pups exposed to 2 mg/kg/day on GDs 2–21 (Xia et al. 2011); the study also found no alterations in heart rate or blood pressure. Lee et al. (2015b) found increases in cholesterol levels in fetal livers of mice exposed to PFOA on GDs 1–17 and Wan et al. (2014b) found increases in relative liver weights in pups on PND 21.

A study with wild-type mice (129S1/Svlm) and PPARα-null mice evaluated the influence of PPARα on developmental toxicity of PFOS (Abbott et al. 2009). Decreases in pup survival and delays in eye opening were observed in both strains, although lower LOAELs were identified in the wild-type mice. The investigators concluded that neonatal lethality and delayed eye opening was not dependent on PPARα activation.

Neurodevelopmental studies have shown that prenatal and/or postnatal exposure to PFOS can affect motor activity, but does not appear to affect learning or memory. A significant decrease in locomotion was observed in male mice aged 5–8 weeks exposed to 0.3 mg/kg/day on GDs 1–17 when they were placed in a novel environment (Onishchenko et al. 2011). Hallgren et al. (2015) reported biphasic alterations in spontaneous activity in 2-month-old mice administered a single dose of 11.3 mg/kg on PND 10; locomotor activity was reduced during the first 20-minute period, was unchanged in the second period, and increased during the third period. Decreases in circadian activity were noted in males and increases in the number of inactive periods were noted in males and females when they were observed over a 48-hour period. The study also found increased inactivity in an elevated plus maze test. In an open field test of 70-day-old mice exposed to 6 mg/kg/day on GDs 12–18, an increase in the amount of time spent in the center of the field was found; no changes in vertical movement were found (Ribes et al. 2010). In 3-month-old mice exposed to 6 mg/kg/day on GDs 12–18, a decrease in the distance traveled was observed after 20–25 minutes in an open field apparatus; activity was not affected during the first 5 minutes of the test (Fuentes et al. 2007a). In a 15-minute open field test, prenatal exposure to 6 mg/kg/day PFOS on GDs 12–18 did not alter motor activity in 3-month-old mice (Fuentes et al. 2007b). In contrast, Butenhoff et al. (2009b) found a significant increase in locomotion in male rats exposed to

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0.3 or 1.0 mg/kg/day PFOS throughout gestation and lactation. However, this effect was only observed in male rats on PND 17; no significant alterations were observed on PNDs 13, 21, or 61. An increase in locomotion was observed in female rats on PND 21 exposed to 1.0 mg/kg/day, but not at other time points. To evaluate the biological relevance of the increased activity, activity was analyzed by 1-minute sequential time periods. The investigators concluded that the increased activity observed in the 0.3 mg/kg/day males at PND 17 and 1.0 mg/kg/day females at PND 21 was not treatment-related due to the lack of significant changes in total or ambulatory activity and the similarity in habituation pattern between the treated groups and controls. In the 1.0 mg/kg/day PND 17 males, the pattern of habituation differed from controls and there was an increase in ambulatory activity; this increase in locomotor activity was considered to be related to PFOS exposure. The increased activity was observed in the last three time periods. Postnatal exposure (PND 10) to 11.3 mg/kg/day resulted in an initial decrease in motor activity followed by an increase in activity in 2- and 4-month-old mice (Johansson et al. 2008). In 2-month-old mice exposed to 0.75 mg/kg/day, there was a decrease in total activity during the first 20 minutes of testing, but not during the remaining 40 minutes of the test; no changes in activity were observed in the 4-month-old mice exposed to 0.75 mg/kg/day. Johansson et al. (2009) also found an altered response to nicotine exposure. Exposure to 11.3 mg/kg/day PFOS resulted in a decrease in motor activity in response to nicotine exposure, as compared to the increased activity observed in controls; no significant alteration was observed at 0.75 mg/kg/day. Two studies testing muscle coordination did not find alterations in the offspring of rats exposed to 3.2 mg/kg/day for 6 weeks prior to mating and throughout gestation and lactation (Luebker et al. 2005a) or mice exposed to 6 mg/kg/day on GDs 12–18 (Fuentes et al. 2007b). A decrease in muscle coordination was observed in mice exposed to 0.3 mg/kg/day on GDs 1–17 (Onishchenko et al. 2011). Perinatal exposure to PFOS did not significantly alter learning or memory in rats exposed to 2 mg/kg/day on GDs 2–21 and tested on PND 21 (Lau et al. 2003), the offspring of rats exposed to 3.2 mg/kg/day for 6 weeks prior to mating and throughout gestation and lactation and tested on PNDs 21 and 70 (Luebker et al. 2005a), or mice exposed to 6 mg/kg/day on GDs 12–18 and tested at 3 months of age (Fuentes et al. 2007a). In contrast, decreases in spatial learning ability were observed in the offspring of mice exposed to 0.8 mg/kg/day on GD 1 through PND 1 or on PNDs 1–7 (Wang et al. 2015b).

The effect of pre- and/or postnatal exposure to PFOS on serum lipid levels, thyroid function, and immune function has also been evaluated by a small number of studies. In the offspring of rats exposed to 1.6 mg/kg/day for 6 weeks prior to mating through GD 20, a significant decrease in fetal serum cholesterol levels and an increase in LDL cholesterol levels were observed (Luebker et al. 2005b). In rats exposed through PND 4, there was a decrease in serum triglyceride levels in the pups exposed to

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1 mg/kg/day (Luebker et al. 2005b). No alterations in thyroid histology or follicular morphology were observed in rats exposed to 1 mg/kg/day on GD 0–PND 20 (Chang et al. 2009), and no alterations in TSH levels were observed in the Chang et al. (2009) study or in rats exposed to 2 mg/kg/day on GDs 2–21 (Lau et al. 2003). Decreases in total and free T4 levels were observed in rats exposed to 1 mg/kg/day on GDs 2–21 (Lau et al. 2003); free T4 levels remained low through PND 35. Similarly, a cross-fostering study found decreases in T4 levels in rats exposed to 3.2 mg/kg/day *in utero*, during lactation only, and throughout gestation and lactation (Yu et al. 2009b). Altered immune function was observed in mice exposed to PFOS on GDs 1–17 (Keil et al. 2008). At 5 mg/kg/day, an altered IgM antibody response to sRBCs was observed in 8-week-old males; decreases in CD3+ and CD4+ lymphocytes were also observed. At 1 mg/kg/kg/day, there was decreased in NK cell activity in males; no effects were observed at 0.1 mg/kg/day.

*Summary.* A number of epidemiological studies have evaluated developmental outcomes in occupational, community (living near a PFOA facility), and general exposure populations. Overall, these studies have not found associations between serum PFOS and adverse pregnancy outcomes (miscarriage, preterm birth), most birth outcomes (risks of low birth weight or small for gestational age, birth length, head, chest or abdominal circumferences, ponderal index, sex ratio, or birth defects), or neurodevelopmental outcomes (IQ, motor development, behavior, ADHD, or autism). It is noted that some studies have found associations for these effects and for some effects, only a couple of studies examined the endpoint. Although most studies did not find associations between maternal PFOS and birth weight, a meta-analysis did find a small decrease in birth weight was associated with increasing maternal PFOS levels, after adjustment for glomerular filtration rate. There is also some suggestive evidence that PFOS levels may be associated with small delays in the age of puberty in boys and girls. Studies in laboratory animals clearly indicate that developmental toxicity is a sensitive outcome of PFOS exposure. Oral exposure studies have reported increases in fetal mortality and decreases in pup survival; decreases in fetal body weight, birth weight, and pup body weight; delays in developmental milestones such as eye opening; increases in skeletal malformations/anomalies/variations such as cleft palate and delayed skeletal ossification; and decreases in offspring motor activity.

## **PFHxS**

*Epidemiological Studies—Pregnancy Outcomes.* Six studies, summarized in [Table 2-22](#page-389-0) have evaluated possible associations between pregnancy outcomes and maternal PFHxS levels. Jensen et al. (2015) did not find an association between maternal PFHxS levels and the risk of miscarriage. Hamm et al. (2010)
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found a decreased risk of preterm births among women with serum PFHxS levels in the 3<sup>rd</sup> tertile and Manzano-Salgado et al. (2017a) and Sagiv et al. (2018) found no associations with risk of preterm birth. Other studies have found no associations with gestational age (Li et al. 2017; Manzano-Salgado et al. 2017a) or length (Lind et al. 2017a; Sagiv et al. 2018).

*Epidemiological Studies—Birth Outcomes.* General population studies have evaluated possible associations between maternal PFHxS levels and birth outcomes including birth weight, length, small for gestation age, and birth defects; studies are summarized i[n Table 2-23.](#page-396-0) Bach et al. (2016) and Maisonet et al. (2012) reported inverse associations between maternal PFHxS levels and birth weight; however, other studies have not found associations (Alkhalawi et al. 2016; Ashley-Martin et al. 2017; Callan et al. 2016; Cao et al. 2018; Hamm et al. 2010; Kim et al. 2011; Li et al. 2017; Lee et al. 2013, 2016; Lenters et al. 2016a; Lind et al. 2017a; Manzano-Salgado et al. 2017a; Monroy et al. 2008; Sagiv et al. 2018; Shi et al. 2017; Starling et al. 2017). Manzano-Salgado et al. (2017a) did not find an association between maternal PFHxS levels and the risk of low birth weight infants. Hamm et al. (2010) and Manzano-Salgado et al. (2017a) did not find an association between maternal PFHxS level and the relative risk of small for gestational age. Ashley-Martin et al. (2017) did not find an association between maternal PFHxS levels and infant leptin or adiponectin levels, but Starling et al. (2017) found an inverse association between maternal PFHxS levels and adiposity at birth. Several studies did not find associations between maternal PFHxS levels and birth length, head circumference, or ponderal index (Alkhalawi et al. 2016; Bach et al. 2016; Callan et al. 2016; Cao et al. 2018; Lee et al. 2013; Manzano-Salgado et al. 2017a; Shi et al. 2017). Maisonet et al. (2012) found an inverse association for birth length, but no association for ponderal index. Cao et al. (2018) found an association between head circumference and cord PFHxS levels. Only one study examined possible birth defects; Liew et al. (2014) did not find an association between maternal PFHxS levels and the risk of congenital cerebral palsy in a case-control study.

*Epidemiological Studies—Neurodevelopmental Outcomes.* Epidemiological studies, summarized in [Table](#page-420-0) 2-24, have examined PFHxS-related alterations in risks of ADHD, autism, intelligence, and behavior. Wang et al. (2015b) did not find associations between maternal PFHxS levels and IQ in 5- or 8-year-old children and Jeddy et al. (2017) did not find associations between maternal PFHxS levels and verbal comprehension or vocabulary comprehension production in 15-month-old infants or intelligibility, language, or communication scores in 38-month-old children. Zhang et al. (2018) did not find associations between reading scores at 5 or 8 years of age and maternal PFHxS levels or PFHxS levels at age 3 or 5 years. Vuong et al. (2016) found a higher risk of performing poorly on tests of global executive function with increasing maternal PFHxS levels. However, no association was found between

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serum PFHxS levels and metacognition or global executive function in 8-year-old children (Vuong et al. 2018). No association between serum PFHxS levels and the risk of learning problems was found in children living in a community with high PFOA levels (Stein and Savitz 2011). Gump et al. (2011) found an inverse association between serum PFHxS levels and performance on tasks requiring behavioral inhibition; Vuong et al. (2016, 2018) did not find alterations in behavioral regulation associated with maternal PFHxS levels or 8-year-old's PFHxS levels and Oulhote et al. (2016) did not find associations between behavioral development scores in 7-year-old children and maternal PFHxS levels or PFHxS levels at 5 or 7 years of age. Two studies evaluated the risk of ADHD and reported conflicting findings. Stein and Savitz (2011) reported increases in risk of ADHD in 5–18- and 12–15-year-olds with serum PFHxS levels in the  $2<sup>nd</sup>$ ,  $3<sup>rd</sup>$ , or  $4<sup>th</sup>$  quartile, whereas Liew et al. (2015) reported an inverse association between maternal PFHxS levels and risk of ADHD. This study also did not find an increase in the risk of autism; Braun et al. (2014) also found no association between maternal PFHxS levels and performance on tests assessing autism.

*Epidemiological Studies—Development of the Reproductive System.* No associations between reproductive hormone levels and serum PFHxS levels (Lopez-Espinosa et al. 2016) or maternal serum PFHxS levels (Maisonet et al. 2015a) were found in boys and girls 6–9 years of age or in girls 15 years of age. Lind et al. (2017a) did not find an association between maternal PFHxS levels and anogenital distance in boys or girls. Christensen et al. (2011) did not find an association between maternal PFHxS levels and risk of an earlier menarche. Summaries of these epidemiological studies are presented in [Table](#page-436-0) 2-25.

*Laboratory Animal Studies.* Administration of 9.2 mg/kg/day PFHxS on PND 10 resulted in a decrease in spontaneous motor activity during the first 20 minutes of the test and an increase in activity in the last 20 minutes of the test (Viberg et al. 2013). The study also assessed the influence of PFHxS on nicotineinduced behavior. In the 9.2 mg/kg/day PFHxS group, exposure to nicotine did not significantly affect spontaneous motor activity, which was in contrast to the nicotine-induced increases in spontaneous motor activity observed in the controls and lower PFHxS groups. Studies evaluating the developmental toxicity of PFHxS did not find alterations in litter size, pup survival, or pup body weight in rats exposed to 10 mg/kg/day PFHxS or mice exposed to 3 mg/kg/day for 14 days prior to mating and throughout gestation and lactation (Butenhoff et al. 2009a; Chang et al. 2018). Although the rat study did not find alterations in litter size (Butenhoff et al. 2009a), the mouse study found a decrease in the number of pups per litter, without a change in the pup to implantation site ratio at  $\geq 1$  mg/kg/day (Chang et al. 2018). Similarly, no alterations in litter size, perinatal loss, or sex ratio were observed in the offspring of rats

administered up to 25 mg/kg/day PFHxS on GDs 7–22 (Ramhøj et al. 2018). The study did find decreases in male birth weights (3.5%) at  $\geq$ 5 mg/kg/day and 30 and 45% decreases in pup serum thyroxine levels at 5 and 25 mg/kg/day (Ramhøj et al. 2018).

# **PFNA**

*Epidemiological Studies—Pregnancy Outcomes.* Seven studies (summarized in [Table 2-22\)](#page-389-0) have examined pregnancy outcomes. Jensen et al. (2015) found an increase in the risk of having a miscarriage before gestation week 12, which was associated with maternal serum PFNA levels. Another study found no alteration in the risk of pregnancy loss (Buck Louis et al. 2016). No alterations in the risk of preterm birth was found in studies conducted by Chen et al. (2012a), Manzano-Salgado et al. (2017a), and Sagiv et al. (2018). Other studies found no association between PFNA and gestational age (Li et al. 2017; Manzano-Salgado et al. 2017a) or length (Lind et al. 2017a; Sagiv et al. 2018)

*Epidemiological Studies—Birth Outcomes.* Several studies have examined the possible associations between birth outcomes and maternal PFNA levels, these studies are summarized in [Table 2-23.](#page-396-0) Most studies did not find an association between birth weight and maternal PFNA levels (Bach et al. 2016; Callan et al. 2016; Cao et al. 2018; Chen et al. 2012a; Lee et al. 2016; Lenters et al. 2016a; Li et al. 2017; Lind et al. 2017a; Manzano-Saldago et al. 2017a; Monroy et al. 2008; Robledo et al. 2015a; Shi et al. 2017). No alterations in the risk of low birth weight or small for gestational age were found in studies conducted by Chen et al. (2012a) and Manzano-Salgado et al. (2017a). Wang et al. (2016) did find an inverse association between maternal PFNA levels and birth weight in girls only and Starling et al. (2017) and Sagiv et al. (2018) found inverse associations in boys and girls combined; Starling et al. (2017) also found an inverse association between maternal PFNA levels and adiposity. Chen et al. (2012a) found an association between maternal PFNA levels and birth length, but other studies have not found alterations (Bach et al. 2016; Callan et al. 2016; Cao et al. 2018; Manzano-Salgado et al. 2017a; Robledo et al. 2015a; Shi et al. 2017; Wang et al. 2016). Most studies did not find alterations in ponderal index or head circumference (Bach et al. 2016; Callan et al. 2016; Cao et al. 2018; Chen et al. 2012a; Manzano-Salgado et al. 2017a; Robledo et al. 2015a; Shi et al. 2017; Wang et al. 2016); Chen et al. (2012a) reported an inverse association between cord PFNA levels on ponderal index. No associations between maternal PFNA or paternal PFNA levels and the odds of a male birth were observed in a general population study (Bae et al. 2015). Liew et al. (2014) did not find alterations in the risk of congenital cerebral palsy that were associated with maternal PFNA levels.

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*Epidemiological Studies—Neurodevelopmental Outcomes.* Several potential neurodevelopmental outcomes have been examined in epidemiological studies; these studies are summarized in [Table 2-24.](#page-420-0) No association between maternal PFNA levels and full-scale IQ scores were observed in 8-year-old children (Wang et al. 2015b); however, an association was found for visual IQ. Maternal PFNA levels were not associated with IQ scores in 5-year-old children (Wang et al. 2015b). Stein and Savitz (2011) found a decrease in the risk of learning problems in 5–18- or 12–15-year-olds with serum PFNA levels in the two highest quartiles or in the 4<sup>th</sup> quartile, respectively. No associations were found between maternal PFNA levels and verbal and vocabulary comprehension in 15-month-olds or language skills and intelligence scores in 38-month-olds (Jeddy et al. 2017). Vuong et al. (2016) did not find an association between maternal PFNA levels and metacognition or global executive functioning in 5- or 8-year-old children. In a subsequent study (Vuong et al. 2018), associations were found between serum PFNA levels at age 8 years and metacognition and global executive function scores, which were indicative of poorer performance; When the children were categorized by sex, the associations were only found in boys. The study also found associations between PFNA levels and at risk metacognition and global executive functioning scores. Reading scores in 5-year-old children were associated with serum PFNA levels when the children were 3 years of age but were not associated with maternal PFNA levels (Zhang et al. 2018), and reading levels at 8 years of age were not associated with maternal, 3-year-old, or 5-year-old serum PFNA levels (Zhang et al. 2018).

Mixed results have been found in studies on behavior. Gump et al. (2011) found a decrease in behavioral response inhibition that was associated with serum PFNA levels in children aged 9–11 years, and Lien et al. (2016) reported inverse associations between cord blood PFNA levels in inattention and hyperactivity/ inattention in 7-year-old children, but no effect on hyperactivity/impulsivity. Vuong et al. (2016) did not find an association between maternal PFNA levels and behavior regulation, but serum PFNA levels in 8-year-old children were associated with higher at risk behavioral regulation scores (Vuong et al. 2018). Three studies have not found associations between PFNA levels and ADHD risk (Hoffman et al. 2010; Liew et al. 2015; Stein and Savitz 2011). Similarly, maternal PFNA levels do not appear to be associated with autism (Braun et al. 2014; Liew et al. 2015).

*Epidemiological Studies—Development of the Reproductive System.* An inverse association between PFNA levels and insulin-like growth factor 1 was found in boys and girls aged 6–9 years (Lopez-Espinosa et al. 2016). No associations were found between PFNA and estradiol or total testosterone in 6– 9 years olds (Lopez-Espinosa et al. 2016) or between maternal PFNA and testosterone or sex hormone binding globulin levels in 15-year-old girls (Maisonet et al. 2015a). Additionally, no association between

maternal serum PFHxS levels and risk of earlier age of menarche were observed in girls (Christensen et al. 2011). Summaries of these three studies are presented in [Table 2-25.](#page-436-0)

*Laboratory Animal Studies.* Three studies were identified that examined the developmental toxicity of PFNA in laboratory animals. Full litter resorptions were observed in mice administered 10 mg/kg/day on GDs 1–17; maternal weight loss was also observed at this dose level (Das et al. 2015). At ≤1.5 mg/kg/day, decreases in postnatal survival were observed (Das et al. 2015; Wolf et al. 2010). Decreases in birth weight were observed in female offspring of rats administered 5 mg/kg/day PFNA on GDs 1–20 (Rogers et al. 2014). Postnatal growth was decreased on PNDs 1–24 in the offspring of mice administered  $\geq$ 3 mg/kg/day PFNA on GDs 1–17 (Das et al. 2015); the decreases in body weight persisted in the males through PND 287 and in the females through PND 50. No skeletal or visceral abnormalities were observed in mouse pups (Das et al. 2015). Reductions in nephron endowment (number of functioning nephrons at birth) were observed in male rat pups on PND 22 (Rogers et al. 2014). This study also found increases in systolic blood pressure in pups at 10 weeks of age; no alterations were observed at 26 or 52 weeks of age. Delays in eye opening and decreased in pup body weight gain were observed in offspring of mice administered 2.0 mg/kg/day on GDs 1–18 (Wolf et al. 2010). Studies in PPARα knockout mice did not find alterations in pup survival, birth weight, pup body weight gain, or day of eye opening at maternal doses as high as 2.0 mg/kg/day (Wolf et al. 2010). Comparison between the results in tests using wild-type mice and knockout mice suggests that  $PPAR\alpha$  plays a role in PFNA developmental toxicity (Wolf et al. 2010).

## **PFDA**

*Epidemiological Studies—Pregnancy Outcomes.* Four epidemiological studies examined pregnancy outcomes. Jensen et al. (2015) found an increased risk of miscarriage that was associated with maternal PFDA levels. The remaining studies found no associations between maternal PFDA levels and pregnancy loss (Buck Louis et al. 2016), gestational age (Li et al. 2017), or gestational length (Lind et al. 2017a).

*Epidemiological Studies—Birth Outcomes.* A small number of epidemiological studies examined risks of adverse birth outcomes associated with maternal PFDA exposure; these studies are summarized in [Table](#page-396-0) 2-23. Wang et al. (2016) found an inverse association between maternal PFDA levels and birth weight in female infants only. This study also found an increased risk for small for gestational age among female infants. Other studies have not found associations (Bach et al. 2016; Callan et al. 2016; Cao et al. 2018; Lee et al. 2016; Lenters et al. 2016a; Li et al. 2017; Lind et al. 2017a; Robledo et al. 2015a; Shi et

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al. 2017; Starling et al. 2017). Starling et al. (2017) also found no association with adiposity at birth. Epidemiological studies have not found associations between birth length, ponderal index, and/or head circumference and maternal PFDA levels (Bach et al. 2016; Callan et al. 2016; Cao et al. 2018; Robledo et al. 2015a; Shi et al. 2017; Wang et al. 2016). Liew et al. (2014) did not find alterations in the risk of congenital cerebral palsy in boys or girls and Bae et al. (2015) did not find alterations in odds of a male birth associated with maternal or paternal PFDA levels. Additionally, Kim et al. (2016b) did not find associations between serum PFDA levels and thyroid parameters.

*Epidemiological Studies—Neurodevelopmental Outcomes.* Several studies have evaluated the potential of PFDA to adversely affect neurodevelopment; see [Table 2-24](#page-420-0) for a summary of the studies. Wang et al. (2015b) did not find associations between maternal PFDA levels and IQ in 5- and 8-year-old children. Similarly, Vuong et al. (2016) did not find alterations in scores on tests of global executive functioning and metacognition in 5- or 8-year-old children. This study also found no alteration in behavioral regulation. In contrast, Gump et al. (2011) found increases in impulsivity. Oulhote et al. (2016) found an association between serum PFDA levels in 5-year-old children and total behavioral development score and higher externalizing and hyperactivity/inattention scores in 7-year-old children; the study did not find associations between behavioral development at age 7 years and maternal PFDA levels or 7-year-old PFDA levels. Liew et al. (2015) found decreases in the risk of ADHD and autism in children.

*Epidemiological Studies—Developmental of the Reproductive System.* In the only study examining reproductive outcomes, Lind et al. (2017a) found an inverse association between maternal PFDA levels and anogenital distance in girls, but not in boys.

*Laboratory Animal Studies.* An increase in fetal mortality was observed in mice exposed to 12.8 mg/kg/day PFDA on GDs 6–15 (Harris and Birnbaum 1989); this dose level was also associated with a marked decrease in fetal weight/litter (50% lower than controls), 100% incidence of variations in ossification of the braincase, decreases in maternal body weight, and maternal mortality. Decreases in fetal body weight/litter were observed at  $\geq 1$  mg/kg/day. The study did not find alterations in the occurrence of cleft palate, soft tissue malformations, or skeletal malformations. In mice exposed to 10.8 mg/kg/day PFDA on PND 10, there was no effect on spontaneous activity, habituation, performance on an elevated maze test, or response to a nicotine injection (Johansson et al. 2008). These results differ from the Johansson et al. (2008) findings when mice were exposed to PFOA or PFOS and the findings of Viberg et al. (2013) in mice exposed to PFHxS.

# **PFUnA**

*Epidemiological Studies—Pregnancy Outcomes.* A limited number of epidemiological studies evaluated pregnancy outcomes. Jensen et al. (2015) did not find an alteration in the risk of miscarriage before gestation week 12. No association between gestational age and maternal PFUnA levels were found in a study conducted by Li et al. (2017).

*Epidemiological Studies—Birth Outcomes.* The results from a study conducted by Wang et al. (2016) found an inverse association between maternal PFUnA levels and birth weight and an increased risk of small for gestation age among female infants. Callan et al. (2016) reported an association between maternal PFUnA levels and optimal body weight but did not find an association with birth weight. The remaining epidemiological studies have not found alterations in infant size (birth weight, birth length, ponderal index, head circumference) (Bach et al. 2016; Callan et al. 2016; Cao et al. 2018; Chen et al. 2012a; Lee et al. 2016; Lenters et al. 2016a; Li et al. 2017; Shi et al. 2017) or the risks of low birth weight (Chen et al. 2012a) or small for gestational age (Chen et al. 2012a). No association between serum PFUnA levels and thyroid parameters were observed in infants (Kim et al. 2016a). The results of the epidemiological studies examining associations between birth outcome and PFUnA are presented in [Table](#page-396-0) 2-23.

*Epidemiological Studies—Neurodevelopmental Outcomes.* The results of two studies examining possible associations between neurodevelopmental outcome and PFUnA are summarized in [Table 2-24.](#page-420-0) Wang et al. (2015b) found no association between maternal PFUnA levels and IQ score in 5- and 8-yearold children; the study did find an inverse association with scores on tests assessing performance IQ. Lien et al. (2016) found no associations between cord blood PFUnA levels and performance on behavioral tests.

*Laboratory Animal Studies.* One study was identified that examined the potential developmental toxicity of PFUnA (Takahashi et al. 2014); the study found decreases in pup body weight at birth and on PND 4 in the offspring of rats administered via gavage 1.0 mg/kg/day PFUnA.

# **PFHpA**

*Epidemiological Studies.* In the only epidemiological study evaluating developmental outcomes, Li et al. (2017) found no association between cord PFHpA levels and gestational age. The study did find an inverse association for birth weight in boys only, but not in girls and in boys and girls combined.

# **PFBS**

*Laboratory Animal Studies.* No alterations in pup survival, body weight, or development were observed at doses as high as 1,000 mg/kg/day in a 2-generation rat study of potassium PFBS (Lieder et al. 2009b). In contrast to these findings, Feng et al. (2017) reported decreases in pup body weight, delays in eye opening, vaginal opening, and first estrous in the offspring of mice administered PFBS on GDs 1–20. York (2002) reported decreases in fetal body weight at 1,000 mg/kg/day in a rat study; however, a subsequent study (York 2003a) found decreases in body weights in the fetuses of rats administered 2,000 mg/kg/day, but not 1,000 mg/kg/day.

Reproductive and endocrine effects were also observed in the offspring at 200 and 500 mg/kg/day; these effects consisted of decreases in number of ovarian follicles and corpora lutea at diestrus, decreases in uterine weight and endometrial and myometrial thickness; increases in the average number of days in estrous stage; decreases in estrogen and progesterone levels; increases in luteinizing hormone levels; decreases in total T4, free T4, and total T3; and increases in TSH levels (Feng et al. 2017).

# **PFBA**

*Epidemiological Studies.* Li et al. (2017) did not find an association between cord PFBA levels and gestational age or birth weight. In a study conducted by Kim et al. (2016a), no associations were found between serum PFBA levels and thyroid parameters in infants.

*Laboratory Animal Studies.* A delay (approximately 1 day) in eye opening was observed in the offspring of mice administered via gavage 35 mg/kg/day PFBA on GDs 1–17 (Das et al. 2008).

# **PFDoDA**

*Epidemiological Studies—Pregnancy Outcomes.* In the only study examining pregnancy outcome [\(Table 2-22\)](#page-389-0), Li et al. (2017) found no association between cord serum PFDoDA levels and gestational age.

*Epidemiological Studies—Birth Outcomes.* General population studies conducted by Cao et al. (2018), Lee et al. (2016), and Lenters et al. (2016a) did not find associations between cord blood PFDoDA or maternal PFDoDA levels and birth weight, birth length, and/or ponderal index. Wang et al. (2016) found an inverse association between maternal PFDoDA levels and birth weight and head circumference in female infants; no alteration in the risk of small for gestation age was found. Li et al. (2017) also found an association between cord PFDoDA levels and birth weight in girls only; no association was found in boys or in boys and girls combined. The results of these three studies are summarized in [Table 2-23.](#page-396-0)

*Epidemiological Studies—Neurodevelopmental Outcomes.* As summarized in [Table 2-24,](#page-420-0) only one study examined neurodevelopmental outcomes. In this study, maternal PFDoDA levels were not associated with IQ scores in 5- or 8-year-old children (Wang et al. 2015b).

*Laboratory Animal Studies.* One study evaluated the developmental toxicity of PFDoDA; no alterations in the number of live pups born, birth weight, growth, or the prevalence of external, visceral, or skeletal anomalies were observed at 0.1 or 0.5 mg/kg/day (Kato et al. 2015). At the next highest dose  $(2.5 \text{ mg/kg/day})$ , only 1 of the 12 dams delivered live pups; 2 of these pups died on PND 0 and decreases in body weight gain were observed in the remaining pups.

# **PFHxA**

*Laboratory Animal Studies.* Administration of 500 mg/kg/day NaPFHx on GDs 1–20 resulted in 10% decreases in fetal weight in rats (Loveless et al. 2009). Similarly, decreases in pup body weight (17–18% during the lactation period) were observed in the offspring of rats administered 500 mg/kg/day NaPFHx for 70 days prior to mating, during mating, and throughout gestation and lactation (Loveless et al. 2009). This study also found no alterations in pup clinical signs, survival, or developmental landmarks. No alterations in litter size, pup survival, or pup body weight, or occurrence of internal malformations were observed in the offspring of rats administered 315 mg/kg/day PFHxA (TWA dose) prior to mating through lactation day 4 (Kirkpatrick 2005).

*Epidemiological Studies.* Robledo et al. (2015a) found an inverse association between maternal FOSA levels and birth weight in boys, but not in girls; paternal FOSA levels were not associated with birth weight. The study did not find alterations in birth length, head circumference, or ponderal index (see [Table 2-23\)](#page-396-0). Bae et al. (2015) did not find alterations in the odds of a male birth that was associated with maternal or paternal FOSA levels. As summarized in [Table 2-24,](#page-420-0) only one study evaluated possible associations between FOSA and neurodevelopmental outcomes. Gump et al. (2011) reported an inverse association between serum FOSA levels and performance on tasks requiring behavioral inhibition. In the only study examining development of the reproductive system, Christensen et al. (2011) did not find an association between maternal serum FOSA levels and the risk of an earlier age of menarche in girls (see [Table 2-25\)](#page-436-0).

# **2.18 OTHER NONCANCER**

*Overview.* A number of epidemiological studies have examined the possible associations between perfluoroalkyls and outcomes related to diabetes; the results of these studies are summarized in [Table](#page-478-0) 2-26, with additional study details presented in the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 14. Overall, the epidemiological studies do not provide support for an association between serum perfluoroalkyl levels and increases in the risk of diabetes or related outcomes (e.g., increases in blood glucose, glucose tolerance) for PFOA, PFOS, PFHxS, PFNA, PFDA, PFUnA, PFHpA, or FOSA. Additionally, results of studies on PFOA, PFOS, and PFHxS do not suggest an association between perfluoroalkyls and gestational diabetes. No epidemiological studies examining other noncancer endpoints were identified for PFBS, PFBA, PFDoDA, or PFHxA. Only four laboratory animal studies examined other noncancer endpoints reporting inflammation of the salivary glands in rats exposed to PFOA, pancreatic acinar cell hyperplasia in rats exposed to PFOA, and an increase in serum glucose levels in rats administered PFNA [\(Table 2-5\)](#page-66-0). The fourth study did not find increases in serum glucose in rats exposed to PFOS [\(Table 2-4\)](#page-44-0).

## **PFOA**

*Epidemiological Studies.* A cohort mortality study conducted by Leonard et al. (2008; Leonard 2006) of workers at the Washington Works facility found a significant increase in deaths from diabetes, as

<span id="page-478-0"></span>





















































aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls,* Table 14 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

cAsterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

(F) = females; FOSA = perfluorooctane sulfonamide; HOMA = homeostatic model assessment; HR = hazard ratio; IR = insulin resistance; (M) = males; NHANES = National Health and Nutrition Examination Survey; NR = not reported; NS = not significant; OR = odds ratio; PFDA = perfluorodecanoic acid; PFHpA = perfluoroheptanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid; SMR = standardized mortality ratio; SPR = standardized prevalence ratio; WTCHR = World Trade Center Health Registry

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compared to workers at other DuPont facilities in the region. In an update of the Leonard et al. (2008) study, Steenland and Woskie (2012) found an increased risk of diabetes deaths when compared to other regional DuPont employees, but not when compared to the U.S. population. However, when the workers were categorized by estimated cumulative exposure levels, the exposure-response trend was not statistically significant. Lundin et al. (2009) also found an increase in deaths from diabetes in workers exposed to APFO at the 3M Cottage Grove facility in Minnesota, as compared to Minnesota death rates. The increase was only found in workers with probable exposure to APFO, but not with definite exposure; no deaths from diabetes were observed in the workers with definite exposure to APFO. As noted by Steenland and Woskie (2012), diabetes mortality may not be a good surrogate for the underlying diabetes incidence data. Raleigh et al. (2014) did not find an increase in diabetes deaths at the Cottage Grove facility and Steenland et al. (2015) did not find an increased risk of diabetes associated with estimated cumulative PFOA exposure at the Washington Works facility.

In community exposure studies, Anderson-Mahoney et al. (2008) found an increased prevalence of selfreported diabetes in residents living near the Washington Works facility, as compared to expected rates taken from NHANES. Conway et al. (2016) found increases in the prevalence of type 1 diabetes, type 2 diabetes, and uncategorized diabetes in C8 Health Study participants. When the participants were categorized by age, the increases in type 1 diabetes and type 2 diabetes prevalences were found in adults and children; uncategorized diabetes was not increased in either group. In contrast, Karnes et al. (2014) did not find an increased risk of self-reported diabetes associated with estimated cumulative PFOA levels and MacNeil et al. (2009) did not find an increased risk of validated diabetes in C8 Health Study participants.

General population studies found either an inverse association between serum PFOA and risk of diabetes (Su et al. 2016), an association (He et al. 2018; Sun et al. 2018), or no association (Cardenas et al. 2017; Lind et al. 2014; Melzer et al. 2010). Additionally, most general population studies have not found associations between serum PFOA levels and insulin (Fisher et al. 2013; Lin et al. 2009; Liu et al. 2018b), blood glucose levels (Fisher et al. 2013; Lin et al. 2009; Liu et al. 2018b; Su et al. 2016; Yang et al. 2018), homeostatic model assessment for insulin resistance (HOMA-IR) (Fisher et al. 2013; Lin et al. 2009; Lind et al. 2014; Liu et al. 2018b; Nelson et al. 2010), or glucose tolerance (Liu et al. 2018b; Su et al. 2016). Cardenas et al. (2017) did find associations between serum PFOA and glycemic parameters in cross-sectional analyses; however, in longitudinal analyses, no associations were found between serum PFOA and fasting blood glucose, fasting insulin, HOMA-IR, HOMA-β, or HbA1c. Studies in children

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have not found associations between serum PFOA and blood glucose, blood insulin, HOMA-IR, and/or HOMA-β (Domazet et al. 2016; Fleisch et al. 2017; Kang et al. 2017; Koshy et al. 2017).

Three studies evaluated the risk of gestational diabetes and found mixed results. In a case-control study, Zhang et al. (2015a) found an increased risk of gestational diabetes associated with serum PFOA, whereas Shapiro et al. (2016) and Wang et al. (2018) did not find associations between serum PFOA and gestational diabetes or impaired glucose tolerance. Additionally, Starling et al. (2017) found an inverse association between blood glucose levels and serum PFOA in pregnant women. In contrast, Jensen et al. (2018) did not find associations between serum PFOA and fasting glucose, fasting insulin, HOMA-IR, HOMA-β, or blood glucose levels in a glucose tolerance test in pregnant women. The ORs for the risk of diabetes and gestational diabetes are graphically presented in [Figure](#page-497-0) 2-39.

*Laboratory Animal Studies.* Two chronic-duration oral studies examined other noncancer endpoints. Inflammation of the salivary gland was observed in rats exposed to 1.5 mg/kg/day (3M 1983; Butenhoff et al. 2012c) and an increased incidence of acinar cell hyperplasia was observed in rats exposed to 13.6 mg/kg/day (Biegel et al. 2001).

# **PFOS**

*Epidemiological Studies.* Inverse associations between serum PFOS and the prevalence of type 1 diabetes and type 2 diabetes were observed among participants of the C8 Health Study (Conway et al. 2016). In a general population study conducted by Su et al. (2016), an increased risk of diabetes was noted, as well as associations between serum PFOS levels and fasting blood glucose, response to glucose tolerance test, and glycated hemoglobin levels. Cardenas et al. (2017) also found associations between serum PFOS and fasting blood glucose, fasting insulin, HOMA-IR, and HOMA-β; however, these associations were not found in longitudinal analyses over a 3-year period. In a prospective case-control study, Sun et al. (2018) reported an association between serum PFOS and type 2 diabetes. Four other general population studies did not find increased risks of diabetes (Cardenas et al. 2017; He et al. 2019; Lind et al. 2014; Melzer et al. 2010). Several studies have not found associations between serum PFOS levels and insulin, blood glucose, or HOMA-IR levels (Fisher et al. 2013; Lin et al. 2009; Lind et al. 2014; Liu et al. 2018b; Nelson et al. 2010; Yang et al. 2018). In NHANES adult participants, Lin et al. (2009) found associations between serum PFOS and insulin and HOMA-IR and Liu et al. (2018b) found an inverse association with fasting glucose levels. No associations were found in adolescent participants

<span id="page-497-0"></span>

# **Figure 2-39. Diabetes Risk Relative to Serum PFOA Levels (Presented as Adjusted Ratios)**

Risk of Diabetes from PFOA Exposure [SMR/SPR/HR/RR/OR\* (95% CI)]

(Lin et al. 2009). Studies in children have not found associations between serum PFOS and fasting blood glucose, fasting blood insulin, HOMA-IR, and/or HOMA-β (Domazet et al. 2016; Fleisch et al. 2017; Kang et al. 2018; Koshy et al. 2017).

No alterations in the risk of gestational diabetes were observed in three general population studies (Shapiro et al. 2016; Wang et al. 2018' Zhang et al. 2015a). Shapiro et al. (2016), Starling et al. (2017), and Wang et al. (2018) studies also found no association between serum PFOS and blood glucose levels, glucose tolerance or other glycemic measurements in pregnant women. The ORs for the risk of diabetes and gestational diabetes are graphically presented in [Figure 2-40.](#page-499-0)

*Laboratory Animal Studies.* Perinatal exposure to 3 mg/kg/day PFOS did not result in alterations in serum insulin or glucose levels in the offspring on PND 63 (Wan et al. 2014b). However, when the offspring were fed a high fat diet, increases in fasting glucose levels were observed at 0.3 and 3 mg/kg/day and fasting serum insulin levels were increased at 3 mg/kg/day.

# **PFHxS**

*Epidemiological Studies.* A study of C8 Health Project participants found inverse associations between serum PFHxS levels and the prevalence of type 1 diabetes, type 2 diabetes, and uncategorized diabetes (Conway et al. 2016). General population studies have examined diabetes-related outcomes and have not found associations between serum PFHxS levels and diabetes risk (Cardenas et al. 2017; He et al. 2018; Lind et al. 2014; Sun et al. 2018), gestational diabetes (Shapiro et al. 2016) or insulin, blood glucose, or HOMA-IR levels (Cardenas et al. 2017; Fisher et al. 2013; Jensen et al. 2018; Lin et al. 2009; Lind et al. 2014; Nelson et al. 2010; Yang et al. 2018). An inverse association between serum PFHxS levels and blood glucose levels was found in pregnant women (Starling et al. 2017). No associations between serum PFHxS and glycemic parameters were found in children (Fleisch et al. 2017; Kang et al. 2018; Koshy et al. 2017).

### **PFNA**

*Epidemiological Studies.* An inverse association between serum PFNA levels and the risk of diabetes was observed in a general population study (Su et al. 2016) and for type 1 diabetes and type 2 diabetes in C8 Health Study participants (Conway et al. 2016). Four other studies did not find associations for diabetes (He et al. 2018; Lind et al. 2014; Sun et al. 2018) or gestational diabetes (Zhang et al. 2015a).

# **Figure 2-40. Diabetes Risk Relative to Serum PFOS Levels (Presented as Adjusted Odds Ratios)**

<span id="page-499-0"></span>

0.0 0.5 1.0 1.5 2.0 2.5 3.0 3.5 4.0 4.5 5.0 5.5 6.0 6.5 7.0 7.5 8.0 8.5 9.0 9.5 10.0

Risk of Diabetes from PFOS Exposure [OR (95% CI)]

The Su et al. (2016) study also reported an inverse association between PFNA levels and response on a glucose tolerance test. A study by Starling et al. (2017) also found an inverse association between PFNA levels and blood glucose levels in pregnant women. A study of adolescent NHANES participants found decreasing levels of insulin with increasing serum PFNA levels (Lin et al. 2009); this association was not found in adult NHANES participants (Lin et al. 2009). Several studies did not find associations between serum PFNA levels and fasting blood glucose, glucose tolerance, HOMA-IR, and/or HOMA-β (Cardenas et al. 2017; Fleisch et al. 2017; Jensen et al. 2018; Kang et al. 2018; Koshy et al. 2017; Lin et al. 2009; Lind et al. 2014; Nelson et al. 2010; Yang et al. 2018).

*Laboratory Animal Studies.* An increase in serum glucose levels was observed in rats administered via gavage 1 mg/kg/day PFNA for 14 days (Fang et al. 2012a).

## **PFDA**

*Epidemiological Studies.* Two studies evaluated the potential association between PFDA and diabetes risk. Sun et al. (2018) did not find an association for type 2 diabetes risk and Zhang et al. (2015a) did not find an association between serum PFDA levels and the risk of gestational diabetes. Other studies have examined possible associations between serum PFDA and glycemic measurements. Fleisch et al. (2017) found an inverse association with HOMA-IR in children. Other studies in children (Kang et al. 2018; Koshy et al. 2017), adults (Yang et al. 2018), and pregnant women (Jensen et al. 2018) did not find associations for fasting blood glucose, fasting insulin, glucose tolerance, HOMA-IR, and/or HOMA-β.

# **PFUnA**

*Epidemiological Studies.* Six epidemiological studies evaluating associations between PFUnA and diabetes-related outcomes have found conflicting results. Su et al. (2016) found inverse associations between serum PFUnA levels and diabetes risk, fasting blood glucose levels, and glucose tolerance test results, Yang et al. (2018) found an inverse association with fasting blood glucose levels, and Starling et al. (2017) found an inverse association with blood glucose levels in pregnant women. Whereas Lind et al. (2014) found no alterations in the risk of diabetes or HOMA, and Kang et al. (2018) and Koshy et al. (2017) found no associations between serum PFUnA levels and fasting blood glucose and HOMA-IR, respectively, in studies in children.

# **PFHpA**

*Epidemiological Studies.* Lind et al. (2014) did not find associations between serum PFHpA levels and the risk of diabetes or HOMA alterations and Yang et al. (2018) did not find an association with fasting blood glucose levels.

# **FOSA**

*Epidemiological Studies.* In the one epidemiological study identified, no associations between serum FOSA levels and the risk of diabetes or HOMA were found (Lind et al. 2014).

## **2.19 CANCER**

*Overview.* A number of occupational exposure, community, and general population studies have examined possible associations between perfluoroalkyls and cancer risk; these studies are summarized in [Table 2-27](#page-502-0) and the *Supporting Document for Epidemiological Studies for Perfluoroalkyls*, Table 15. Occupational and community exposure studies have found increases in the risk of testicular and kidney cancer associated with PFOA. No consistent epidemiologic evidence for other cancer types were found for PFOA. For PFOS, one occupational exposure study reported an increase in bladder cancer, but this was not supported by subsequent occupational studies. General population studies have not consistently reported increases in malignant tumors for PFOS. A small number of epidemiology studies examined possible associations between other perfluoroalkyls and cancer risk. No consistent associations were observed for breast cancer risk for PFHxS, PFNA, PFHpA, or PFDoDA; increased breast cancer risks were observed for PFDA and FOSA, but this was based on a single study. No associations between PFOA, PFOS, PFHxS, PFNA, PFDA, or PFUnA and prostate cancer risk were found. However, among men with a first-degree relative with prostate cancer, associations were found for PFOA, PFOS, PFHxS, PFDA, and PFUnA, but not for PFNA. Epidemiological studies examining potential cancer effects were not identified for PFBS, PFBA, or PFHxA.

Laboratory animal studies have evaluated the carcinogenicity of PFOA and PFOS; the results of these studies are summarized in Tables [2-3](#page-16-0) and [2-4.](#page-44-0) In laboratory animals, there is some evidence for increases in Leydig cell adenomas, pancreatic acinar cell adenomas, and hepatocellular adenomas in male rats exposed to PFOA in the diet. An increase in hepatocellular adenomas was observed in male rats exposed to dietary PFOS for 2 years; thyroid follicular cell adenomas were observed in rats exposed to PFOS for

<span id="page-502-0"></span>






















aSee the *Supporting Document for Epidemiological Studies for Perfluoroalkyls,* Table 15 for more detailed descriptions of studies.

bParticipants in occupational exposure may have also lived near the site and received residential exposure; community exposure studies involved subjects living near PFOA facilities with known exposure to high levels of PFOA.

c Asterisk indicates association with perfluoroalkyl; unless otherwise specified, values in parenthesis are 95% confidence intervals.

AOR = adjusted odds ratio; CI = confidence interval; FOSA = perfluorooctane sulfonamide; HR = hazard ratio; IRR = incidence rate ratio; NIOSH = National Institute for Occupational Safety and Health; NR = not reported; NS = not significant; OR = odds ratio; PFDA = perfluorodecanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFUnA = perfluoroundecanoic acid; RR = relative risk; RRE<sub>p</sub>C = risk ratio episodes of care; SEER = Surveillance Epidemiology and End Results; SIR = standardized incidence ratio; SMR = standardized mortality ratio

1 year and allowed to recover for an additional year. A discussion of the relevance of the rodent carcinogenicity data to humans is included in Section 2.20.6.

EPA (2016e, 2016f) has concluded that there is suggestive evidence of the carcinogenic potential of PFOA and PFOS in humans. IARC (2017) concluded that PFOA is possibly carcinogenic to humans (Group 2B).

## **PFOA**

*Epidemiological Studies.* Several studies have examined the possible association between occupational exposure to PFOA and increased cancer risk in workers at two U.S. facilities—3M facility in Cottage Grove, Minnesota (Gilliland and Mandel 1993; Lundin et al. 2009; Raleigh et al. 2014) and DuPont Washington Works facility in West Virginia (Leonard 2006; Leonard et al. 2008; Steenland and Woskie 2012; Steenland et al. 2015). In addition, the potential carcinogenicity of PFOA has been assessed in the community near the Washington Works facility (Barry et al. 2013; Innes et al. 2014; Vieira et al. 2013) and in the general population (Bonefeld-Jorgensen 2011, 2014; Eriksen et al. 2009; Hardell et al. 2014).

Occupational exposure studies have not found increases in the risk of all cancer deaths (Gilliland and Mandel 1993; Leonard 2006; Leonard et al. 2008; Lundin et al. 2009; Raleigh et al. 2014; Steenland and Woskie 2012). The occupational exposure studies have consistently found no increases in the risk of pancreatic, liver, or respiratory tract cancers or deaths from these cancers (Leonard 2006; Leonard et al. 2008; Lundin et al. 2009; Raleigh et al. 2014; Steenland and Woskie 2012); a general population casecontrol study also found no associations between serum PFOA and pancreas or liver cancer (Eriksen et al. 2009). Additionally, two case-control studies did not find associations between serum PFOA levels and risk of breast cancer (Bonefeld-Jorgensen et al. 2011, 2014); a third case-control study found an association between serum PFOA and breast cancer (Wielsøe et al. 2017). Steenland et al. (2015) found an inverse association between estimated cumulative PFOA exposure and bladder cancer in workers; other studies have not found associations (Eriksen et al. 2009; Gilliland and Mandel 1993; Leonard 2006; Leonard et al. 2008; Raleigh et al. 2014; Steenland and Woskie 2012).

Some associations between PFOA and cancer effects have been observed, including prostate, kidney, and testicular cancers. Ten years of employment in the Chemical Division of the 3M Cottage Grove facility was associated with a 3.3-fold increase in the relative risk of prostate cancer mortality, as compared to no employment in PFOA production areas (Gilliland and Mandel 1993; data also reported in Gilliland 1992);

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no increase in prostate cancer risk was observed when all workers in the Chemical Division were analyzed. The investigators noted that the prostate cancer findings are based on a small number of cases and could have resulted from chance or unrecognized confounding from exposure to other factors. An update of this study conducted by Lundin et al. (2009) did not find an increase in prostate cancer deaths in workers with definite PFOA exposure. When the cohort was divided into the three exposure categories and duration of definite exposure, increased risks for prostate cancer were found in the high-exposure category and in workers with definite exposure for at least 5 years, as compared with workers in the lowexposure category and with the shortest cumulative exposure duration, respectively. Interpretation of the Gilliland and Mandel (1993) and Lundin et al. (2009) studies is limited by the qualitative assessment of potential exposure and the fact that workers in the low exposure categories were likely research-anddevelopment professionals rather than production workers (Raleigh et al. 2014). In the most recent evaluation of the Cottage Grove facility, which involved extensive exposure assessment, Raleigh et al. (2014) did not find increases in prostate cancer deaths when compared to the general population or to workers at another facility and did not find an increase in the incidence of prostate cancer when the workers were categorized by cumulative exposure levels. Studies of the Washington Works facility workers did not find increases in prostate cancer deaths (Leonard et al. 2008; Steenland and Woskie 2012) or incidence (Steenland et al. 2015). A case-control general population study by Hardell et al. (2014) did find an increase in prostate risk only among subjects with a heredity risk (first-degree relative with prostate cancer) and serum PFOA levels above the median. In a study of community members, Ducatman et al. (2015b) did not find an association between prostate-specific antigen (PSA) levels and serum PFOA levels in men 20–49 or 50–69 years of age.

In the earliest cancer assessment of workers at the Washington Works facility (Leonard 2006; Leonard et al. 2008), an increase in the number of deaths from kidney cancer relative to workers at other regional DuPont facilities was observed; however, the CI included unity. In a follow-up study that used serum PFOA levels collected in current workers to assess job title exposure (Steenland and Woskie 2012), an increase in kidney cancer deaths was observed in workers with the highest exposures when analyzed with no lag, a 10-year lag, or a 20-year lag. Steenland and Woskie (2012) also found an increase in deaths from mesothelioma; the investigators noted that this was likely due to asbestos exposure. Steenland and Woskie (2012) noted that tetrafluoroethylene, a rodent kidney carcinogen, is used in the manufacture of a variety of fluoropolymers and noted that the tetrafluoroethylene is well controlled due to its volatile and explosive properties. It is noted that in a multisite study of tetrafluoroethylene workers, which included workers at the Washington Works facility (Consonni et al. 2013), an increased risk of renal cancer (SMR 1.44, 95% CI 0.69–2.65) was found, although the CI included unity. Consonni et al. (2013) noted that

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88% of the workers were also exposed to PFOA. When PFOA exposure was used as an exposure variable, the findings were the similar as when tetrafluoroethylene was used as the exposure variable, and thus, it was difficult for the investigators to evaluate separate associations for each compound. It is noted that increases in kidney deaths were not observed in the Cottage Grove facility (Raleigh et al. 2014), which did not use tetrafluoroethylene (Chang et al. 2014).

Three studies have examined the community living near the Washington Works facilities; some of these studies also included workers at the facility. Barry et al. (2013) reported an increased risk of testicular cancer that was associated with estimated cumulative PFOA exposure. Vieira et al. (2013) also reported an increase in testicular cancer, but the CIs of the adjusted odds ratio (AOR) included unity. When the participants were grouped by water district, an increased risk of testicular cancer (AOR 5.1, 95% CI 1.6– 15.6) was observed in the Little Hocking water district, which had the highest PFOA levels in the water. The Vieira et al. (2013) study also found increased risks of kidney cancer among participants with high or very high exposure to PFOA; Barry et al. (2013) also concluded that there was an association between estimated cumulative PFOA exposure and kidney cancer, although the CIs for the hazard ratio included unity. The third study of the Washington Works community found an inverse association between serum PFOA and risk of colorectal cancer (Innes et al. 2014).

In their review of the available epidemiological data, IARC (2017) concluded that the evidence for testicular cancer was "considered credible and unlikely to be explained by bias and confounding, however, the estimate was based on small numbers." Similarly, IARC (2017) concluded that the evidence for kidney cancer was also credible but noted that chance, bias, and confounding could not be ruled out with reasonable confidence. They considered that there was limited evidence in humans for the carcinogenicity of PFOA.

*Laboratory Animal Exposure Studies.* Two studies have examined the carcinogenic potential of PFOA in rats. In the first study of male and female Sprague-Dawley rats exposed to PFOA in the diet for 2 years (3M 1983; Butenhoff et al. 2012c), significant increases in the incidence of fibroadenoma of the mammary gland in females and Leydig cell adenoma were found in males exposed to 15 mg/kg/day. A high incidence of pituitary adenoma occurred among all groups, including controls. The incidence of hepatocellular carcinoma was not significantly increased. The investigators noted that the incidence of fibroadenoma in the mammary gland in the 15 mg/kg/day group was similar to the incidence found in untreated aging rats and that the incidence of Leydig cell adenoma was similar to the spontaneous incidence of this tumor in aged rats. The mammary gland pathology slides from this study (3M 1983;

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Butenhoff et al. 2012c) study were re-examined in 2005 by a Pathology Working Group (PWG) using current diagnostic criteria (Hardisty et al. 2010). The incidences of fibroadenoma found by the PWG were 36, 44, and 46% in the 0, 1, and 15 mg/kg/day groups, respectively; there were no statistically significant differences between the groups (Hardisty et al. 2010). Additionally, there were no significant differences in the incidence of adenocarcinoma, total benign neoplasms, or total malignant neoplasms between the groups. In the second study of male Sprague-Dawley rats exposed to PFOA in the diet for 2 years (Biegel et al. 2001), an increase in the incidence of hepatocellular adenomas was found, but there were no hepatocellular carcinomas in the treated group. PFOA also increased the incidence of Leydig cell adenomas. In addition, PFOA increased the incidence of pancreatic acinar cell adenomas; a pancreatic carcinoma was observed in one treated rat. Hepatic peroxisome proliferation was increased significantly at all interim evaluation time points  $(1, 3, 6, 9, 12, 15, 18, and 21$  months), but there was no increase in cell proliferation. In Leydig cells, neither peroxisome proliferation nor cell proliferation were increased.

PFOA was a positive modulator of hepatocarcinogenesis in male Wistar rats in a biphasic (initiation with diethylnitrosamine followed by oral treatment with PFOA) or triphasic (initiation with diethylnitrosamine [DEN] followed by dosing with 2-acetylaminofluorene and then PFOA) promotion protocol (Abdellatif et al. 1991, 2004). PFOA induced a marked increase in acylCoA oxidase activity and only a slight increase in catalase activity (Abdellatif et al. 2004). Since PFOA did not significantly increase 8-hydroxydeoxyguanosine (a marker of oxidative DNA damage *in vivo*) in isolated liver DNA, it appeared that PFOA did not require extensive DNA damage for its promoting activity (Abdellatif et al. 2004). PFOA was also found to act as a promoter in male Wistar rats in an initiation-selection-promotion protocol (Nilsson et al. 1991).

IARC (2017) concluded that there was limited evidence in experimental animals for the carcinogenicity of PFOA.

### **PFOS**

*Epidemiological Studies.* Four studies have evaluated the carcinogenic potential in workers at a Decatur, Alabama perfluorooctanesulphonyl fluoride (PFOSF) based fluorochemical production facility. In the earliest study, no increase in all cancer deaths was found, as compared to the Alabama general population (Alexander et al. 2003). An increased risk of bladder cancer was observed in workers with high potential exposure and in workers with a high potential exposure for  $\geq$ 1 year; the mortality ratio was based on three

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cases in the high exposure group. In a reanalysis of workers at this facility conducted by Alexander and Olsen (2007), 11 cases of bladder cancer were identified from worker surveys (n=6) and death certificates (n=5). Only two of the six self-reported bladder cancer diagnosis were confirmed via medical records; the other four subjects declined to give consent for medical verification. When compared to incidence data from the National Institute for Occupational Safety and Health (NIOSH) Surveillance Epidemiology and End Results (SEER) referent data, the standardized incidence ratios for the high potential exposure group were elevated, but the CIs included unity. When compared with workers with <1 year of high exposure, workers with 5– $\times$ 10 and  $\geq$ 10 years of high exposure had relative risks of 1.92 (95% CI 0.30– 12.06) or 1.52 (95% CI 0.21–10.99). Although the study did not adjust for smoking, the investigators noted that 83% of the living bladder cancer cases (five of the six subjects) reported cigarette use, as compared to 56% reported in the noncases. An additional limitation of the study is inclusion of four cases of bladder cancer that were not verified by medical records. The results of this study do not appear to confirm the findings of increased bladder cancer in the mortality study (Alexander et al. 2003). In a subsequent study of this facility, treatment for bladder cancer was not reported among current workers (Olsen et al. 2004a). The study did find increases in the number of episodes of care for malignant neoplasm of the prostate or malignant neoplasms of the colon, as compared to long-term workers in another division, but the CIs included unity. No increases in the risk ratio episodes of care were found for liver, rectum, or respiratory tract (Olsen et al. 2004a). A fourth study of this facility (Grice et al. 2007) examined possible associations between colon cancer, melanoma, and prostate cancer and PFOS exposure. The risks of these cancers were not associated with any of the PFOS-exposure categories for analyses that included all self-reported or only validated cancers.

General population case-control studies have evaluated several cancer types. Innes et al. (2014) reported an inverse association between PFOS and colorectal cancer. A small-scale study of 31 cases by Bonefeld-Jorgensen et al. (2011) found a slight increase in breast cancer risk, a finding not replicated in another larger study of a different population (Bonefeld-Jorgensen et al. 2014). A third case-control study found associations between serum PFOS and breast cancer in subjects with serum PFOS levels in the second tertile and higher (Wielsøe et al. 2017). Eriksen et al. (2009) and Hardell et al. (2014) did not find increases in the risk of prostate cancer associated with serum PFOS. However, an increased risk of prostate cancer was found among subjects with a first-degree relative with prostate cancer and PFOS levels above the median level (Hardell et al. 2014). Eriksen et al. (2009) also found no associations between serum PFOS and the risk of bladder cancer, pancreatic cancer, or liver cancer. Ducatman et al. (2015b) did not find an association between serum PFOS levels and PSA levels in men participating in the C8 studies.

*Laboratory Animal Studies.* In a 2-year PFOS dietary exposure study bioassay in male and female Sprague-Dawley rats (Butenhoff et al. 2012b; unpublished study by Thomford 2002b), a significant positive trend of hepatocellular adenoma was observed in males; the incidence was significantly higher than controls at 1.04 mg/kg/day. No hepatocellular adenomas were seen in a group of rats exposed to 1.17 mg/kg/day for 1 year and allowed to recover for the second year. High-dose males from the recovery group showed a significant increase in thyroid follicular cell adenoma relative to controls. No significant increase in this type of tumor was observed in rats exposed for 2 years. In females, there was a significant positive trend for incidences of hepatocellular adenoma, which was associated with a significant increase in the 1.04 mg/kg/day group. In females, there were also significant negative trends for mammary adenoma and fibroadenoma carcinoma combined.

### **PFHxS**

*Epidemiological Studies.* Three case-control studies have examined the possible association between serum PFHxS and cancer. Bonefeld-Jorgensen et al. (2014) found in inverse association between PFHxS levels and breast cancer risk. In contrast, Wielsøe et al. (2017) found a positive association between serum PFHxS levels and breast cancer risk. No association between PFHxS and prostate cancer was observed (Hardell et al. 2014), with the exception of increased risk in men with a first-degree relative with prostate cancer and above-median serum PFHxS levels. No associations between serum PFHxS and PSA levels were observed in a cross-sectional study of men 20–49 or 50–69 years of age participating in the C8 Health Studies (Ducatman et al. 2015b).

### **PFNA**

*Epidemiological Studies.* The carcinogenic potential of PFNA has been examined in three case-control studies. No consistent associations between serum PFNA levels and breast cancer (Bonefeld-Jorgensen et al. 2014; Wielsøe et al. 2017) or prostate cancer (Hardell et al. 2014) were found. Serum PSA levels were not associated with serum PFNA levels in men participating in the C8 Health Study (Ducatman et al. 2015b).

# **PFDA**

*Epidemiological Studies.* Hardell et al. (2014) examined the possible association between the serum PFDA level and risk of prostate cancer and only found an association in men with a heredity risk factor and PFDA levels above the median. In a case-control study of breast cancer, Wielsøe et al. (2017) found an association among women with serum PFDA levels in the third quartile.

## **PFUnA**

*Epidemiological Studies.* An increased risk of prostate cancer was found in men with first-degree relatives with prostate cancer and serum PFUnA levels above the median (Hardell et al. 2014). An increased breast cancer risk was found in women with serum PFUnA levels in the third quartile (Wielsøe et al. 2017).

### **PFHpA**

*Epidemiological Studies.* One study evaluated possible associations between serum PFHpA and cancer risk and found no association for breast cancer (Wielsøe et al. 2017).

### **PFDoDA**

*Epidemiological Studies.* In the only cancer study for PFDoDA, Wielsøe et al. (2017) did not find an increased risk of breast cancer in women associated with serum PFDoDA levels.

# **FOSA**

*Epidemiological Studies.* Bonefeld-Jorgensen et al. (2014) reported an increased risk of breast cancer among women with serum FOSA levels >5.75 ng/mL.

# **2.20 MECHANISM OF TOXICITY**

The primary effects observed in rodents exposed to perfluoroalkyls are liver toxicity, developmental toxicity, and immune toxicity. The cellular mechanisms by which hepatic effects are induced have been extensively studied, while more limited data are available on mechanisms for other effects. The available data indicate that perfluoroalkyls produce a number of adverse effects through activation of the PPARα, a member of the nuclear receptor superfamily that mediates a broad range of biological responses (Issemann and Green 1990). However, some adverse effects of perfluoroalkyls occur through PPARαindependent mechanisms, which may include activation of other nuclear receptors, increased oxidative stress, dysregulation of mitochondrial function, and inhibition of gap junction intercellular communication (GJIC). In the sections below, cellular mechanisms of action that are mediated by PPARα and independent of PPARα are discussed, followed by discussions of mechanisms specific to the hepatic, developmental, immunotoxic, and hormone effects of perfluoroalkyls.

## **2.20.1 Cellular Mechanisms of Toxicity**

### **PPARα-Dependent Mechanisms**

Activation of the PPARα receptor in rodents initiates a characteristic sequence of morphological and biochemical events, principally, but not exclusively, in the liver. These events include marked hepatocellular hypertrophy due to an increase in number and size of peroxisomes, a large increase in peroxisomal fatty acid β oxidation, increased cytochrome 450-mediated ω hydroxylation of lauric acid, and alterations in lipid metabolism. Although there is uncertainty regarding the exact and possibly, multiple mechanisms for liver effects of perfluoroalkyls, peroxisome proliferation mediated by  $PPAR\alpha$  is a contributing mechanism. Proliferation of peroxisomes in laboratory animals exposed to perfluoroalkyls is discussed in Section 2.9 (Hepatic); as discussed in that section, hepatic peroxisome proliferation has been shown in rats exposed to PFOA and in mice exposed to PFDA.

Many, but not all, of the adverse effects induced by perfluoroalkyls in rodents are mediated through activation of the PPARα. Ligands, including perfluoroalkyls, bind to and activate PPARα, causing a conformational change in the receptor that leads to dissociation of co-repressors and enables heterodimerization with the retinoid X receptor (Corton et al. 2014). The activated receptor complex binds to a DNA direct repeat motif (the peroxisome proliferator response element or PPRE) located in the promoters of peroxisome proliferator responsive genes. The binding of the receptor complex leads to recruitment of co-activators, which acetylate histones and remodel chromatin, enabling RNA polymerase to transcribe the target gene(s). PPARα regulates lipid homeostasis by modulating the expression of genes involved in fatty acid uptake, activation, and oxidation. Activation of nuclear receptors including PPARα is a complex, dynamic process that depends on levels of expression of the receptors in different

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tissues, competition among receptors for endogenous and exogenous ligands and for binding sites on chromatin, and availability and abundance of co-activators and/or co-repressors (Corton et al. 2014).

*PPARα Receptor Activation.* Many perfluoroalkyls, including PFOA, PFOS, PFUnA, PFHpA, and PFDoDA have been shown to activate PPARα in mammalian cells *in vitro* (Bjork and Wallace 2009; Bjork et al. 2011; Shipley et al. 2004; Takacs and Abbott 2007; Vanden Heuvel et al. 2006; Wolf et al. 2008b, 2012). Cell systems used in these studies include COS-1 cells expressing mouse, rat, or human PPARα, and cultured rat, mouse, and human hepatocytes. In these studies, perfluoroalkyl sulfonate compounds were less potent than perfluoroalkyl carboxylate compounds in activating PPARα-induced gene expression, and the potency of stimulation within each class increased with carbon chain length (Bjork and Wallace 2009; Wolf et al. 2008b, 2012). In comparison with naturally occurring long-chain fatty acids such as linoleic and  $\alpha$  linoleic acids, PFOA and PFOS are relatively weak ligands for PPAR $\alpha$ (Vanden Heuvel et al. 2006)

*PPARα-Dependent Gene Expression Changes.* Perfluoroalkyls have been shown to induce changes in the expression of genes under the regulation of PPARα. The expression of PPARα target genes in the liver involved in fatty acid metabolism, cell cycle control, peroxisome biogenesis, and proteasome structure and organization were upregulated, while inflammatory response genes in the liver were downregulated in wild-type mice exposed orally to PFOA or the PPARα agonist WY-14,643 (Rosen et al. 2008a). Furthermore, PFOA and PFDA have been shown to downregulate, via PPARα activation, genes involved in bile transport in the livers of wild-type mice exposed by intraperitoneal administration (Cheng and Klaassen 2008a). Both compounds decreased expression of organic anion transporting polypeptides [*OATP1a1, 1a4,* and *1b2*], and PFDA also downregulated sodium-taurocholate cotransporting polypeptide [*Nctp*], via activation of PPARα. Many of these expression changes may play roles in the hepatic effects of perfluoroalkyls.

Gene expression changes induced by perfluoroalkyls have been extensively studied in experiments aimed at determining the extent to which the adverse effects of these compounds are dependent on activation of PPARα or interaction with other nuclear receptors (Foreman et al. 2009; Rosen et al. 2008a, 2008b, 2010, 2017). These studies, comparing gene expression changes in wild-type and  $PPAR\alpha$ -null mice exposed to perfluoroalkyls, demonstrate the following:

• A majority of the gene expression changes induced in rodents by perfluoroalkyls tested to date, especially PFOA and PFNA, are dependent on activation of PPARα.

- Perfluoroalkyls also induce gene expression changes that are independent of PPARα.
- The extent to which gene expression changes induced by perfluoroalkyls are dependent on activation of PPARα varies by compound.

*Species Differences in PPARα Activation.* Species differences in response to PPARα activators have been reviewed by Corton et al. (2014). Studies of PPARα activation by structurally diverse ligands in various species have shown that rats and mice are the most sensitive species to PPARα agonists, whereas guinea pigs, hamsters, nonhuman primates, and humans are less responsive (Corton et al. 2014). However, the differences do not appear to result from differences in the PPARα gene itself: PPARα cDNA from humans is indistinguishable from the rodent PPARα. Species differences in response to exogenous PPARα activators may stem from any or all of the following: (1) differences in the expression of PPAR $\alpha$  in a given tissue; (2) differences in the gene product structure; and (3) differences in the ligand-mediated transactivation of PPARα. Experiments quantifying mRNA and/or protein levels of PPARα show  $\sim$ 10-fold higher expression of PPARα in the livers of mice and rats compared with humans and guinea pigs, but available data are limited and require further study to validate these differences (Corton et al. 2014). In humans, variants of  $PPAR\alpha$  that may affect its transactivation potential have been identified. For example, humans produce higher levels of a truncated  $PPAR\alpha$  (that lacks a ligand binding domain) compared with mice and rats (Corton et al. 2014). The truncated form appears to inhibit the activity of the full-length receptor, possibly via sequestering critical co-activators. Other, non-truncated variants of PPARα have been identified in humans, but the sensitivity of these variants to PPARα activators does not differ markedly from that of the wild-type receptor.

Species and compound-related differences in  $PPAR\alpha$  transactivation by perfluoroalkyls have been demonstrated *in vitro* (Shipley et al. 2004; Takacs and Abbott 2007; Vanden Heuvel et al. 2006; Wolf et al. 2008b, 2012). In a comparison of human and mouse  $PPAR\alpha$  transactivation by different perfluoroalkyls in transfected COS-1 cells, Wolf et al. (2008b, 2012; see [Table 2-28\)](#page-521-0) found that some perfluoroalkyls exhibited marked species differences in transactivation potency (for example, PFUnA, PFDA, PFDoDA), while other compounds showed similar transactivation potency for both human and mouse PPARα (for example, PFNA, PFOA, perfluoropentanoic acid [PFPeA]).



# <span id="page-521-0"></span>**Table 2-28. Transactivation of Human and Mouse PPARα in Transfected Cos-1 Cells Exposed to Perfluoroalkyls (In Order of Decreasing C20max in the Mouse)**

aPerfluoroalkyl concentration yielding 20% of maximum response given by the most active compound (PFNA). **bResults from two separate experiments.** 

<sup>c</sup>Slope for human PPARα dose-response line was not significant.

– = not active; LOEC = lowest-observed-effect concentration; NA = not available; NOEC = no-observed-effect concentration; PFBA = perfluorobutanoic acid; PFBS = perfluorobutane sulfonic acid; PFDA = perfluorodecanoic acid; PFDA = perfluorodecanoic acid; PFDoDA = perfluorododecanoic acid; PFHpA = perfluoroheptanoic acid; PFHxA = perfluorohexanoic acid; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PFPeA = perfluoropentanoic acid; PFUnA = perfluoroundecanoic acid; PPAR = peroxisome proliferator activated receptor

Source: Wolf et al. 2008b, 2012

In addition to differences in transactivation of  $PPAR\alpha$ , Corton et al. (2014) noted that there are species differences in the transcripts controlled by PPARα. While PPARα activation leads to hypolipidemic changes in both humans and laboratory rodents, the gene sets responsible for these changes may differ. In a comparison between human and mouse hepatocytes exposed to the prototypical PPARα ligand WY-14,643, some genes (ACOX1, ECH1, PEX11A, and ACAA1) were induced in both species, while some (*Ehhadh, Pxmp4, Acot4,* and *Peci*) were induced only in mouse hepatocytes (Corton et al. 2014). Importantly, PPARα activators induce large increases in the expression of fatty acyl-CoA oxidase (ACO, which is believed to play a role in oxidative stress-induced liver cancer) in rodent hepatocytes, but relatively weak increases in human hepatocytes (Corton et al. 2014). Other hypothesized explanations for the species difference in response to exogenous PPARα ligands include variations in the structure of the

PPRE that alter the response of the human genes compared with rodents; differences between humans and rodents in the functions of genes under the regulation of  $PPAR\alpha$ ; and differences in the ability of ligandbound human and mouse PPARα receptor complex to recruit or interact with co-activators (Corton et al. 2014).

### **PPARα-Independent or Associative Mechanisms**

Experiments using PPARα-null mice have demonstrated that perfluoroalkyls exert some adverse effects, including developmental and hepatic effects, through mechanisms other than activation of PPARα. These may include activation of other nuclear receptors, increased oxidative stress, dysregulation of mitochondrial function, and inhibition of GJIC. While some of these effects have been seen after exposure to PPAR $\alpha$  activators (Corton et al. 2014), these mechanisms may also occur independent of PPARα activation.

*Activation of Other Nuclear Receptors.* Examination of gene expression changes, as well as studies using other knock-out mice, have shown that some of the  $PPAR\alpha$ -independent effects induced by perfluoroalkyls may be mediated by activation of other nuclear receptors, especially PPARγ, CAR, and ERα. In a series of experiments, Rosen et al. (2008b, 2010, 2017) compared the gene expression changes induced by perfluoroalkyls in wild-type and PPARα -null mice with gene expression changes induced by known agonists of PPARγ, CAR, and ERα. Using these data, the study authors estimated the percentage of gene expression changes that were independent of activation of  $PPAR\alpha$ , and identified other nuclear receptors potentially involved in the changes induced by the perfluoroalkyls. The results, summarized in [Table](#page-523-0) 2-29, show that between 10 and 24% of gene expression changes induced by perfluoroalkyls are independent of PPARα. All four compounds tested (PFOA, PFOS, PFNA, and PFHxS) were shown to alter the expression of PPARγ- and CAR-regulated genes in PPARα-null mice, and PFNA and PFHxS also altered the expression of ERα-regulated genes in the knock-out mice. In contrast, none of the compounds altered the expression of genes commonly affected by an agonist of LXR in either wild-type or null mice.

<span id="page-523-0"></span>



### aWY-14,643 is a PPARα agonist.

+ = significant (p<0.0001) similarity to gene expression changes induced by prototypical receptor agonist as assessed by running Fisher test; +/– = equivocal evidence; CAR = constitutive androstane receptor; ER = estrogen receptor; LXR = liver X receptor; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PPAR = peroxisome proliferator activated  $receptor$ ;  $WT = wild-type$ 

Sources: Rosen et al. 2017, 2008b, 2013; Wolf et al. 2008b

Gene expression changes typical of CAR and PXR activators (phenobarbital and pregnenolone 16 α-carbonitrile [PCN]) were also observed in rat liver after oral exposure to PFOA and PFOS (Ren et al. 2009). In addition, PFDA was shown to activate CAR-dependent genes in a study comparing wild-type and CAR-null mice exposed by intraperitoneal injection (Cheng and Klaassen 2008b).

These data suggest that perfluoroalkyls may induce gene expression changes through activation of other nuclear receptors including PPARγ, CAR, and ERα. Support for these findings are available from *in vitro* studies demonstrating binding and/or transactivation of PPARγ, CAR, and ERα by perfluoroalkyls. Both PFOA and PFOS activated PPARγ in cultured human, mouse, and rat hepatocytes, albeit with much lower potency than the known agonist rosiglitazone; neither LXRβ nor RXRα was activated in this system (Vanden Heuvel et al. 2006). Zhang et al. (2014) observed binding of PFOA and PFOS to human PPARγ in transfected *Escherichia coli*. However, in experiments conducted by Takacs and Abbott (2007), neither PFOA nor PFOS activated the mouse or human PPARγ.

*Oxidative Stress.* Perfluoroalkyls increase oxidative stress in the liver, kidney, and brain. Increases in oxidative stress may be mediated in part via PPARα activation, but may also result from activation of the Nrf2 receptor (Xu et al. 2016).

Oxidative stress may contribute to oxidative DNA damage, tumor promotion, perturbation of lipid homeostasis, and stimulation of inflammation, among other changes; thus, increases in oxidative stress can have diverse physiological effects. Evidence that perfluoroalkyls increase oxidative stress is available from *in vivo* and *in vitro* studies. For example, oxidative DNA damage (measured as 8-OH-dG levels) was significantly increased in the liver, but not the kidneys, of male rats exposed to PFOA via feed for 2 weeks (Takagi et al. 1991). In HepG2 cells cultured with PFOA or PFOS, significant increases in reactive oxygen species (ROS) (measured as 2'7'-dichlorofluorescein diacetate fluorescence) were observed, but there was no evidence of DNA damage measured with the comet assay (Eriksen et al. 2010). In this system, PFNA, PFBS, and PFHxA did not induce ROS production, but a significant increase in DNA damage was seen in cells exposed to PFNA (Eriksen et al. 2010).

In male, but not female, KM mouse pups administered a single subcutaneous injection of PFOS at 1, 2, 3, 4, or 5 weeks of age, brain total antioxidant capacity (T-AOC) was lower than controls at most time points, and significantly decreased after treatment on PND 21 (Liu et al. 2009). In the liver, T-AOC was decreased in male pups treated on PNDs 7 and 14, and in females treated on PND 21. Significant decreases in superoxide dismutase (SOD) activity were noted in the brain of males treated on PNDs 7 and 21, and in the liver of females treated on PND 14.

Increases in oxidative stress can lead to NFκB activation (Corton et al. 2014). NFκB activation plays a role in tumorigenesis, and NFκB transcription factors coordinate immune responses. Few studies have examined NFκB activation after exposure to perfluoroalkyls. An increase in NFκB mRNA level was seen in the hippocampus of neonatal rats exposed to PFOS *in utero* (Zeng et al. 2011). In addition, NFκB nuclear translocation was accelerated, and NFκB was activated, in breast cancer cells exposed to PFOA (Zhang et al. 2014). The activation of NFκB was associated with increased invasiveness of the breast cancer cells, as coexposure to an inhibitor of NFκB reduced the invasiveness induced by PFOA.

*Gap Junction Intercellular Communication (GJIC) Inhibition.* Perfluoroalkyls also have been shown to inhibit GJIC both *in vivo* and *in vitro* in rats (Corton et al. 2014). GJIC plays an important role in maintenance of tissue homeostasis, intercellular transmission of regulatory signals, and metabolic cooperation. Disruption of GJIC is thought to be involved in neurological, reproductive, and endocrine

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abnormalities, as well as in carcinogenesis (Corton et al. 2014; EPA 2016h). There are limited data examining the effects of perfluoroalkyls on GJIC. The available studies showed that both PFOA and PFOS inhibited GJIC in the livers of rats exposed via diet for 1 week or 3 or 21 days, respectively (Hu et al. 2002; Upham et al. 1998, 2009). *In vitro* studies in WB-344 rat liver epithelial cells also showed inhibition of GJIC after exposure to PFOS (Hu et al. 2002) and to perfluorinated fatty acids with 7– 10 carbons (Upham et al. 1998, 2009). In this system, PFOA activated extracellular receptor kinase, which may play a role in the inhibition of GJIC. In addition, inhibition of phosphatidylcholine-specific phospholipase C partially mitigated the GJIC inhibition, suggesting that PFOA-induced activation of this enzyme may also be involved in GJIC inhibition (Upham et al. 1998, 2009).

PFOS was also shown to inhibit GJIC in dolphin kidney epithelial cells and rat Sertoli cells *in vitro* (Hu et al. 2002; Wan et al. 2014a). In Sertoli cells, GJIC plays an important role in maintenance of the blood:testes barrier and in intercellular communication during spermatogenesis (EPA 2016i).

*Impaired Mitochondrial Function.* Mitochondrial function, including cellular respiration as well as mitochondrial membrane potential, has been shown to be perturbed by perfluoroalkyls. Available data suggest that PFOA and PFOS are relatively weak mitochondrial toxicants (EPA 2016h, 2016i). Mitochondrial proliferation was observed in rats exposed orally to PFOA for 28 days and in mice exposed to PFOA during gestation and lactation (Quist et al. 2015a, 2015b; Waters et al. 2009). In isolated rat liver mitochondria, higher concentrations of either PFOA or PFOS were noted to slightly increase resting respiration rate and decrease membrane potential, possibly due to these compounds' effects on membrane fluidity (Starkov and Wallace 2002). Testing of other perfluoroalkyls for effects on mitochondrial respiration rate and oxidative phosphorylation showed a wide range of inhibitory activities, with PFOS demonstrating the highest potency (3-fold higher than PFOA and 20–30-fold higher than PFBS and PFHxA) (Wallace et al. 2013).

## **2.20.2 Hepatic Toxicity Mechanisms**

Hepatic effects of perfluoroalkyls in rodents likely result from a combination of PPARα-dependent and independent changes; see [Table 2-30.](#page-526-0) For example, increased liver weight has been observed in both wild-type and PPARα-null mice orally exposed to PFOA or APFO (Nakagawa et al. 2012; Rosen et al. 2008a), PFOS (Qazi et al. 2009b; Rosen et al. 2010), PFNA (Das et al. 2017; Rosen et al. 2017), or PFHxS (Das et al. 2017; Rosen et al. 2017), but not in null mice exposed to PFBA by intraperitoneal injection (Foreman et al. 2009). Similarly, both wild-type and PPARα-null mice exposed to APFO

exhibited increased hepatocyte vacuolation and proliferation, while exposure to WY-14,643 did not induce such changes in the null mice (Wolf et al. 2008b). Das et al. (2017) showed that PFOA, PFNA, and PFHxS also increased hepatocyte cell size, percent lipid, and hepatic triglyceride levels, and decreased hepatic DNA content, in both wild-type and PPARα-null mice, while WY-14,643 did not, indicating that these effects were not dependent on PPARα activation. Similarly, Nakagawa et al. (2012) showed that at a lower APFO dose (1.0 mg/kg/day for 6 weeks), increases in hepatic triglyceride levels were observed in wild-type, PPARα-null, and humanized PPAR (hPPAR) mouse strains; however, at a higher dose (5 mg/kg/day), hepatic triglyceride levels were still increased in PPARα-null and hPPAR mice, but decreased in wild-type mice.



10 +++ +++ +++ +++ +++ +++ +++ ++ + +

10 +++ +++ +++ +++ +++ *–* +++ +++ + +

# <span id="page-526-0"></span>**Table 2-30. Hepatic Effects of Perfluoroalkyls in Wild-Type and PPARα-Null Mice Exposed Orally**

aWY-14,643 is a PPARα agonist.

PFNA

+ = statistically significant change from control (the number of plus signs indicates degree of change from controls);  $-$  = not statistically significantly different from control;  $DNA = deoxyribonucleic acid$ ;  $ND = no data$ ; PFHxS = perfluorohexane sulfonic acid; PFNA = perfluorononanoic acid; PFOA = perfluorooctanoic acid; PFOS = perfluorooctane sulfonic acid; PPAR = peroxisome proliferator activated receptor; WT = wild type

WY-14,643a 50 *+++ – +++ – – – +++ – + –*

Sources: Das et al. 2017; Rosen et al. 2008a, 2010, 2017

PFHxS  $\frac{3}{40}$  + + ND

1 ++ +<br>3 +++ ++ ND

Lipid homeostasis is maintained through a balance between fatty acid synthesis or accumulation and fatty acid oxidation. Available data indicate that perfluoroalkyls affect both sides of this balance, but a growing body of evidence indicates that fatty acid accumulation induced by perfluoroalkyls tips the balance in favor of hepatic steatosis (Das et al. 2017). As discussed above, perfluoroalkyls alter lipid homeostasis via PPARα activation, which upregulates genes involved in fatty acid oxidation and reduces lipid levels. However, as noted above, Das et al. (2017) indicate that perfluoroalkyls also perturb lipid homeostasis via PPARα-independent mechanisms. In addition to the effects noted in [Table 2-30,](#page-526-0) increased incidences of hepatic steatosis were seen in PPARα-null mice exposed to perfluoroalkyls (Das

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et al. 2017; Minata et al. 2010; Nakagawa et al. 2012), but not in those exposed to the PPARα agonist WY-14,643 (Das et al. 2017). Additionally, microvesicular steatosis was observed in hPPAR mice (Nakagawa et al. 2012). The findings are consistent with earlier studies showing triglyceride accumulation in rodent livers after exposure to perfluoroalkyls (Kudo and Kawashima 1997, 2003; Kudo et al. 1999); hepatic steatosis and glucose intolerance in adult rats exposed to PFOS during the prenatal and postnatal periods (Lv et al. 2013); and inhibited hepatic secretion of VLDL, resulting in steatosis, in APOE3-Leiden mice (a rodent model with lipoprotein metabolism similar to humans) exposed to PFOS or PFHxS (Bijland et al. 2011).

Das et al. (2017) investigated whether the steatosis induced by PFOA, PFNA, and PFHxS was mediated by increased fatty acid or triglyceride synthesis or by inhibition of mitochondrial fatty acid transport or β-oxidation. Microarray analysis of mouse liver after exposure to these compounds showed upregulation of genes involved in fatty acid and triglyceride synthesis in both wild-type and PPARα-null mice. In contrast, *in vitro* experiments demonstrated that these perfluoroalkyls did not affect mitochondrial fatty acid oxidation in isolated rat liver mitochondria, and neither PFOA nor PFOS altered fatty acid oxidation in HepG2/C3A human liver cells. The authors suggested that perfluoroalkyls induce hepatic steatosis by perturbing lipid homeostasis in favor of the accumulation of fatty acids and triglycerides in the liver.

Data are also available to suggest that proinflammatory cytokines may also contribute to the hepatotoxicity of perfluoroalkyls. Studies in rodents have shown that *in vivo* exposure to PFOA (Qazi e al. 2013; Yang et al. 2014) or PFNA (Fang et al. 2012b, 2012c) have resulted in increases in IL-6, IL-1β, tumor necrosis factor-α (TNFα), C-reactive protein, and COX-2 at higher perfluoroalkyl doses (Fang et al. 2012b, 2012c; Yang et al. 2014) and decreases in TNFα, interferon-γ (IFN-γ), IL-4, and IL-6 levels at lower doses (Fang et al. 2012b; Qazi et al. 2013). Exposure to PFNA also resulted in increased expression of TNFα, IL-1β, and IL-6 mRNA (Fang et al. 2012b). Nakagawa et al. (2012) found increases in TNF $\alpha$ -mRNA in wild-type (2.9-fold), PPAR $\alpha$ -null (1.9-fold), and humanized PPAR $\alpha$  (1.9-fold) mouse strains exposed to 5 mg/kg/day doses of PFOA. Fang et al. (2012c) suggested that PFNA exposure stimulated liver Kupffer cells to release large amounts of TNF $\alpha$  and IL-1 $\beta$  and that the release of these cytokines activated the NF $\kappa$ B p65 pathway causing suppression of PPAR $\alpha$  promoter activity and resulting in increases in liver triglyceride levels and steatosis.

## **2.20.3 Developmental Toxicity Mechanisms**

Developmental effects observed in laboratory rodents exposed to perfluoroalkyls include prenatal loss, reduced neonate weight and viability, neurodevelopment toxicity, and delays in mammary gland differentiation, eye opening, vaginal opening, and first estrus (see Section 2.17 Developmental). During development, PPARα, PPARβ, and PPARγ mRNA and protein are expressed in the embryo of rodents and humans (Abbott 2009; Abbott et al. 2010). In humans, the fetal expression levels are equivalent to levels in adult tissues (Abbott et al. 2010). PPAR $\alpha$  activation also appears to be involved in some, but not all, of the developmental effects of perfluoroalkyls in mice, and the role of  $PPAR\alpha$  in mediating developmental toxicity differs among the various compounds. For example, a gestational exposure study of PFOA resulted in decreases in postnatal survival in wild-type mice, but not in PPARα-null mice, while the occurrence of full-litter resorptions was similar between the two genotypes (Abbott 2009; Abbott et al. 2007). In contrast, gestational exposure to PFOS resulted in decreased pup survival in both wild-type and PPARα-null mice (Abbot et al. 2009). The developmental effects of PFNA, including reduced pup survival and body weight and delayed eye opening, were seen only in wild-type, and not in PPARα-null mice; however, maternal pregnancy rate was affected only in the null mice (Wolf et al. 2010). No alterations in postnatal survival or growth were observed in wild-type mice exposed to PFBA *in utero* (Das et al. (2008). The investigators suggested that the contrast of these findings to that of PFOA may be due to the shorter half-life of PFBA (daily administration did not result in reaching steady-state) and that PFBA is a less potent agonist of PPARα than PFOA.

Abbott et al. (2012) showed that PFOA altered expression of genes that are involved in homeostatic control of lipids and glucose, and postulated that decreased neonatal survival and body weights may be, in part, due to metabolic disruption. It has been suggested that PFOS interacts with pulmonary surfactants, and that this effect is responsible for neonatal mortality seen in rats. Grasty et al. (2003, 2005) showed that neonatal mortality in PFOS-exposed rats was highest when exposure occurred during the gestational period of lung maturation (GDs 17–20), and that the morphometry of the lungs in exposed neonates was consistent with immaturity. However, treatment of neonates with rescue agents that hasten lung maturation did not prevent neonatal mortality induced by PFOS, and examination of the pulmonary surfactant profile in exposed animals showed no difference from controls, leading Grasty et al. (2005) to conclude that neonatal mortality in neonatal rats exposed to PFOS was not due to immaturity. Other hypotheses pertaining to the mechanisms of developmental toxicity of perfluoroalkyls were not located. However, other molecular- and cellular-level effects of perfluoroalkyls, including increased oxidative

stress, dysregulation of mitochondrial function, and receptor-mediated events, may be involved in the observed developmental effects of these compounds.

### **2.20.4 Immunotoxicity Mechanisms**

NTP (2016b) conducted a systematic review of the human, animal, and *in vitro* data examining immunotoxic effects of PFOA and PFOS. The conclusion of the systematic review was that both PFOA and PFOS are "presumed to be immune hazards to humans." Evidence was considered strong that both compounds were associated with suppression of the antibody response, while there was weaker evidence for PFOA-induced impairment of infectious disease resistance, increased hypersensitivity-related outcomes, and increased autoimmune disease incidence, and for PFOS-induced suppression of natural killer cell activity. A recent study comparing the T-cell dependent antibody response (TDAR) in female wild-type and PPARα knock-out mice after exposure to PFOA with or without antigen exposure showed that PFOA suppressed TDAR in both wild-type and knock-out mice, indicating that the mechanism for antibody response suppression is independent of PPARα activation (DeWitt et al. 2016). These investigators observed no treatment-related changes in splenic lymphocyte subpopulations in exposed mice of either genotype, suggesting that PFOA suppressed TDAR via impairment of B-cell/plasma cell function rather than by altering lymphocyte numbers. DeWitt et al. (2012) and Corsini et al. (2014) reviewed mechanistic data for perfluoroalkyl-induced suppression of antibody response, and postulated that perfluoroalkyls may modulate cell-signaling responses critical to antibody production, including c-Jun, NF-κB, and IL-6.

# **2.20.5 Endocrine Mechanisms**

Perfluoroalkyls have been shown to induce alterations in thyroid hormone levels in rats, and associations between serum perfluoroalkyl concentrations and thyroid hormone levels have been reported in human epidemiological studies (see Section 2.13). Few data examining mechanisms of thyroid hormone disruption are available, but suggest that effects of perfluoroalkyls on thyroid function may be mediated by binding to the thyroid hormone receptor, and/or by altering expression of genes involved in thyroid function or thyroid hormone regulation. Several perfluoroalkyls were shown to bind to the human thyroid hormone receptor in cultured GH2 cancer cells and in molecular docking experiments (Ren et al. 2015). In the *in vitro* tests, all 16 of the tested compounds exhibited lower affinity for the receptor than T3 (Ren et al. 2015). Among the tested compounds, PFOS exhibited the strongest agonist activity (Ren et al. 2015). Alterations in the mRNA or protein levels of thyroid-regulating genes have been observed after oral exposure of male Sprague-Dawley rats to PFOS. PFOS exposure for 5 or 90 days resulted in

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decreased hepatic levels of mRNA type 1 deiodinase (DIO1, which bioactivates T3 by deiodination of T4) (Martin et al. 2007; Yu et al. 2009a); after 5 days of exposure, hepatic mRNA for type 3 deiodinase (DIO3, which inactivates T3) was increased relative to controls (Martin et al. 2007). After 90 days, hepatic levels of uridine diphosphoglucuronosyl transferase 1A1 (UGT1A1, which plays a role in T4 turnover) mRNA and thyroid levels of DOI1 protein were increased, while there were no changes in thyroid levels of the sodium iodide symporter, thyrotropin (THS) receptor, or activity of thyroid peroxidase (Yu et al. 2009a).

Limited data from *in vitro* studies suggest the possibility that perfluoroalkyls may interact with the estrogen and androgen receptors. PFOA, PFOS, PFHxS, PFNA, and PFDA were all shown to be antagonists of the androgen receptor, while PFOA, PFOS, and PFHxS induced transactivation of the estrogen receptor (Kjeldsen and Bonefeld-Jorgensen 2013). Recently, analysis of gene expression data from the livers of wild-type and PPARα-null mice exposed to PFOA, PFOS, PFHxS, and PFNA by gavage for 7 days indicated similarities to gene expression changes induced by known ERα agonists (Rosen et al. 2017), providing indirect evidence for perfluoroalkyl changes in the liver mediated via ER activation. However, at oral doses up to 1 mg/kg, PFOA failed to induce treatment-related alterations in uterine weight, ER-dependent gene expression, or morphology of reproductive organs in uterotrophic assays using immature CD-1 mice (Dixon et al. 2012; Yao et al. 2014), suggesting that PFOA is either inactive *in vivo* or of very low estrogenic potency.

## **2.20.6 Cancer Mechanisms**

PFOA induced hepatocellular adenomas, Leydig cell adenomas, and pancreatic acinar cell adenomas in rats (Biegel et al. 2001). Liver tumors induced by PFOA are believed to be mediated largely through PPARα activation, and considered to be of limited or no relevance to humans (EPA 2016h), based on species differences in response to PPARα (see details above under PPARα activation). An expert panel convened by EPA's Science Advisory Board in 2006 to review issues related to the toxicity of PFOA agreed that the weight of evidence supports the hypothesis that induction of liver tumors in rats by PFOA is mediated by a PPARα agonism mode of action (EPA 2006); this conclusion is also reflected in the EPA Health Effects Support Document for PFOA (EPA 2016h). A recent review by a panel of experts from academia, government, industry, and consulting groups updated the Klaunig et al. (2003) assessment of PPAR $\alpha$  agonism as a liver cancer mode of action, and drew the same conclusion: while the PPAR $\alpha$  mode of action for liver tumors is biologically plausible, species differences in response to PPARα activation indicate that liver tumors are unlikely to be induced by PPARα induction in humans (Corton et al. 2014).

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Studies conducted in rainbow trout, an animal model that is similar to humans in terms of insensitivity to peroxisome proliferators, suggest that some perfluoroalkyls may induce liver cancer by alternate mechanisms (Benninghoff et al. 2011, 2012). The investigators (Benninghoff et al. 2011) found that PFOA, PFNA, PFDA, and PFUnA were potent inducers of vitellogenin, an estrogen-responsive biomarker protein at fairly high doses. Neither PFOA nor PFDA exposure resulted in vitellogenin expression at serum levels corresponding to general population serum levels of 2–7 ng/L. *In vitro*, PFOA, PFOS, PFHpA, PFNA, PFUnA, and PFDA also had weak to very weak affinities for estrogen receptors (ERα) for several species including humans, mice, and rats (Benninghoff et al. 2011). *In vivo* studies demonstrated that PFOA, PFOS, PFNA, and PFDA enhanced liver carcinogenesis in AFB<sub>1</sub> initiated fish via a mechanism that likely involves interactions with hepatic estrogen receptors (Benninghoff et al. 2012).

Although Leydig cell tumors are commonly induced by peroxisome proliferating agents such as perfluoroalkyls, the mode of action by which these tumors are induced, and thus their relevance to humans, is much less clear (Corton et al. 2014; EPA 2016h; Klaunig et al. 2003). One mode of action proposed for the induction of Leydig cell tumors involves PFOA-induced inhibition of testosterone biosynthesis, leading to increased production of gonadotropin releasing hormone and circulating LH, which promotes Leydig cell proliferation. Activation of PPARα may be involved in the decreased serum testosterone levels; PPARα-null mice did not exhibit the reduction in testosterone concentration seen in wild-type mice exposed to PFOA (Li et al. 2011). Evidence of decreased serum testosterone and increased serum estradiol was seen in studies of male rats exposed orally to PFOA for 14 days (Biegel et al. 1995; Cook et al. 1992; Liu et al. 1996). Reduced testosterone levels may occur through the conversion of testosterone to estradiol via the enzyme aromatase. Hepatic aromatase activity was shown to be markedly increased in male rats exposed to APFO by gavage for 14 days, and aromatase activity was positively correlated with serum estradiol levels in these animals (Liu et al. 1996). The relevance of Leydig cell tumors induced by PFOA to human risk assessment is uncertain. For example, an intermediate-duration study in Cynomolgus monkeys exposed to PFOA did not find treatment-related alterations in serum estradiol, estrone, estriol, or testosterone (Butenhoff et al. 2002). Studies of humans occupationally exposed to PFOA have not consistently reported alterations in estradiol or testosterone levels (Klaunig et al. 2012). In addition, humans are less sensitive than rats to LH stimulation, and the average number of LH receptors per Leydig cell is 13-fold higher in rats than humans (Klaunig et al. 2012). In summary, the induction of Leydig cell tumors by PFOA may be mediated by effects on aromatase activity or testosterone biosynthesis, both of which may be related to PPARα activation (EPA

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2016h). While the relevance of the PPAR $\alpha$  mode of action to humans is uncertain, the data supporting this mode of action for Leydig cell tumors is not sufficient to rule out human relevance (EPA 2016h).

The mechanism of PFOA-induced pancreatic acinar cell tumors in rodents has not been elucidated, and relevant data are limited. A proposed mode of action involves stimulation of PPARα leading to reduced bile flow and/or changes in bile acid composition with subsequent increase in cholecystokinin (CCK), which stimulates pancreatic cell proliferation and tumor formation (EPA 2016h). Effects on bile acid composition induced by PFOA may be mediated by effects on bile acid transporters. PFOA exposure has been shown to decrease expression of OATPs and increase expression of MRP3 and MRP4 (Cheng and Klassen 2008a; Maher et al. 2008). In a study using wild-type and  $PPAR\alpha$ -null mice, increased biliary excretion of PFOA was seen in wild-type mice compared with null mice, and biliary excretion of bile acids was highest in the null mice (Minata et al. 2010). These observations suggest the possibility that increased excretion of PFOA could diminish the excretion of bile acids that require the same transporters. However, given the limitations in available data, information is insufficient to fully characterize the mode of action for PFOA-induced pancreatic tumors (EPA 2016e).

Mechanisms of carcinogenicity of PFOA are unknown. Liver and Leydig cell tumors produced by PFOS may be associated with PPAR $\alpha$  activation or may involve other mechanisms. PFOS activates PPAR $\beta/\delta$ , γ, and CAR and PXR (Ren et al. 2009).

## **2.21 GENOTOXICITY**

The genotoxicity of perfluoroalkyls has not been extensively studied, with the most information available for PFOA and PFOS. To supplement the information reported in the published literature, results of unpublished studies taken from publicly available reviews have been included in the following discussions. No studies of genotoxicity in humans exposed to perfluoroalkyls were located.

### **PFOA**

The genotoxicity of PFOA has been examined in bacterial and mammalian *in vitro* systems and in mammalian *in vivo* assays. In general, results show that PFOA can produce DNA damage, but is not mutagenic at noncytotoxic concentrations.

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Results of *in vitro* studies in bacteria show that PFOA induces DNA damage but is not mutagenic. DNA damage was observed in *Paramecium caudatum* following exposure to 100 µM for 12 and 24 hours (Kawamoto et al. 2010). Intracellular ROS was significantly increased but DNA damage was not reversed by the application of glutathione, a ROS inhibitor, indicating that intracellular ROS may not be the cause of PFOA-induced DNA damage. PFOA was not mutagenic in *Salmonella typhimurium* TA1535/pSK1002 (*hisG46, rfa, uvrB*) with or without metabolic activation using the *umu* test (Oda et al. 2007) or in *S. typhimurium* TA98, TA100, TA102, and TA104 strains with or without metabolic activation using an Ames assay (Fernández Freire et al. 2008). Butenhoff et al. (2014) and Kennedy et al. (2004) summarized the results of various unpublished mutagenicity studies with PFOA showing negative results in reverse mutation assays using *S. typhimurium* (strains TA98, TA100, TA1535, TA1537, and TA1538), *Saccharomyces cerevisiae*, and *Escherichia coli* (WP2uvrA strain) with or without metabolic

activation.

*In vitro* genotoxicity assays in mammalian cells show that PFOA induced DNA damage, although conflicting results have been reported for mutagenicity and increased micronuclei formation. Incubation of human hepatoma HepG2 cells with 50–400 µM PFOA caused DNA strand breaks and 100–400 µM increased the incidence of micronuclei, in a dose-related manner in both cases (Yao and Zhong 2005). These effects were accompanied by a significant increase in ROS, which the investigators suggested caused the DNA damage. Bjork and Wallace (2009) measured mRNA expression for DNA damage inducible *Ddit3* to assess DNA damage in primary rat and human hepatocyte cultures and in HepG2/C3a hepatoma cells. Significant increases in mRNA transcription for *Ddit3* were found in primary rat hepatocytes at 100  $\mu$ M PFOA and in primary human hepatocytes and HepG2/C3a hepatoma cells at 200 µM PFOA. Although both studies provide evidence of DNA damage, the tested concentrations were very high as compared to what could be expected to occur in the environment. A significant increase in mutation frequencies was observed in hamster-human hybrid cells exposed to 200  $\mu$ M PFOA for 1– 16 days; a 79% decrease in cell viability was also observed at this concentration (Zhao et al. 2011). Concurrent treatment with a ROS inhibitor significantly decreased mutations, indicating that ROS may play an important role in mediating the genotoxic effects of PFOA. In contrast, Butenhoff et al. (2014) and Kennedy et al. (2004) summarized the results of various unpublished mutagenicity studies with PFOA. In mammalian cells, PFOA was negative for forward mutations using Chinese hamster ovary cells, for chromosomal aberrations in Chinese hamster ovary cells and human lymphocytes, and for cell transformation in C3H 10T1/2 cells.

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Results of *in vivo* exposure of laboratory animals show that PFOA induced DNA damage, but not micronuclei formation. Administration of a single intraperitoneal injection of 100 mg/kg PFOA to male Fischer-344 rats resulted in a significant increase in the levels of 8-hydroxydeoxyguanosine (a marker of oxidative DNA damage) in liver DNA, but not in kidney DNA (Takagi et al. 1991). Oral administration of approximately 20 mg/kg/day PFOA in the diet for 2 weeks to male Fischer-344 rats induced hepatomegaly and increased the levels of 8-hydroxydeoxyguanosine in liver DNA but not in kidney DNA (Takagi et al. 1991). Unpublished studies summarized by Butenhoff et al. (2014) and Kennedy et al. (2004) did not find increased micronuclei formation in mice orally exposed to PFOA.

### **PFOS**

The genotoxicity of PFOS has been examined in bacterial and mammalian *in vitro* systems and in mammalian *in vivo* assays. However, compared to PFOA, less information is available. Results do not provide evidence for genotoxicity of PFOS, except for one *in vitro* study showing cell transformation and one report of increased micronuclei formation following *in vivo* exposure.

Results of *in vitro* studies in bacteria and mammalian cells show that PFOS did not induce DNA damage, mutagenicity or chromosome damage. In bacterial cell assays, as reviewed by OECD (2002), PFOS did not induce reverse mutations in *S. typhimurium* or *E. coli* with or without metabolic activation. A study published after this review also found that PFOS was not mutagenic in *S. typhimurium* TA1535/pSK1002 (*hisG46, rfa, uvrB*) with or without metabolic activation using the *umu* test (Oda et al. 2007).

*In vitro* genotoxicity assays of PFOS in mammalian cells were negative for DNA damage, mutagenicity, micronuclei formation, and chromosome damage, although one *in vitro* study reported cell transformation. PFOS did not result in DNA damage in Syrian hamster embryo cells at concentrations up to 50  $\mu$ g/mL but did induce cell transformation at noncytotoxic concentrations (0.2 and 2  $\mu$ g/mL) following 5 and 24 hours of exposure (Jacquet et al. 2012). Similarly, PFOS did not induce DNA damage or increased micronuclei formation in human hepatoma HepG2 cells following a 24-hour exposure to PFOS concentrations as high as 600 µM; cytotoxicity was observed at  $\geq$ 300 µM (Florentin et al. 2011). Another study of with HepG2 cells did not find evidence of DNA damage at concentrations of 100 and 400 µM PFOS (Eriksen et al. 2010). As summarized by OECD (2002), PFOS did not induce chromosomal aberrations in human lymphocytes with or without metabolic activation and did not induce unscheduled DNA synthesis in primary cultures of rat hepatocytes.

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Conflicting results have been reported on micronuclei formation following *in vivo* exposure to PFOS. Micronuclei frequency was increased and the ratio of polychromatic erythrocytes to normochromatic erythrocytes was decreased in bone marrow of rats following oral exposure to 0.6–2.5 mg/kg PFOS for 30 days (Celik et al. 2013; Eke and Celik 2016). As summarized by OECD (2002), PFOS did not induce micronuclei in the bone marrow of CD-1 mice in an *in vivo* assay.

## **Other Perfluoroalkyls**

Little information is available on the genotoxicity of other perfluoroalkyl compounds, with available studies focused on DNA damage. No DNA damage was found in HepG2 cells incubated with 100 or 400 µM PFHxS or PFBS for 24 hours, although a "modest" increase in DNA damage was observed at 400 µM PFNA, a cytotoxic concentration (Eriksen et al. 2010). Oral administration of approximately 10 mg/kg/day PFDA in the diet for 2 weeks to male Fischer-344 rats induced hepatomegaly and also increased the levels of 8-hydroxydeoxyguanosine in liver DNA but not in kidney DNA (Takagi et al. 1991). In contrast, no DNA damage in liver or kidney was observed following administration of a single intraperitoneal injection of 100 mg/kg PFBA to male Fischer-344 rats (Takagi et al. 1991).